

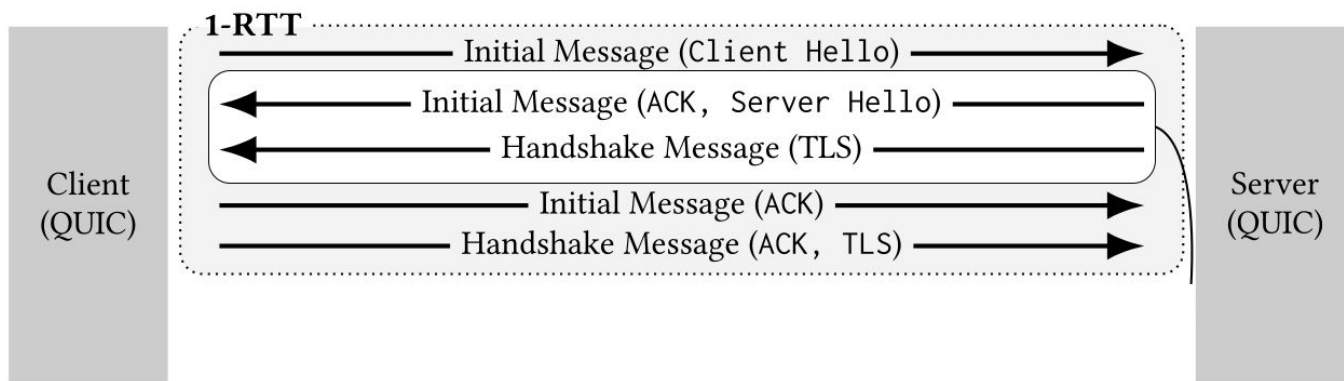


On the Interplay between TLS Certificates and QUIC Performance

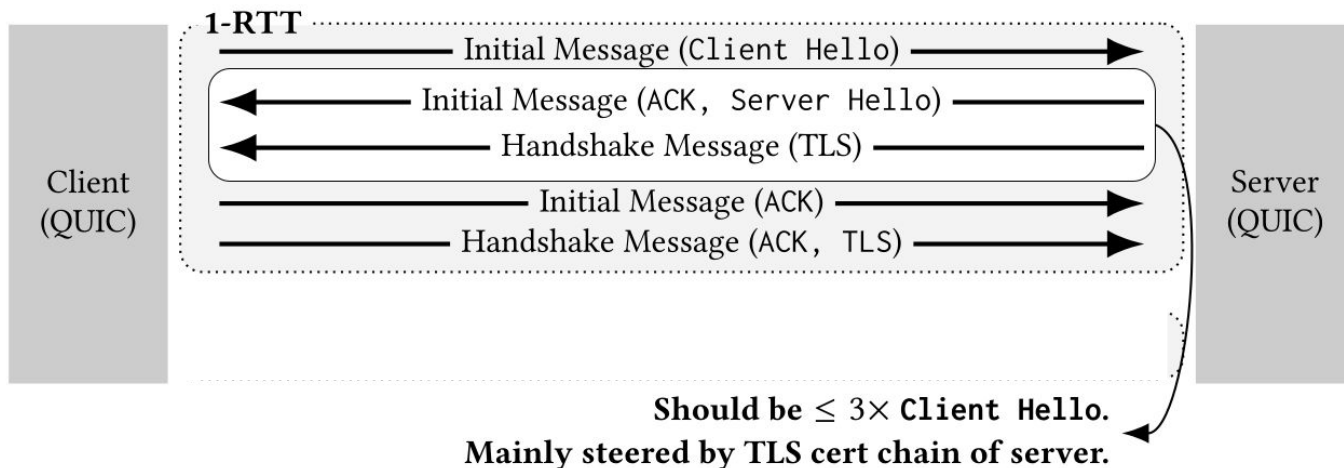
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Jonas Mücke, Thomas C. Schmidt, Matthias Wählisch

`{marcin.nawrocki, jonas.muecke, m.waehlich}@fu-berlin.de`
`pouyan.fotouhi.tehrani@fokus.fraunhofer.de`
`{raphael.hiesgen, t.schmidt}@haw-hamburg.de`

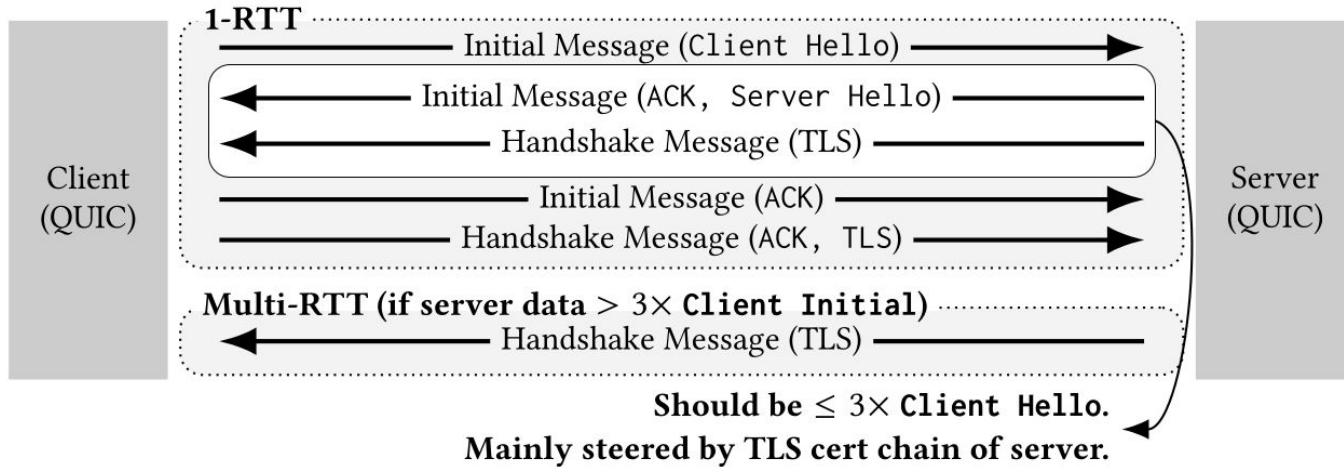
QUIC handshake design goal 1: Reduced round-trips.



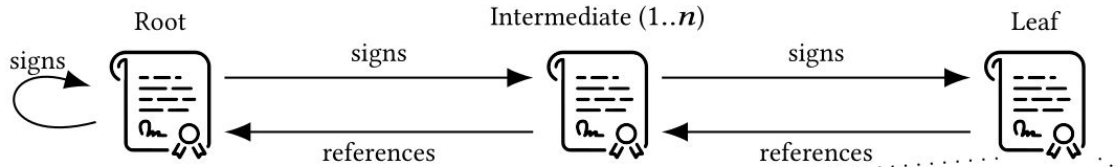
QUIC handshake design goal 2: Reduced amplification.



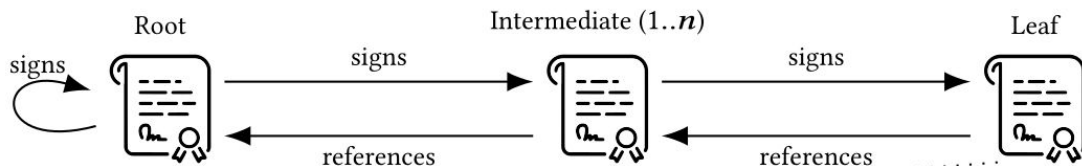
Multi-RTT handshakes validate clients but are inefficient.



A lot of TLS data? Certificates are delivered as a chain.



A lot of TLS data? Large keys, alternative names, etc.



```
x509 v3 Certificate
-tbsCertificate

version: 0x02 (v3)
serialNumber: 01:74: . . . :ca:7e
signatureAlg: sha256WithRSAEncryption
validity: 211127194412Z:221229194411Z
issuer: C=BE, O=GlobalSign nv-sa, CN=GlobalSign Atlas R3 DV TLS CA H2 2021
subject: CN=*.isc.org
subjectPublicKeyInfo:
  algorithm: rsaEncryption
  subjectPublicKey: 00:a5: . . . :56:95

extensions
  AuthorityKeyIdentifier:
    30:16: . . . :96:1f
  SubjectKeyIdentifier: 04:14: . . . :b7:51
  SubjectAltName: DNS:*.isc.org

signatureAlg: sha256WithRSAEncryption
signature: 30:45: . . . :e3:d6
```

Agenda

Hypergiants purposefully ignore the anti-amplification.

This enables clients to estimate a precise RTT.

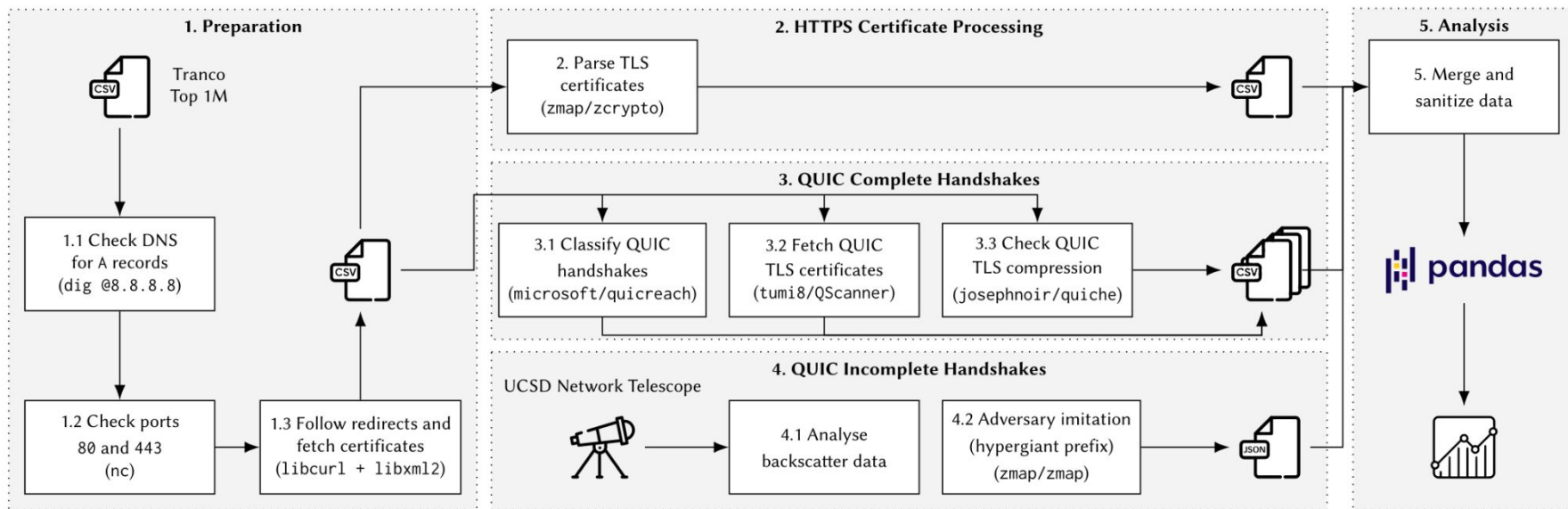
TLS data still interferes with QUIC performance.

Improvements such as compression hard to integrate.

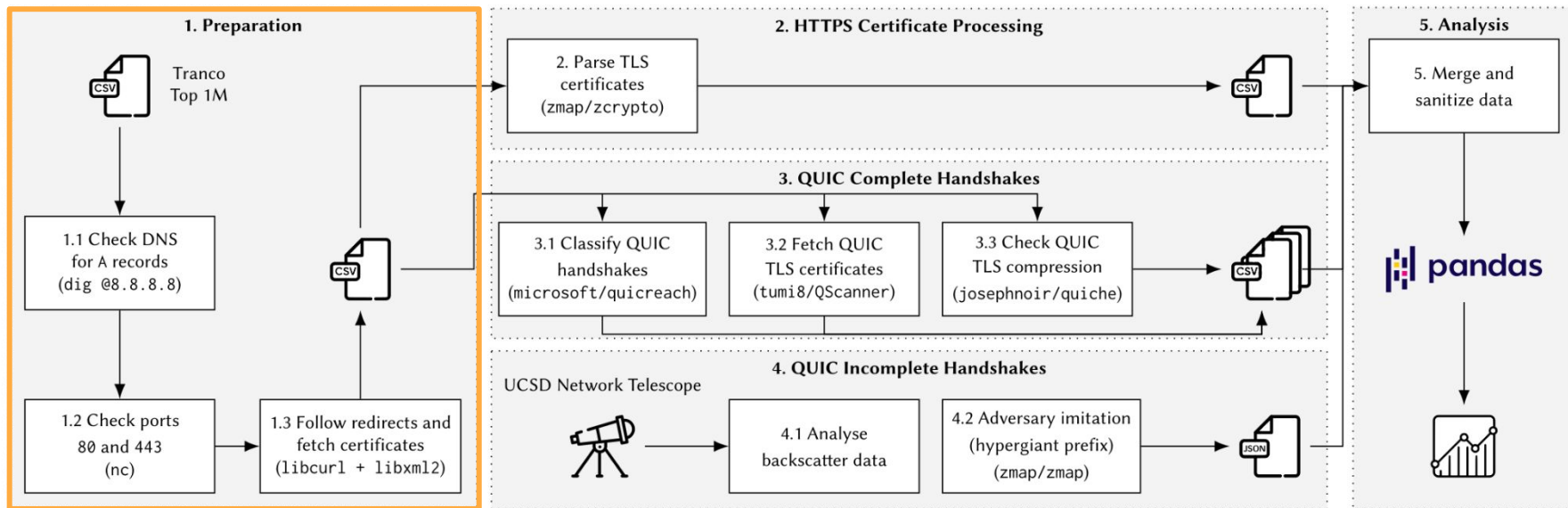
Incomplete QUIC handshakes amplify up to 45x.

Server retransmissions can lead to adverse effects.

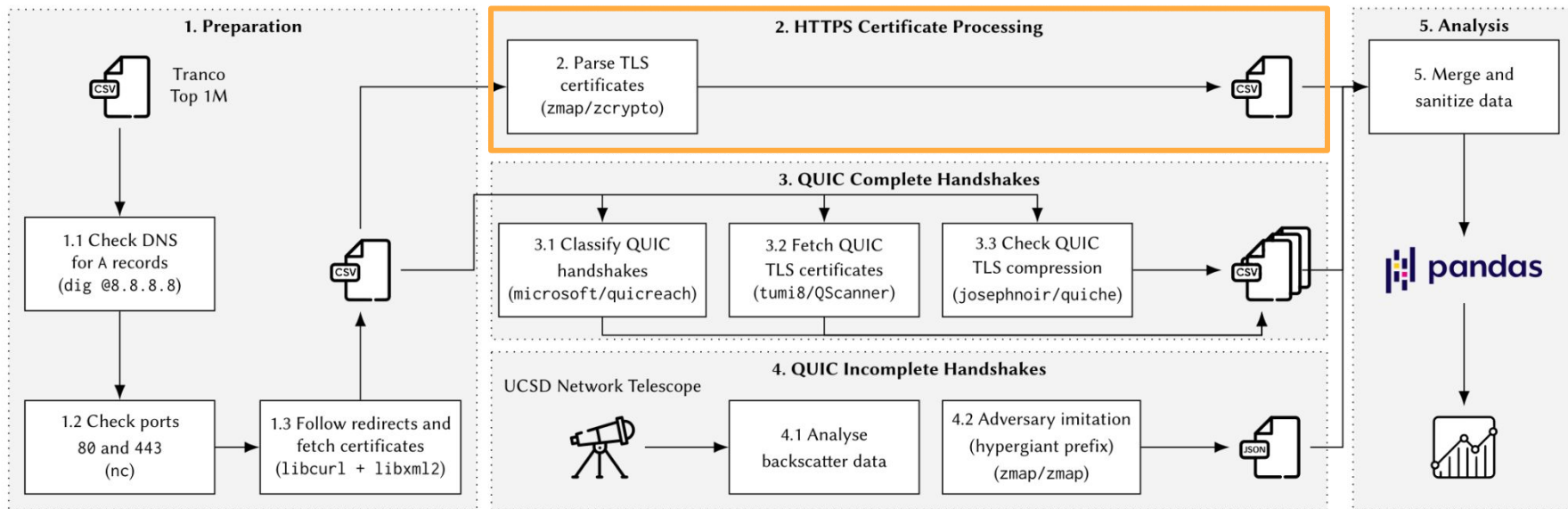
Methodology: Active scans with open-source tools.



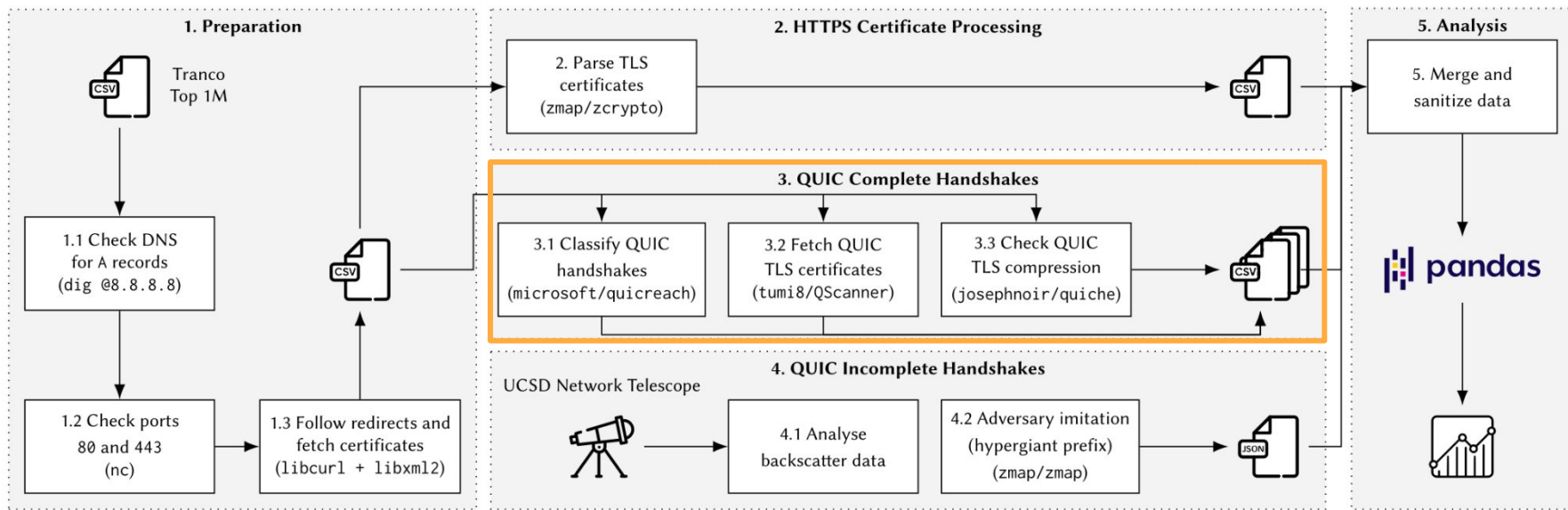
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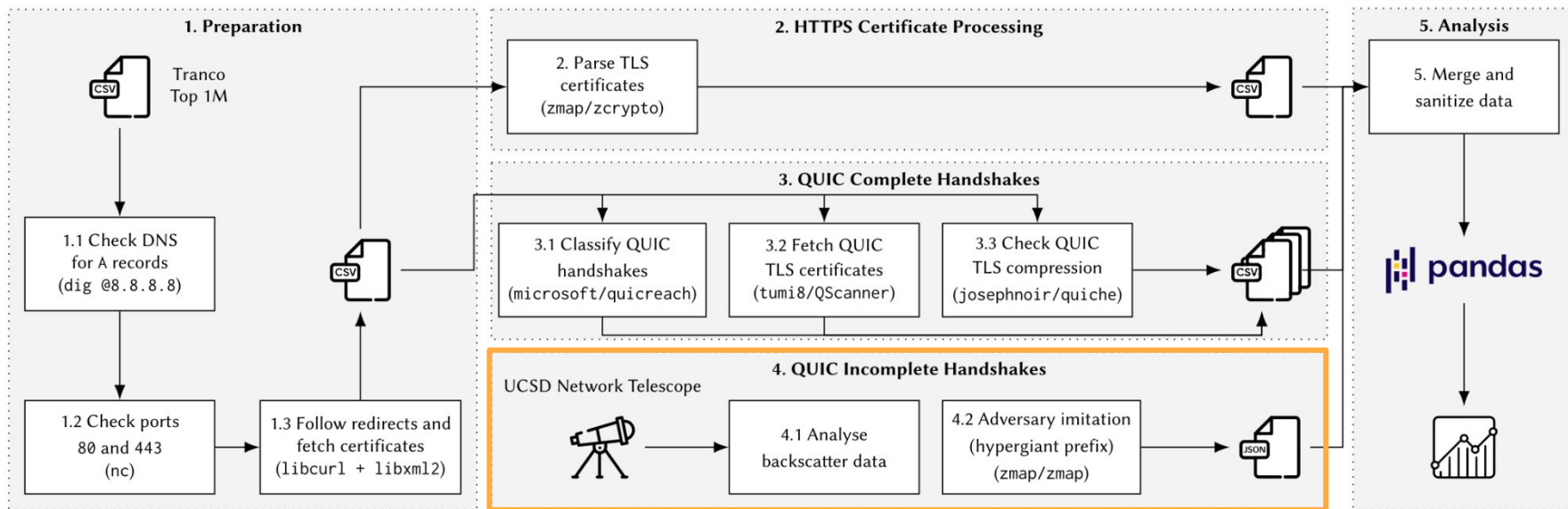


Methodology: Active scans with open-source tools.



Complete handshakes enable the assessment of real-world performance.

Methodology: Active scans with open-source tools.

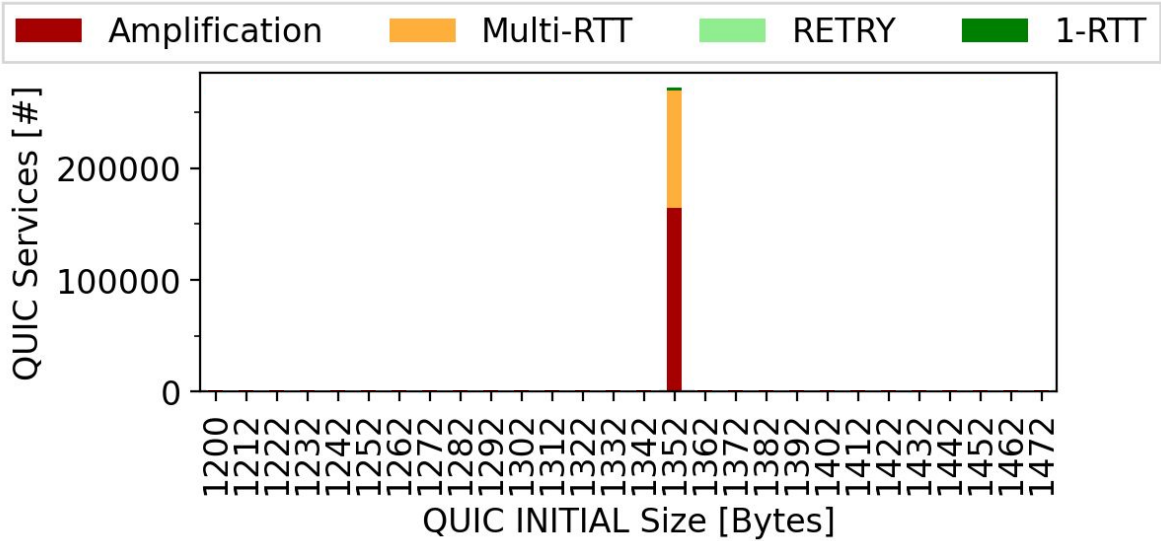


Incomplete handshakes unveil total susceptibility to reflective DDoS attacks.

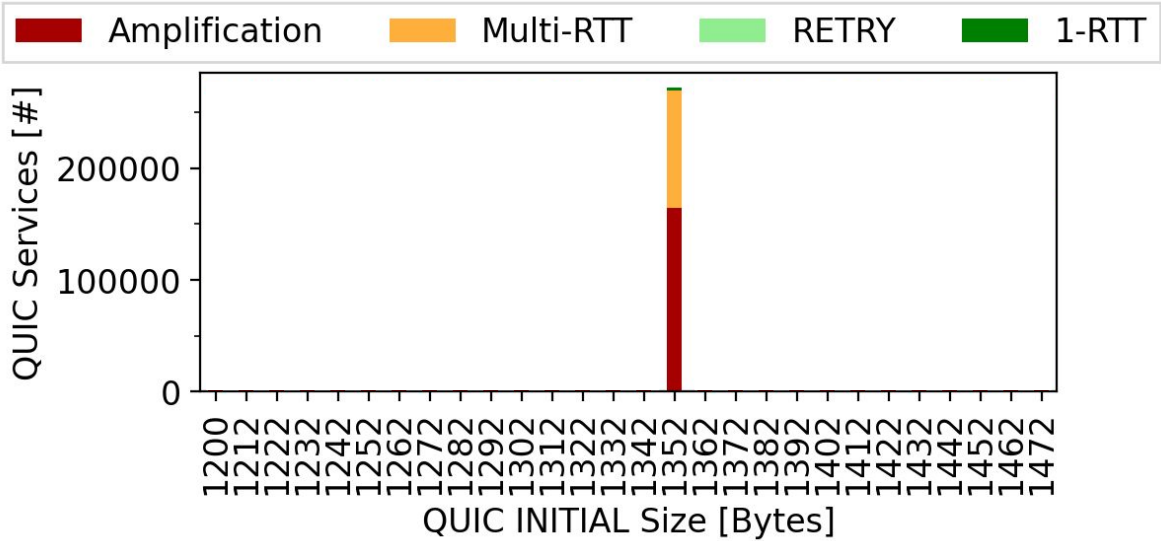
Classifying QUIC complete handshakes.

- (1) 1-RTT (**optimal**): Handshakes that complete within 1-RTT and comply with the anti-amplification limit.
- (2) RETRY (**less efficient**): Handshakes that require multiple RTTs because the Retry option is used [23, §8.1.].
- (3) Multi-RTT (**unnecessary**): Handshakes that do not use Retry but require multiple RTTs because of large certificates.
- (4) Amplification (**not RFC-compliant**): Handshakes that complete within 1-RTT but exceed the anti-amplification limit.

RFC-compliant 1-RTT handshakes are rare!



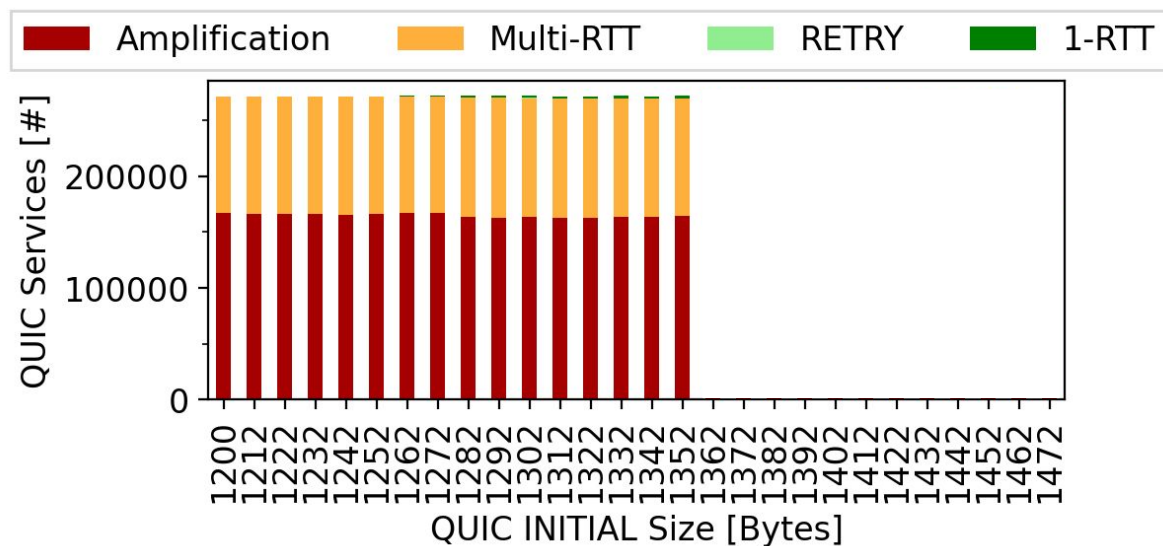
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Browser Defaults



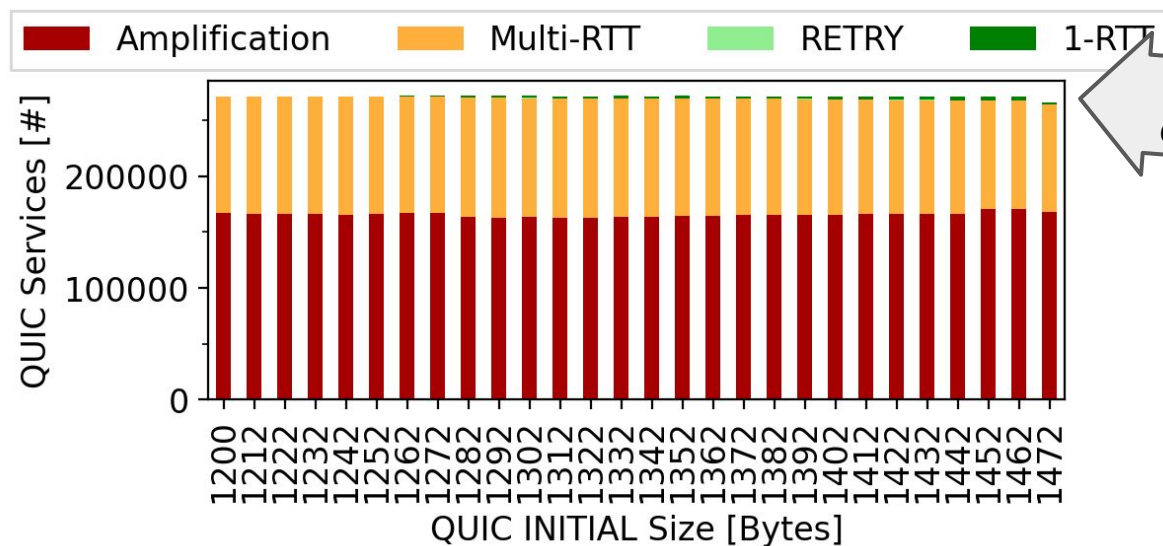
Smaller client INITIALs lead to **multiple RTTs**.



Browser Defaults



Very large client INITIALs reduce reachability.



25% of the top 1k domains unreachable!

Browser Defaults



Agenda

Hypergiants willingly ignore the anti-amplification.

This enables clients to estimate a precise RTT.

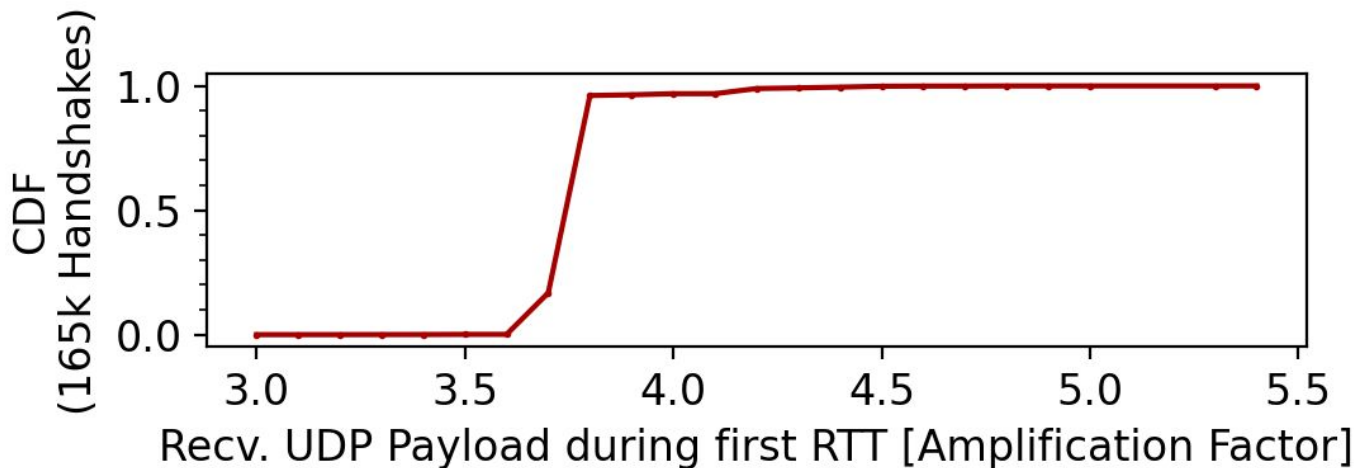
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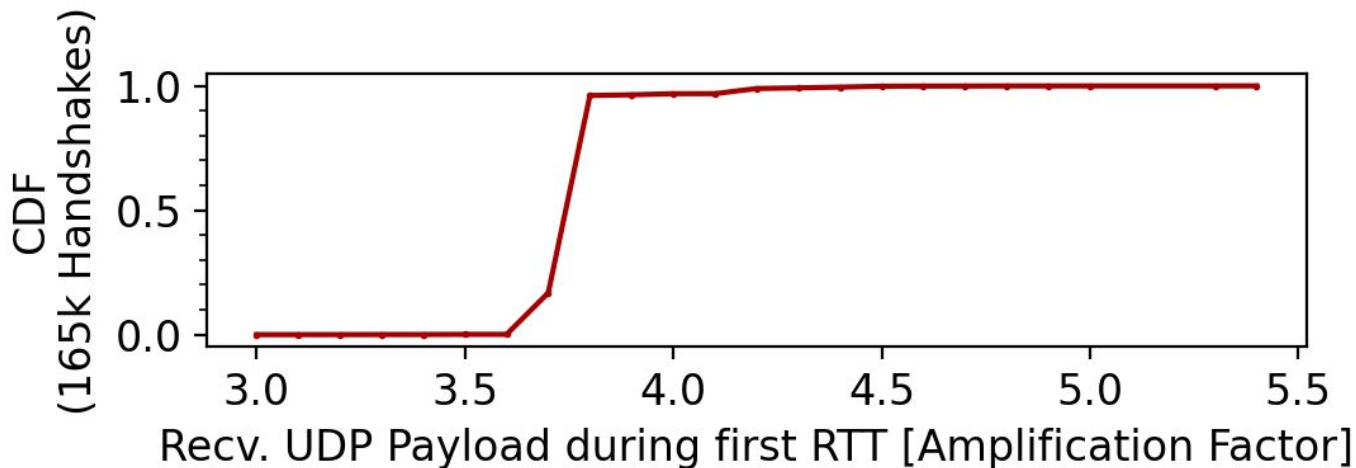
Incomplete QUIC handshakes amplify up to 45x.

Server retransmissions can lead to adverse effects.

How bad are the **amplifying** handshakes? Not bad.



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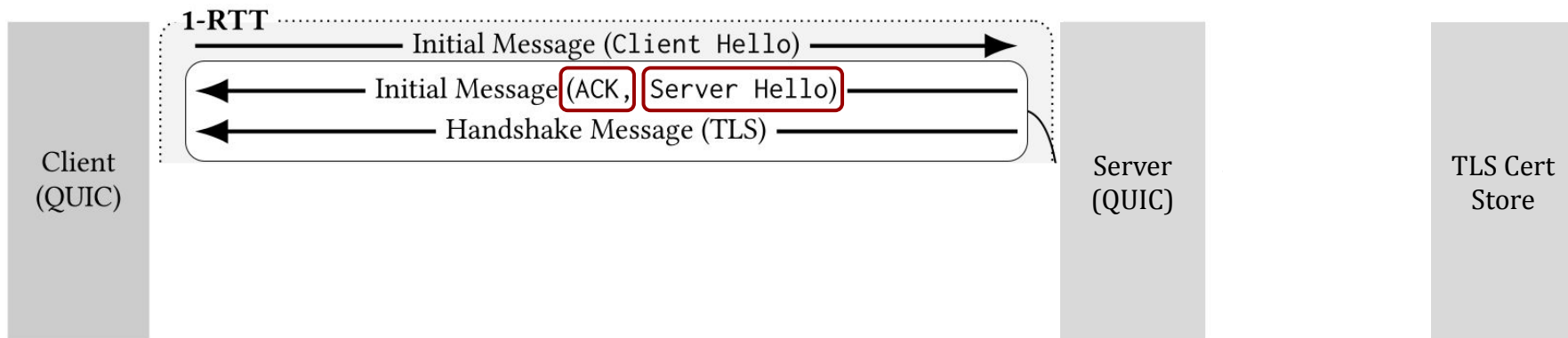


96% of the amplifying handshakes are completed with Cloudflare servers.

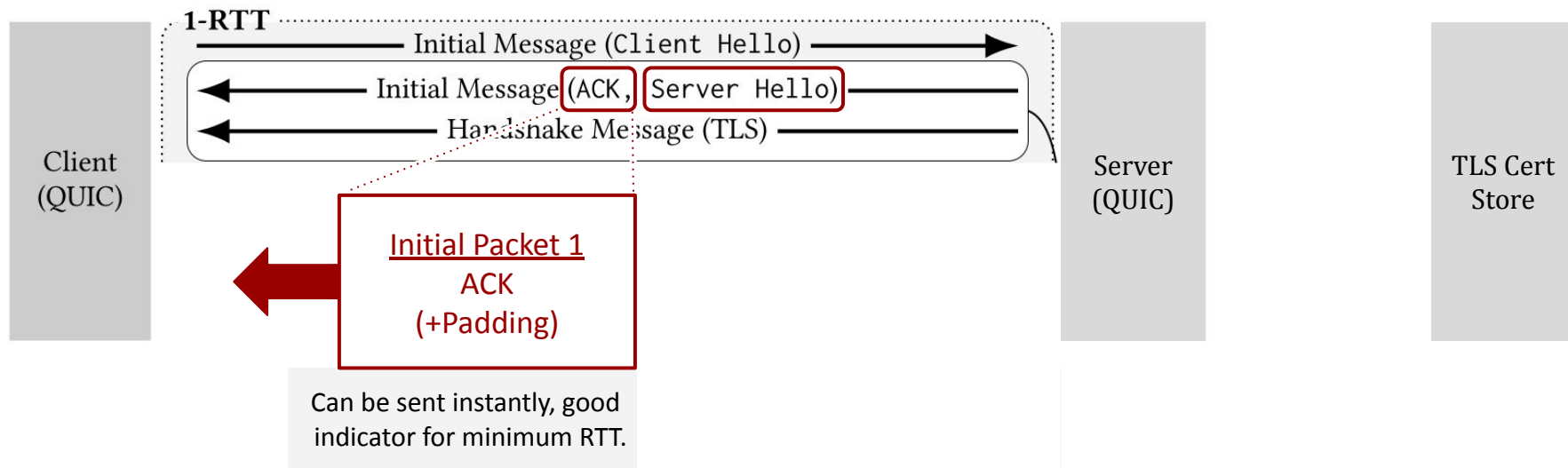
In several CDN deployments, the QUIC server can be separate from the process that has access to TLS material. This may add delay and disturb the client RTT estimation.



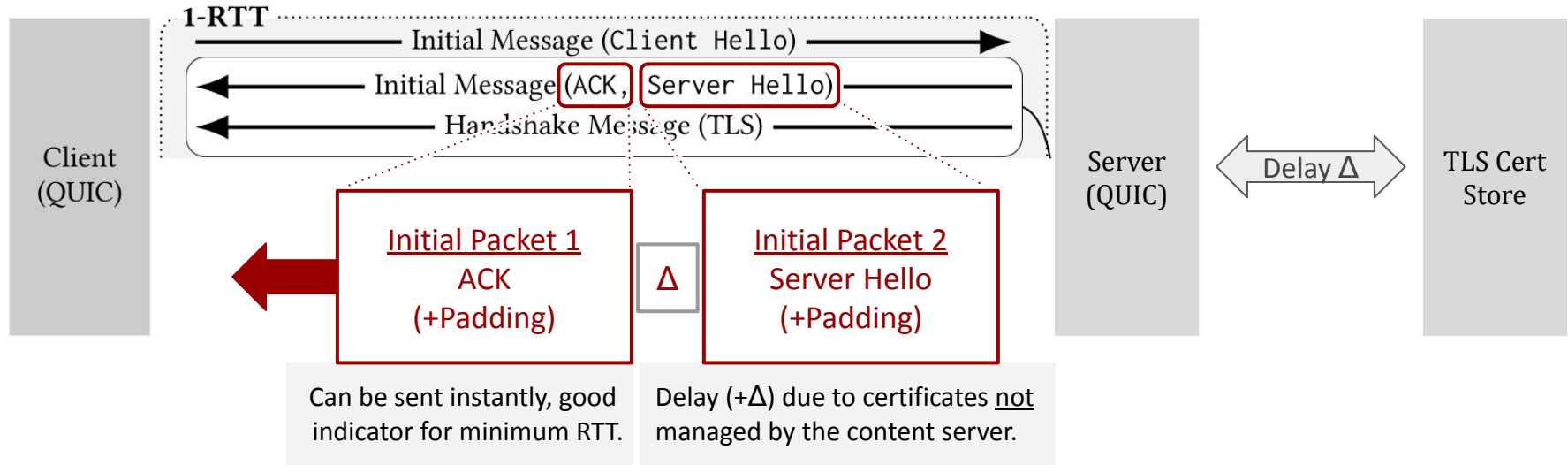
CDNs deal with this by splitting server Initials ...



CDNs deal with this by splitting server Initials ... and responding instantly only with the ACK ...



... and then retrieve and deliver the certificate.



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1-RTT

Instant ACK prevents inflated RTT estimates, which keeps Probe Timeouts low.
Padded ACK confirms that reverse path supports large packets.

Initial Packet 1

Initial Packet 2

With two padded Initials, this leads to amplification ($\approx 4x$).
Cloudflare tolerates this non-standard behavior for the sake of 1-RTT.

Agenda

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This enables clients to estimate a precise RTT.

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What causes **multiple RTTs**?

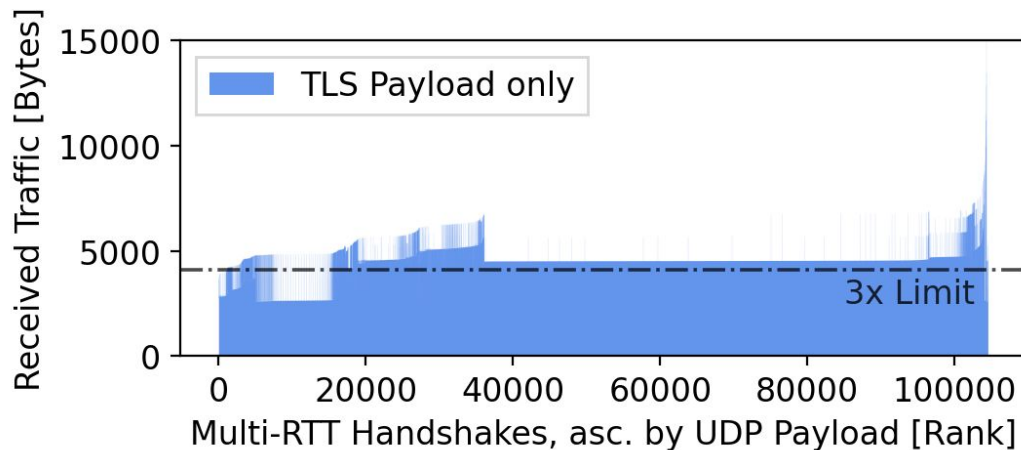
DDoS prevention
(RETRY tokens)

< 200 domains.

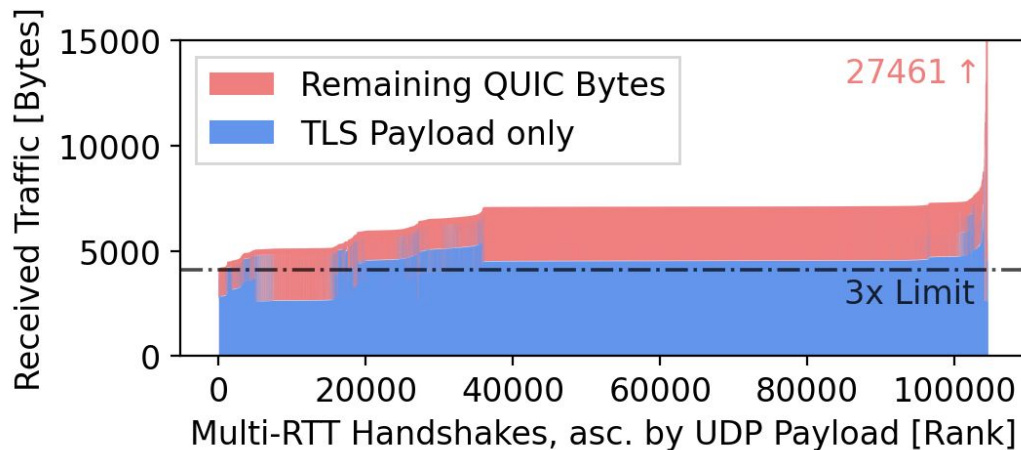
Large TLS certificates
(that challenge the 3x limit)

The majority!

For multi-RTT handshakes, TLS bytes almost always (87%) exceed the limit but padding also has a significant impact.



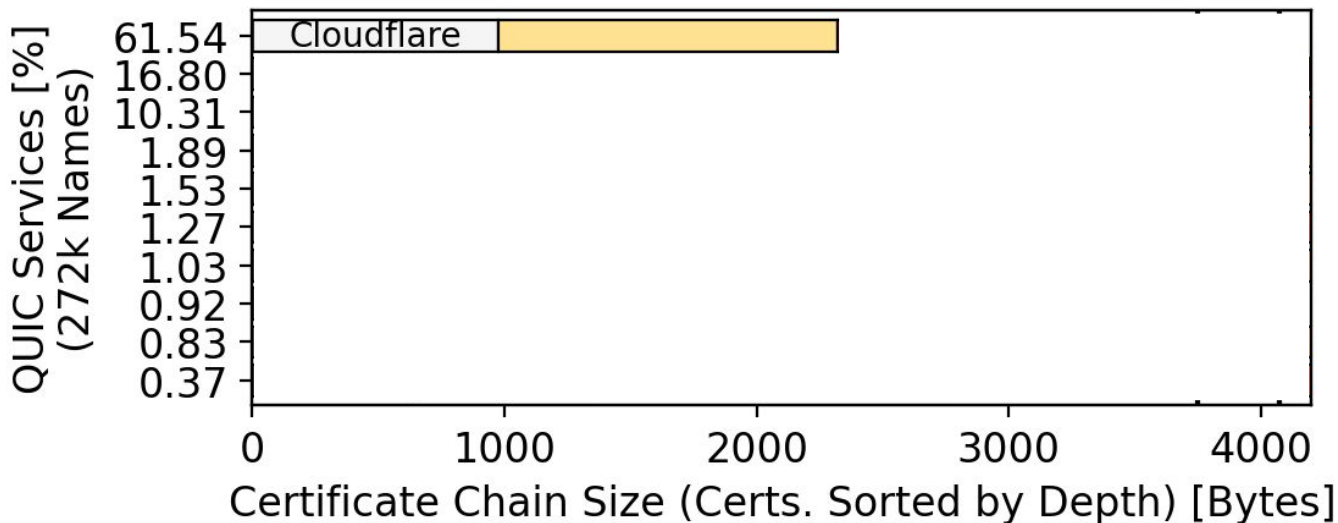
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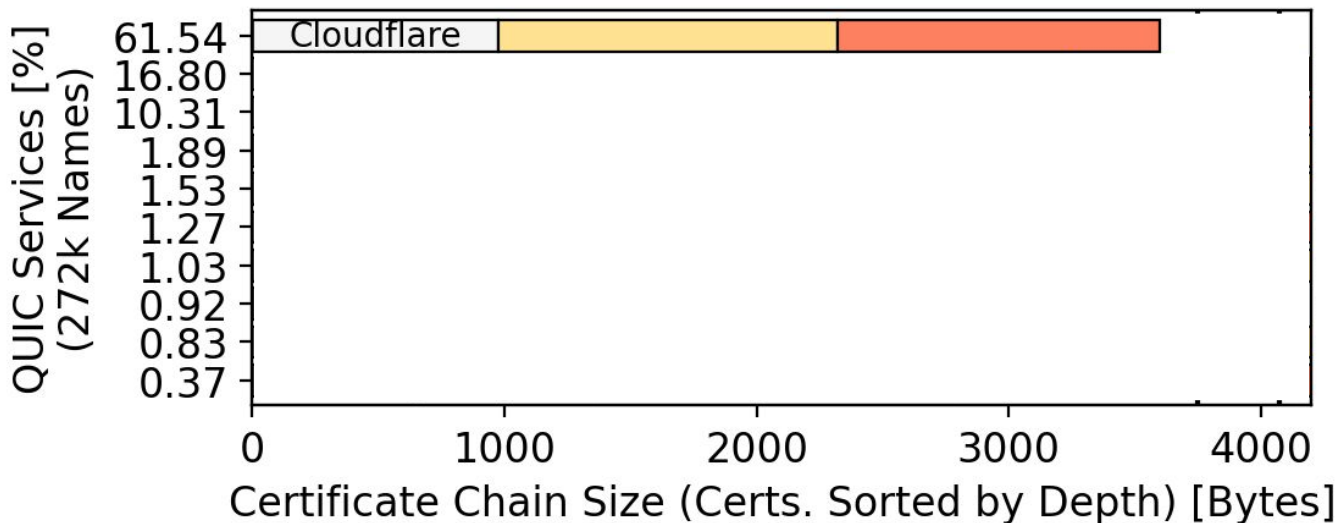
QUIC certificate chains. We look at non-leafs



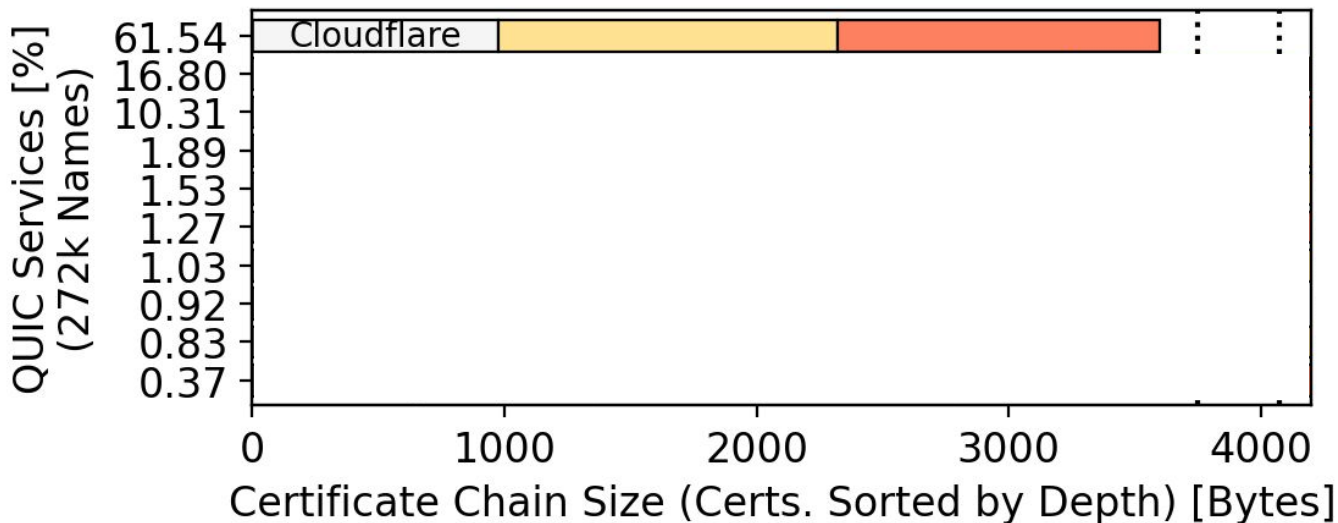
QUIC certificate chains. We look at non-leafs, median leaf sizes



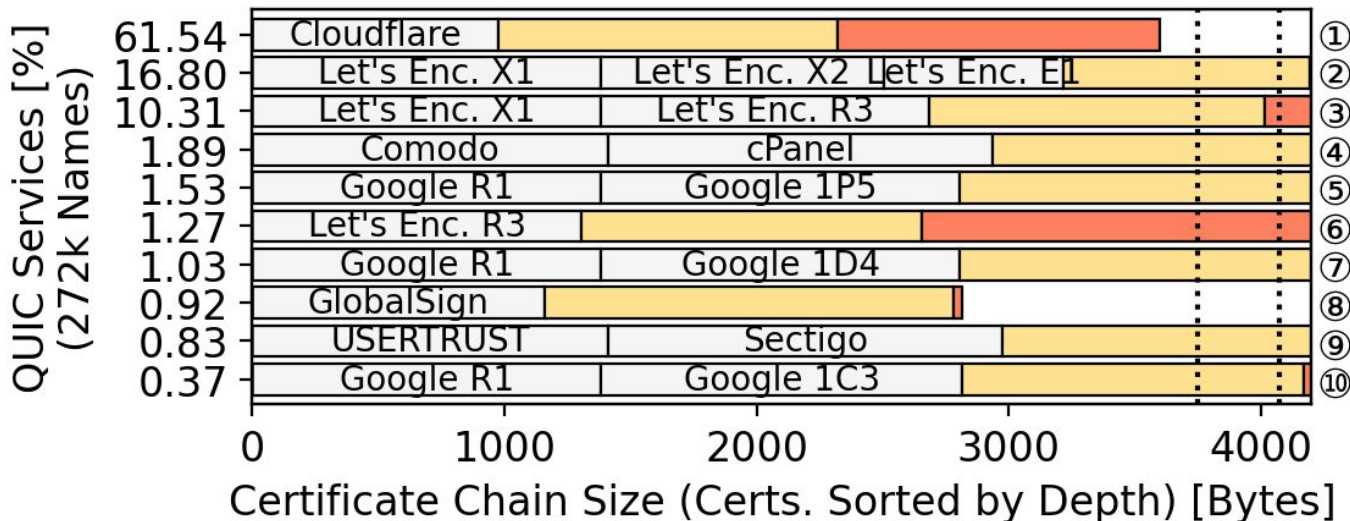
QUIC certificate chains. We look at non-leafs, median leaf sizes, extra bytes for maximum leaf



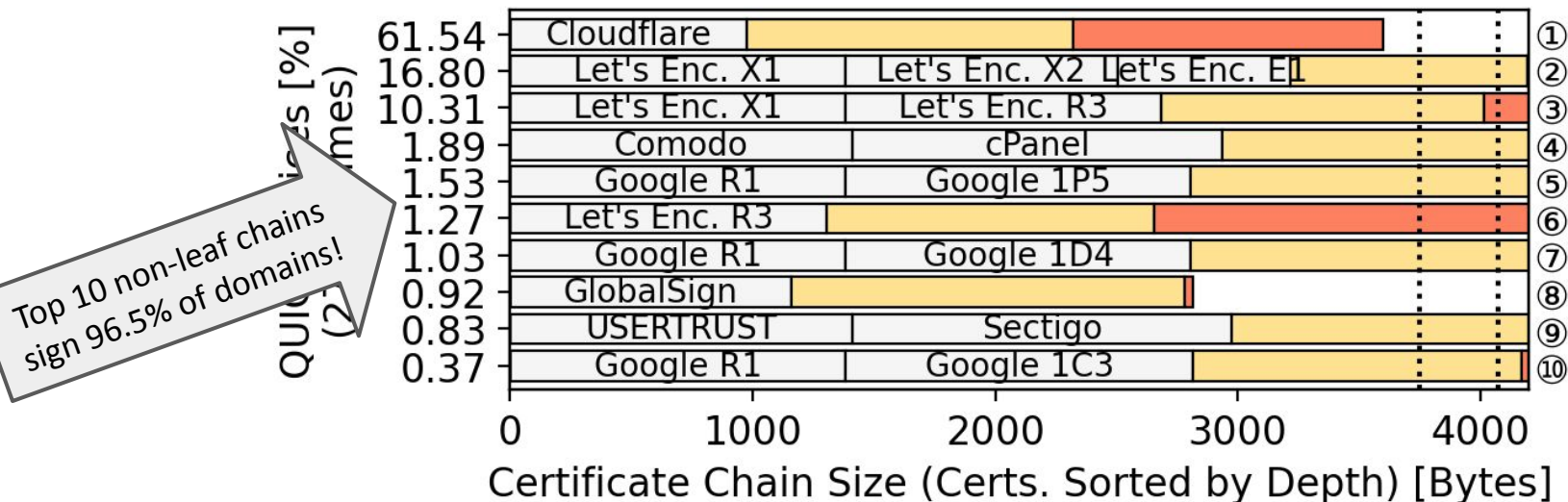
QUIC certificate chains. We look at non-leafs, median leaf sizes, extra bytes for maximum leaf, and common limits.



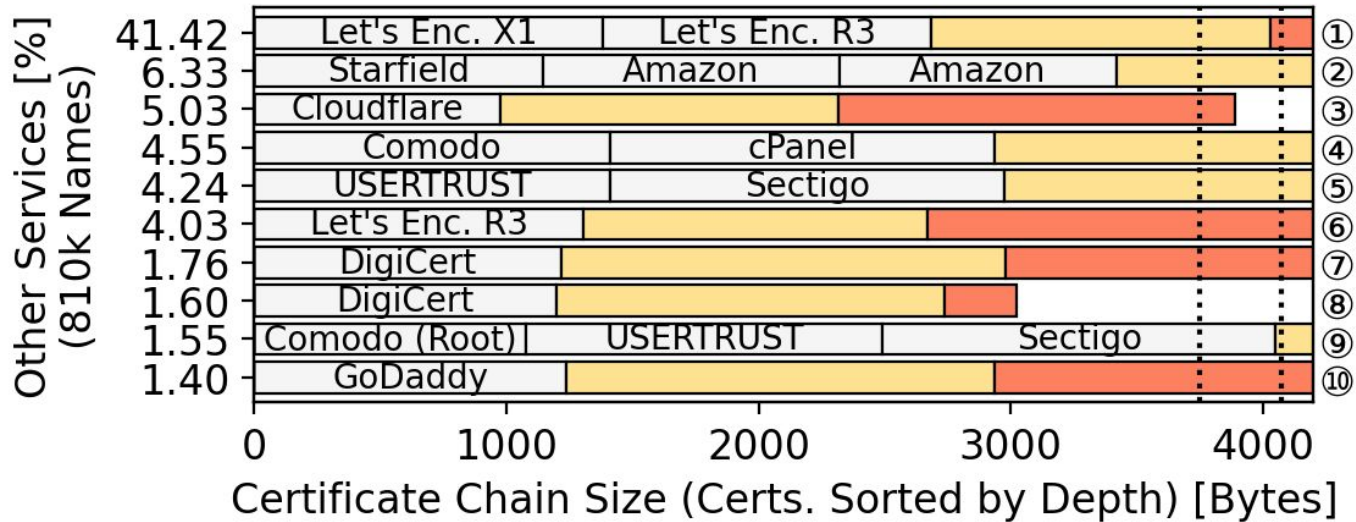
QUIC certificate chains. Median chains are likely to exceed common anti-amplification limits.



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TCP/HTTPS-only services are less consolidated but still exceed the common limits.



How to compensate for large certificates?

Updating non-leaves (RSA \rightarrow ECDSA) would have beneficial cascading effects.

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Updating non-leaves (RSA → ECDSA) would have beneficial cascading effects.

TLS certificate compression keeps 99% of data below anti-amplification limits.
Although we see high server support, clients and libraries struggle.

Agenda

Hypergiants willingly ignore the anti-amplification.

This enables clients to estimate a precise RTT.

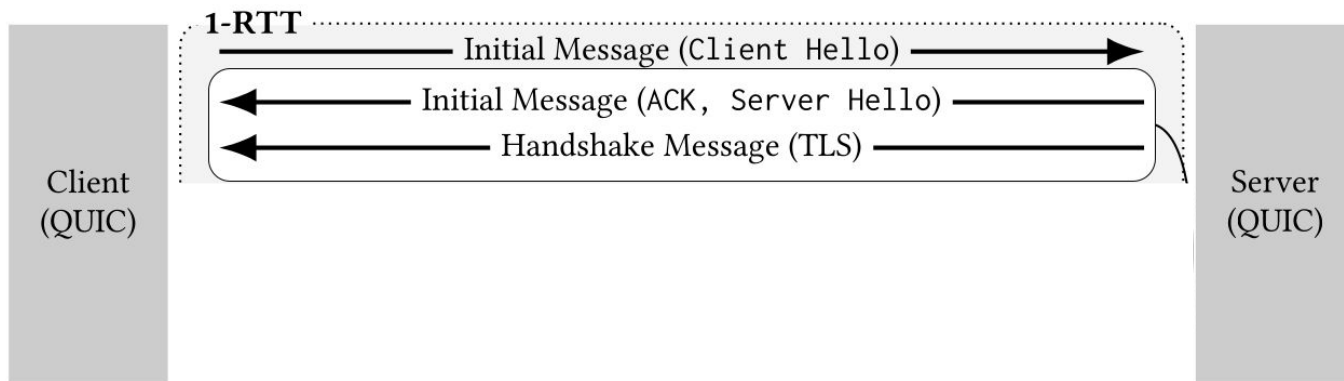
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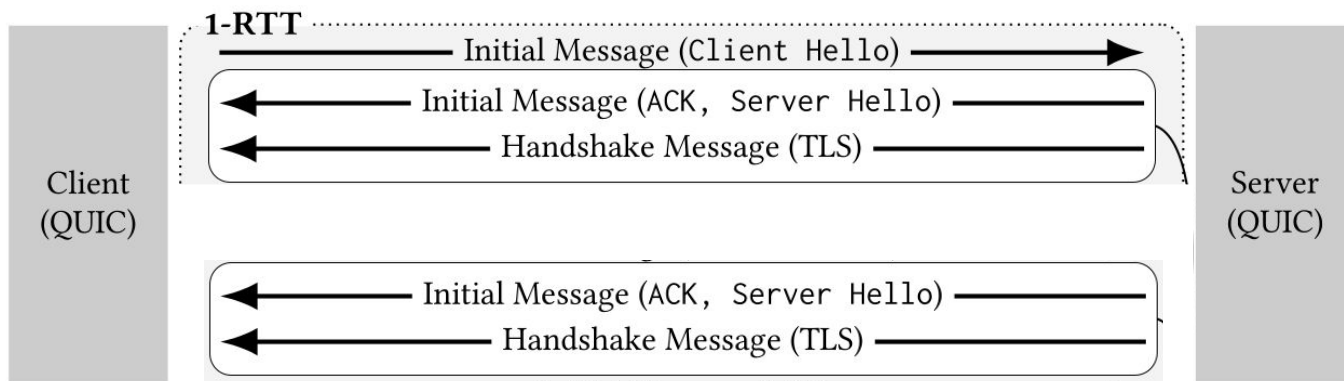
Incomplete QUIC handshakes amplify up to 45x.

Server retransmissions can lead to adverse effects.

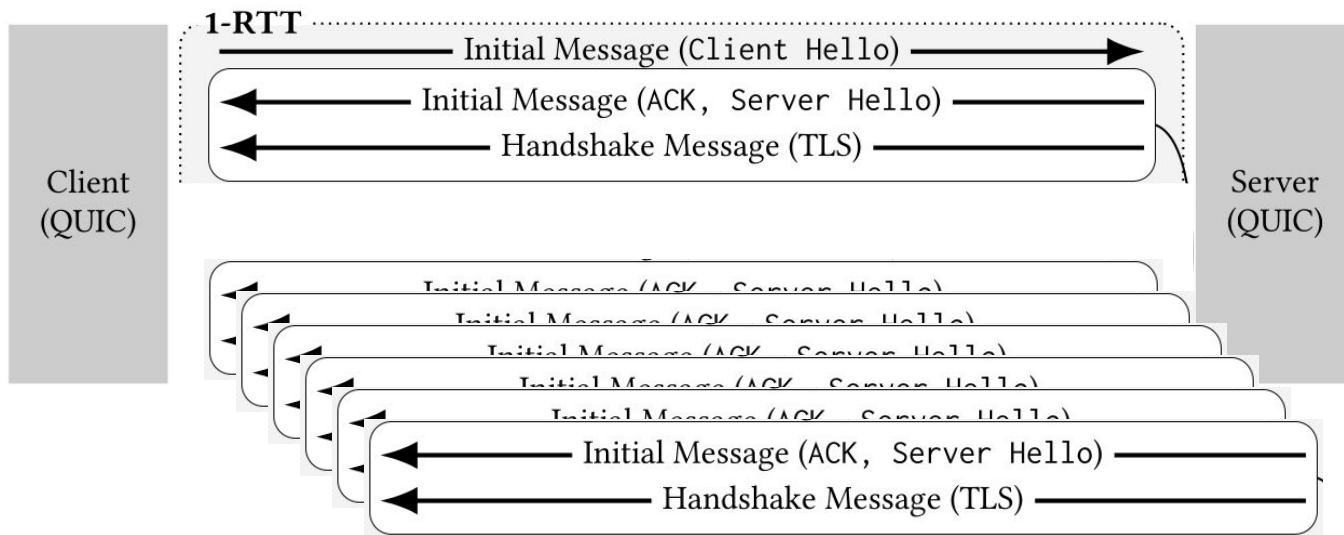
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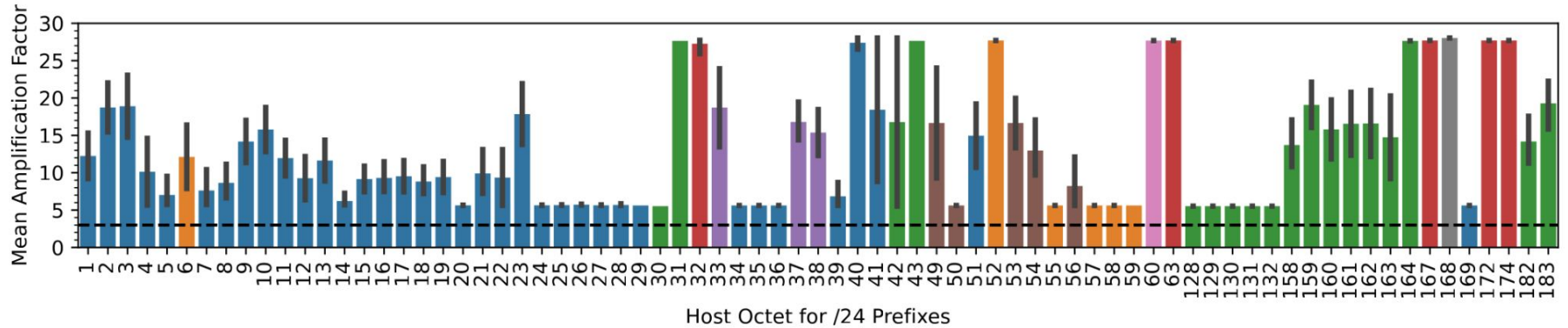
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1-RTT

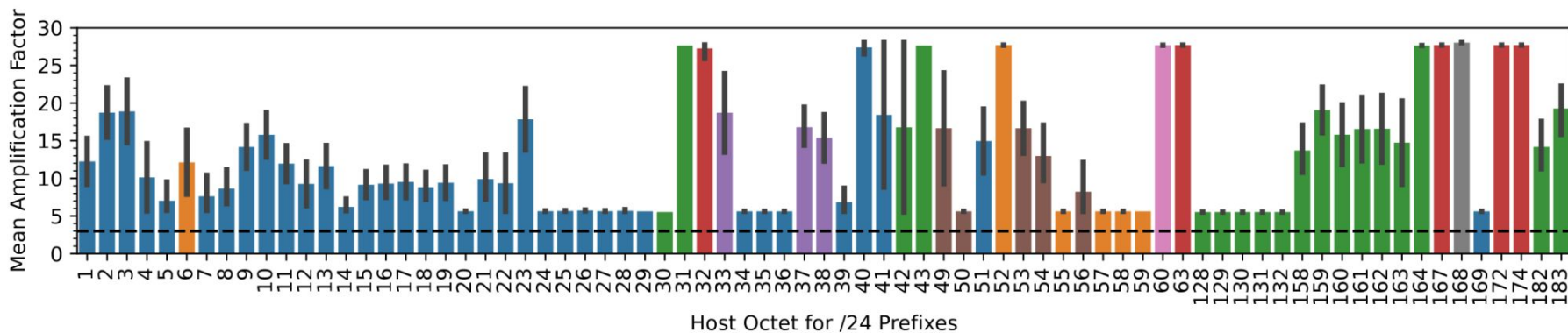
Incomplete handshakes occur during *e.g.*, reflective DDoS attacks.
Retransmissions must be restrained by the anti-amplification limit (RFC 9002).

Handshake Message (TLS)

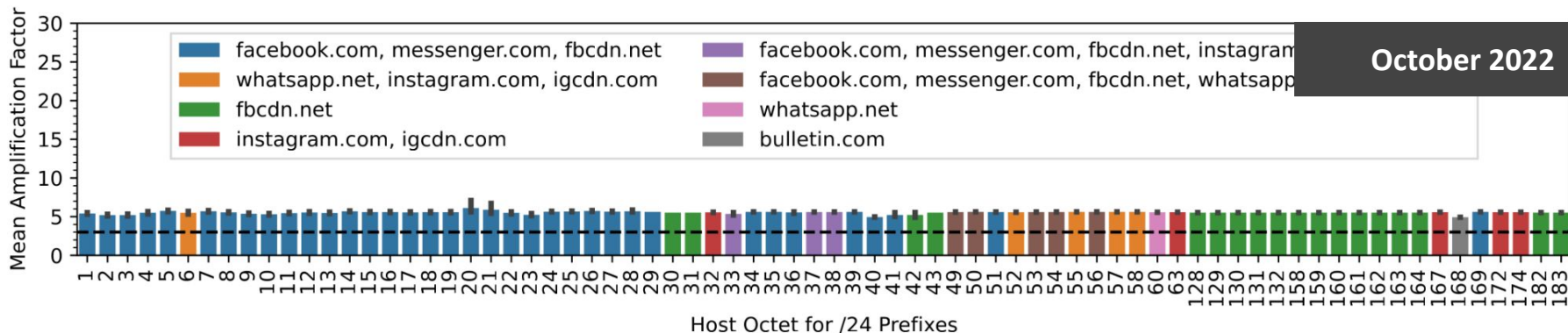
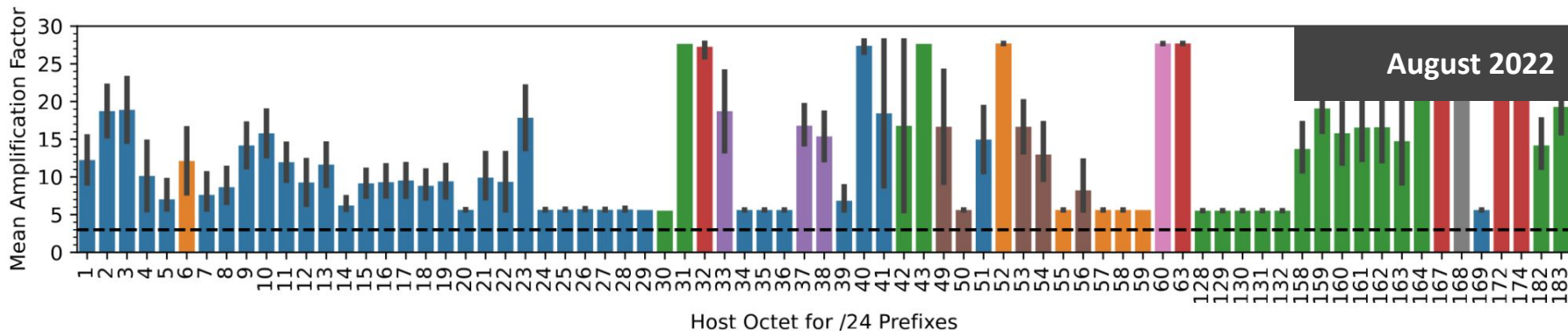
Amplification for incomplete handshakes with Meta PoPs.



Amplification factors vary across different services.



Follow-up scans show improvement, but still $> 3\times$.



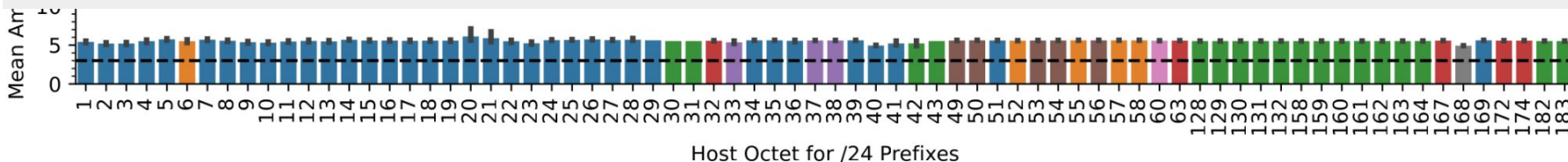
Follow-up scans show improvement, but still $>3\times$.



Large TLS data leads to large retransmits. Respecting the anti-amplification limit decreases the chances of loss correction.

Host Octet for /24 Prefixes

Open challenge: How to deal with packet loss during the QUIC connection setup in a secure but efficient way?



Conclusion

TLS Certificate Ecosystem

TLS configurations have now direct impact on transport layer performance.

ECDSA certificates lead to substantially smaller certificates chains.

Updates to non-leaf certificates would have beneficial cascading effects.

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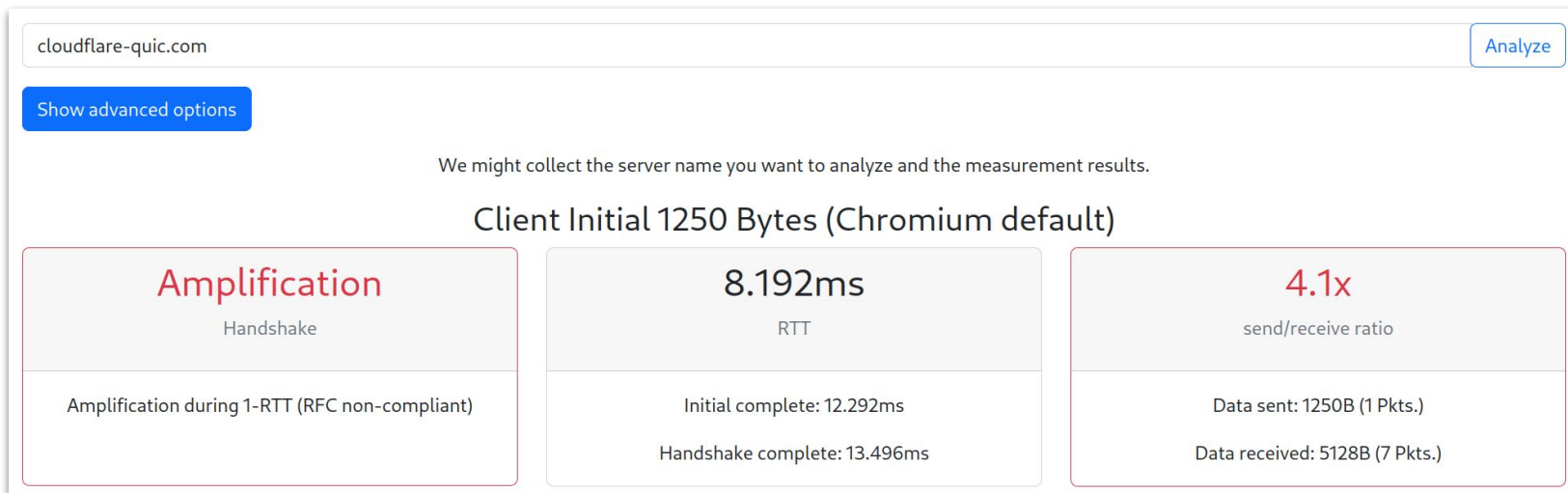
QUIC Deployments

Design goals (1-RTT, 3x anti-amplification limit) have been not met in the wild.

Trade-off during the handshake: Space efficiency (packet coalescence) vs. delay.

Padding and retransmissions significantly exacerbate the amplification factor.

QUIC Handshake Classification API (IETF 115 Hackathon)



[\[understanding-quic.net\]](https://understanding-quic.net)

Backup

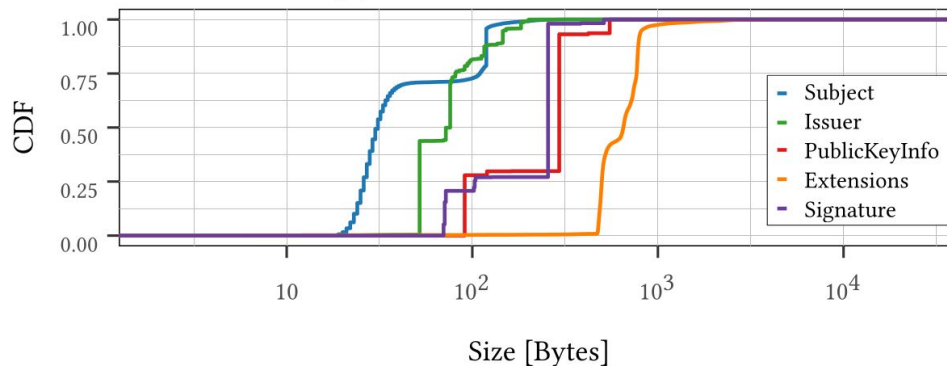


Let's make
QUIC *even*
better!

TLS certificate fields and sizes

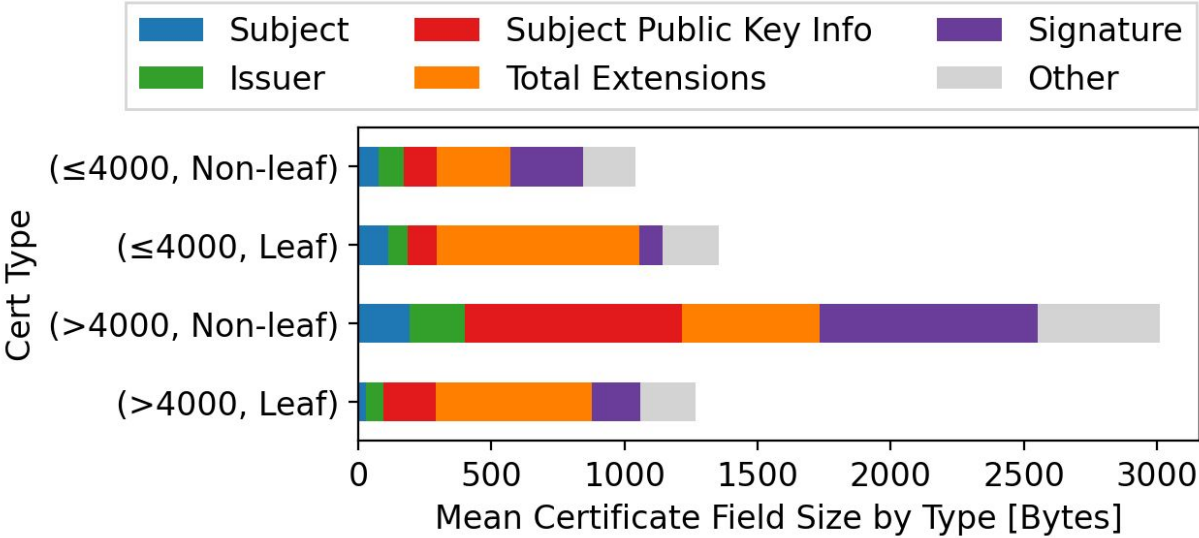
```
x509 v3 Certificate
tbsCertificate
  version: 0x02 (v3)
  serialNumber: 01:74:....:ca:7e
  signatureAlg: sha256WithRSAEncryption
  validity: 211127194412Z:221229194411Z
  issuer: C=BE, O=GlobalSign nv-sa, CN=GlobalSign Atlas R3 DV TLS CA H2 2021
  subject: CN=*.isc.org
  subjectPublicKeyInfo:
    algorithm: rsaEncryption
    subjectPublicKey: 00:a5:....:56:95
  extensions
    AuthorityKeyIdentifier:
      30:16:....:96:1f
    SubjectKeyIdentifier: 04:14:....:b7:51
    SubjectAltName: DNS:*.isc.org
  signatureAlg: sha256WithRSAEncryption
  signature: 30:45:....:e3:d6
```

(a) X.509 certificate

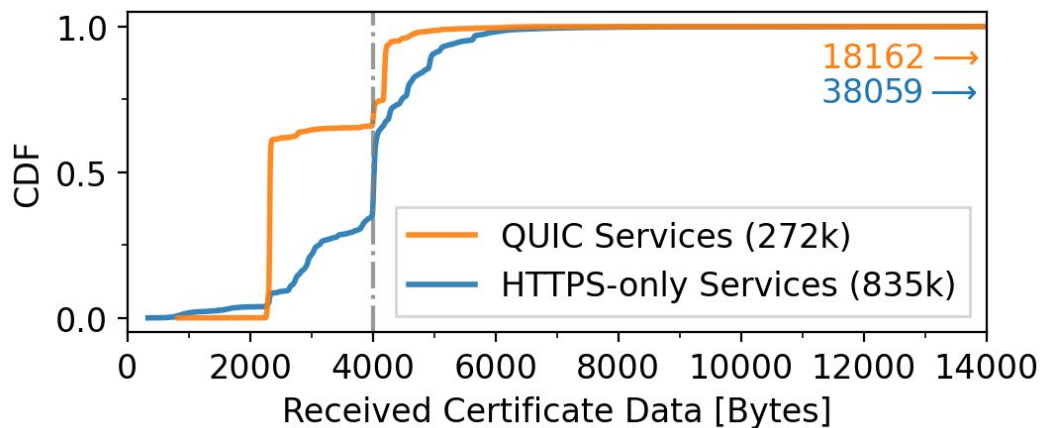


(b) Size distribution

Non-leafs contribute most bytes to large chains (QUIC).



QUIC domains use smaller certificates.



HTTPS-only domains depend heavily on RSA.

Service	Certificate	RSA		ECDSA	
		2048	4096	256	384
QUIC	Non-leaf	15.1%	22.4%	40.4%	22.1%
	Leaf	19.2%	1.4%	78.9%	0.0%
HTTPS-only	Non-leaf	63.3%	32.1%	2.7%	1.6%
	Leaf	81.4%	8.1%	7.8%	1.9%

Client Initial sizes and TLS compression of web browsers.

Browser	Version	Init. Size [Bytes]	Compression		
			Algorithm ³	Rate ⁴	Services ⁵
Firefox	101.x	1357	–	–	–
Chromium-based ¹	105.x	1250 ²	brtli	73%	96%
Safari (macOS)	15.5	no QUIC	zlib	74%	0.05%
			zstd	72%	0.05%

¹ Chrome 102.x, Brave V1.39, Vivaldi 5.3.x, Edge 102.x, Opera 88.0.x.

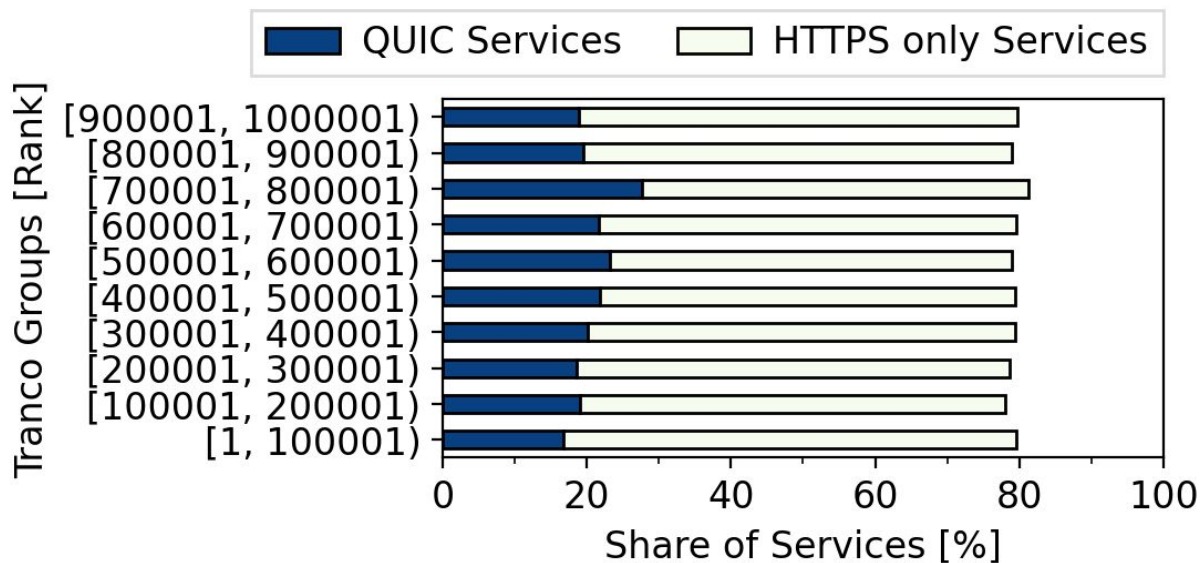
² Recently reduced from 1350 [13]. ³ Tested with TLS 1.3 in TCP.

⁴ Mean rate observed by our Quiche client. ⁵ Out of 272k QUIC services.

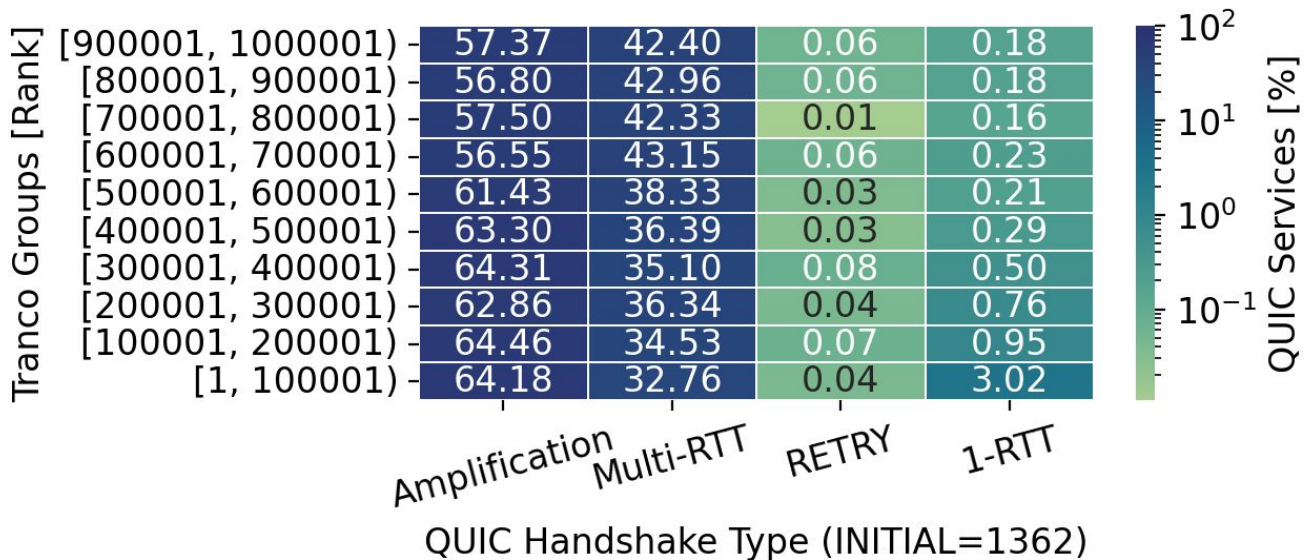
Anti-amplification limit in the IETF QUIC Internet drafts.

IETF Spec	Date	Proposed Limit
Draft 09	01/2018	“A server MAY send a CONNECTION_CLOSE frame with error code PROTOCOL_VIOLATION in response to an Initial packet smaller than 1200 octets.”
Draft 10 – 12	03/2018 – 05/2018	“Servers MUST NOT send more than three Handshake packets without receiving a packet from a verified source address.”
Draft 13 – 14	06/2018 – 08/2018	“Servers MUST NOT send more than three datagrams including Initial and Handshake packets without receiving a packet from a verified source address.”
Draft 15 – 32	10/2018 – 10/2020	“Servers MUST NOT send more than three times as many bytes as the number of bytes received prior to verifying the client’s address.”
Draft 33 – 34, RFC 9000	12/2020 – 01/2021, 05/2021	“[...] an endpoint MUST limit the amount of data it sends to the unvalidated address to three times the amount of data received from that address.”

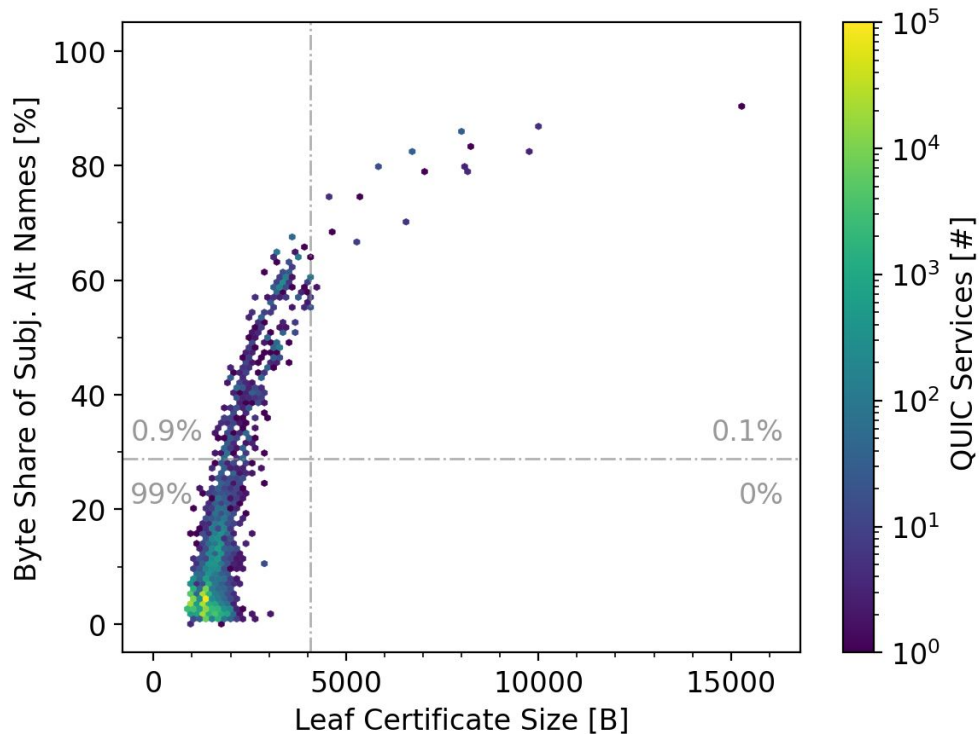
QUIC and HTTPS deployment rates are stable across rank groups.



Handshake types are mostly stable across rank groups.



Cruise-liner certificates are rare for QUIC services.



Telescopes passively observe incomplete handshakes.
Especially Meta fails to comply with the limit.

