

GEOPRIV
Internet-Draft
Updates: 3693, 3694
(if approved)
Intended status: BCP
Expires: April 14, 2011

R. Barnes
M. Lepinski
BBN Technologies
A. Cooper
J. Morris
Center for Democracy &
Technology
H. Tschofenig
Nokia Siemens Networks
H. Schulzrinne
Columbia University
October 11, 2010

An Architecture for Location and Location Privacy in Internet
Applications
draft-ietf-geopriv-arch-03

Abstract

Location-based services (such as navigation applications, emergency services, management of equipment in the field) need geographic location information about Internet hosts, their users, and other related entities. These applications need to securely gather and transfer location information for location services, and at the same time protect the privacy of the individuals involved. This document describes an architecture for privacy-preserving location-based services in the Internet, focusing on authorization, security, and privacy requirements for the data formats and protocols used by these services.

Status of this Memo

This Internet-Draft is submitted in full conformance with the provisions of BCP 78 and BCP 79.

Internet-Drafts are working documents of the Internet Engineering Task Force (IETF). Note that other groups may also distribute working documents as Internet-Drafts. The list of current Internet-Drafts is at <http://datatracker.ietf.org/drafts/current/>.

Internet-Drafts are draft documents valid for a maximum of six months and may be updated, replaced, or obsoleted by other documents at any time. It is inappropriate to use Internet-Drafts as reference material or to cite them other than as "work in progress."

This Internet-Draft will expire on April 14, 2011.

Copyright Notice

Copyright (c) 2010 IETF Trust and the persons identified as the document authors. All rights reserved.

This document is subject to BCP 78 and the IETF Trust's Legal Provisions Relating to IETF Documents (<http://trustee.ietf.org/license-info>) in effect on the date of publication of this document. Please review these documents carefully, as they describe your rights and restrictions with respect to this document. Code Components extracted from this document must include Simplified BSD License text as described in Section 4.e of the Trust Legal Provisions and are provided without warranty as described in the Simplified BSD License.

Table of Contents

1.	Introduction	4
1.1.	Binding Rules to Data	4
1.2.	Location-Specific Privacy Risks	5
1.3.	Privacy Paradigms	6
2.	Terminology Conventions	7
3.	Overview of the Architecture	7
3.1.	Basic Geopriv Scenario	8
3.2.	Roles and Data Formats	10
4.	The Location Life-Cycle	13
4.1.	Positioning	14
4.1.1.	Determination Mechanisms and Protocols	14
4.1.2.	Privacy Considerations for Positioning	16
4.1.3.	Security Considerations for Positioning	17
4.2.	Location Distribution	17
4.2.1.	Privacy Rules	18
4.2.2.	Location Configuration	20
4.2.3.	Location References	20
4.2.4.	Privacy Considerations for Distribution	21
4.2.5.	Security Considerations for Distribution	23
4.3.	Location Use	24
4.3.1.	Privacy Considerations for Use	24
4.3.2.	Security Considerations for Use	24
5.	Security Considerations	25
6.	Example Scenarios	27
6.1.	Minimal Scenario	27
6.2.	Location-based Web Services	28
6.3.	Emergency Calling	30
6.4.	Combination of Services	32
7.	Glossary	34
8.	Acknowledgements	37
9.	IANA Considerations	37
10.	References	37
10.1.	Normative References	37
10.2.	Informative References	37
	Authors' Addresses	39

1. Introduction

Location-based services (applications that require information about the geographic location of an individual or device) are becoming increasingly common on the Internet. Navigation and direction services, emergency services, friend finders, management of equipment in the field and many other applications require geographic location information about Internet hosts, their users, and other related entities. As the accuracy of location information improves and the expense of calculating and obtaining it declines, the distribution and use of location information in Internet-based services will likely become increasingly pervasive. Ensuring that location information is transmitted and accessed in a secure and privacy-protective way is essential to the future success of these services, as well as the minimization of the privacy harms that could flow from their wide deployment and use.

Standards for communicating location information over the Internet have an important role to play in providing a technical basis for privacy and security protection. This document describes a standardized privacy- and security-focused architecture for location-based services in the Internet: the Geopriv architecture. The central component of the Geopriv architecture is the location object, which is used to convey both location information about an individual or device and user-specified privacy rules governing that location information. As location information moves through its life cycle -- positioning, distribution, and use by its ultimate recipient(s) -- Geopriv provides mechanisms to secure the integrity and confidentiality of location objects and to ensure that location information is only transmitted in compliance with the user's privacy rules.

The goals of this document are two-fold: First, the architecture described revises and expands on the basic Geopriv Requirements [2][3], in order to clarify how these privacy concerns and the Geopriv architecture apply to use cases that have arisen since the publication of those documents. Second, this document provides a general introduction to Geopriv and Internet location-based services, and is useful as a good first document for readers new to Geopriv.

1.1. Binding Rules to Data

A central feature of the Geopriv architecture is that location information is always bound to privacy rules to ensure that entities that receive location are informed of how they may use it. These rules can convey simple directives ("do not share my location with others"), or more robust preferences ("allow my spouse to know my exact location all of the time, but only allow my boss to know it

during work hours"). By creating a structure to convey the user's preferences along with location information, the likelihood that those preferences will be honored necessarily increases. In particular, no recipient of the location information can disavow knowledge of users' preferences for how their location may be used. The binding of privacy rules to location information can convey users' desire for and expectations of privacy, which in turn helps to bolster social and legal systems' protection of those expectations.

Binding of usage rules to sensitive information is a common way of protecting information. Several emerging schemes for expressing copyright information provide for rules to be transmitted together with copyrighted works. The Creative Commons [28] model is the most prominent example, allowing an owner of a work to set four types of rules ("Attribution," "Noncommercial," "No Derivative Works" and "ShareAlike") governing the subsequent use of the work. After the author sets these rules, the rules are conveyed together with the work itself, so that every recipient is aware of the copyright terms.

Classification systems for controlling sensitive documents within an organization are another example. In these systems, when a document is created, it is marked with a classification such as "SECRET" or "PROPRIETARY." Each recipient of the document knows from this marking that the document should only be shared with other people who are authorized to access documents with that marking. Classification markings can also convey other sorts of rules, such as a specification for how long the marking is valid (a declassification date). The United States Department of Defense guidelines for classification [4] provide one example.

1.2. Location-Specific Privacy Risks

While location-based services raise some privacy concerns that are common to all forms of personal information, many of them are heightened and others are uniquely applicable in the context of location information.

Location information is frequently generated on or by mobile devices. Because individuals often carry their mobile devices with them, location data may be collected everywhere and at any time, often without user interaction, and it may potentially describe both what a person is doing and where he or she is doing it. For example, location data can reveal the fact that an individual was at a particular medical clinic at a particular time. The ubiquity of location information may also increase the risks of stalking and domestic violence if perpetrators are able to use (or abuse) location-based services to gain access to location information about their victims.

Location information is also of particular interest to governments and law enforcers around the world. The existence of detailed records of individuals' movements should not automatically facilitate the ability for governments to track their citizens, but in some jurisdictions, laws dictating what government agents must do to obtain location data are either non-existent or out-of-date.

1.3. Privacy Paradigms

Traditionally, the extent to which data about individuals enjoys privacy protections on the Internet has largely been decided by the recipients of the data. Internet users may or may not be aware of the privacy practices of the entities with whom they share data. Even if they are aware, they have generally been limited to making a binary choice between sharing data with a particular entity or not sharing it. Internet users have not historically been granted the opportunity to express their own privacy preferences to the recipients of their data and to have those preferences honored.

This paradigm is problematic because the interests of data recipients are often not aligned with the interests of data subjects. While both parties may agree that data should be collected, used, disclosed and retained as necessary to deliver a particular service to the data subject, they may not agree about how the data should otherwise be used. For example, an Internet user may gladly provide his email address on a Web site to receive a newsletter, but he may not want the Web site to share his email address with marketers, whereas the Web site may profit from such sharing. Neither providing the address for both purposes nor deciding not to provide it is an optimal option from the Internet user's perspective.

The Geopriv model departs from this paradigm for privacy protection. As explained above, location information can be uniquely sensitive. And as siloed location-based services emerge and proliferate, they increasingly require standardized protocols for communicating location information between services and entities. Recognizing both of these dynamics, Geopriv gives data subjects the ability to express their choices with respect to their own location information, rather than allowing the recipients of the information to define how it will be used. The combination of heightened privacy risk and the need for standardization compelled the Geopriv designers to shift away from the prevailing Internet privacy model, instead empowering users to express their privacy preferences about the use of their location information.

Geopriv does not, by itself, provide technical means through which it can be guaranteed that users' location privacy rules will be honored by recipients. The privacy protections in the Geopriv architecture

are largely provided by virtue of the fact that recipients of location are informed of relevant privacy rules, and are expected to only use location in accordance with those rules. The distributed nature of the architecture inherently limits the degree to which compliance can be guaranteed and verified by technical means. Section 5 describes how some security mechanisms can address this to a limited extent.

By binding privacy rules to location information, however, Geopriv provides valuable information about users' privacy preferences, so that non-technical forces such as legal contracts, governmental consumer protection authorities, and marketplace feedback can better enforce those privacy preferences. If a commercial recipient of location information, for example, violates the location rules bound to the information, the recipient can in a growing number of countries be charged with violating consumer or data protection laws. In the absence of a binding of rules with location information, consumer protection authorities would be less able to protect individuals whose location information has been abused.

2. Terminology Conventions

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in RFC 2119 [1].

3. Overview of the Architecture

This section provides an overview of the Geopriv architecture for the secure and private distribution of location information on the Internet. We describe the three phases of the "location life cycle" -- positioning, distribution and use -- and discuss how the components of the architecture fit within each phase. The next section provides additional detail about how each phase can be achieved in a private and secure manner.

The risks discussed in the previous section all arise from unauthorized disclosure or usage of location information. Thus, the Geopriv architecture has two fundamental privacy goals:

1. Ensure that location information is distributed only to authorized entities, and
2. Provide information to those entities about how they are authorized to use the location information.

If these two goals are met, all parties that receive location information will also receive directives about how they can use that information. Privacy-preserving entities will only engage in authorized uses, and entities that violate privacy will do so knowingly, since they have been informed of what is authorized (and thus, implicitly, of what is not).

Privacy rules and their distribution are thus the central technical components of the privacy system, since they inform location recipients about how they are authorized to use that information. The two goals in the preceding paragraph are enabled by two classes of rules:

1. Access control rules: Rules that describe which entities may receive location information and in what form
2. Usage rules: Rules that describe what uses of location information are authorized

Within this framework for privacy, security mechanisms provide support for the application of privacy rules. For example, authentication mechanisms validate the identities of entities requesting location (so that authorization and access-control policies can be applied), and confidentiality mechanisms protect location information en route between privacy-preserving entities. Security mechanisms can also provide assurances that are outside the purview of privacy by, for example, assuring location recipients that location information has been faithfully transmitted to them by its creator.

3.1. Basic Geopriv Scenario

As location information is transmitted among Internet hosts, it goes through a "location life-cycle": first, the location is computed based on some external information (positioning), then it is transmitted from one host to another (distribution) until finally it is used by a recipient (use).

For example, suppose Alice is using a mobile device, she learns of her location from a wireless location service, and she wishes to share her location privately with her friends by way of a presence service. Alice clearly needs to provide the presence server with her location and rules about which friends can be provided with her location. To enable Alice's friends to preserve her privacy, they need to be provided with privacy rules. Alice may tell some of her friends the rules directly, or she can have the presence server provide the rules to her friends when it provides them with her location. In this way, every friend who receives Alice's location is

authorized by Alice to receive it, and every friend who receives it knows the rules. Good friends will obey the rules. If a bad friend breaks them and Alice finds out, the bad friend cannot claim that he was unaware of the rules.

Some of Alice's friends will be interested in using Alice's location only for their own purposes (to meet up with her or plot her location over time, for example). The usage rules that they receive direct them as to what they can or cannot do (for example, Alice might not want them keeping her location for more than, say, two weeks).

Consider one friend, Bob, who wants to send Alice's location to some of his friends. To operate in a privacy-protective way, Bob needs not only usage rules for himself, but also access control rules that describe who he can send information to and rules to give to the recipients. If the rules he received from the presence server authorize him to give Alice's location to others, he may do so; otherwise, he will require additional rules from Alice before he is authorized to distribute her location. If recipients who receive Alice's location from Bob want to distribute the location on further, they must go through the same process as Bob.

The whole example is illustrated in the following figure:

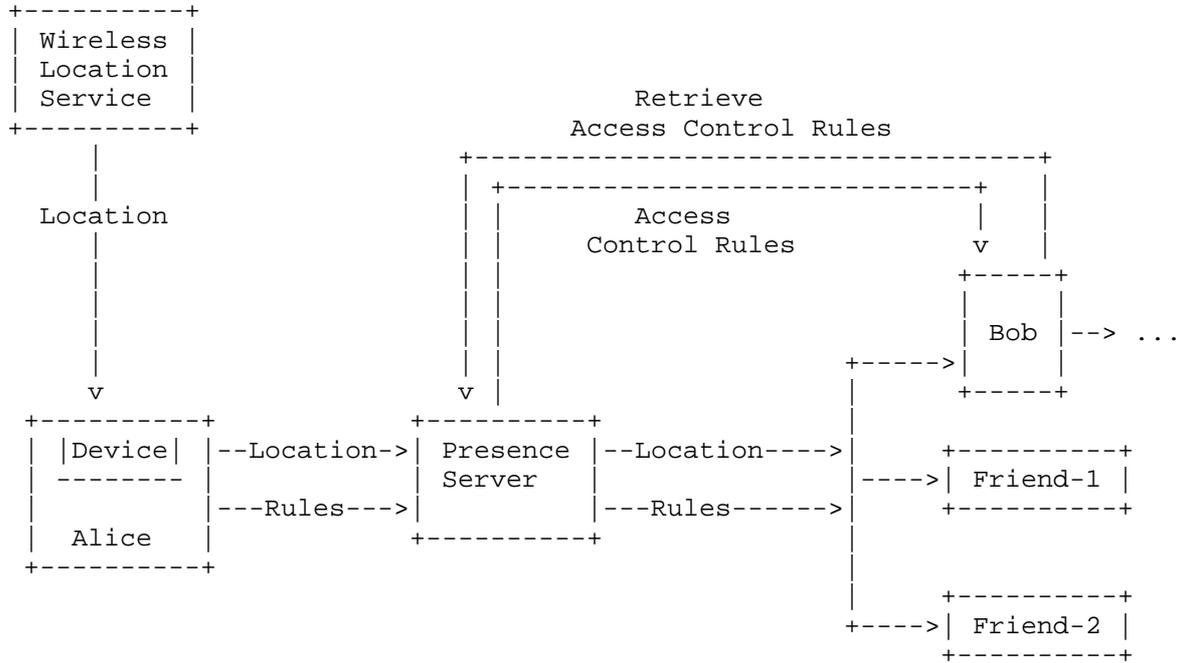


Figure 1: Basic Geopriv Scenario

3.2. Roles and Data Formats

The above example illustrates the six basic roles in the Geopriv architecture:

Target: An individual or other entity whose location is sought in the Geopriv architecture. In many cases the Target will be the human user of a Device, but it can also be an object such as a vehicle or shipping container to which a Device is attached. In some instances the Target will be the Device itself. The Target is the entity whose privacy Geopriv seeks to protect. Alice is the Target in Figure 1.

Device: The technical device whose location is tracked as a proxy for the location of a Target. Alice's device is the Device in Figure 1.

Rule Maker (RM): Performs the role of creating rules governing access to location information for a Target. In some cases the Target performs the Rule Maker role (as is the case with Alice), and in other cases they are separate. For example, a parent may serve as the Rule Maker when the Target is his child, or a corporate security officer may serve as the Rule Maker for devices owned by the corporation but used by employees. The Rule Maker is also not necessarily the owner of the Device. For example, a corporation may provide a Device to an employee but permit the employee to serve as the Rule Maker and set her own privacy rules.

Location Generator (LG): Performs the roles of initially determining or gathering the location of the Device and providing it to Location Servers. Location Generators may be any sort of software or hardware used to obtain the Device's location (examples include GPS chips and cellular networks). A Device may even perform the Location Generator role for itself; Devices capable of unassisted satellite-based positioning and Devices that accept manually entered location information are two examples. The wireless location service plays the Location Generator role in Figure 1.

Location Server (LS): Performs the roles of receiving location information and rules, applying the rules to the location information to determine what other entities, if any, can receive location information, and providing the location to Location Recipients. Location Servers receive location information from Location Generators and rules from Rule Makers, and then apply the rules to the location information. Location Servers may not necessarily be "servers" in the colloquial sense of hosts in remote data centers servicing requests. Rather, a Location Server can be any software or hardware component that distributes location information. Examples include a server in an access network, a presence server, or a Web browser or other software running on a Device. The above example includes three Location Servers: Alice, the presence service and Bob.

Location Recipient (LR): Performs the role of receiving location information. A Location Recipient may ask for location explicitly (by sending a query to a Location Server), or it may receive location asynchronously. The presence service, Bob, Friend-1 and Friend-2 are Location Recipients in Figure 1.

In general, these roles may or may not be performed by physically separate entities, as demonstrated by the entities in Figure 1, many of which perform multiple roles. It is not uncommon for the same entity to perform both the Location Generator and Location Server roles, or both the Location Recipient and Location Server roles. A

single entity may take on multiple roles simply by virtue of its own capabilities and the permissions provided to it.

Although in the above example there is only a single Location Generator and a single Rule Maker, in some cases a Location Server may receive Location Objects from multiple Location Generators or Rules from multiple Rule Makers. Likewise, a single Location Generator may publish location information to multiple Location Servers, and a single Location Recipient may receive Location Objects from multiple Location Servers.

There is a close relationship between a Target and its Device. The term "Device" is used when discussing protocol interactions, whereas the term "Target" is used when discussing generically the person or object being located and its privacy. While in the example above there is a one-to-one relationship between the Target and the Device, Geopriv can also be used to convey location information about a device that is not directly linked to a single individual or object, such as a Device shared by multiple individuals.

Two data formats are necessary within this architecture:

Location Object (LO): An object used to convey location information together with Privacy Rules. Geopriv supports both geodetic location data (latitude/longitude/altitude/etc.) and civic location data (street/city/state/etc.). Either or both types of location information may be present in a single LO (see the considerations in [5] for LOs containing multiple locations). Location Objects typically include some sort of identifier associated with the Target.

Privacy Rule: A directive that regulates an entity's activities with respect to location information, including the collection, use, disclosure, and retention of the location information. Privacy Rules describe which entities may obtain location information in what form (access control rules) and how location information may be used by an entity (usage rules).

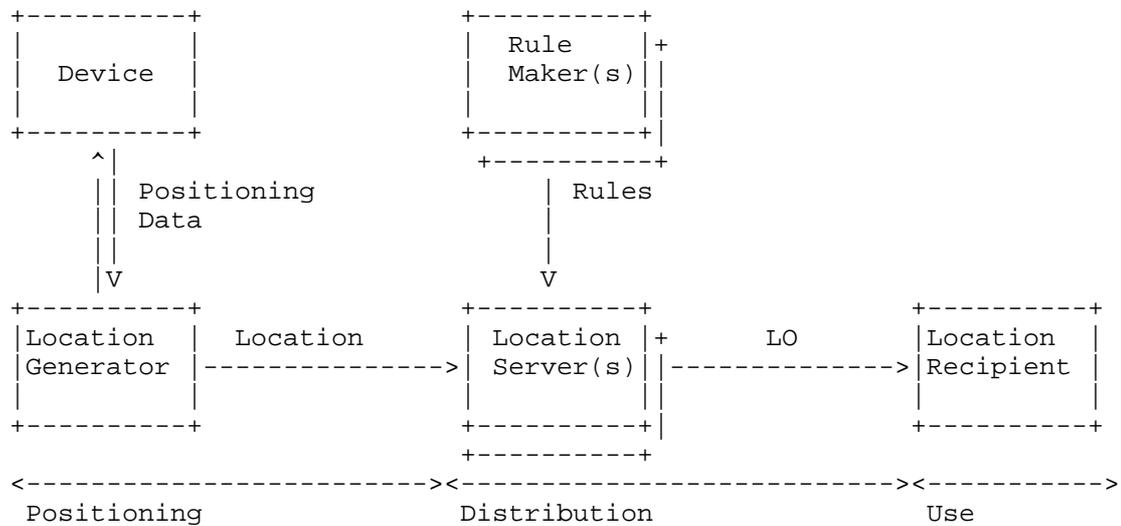


Figure 3: Location Life-Cycle

4.1. Positioning

Positioning is the process by which the physical location of the Device is computed, based on some observations about the Device’s situation in the physical world. (This process goes by several other names, including Location Determination or Sighting.) The input to the positioning process is some information about the Device, and the outcome is that the LG knows the location of the Device.

In this section, we give a brief taxonomy of current positioning systems, their requirements for protocol support, and the privacy and security requirements for positioning.

4.1.1. Determination Mechanisms and Protocols

While the specific positioning mechanisms that can be applied for a given Device are strongly dependent on the physical situation and capabilities of the Device, these mechanisms generally fall into the three categories described in detail below:

- o Device-based
- o Network-based
- o Network-assisted

As suggested by the above names, a positioning scheme can rely on the Device, an Internet-accessible resource (not necessarily a network operator), or a combination of the two. For a given scheme, the nature of this reliance will dictate the protocol mechanisms needed to support it.

With Device-based positioning mechanisms, the Device is capable of determining its location by itself. This is the case for manually-entered location or for (unassisted) satellite-based positioning (using a Global Navigation Satellite System, or GNSS). In these cases, the Device acts as its own LG, and there are no protocols required to support positioning (since no information needs to be communicated).

In network-based positioning schemes, an external LG (an Internet host other than the Device) has access to sufficient information about the Device, through out-of-band channels, to establish the position of the Device. The most common examples of this type of LG are entities that have a physical relationship to the Device (such as ISPs). In wired networks, wiremap-based location is a network-based technique; in wireless networks, timing and signal-strength based techniques that use measurements from base stations are considered to be network-based. Large-scale IP-to-geo databases (for example, those based on WHOIS data or latency measurements) are also considered to be network-based positioning mechanisms.

For network-based positioning as for Device-based, no protocols are strictly necessary to support positioning, since positioning information is collected outside of the location distribution system (at lower layers of the network stack, for example). This does not rule out the use of other Internet protocols (like SNMP) to collect inputs to the positioning process. Rather, since these inputs can only be used by certain LGs to determine location, they are not controlled as private information. Network-based positioning often provides location to protocols by which the network informs a Device of its own location (these are known as Location Configuration Protocols, see Section 4.2.2 for further discussion).

Network-assisted systems account for the greatest number and diversity of positioning schemes. In these systems, the work of positioning is divided between the Device and an external LG via some communication (possibly over the Internet), typically in one of two ways:

- o The Device provides measurements to the LG
- o The LG provides assistance data to the Device

"Measurements" are understood to be observations about the Device's environment, ranging from wireless signal strengths to the MAC address of a first-hop router. "Assistance" is the complement to measurement, namely the positioning information that enables the computation of location based on measurements. A set of wireless base station locations (or wireless calibration information) would be an assistance datum, as would be a table that maps routers to buildings in a corporate campus.

For example, wireless and wired networks can serve as the basis for network-assisted positioning. In several current 802.11 positioning systems, the Device sends measurements (e.g., MAC addresses and signal strengths) to an LG, and the LG returns a location to the client. In wired networks, the Device can send its MAC address to the LG, which can query the MAC-layer infrastructure to determine the switch and port to which that MAC address is connected, then query a wire map to determine the location at which the wire connected to that port terminates.

As an aside, the common phrase "assisted GPS" ("assisted GNSS" more broadly) actually encompasses techniques that transmit both measurements and assistance data. Systems in which the Device provides the LG with GNSS measurements are measurement-based, while those in which the assistance server provide ephemeris or almanac data are assistance-based in the above terminology. (Those familiar with GNSS positioning will note that there are of course cases in which both of these interactions occur within a single location determination protocol, so the categories are not mutually exclusive.)

Naturally, the exchange of measurement or positioning data between the Device and the LG requires a protocol over which the information is carried. The structure of this protocol will depend on which of the two patterns a network-assisted scheme follows. Conversely, the structure of the protocol will determine which of the two parties (the Device, the LG, or both) is aware of the Device's location at the end of the protocol interaction.

4.1.2. Privacy Considerations for Positioning

Positioning is the first point at which location may be associated with a particular Target's identity. Local identifiers, unlinked pseudonyms, or private identifiers that are not linked to the real identity of the Target should be used as forms of identity whenever possible. This provides privacy protection by disassociating the location from the Target's identity before it is distributed.

At the conclusion of the positioning process, the entity acting as

the LG has the Device's location (if the Device is performing the LG role, then they both have it). If the entity acting as the LG also performs the role of LS, the privacy considerations in Section 4.2.4 apply.

In some deployment scenarios, positioning functions and distribution functions may need to be provided by separate entities, in which case the LG and LS roles will not be performed by the same entity. In this situation, the LG acts as a "dumb," non-privacy-aware positioning resource, and the LS provides the privacy logic necessary to support distribution (possibly with multiple LSes using the same LG). In order to allow the privacy-unaware LG to distribute location to these LSes while maintaining privacy, the relationship between the LG and its set of LSes MUST be tightly constrained, effectively "hard-wired." That is, the LG MUST only provide location to a small fixed set of LSes, and each of these LSes MUST comply with the requirements of Section 4.2.4.

4.1.3. Security Considerations for Positioning

Manipulation of the positioning process can expose location through two mechanisms:

- 1) A third party could guess or derive measurements about a specific device and use them to get the location of that Device. To mitigate this risk, the LG SHOULD be able to authenticate and authorize devices providing measurements and, if possible, verify that the presented measurements are likely to be the actual physical values measured by that client. These security procedures rely on the type of positioning being done, and may not be technically feasible in all cases.

- 2) By eavesdropping, a third party may be able to obtain measurements sent by the Device itself that indicate the rough position of the Device. To mitigate this risk, protocols used for positioning MUST provide confidentiality and integrity protections in order to prevent observation and modification of transmitted positioning data while en route between the Target and the LG.

If an LG or a Target chooses to act as an LS, it inherits the security requirements for an LS, described in Section 4.2.5.

4.2. Location Distribution

When an entity receives location (from an LG or an LS) and redistributes it to other entities, it acts as an LS. Location Distribution is the process by which one or more LSes provide LOs to LRs in a privacy-preserving manner.

The role of an LS is thus two-fold: First, it must collect location information and Rules that control access to that information. Rules can be communicated within an LO, within a protocol that carries LOs, or through a separate protocol that carries Rules. Second, the LS must process requests for location and apply the Rules to these requests in order to determine whether it is authorized to fulfill them by returning location.

An LS thus has at least two types of interactions with other hosts, namely receiving and sending LOs. An LS may optionally implement a third interaction, allowing Rule Makers to provision it with Rules. The distinction between these two cases is important in practice, because it determines whether the LS has a direct relationship with a Rule Maker: An LS that accepts Rules directly from a Rule Maker has such a relationship, while an LS that acquires all its Rules through LOs does not.

4.2.1. Privacy Rules

Privacy Rules are the central mechanism in Geopriv for maintaining a Target's privacy, because they provide a recipient of an LO (an LS or LR) with information on how the LO may be used.

Throughout the Geopriv architecture, Privacy Rules are communicated in rules languages with a defined syntax and semantics. For example, the Common Policy rules language has been defined [6] to provide a framework for broad-based rule specifications. Geopriv Policy [7] defines a language for creating location-specific rules. XCAP [8] can be used as a protocol to install rules in both of these formats.

Privacy Rules follow a default-deny pattern: an empty set of Rules implies that all requests for location should be denied (other than requests made by the Target itself), with each Rule added to the set granting a specific permission. Adding a Rule can only augment privacy protections because all Rules are positive grants of permission.

The following are examples of Privacy Rules governing location distribution:

- o Retransmit location when requested from example.com
- o Retransmit only city and country
- o Retransmit location with no less than a 100 meter radius of uncertainty

- o Retransmit location only for the next two weeks

LSes enforce Privacy Rules in two ways: by denying requests for location, or by transforming the location information before retransmitting it.

LSes may also receive Rules governing location retention, such as "Retain location only for 48 hours." Such Rules are simply directives about how long the Target's location information can be retained.

Privacy Rules can govern the behavior of both LSes and LRs. Rules that direct LSes about how to treat a Target's location information are known as Local Rules. Local Rules are used internally by the LS to handle requests from LRs. They are not distributed to LRs.

Forwarded Rules, on the other hand, travel inside LOs and direct LSes and LRs about how to handle the location information they receive. Because the Rules themselves may reveal potentially sensitive information about the Target, only the minimal subset of Forwarded Rules necessary to handle the LO is distributed.

An example can illustrate the interaction between Local Rules and Forwarded Rules. Suppose Alice provides the following Local Rules to an LS:

- o The LS may retransmit Alice's precise location to Bob, who in turn is permitted to retain the location information for one month
- o The LS may retransmit Alice's city, state, and country to Steve, who in turn is permitted to retain the location information for one hour
- o The LS may retransmit Alice's country to a photo-sharing website, which in turn is permitted to retain the location information for one year and retransmit it to any requesters

When Steve asks for Alice's location, the LS can transmit to Steve the limited location information (city, state, and country) along with Forwarded Rules instructing Steve to (a) not further retransmit Alice's location information, and (b) only retain the location information for one hour. By only sending these specifically applicable Forwarded Rules to Steve (as opposed to the full set of Local Rules), the LS is protecting Alice's privacy by not disclosing to Steve that (for example) Alice allows Bob to obtain more precise location information than Alice allows Steve to receive.

Geopriv is designed to be usable even by devices with constrained

processing capabilities. To ensure that Forwarded Rules can be processed on constrained devices, LOs are required to carry only a limited set of Forwarded Rules, with an option to reference a more robust set of external Rules. The limited Rule set covers two privacy aspects: how long the Target's location may be retained ("Retention"), and whether or not the Target's location may be retransmitted ("Retransmission"). A LO may contain a pointer to more robust Rules, such as those shown in the set of four Rules at the beginning of this section.

4.2.2. Location Configuration

Some entities performing the LG role are designed only to provide Targets with their own locations (as opposed to distributing a Target's location to others). The process of providing a Target with its own location is known within Geopriv as Location Configuration. The term Location Information Server (LIS) is often used to describe the entity that performs this function (although a LIS may also perform other functions, such as providing a Target's location to other entities).

A Location Configuration Protocol (LCP) [9] is one mechanism that can be used by a Device to discover its own location from a LIS. LCPs provide functions in the way they obtain, transport and deliver location requests and responses between a LIS and a Device such that the LIS can trust that the location requests and responses handled via the LCP are in fact from/to the Target. Several LCPs have been developed within Geopriv [10][11][12][13].

A LIS whose sole purpose is to perform Location Configuration need only follow a simple privacy-preserving policy: transmit a Target's location only to the Target itself. This is known as the "LCP policy."

Importantly, if an LS is also serving in the role of LG and it has not been provisioned with Privacy Rules for a particular Target, it MUST follow the LCP policy, whether it is a LIS or not. In the positioning phase, an entity serving the roles of both LG and LS that has not received Privacy Rules must follow this policy. The same is true for any LS in the distribution phase.

4.2.3. Location References

The location distribution process occurs through a series of transmissions of LOs: transmissions of location "by value." Location "by value" can be expressed in terms of geodetic location data (latitude/longitude/altitude/etc.) and civic location data (street/city/state/etc.).

Location can also be distributed "by reference," where a reference is represented by a URI that can be dereferenced to obtain the LO. This document summarizes the properties of location-by-reference that are discussed at length in [14].

Distribution of location by reference (distribution of location URIs) offer several benefits. Location URIs are a more compact way of transmitting location, since URIs are usually smaller than LOs. A recipient of location can make multiple requests to a URI over time to receive updated location (if the URI is configured to provide fresh location rather than a single "snapshot").

From a positioning perspective, location by reference can offer the additional benefit of "just in time" positioning. If location is distributed by reference, an entity acting as a combined LG/LS only needs to perform positioning operations when a recipient dereferences a previously distributed URI.

From a privacy perspective, distributing location as a URI instead of as an LO can help protect privacy by forcing each recipient of the location to request location from the referenced LS, which can then apply access controls individually to each recipient. But the benefit provided here is contingent on the LS applying access controls. If the LS does not apply an access control policy to requests for a location URI (in other words, if it enforces the "possession model" defined in [14]), then transmitting a location URI presents the same privacy risks as transmitting the LO itself. Moreover, the use of location URIs without access controls can introduce additional privacy risks: If URIs are predictable, an attacker to whom the URI has not been sent may be able to guess the URI and use it to obtain the referenced LO. To mitigate this, location URIs without access controls need to be constructed so that they contain a random component with sufficient entropy to make guessing infeasible.

4.2.4. Privacy Considerations for Distribution

Location information MUST be accompanied by Rules throughout the distribution process. Otherwise, a recipient will not know what uses are authorized, and will not be able to use the LO. Consequently, LOs MUST be able to express Rules that convey appropriate authorizations.

An LS MUST only accept Rules from authorized Rule Makers. For an LS that receives Rules exclusively in LOs and has no direct relationship with a Rule Maker, this requirement is met by applying the Rules provided in an LO to the distribution of that LO. For an LS with a direct relationship to a Rule Maker, this requirement means that the LS MUST be configurable with an RM authorization policy. An LS

SHOULD define a prescribed set of RMs that may provide Rules for a given Target or LO. For example, an LS may only allow the Target to set Rules for itself, or it might allow an RM to set Rules for several Targets (e.g., a parent for children, or a corporate security officer for employees).

No matter how Rules are provided to an LS, for each LO it receives, it MUST combine all Rules that apply to the LO into a Rule set that defines which transmissions are authorized, and it MUST transmit location only in ways that are authorized by these Rules.

An LS that receives Rules exclusively through LOs MUST examine the Rules that accompany a given LO in order to determine how the LS may use the LO (if any Rules are included by reference, the LS SHOULD attempt to download them). If the LO includes no Rules that allow the LS to transmit the LO to another entity, then the LS MUST NOT transmit the LO. If the LO contains no Rules at all (if it is in a format with no Rules syntax, for example), then the LS MUST delete it (emergency services provide an exception in that Rules can be implicit, see [15]). If the LO included Rules by reference, but these Rules were not obtained for any reason, the LS MUST NOT transmit the LO and MUST delete it.

An LS that receives Rules both directly from one or more Rule Makers and through LOs MUST combine the Rules in a given LO with Rules it has received from the RMs. The strategy the LS uses to combine these sets of Rules is a matter for local policy, depending on the relative priority that the LS grants to each source of Rules. Some example policies:

Union: A transmission of location is authorized if it is authorized by either a rule in the LO or an RM-provided rule.

Intersection: A transmission of location is authorized if it is authorized by both a rule in the LO and an RM-provided rule.

RM Override: A transmission of location is authorized if it is authorized by an RM-provided rule (regardless of the LO Rules).

LO Override: A transmission of location is authorized if it is authorized by an LO-provided rule (regardless of the RM Rules).

Different policies may be applicable in different scenarios. In cases where an external RM is more trusted than the source of the LO, the "RM Override" policy may be suitable (for example, if the external RM is the Target, and the LO is provided by a third party). Conversely, the "LO Override" policy is better suited to cases where the LO provider is more trusted than the RM (for example, if the RM is

the user of a mobile device LS and the LO contains Rules from the RM's parents or corporate security office). The "Intersection" policy takes the strictest view of the permission grants, giving equal weight to all RMs (including the LO creator).

Each of these policies will also have different privacy consequences. Following the "Intersection" policy ensures that the most privacy-protective subset of all RMs' rules will be followed. The "Union" policy and both "Override" policies may defy the expectations of any RM (including, potentially, the Target) whose policy is not followed. For example, if a Target acting as an RM sets Rules and those Rules are overridden by the application of a more permissive LO Override policy that has been set by the Target's parent or employer acting as an RM, the retransmission or retention of the Target's data may come as a surprise to the Target. For this reason, it is RECOMMENDED that LSeS provide a way for RMs to be able to find out which policy will be applied to the distribution of a given LO.

4.2.5. Security Considerations for Distribution

An LS's decisions about how to transmit location are based on the identities of entities requesting information and other aspects of requests for location. In order to ensure that these decisions are made properly, the LS needs assurance of the reliability of information on the identities of the entities with which the LS interacts (including LRs, LSeS, and RMs) and other information in the request.

Protocols to convey LOs and protocols to convey Rules MUST provide information on the identity of the recipient of location and the identity of the RM, respectively. In order to ensure the validity of this information, these protocols MUST allow for mutual authentication of both parties, and MUST provide integrity protection for protocol messages. These security features ensure that the LG has sufficient information (and sufficiently reliable information) to make privacy decisions.

As they travel through the Internet, LOs necessarily pass through a sequence of intermediaries, ranging from layer-2 switches to IP routers to application-layer proxies and gateways. The ability of an LS to protect privacy by making access control decisions is reduced if these intermediaries have access to an LO as it travels between privacy-preserving entities.

Ideally, LOs SHOULD be transmitted with confidentiality protection end-to-end between an LS that transmits location and the LR that receives it. In some cases, the protocol conveying an LO provides confidentiality protection as a built-in security solution for its

signaling (and potentially its data traffic). In this case, carrying an unprotected LOs within such an encrypted channel is sufficient. Many protocols, however, are offering communication modes where messages are either unprotected or protected on a hop-by-hop basis (for example, between intermediaries in a store-and-forward protocol). In such a case it is RECOMMENDED that the protocol allows for the use of encrypted LOs, or for the transmission of a reference to location in place of an LO [14].

4.3. Location Use

The primary privacy requirement of an LR is to constrain its usage of location to the set of uses authorized by the Rules in an LO. If an LR only uses an LO in ways that have minimal privacy impact -- specifically, if it does not transmit the LO to any other entity, and does not retain the LO for longer than is required to complete its interaction with the LS -- then no further action is necessary for the LR to comply with Geopriv requirements.

As an example of this simplest case, if an LR (a) receives a location, (b) immediately provides to the Target information or a service based on the location, (c) does not retain the information, and (d) does not retransmit the location to any other entity, then the LR will comply with any set of Rules that are permissible under Geopriv. Thus, a service that, for example, only provides directions to the closest bookstore in response to an input of location, and promptly then discards the input location, will be in compliance with any Geopriv Rule set.

LRs that make other uses of an LO (e.g., those that store LOs, or send them to other service providers to obtain location-based services) MUST meet the requirements below to assure that these uses are authorized.

4.3.1. Privacy Considerations for Use

The principal privacy requirement for LRs is to follow usage rules. Any LR that wants to retransmit or retain the LO is REQUIRED to examine the rules included with that LO. Any usage the LR makes of the LO MUST be explicitly authorized by these Rules. Since Rules are positive grants of permission, any action not explicitly authorized is denied by default.

4.3.2. Security Considerations for Use

Since the LR role does not involve transmission of location, there are no protocol security considerations required to support privacy (other than ensuring that data does not leak unintentionally caused

by security breaches).

Aside from privacy, LRs often require some assurance that an LO is reliable (assurance of the integrity, authenticity, and validity of an LO), since LRs use LOs in order to deliver location-based services. Threats against this reliability and corresponding mitigations are discussed in the Security Considerations below.

5. Security Considerations

Security considerations related to the privacy of LOs are discussed throughout this document. In this section we summarize those concerns and consider security risks not related to privacy.

The life-cycle of an LO often consists of a series of location transmissions. Protocols that carry location can provide strong assurances, but only for a single segment of the LO's life cycle. In particular, a protocol can provide integrity protection and confidentiality for the data exchanged, and mutual authentication of the parties involved in the protocol, by using a secure transport such as IPSec [16] or TLS [17].

Additionally, if (1) the protocol provides mutual authentication for every segment, and (2) every entity in the location distribution chain exchanges information only with entities with whom it has a trust relationship, entities can transitively obtain assurances regarding the origin and ultimate destination of the LO. Of course, direct assurances are always preferred over assurances requiring transitive trust, since they require fewer assumptions.

Using protocol mechanisms alone, the entities can receive assurances only about a single hop in the distribution chain. For example, suppose that an LR receives location from an LS over an integrity- and confidentiality-protected channel. The LR knows that the transmitted LO has not been modified or observed en route. However, the assurances provided by the protocol do not guarantee that the transmitted LO was not corrupted before it was sent to the LS (by a previous LS, for example). Likewise, the LR can verify that the LO was transmitted by the LS, but cannot verify the origin of the LO if it did not originate with the LS.

Security mechanisms in protocols are thus unable to provide direct assurances over multiple transmissions of an LO. However, the transmission of location "by reference" can be used to effectively turn multi-hop paths into single-hop paths. If the multiple transmissions of an LO are replaced by multiple transmissions of a URI (a multi-hop dissemination channel), the LO need only traverse a

single hop, namely the dereference transaction between the LR and the dereference server. The requirements for securing location passed by reference [14] are applicable in this case.

The major threats to the security of LOs can be grouped into two categories. First, threats against the integrity and authenticity of LOs can expose entities that rely on LOs. Second, threats against the confidentiality of LOs can allow unauthorized access to location information.

An LO contains four essential types of information: identifiers for the described Target, location information, time-stamps, and Rules. By grouping values of these various types together within a single structure, an LO encodes a set of bindings among them. That is, the LO asserts that the identified Target was present at the given location at the given time and that the given Rules express the Target's desired policy at that time for the distribution of his location. Below, we provide a description of the assurances required by each party involved in the location distribution in order to mitigate the possible attacks on these bindings.

Rule Maker: The Rule Maker is responsible for creating the Target's Privacy Rules and for uploading them to the LSeS. The primary assurance required by the Rule Maker is that the Target's Privacy Rules are correctly associated with the Target's identity when they are conveyed to each LS that handles the LO. Ensuring the integrity of the Privacy Rules distributed to the LSeS prevents rule-tampering attacks. In many circumstances, the privacy policy of the Target may itself be sensitive information; in these cases, the Rule Maker also requires the assurance that the binding between the Target's identity and the Target's Privacy Rules are not deducible by anyone other than an authorized LS.

Location Server: The Location Server is responsible for enforcing the Target's Privacy Rules. The first assurance required by the LS is that the binding between the Target's Privacy Rules and the Target's identity is authentic. Authenticating and authorizing the Rule Maker who creates, updates and deletes the Privacy Rules prevents rule-tampering attacks. The LS has to ensure that the authorization policies are not exposed to third parties, if so desired by the Rule Maker (when the rules themselves are privacy-sensitive).

Location Recipient: The Location Recipient is the consumer of the LO. The LR thus requires assurances about the authenticity of the bindings between the Target's location, the Target's identity and the time. Ensuring the authenticity of these bindings helps to prevent various attacks, such as falsifying the location, modifying

the time-stamp, faking the identity, replaying LOss.

Location Generator: The primary assurance required by the Location Generator is that the LS to which the LO is initially published is one that is trusted to enforce the Target's Privacy Rules. Authenticating the trusted LS mitigates the risk of server impersonation attacks. Additionally, the LG is responsible for the location determination process, which is also sensible from a security perspective because wrong input provided by external entities can lead to undesirable disclosure or access to location information.

Assurances as to the integrity and confidentiality of a Location Object can be provided directly through the LO format. RFC 4119 [18] provides a description for usage of S/MIME to integrity and confidentiality protection. Although such direct, end-to-end assurances are desirable, and these mechanisms should be used whenever possible, there are many deployment scenarios where directly securing an LO is impractical. For example, in some deployment scenarios a direct trust relationship may not exist between the creator of the Location Object and the recipient. Additionally, in a scenario where many recipients are authorized to receive a given LO, the creator of the LO cannot guarantee end-to-end confidentiality without knowing precisely which recipient will receive the LO. Many of these cases can, however, be addressed by the usage of a Location-by-Reference (possibly combined with an LO).

6. Example Scenarios

This section contains a set of example of how the Geopriv architecture can be deployed in practice. These examples are meant to illustrate key points of the architecture, rather than to form an exhaustive set of use cases.

For convenience and clarity in these examples, we assume that the Privacy Rules that an LO carries are equivalent to those in a PIDF-LO (namely, that the principal Rules that can be set are limits on the retransmission and retention of the LO). While these two Rules are the most well-known and important examples, the specific types of Rules an LS or LR must consider will in general depend on the types of LO it processes.

6.1. Minimal Scenario

One of the simplest scenarios in the Geopriv architecture is when a Device determines its own location and uses that LO to request a service (e.g., by including the LO in an HTTP POST request [19] or

SIP INVITE message [20]), and the server delivers that service immediately (e.g., in a 200 OK response in HTTP or SIP), without retaining or retransmitting the Device's location. The Device acts as an LG by using a Device-based positioning algorithm (e.g., manual entry) and as an LS by interpreting the rule and transmitting the LO. The Target acts as a Rule Maker by specifying that the location should be sent to the server. The server acts as an LR by receiving and using the LO.

In this case, the privacy of location information is maintained in two steps: The first step is that location is only transmitted as directed by the single Rule Maker, namely the Target. The second step is simply the fact that the server, as LR, does not do anything that creates a privacy risk -- it does not retain or retransmit location. Because the server limits its behavior in this way, it does not need to read the Rules in the LO (even though they were provided) -- no Rule would prevent it from using location in this safe manner.

The following outline summarizes this scenario:

- o Positioning: Device-based, Device=LG
- o Distribution hop 1: HTTP UA --> Ephemeral web service, privacy via user indication
- o Use: Ephemeral web service delivers response without retaining or retransmitting location
- o Key points:
 - * LRs that do not behave in ways that risk privacy are Geopriv-compliant by default. No further action is necessary.

6.2. Location-based Web Services

Many location-based services are delivered over the Web, using Javascript code to orchestrate a series of HTTP requests for location specific information. To support these applications, browser extensions have been developed that support Device-based positioning (manual entry and Global Positioning System (GPS)) and network-assisted positioning (via Assisted GPS (AGPS), and multilateration with 802.11 and cellular signals), exposing location to web pages through Javascript APIs.

In this scenario, we consider a Target that uses a browser with a network-assisted positioning extension. When the Target uses this browser to request location-based services from a web page, the

browser prompts the user to grant the page permission to access the user's location. If the user grants permission, the browser extension sends 802.11 signal strength measurements to a positioning server, which then returns the position of the host. The extension constructs an LO with this location and Rules set by the user, then passes the LO to the page through its Javascript API. The page then obtains location-relevant information using an XMLHttpRequest [21] to a server in the same domain as the page and renders this information to the user.

At first blush, this scenario seems much more complicated than the minimal scenario above. However, most of the privacy considerations are actually the same.

The positioning phase in this scenario begins when the browser extension contacts the positioning server. The positioning server acts as an LG.

The distribution phase actually occurs entirely within the Target host. This phase begins when the positioning server, now acting as LS, follows the LCP policy by providing location only to the Target. The next hop in distribution occurs when the browser extension (an entity under the control of the Target) passes an LO to the web page (an entity under the control of its author). In this phase, the browser extension acts as an LS, with the Target as the sole Rule Maker; the user interface for rule-making is effectively a protocol for conveying Rules, and the extension's API effectively defines a way to communicate LOs and an LO Format. The web site acts as an LR when the web page accepts the LO.

The use phase encompasses the web site's use of the LO. In this context, the phrase "web site" encompasses not only the web page, but also the dedicated supporting logic behind it. Considering the entire web site as a recipient, rather than a single page, it becomes clear that sending the LO in an XMLHttpRequest to a back-end server is like passing it to a separate component of the LR (as opposed to retransmitting it to another entity). Thus, even in this case, where location-relevant information is obtained from a back-end server, the LR does not retain or retransmit location, so its behavior is "privacy-safe" -- it doesn't need to interpret the Rules in the LO.

However, consider a variation on this scenario where the web page requests additional information (a map, for instance) from a third-party site. In this case, since location is being transmitted to a third party, the web site (either in the web page or in a back-end server) would need to verify that this transmission is allowed by the LO's Privacy Rules. Similarly, if the site wanted to log the user's location information, then it would need to examine the LO to

determine how long this information can be retained. In such a case, if the LR needs to do something that is not allowed by the Rules, it may have to deny service to the user (hopefully providing a message with the reason). Nonetheless, if the Rules permit retention or retransmission (even if this retransmission is limited by access control rules), then the LR may do so to the extent the Rules allow.

The following outline summarizes this scenario:

- o Positioning: Network-assisted, positioning server=LG
- o Rule installation: RM (=Target) gives permission to sites and sets LO Rules
- o Distribution hop 1: positioning server=LS --> Target, privacy via LCP policy
- o Distribution hop 2: Browser=LS --> Web site=LR, privacy via user confirmation
- o Use: Back-end server delivers location-relevant information without further retransmission, then deletes location; privacy via safe behavior
- o Key points:
 - * Privacy in this scenario is provided by a combination of explicit user direction and Rules in an LO
 - * Distribution can occur within a host, between mutually untrusting components
 - * Some transmissions of location are actually internal to an LR
 - * LRs that do things that might be constrained by Rules need to verify that these actions are allowed for a particular LO

6.3. Emergency Calling

Support for emergency calls by Voice-over-IP devices is a critical use case for location information about Internet hosts. The details of the Internet architecture for emergency calling are described in [22][23]. In this architecture, there are three critical steps in the placement of an emergency call, each involving location information:

1. Determine the location of the caller

2. Determine the proper Public Safety Answering Point (PSAP) for the caller's location
3. Send a SIP INVITE message (including the caller's location) to the PSAP

The first step in an emergency call is to determine the location of the caller. This step is the positioning phase of the location life-cycle. Location is determined by whatever means are available to the caller's device, or to the network, if this step is being done by a proxy. Whichever entity does the positioning (either the caller or a proxy) acts as an LS, preserving the privacy of location information by only including it in emergency calls.

The second step in an emergency call encompasses location distribution and use. The entity that is routing the emergency call sends location through the LoST protocol [15] to a mapping server. In this role, the routing entity acts as an LS and the LoST server acts as an LR. The LO format within LoST does not allow Rules to be sent along with location, but because LoST is an application-specific protocol, the sending of location within a LoST message authorizes the LoST server to use the location to complete the protocol, namely to route the message as necessary through the LoST mapping architecture [24]. That is, the LoST server is authorized to complete the LoST protocol, but to do nothing else.

The third step in an emergency call is again a combination of distribution and use. The caller (or another entity that inserts the caller's location) acts as an LS and the PSAP acts as an LR. In this specific example, the caller's location is transmitted either as a PIDF-LO object or as a reference that returns a PIDF-LO (or both); in the latter case, the reference should be appropriately protected so that only the PSAP has access. In any case, the receipt of an LO implies that the PSAP should obey the Rules in those LOs in order to preserve privacy. Depending on the regulatory environment, the PSAP may have the option to ignore those constraints in order to respond to an emergency, or it may be bound to respect these Rules (in spite of the emergency situation).

The following outline summarizes this scenario:

- o Positioning: Any
- o Distribution/use hop 1: Target=LS --> LoST infrastructure (no Rules), privacy via authorization implicit in protocol
- o Distribution/use hop 2: Target=LS --> PSAP, privacy via Rules in LO

- o Use: PSAP uses location to deliver emergency services
- o Key points:
 - * Privacy in this scenario is provided by a combination of explicit user direction, implicit authorization particular to a protocol, and Rules in an LO
 - * LRs may be constrained to respect or ignore Privacy Rules by local regulation

6.4. Combination of Services

In modern Internet applications, users frequently receive information via one channel and broadcast it via another. In this sense, both users and channels (e.g., web services) become LSess. Here we consider a more complex example that illustrates this pattern across multiple logical hops.

Suppose Alice (the Target) subscribes to a wireless ISP that determines her location using a network-based positioning technique (e.g., via the location of the base station serving the Target), and provides that information directly to a location-enhanced presence provider (which might use SIP, XMPP [25], or another protocol). The location-enhanced presence provider allows Alice to specify Rules for how this location is distributed: which friends should receive Alice's location and what Rules they should get with it. Alice uses a few other location-enhanced services as well, so she sends Rules that allow her location to be shared with those services, and allow those services to retain and retransmit her location.

Bob is one of Alice's friends, and he receives her location via this location-enhanced presence service. Noting that she's at their favorite coffee shop, Bob wants to upload a photo of the two of them at the coffee shop to a photo-sharing site, along with an LO that marks the location. Bob checks the Rules in Alice's LO and verifies that the photo sharing site is one of the services that Alice authorized. Seeing that Alice has authorized him to give the LO to the photo-sharing site, he attaches it to the photo and uploads it.

Once the geo-tagged photo is uploaded, the photo sharing site reads the Rules in the LO and verifies that the site is authorized to store the photo and to share it with others. Since Alice has allowed the site to retransmit and retain without any constraints, the site fulfills Bob's request to make the geo-tagged photo publicly accessible.

Eve, another user of the photo sharing site, downloads the photo of

Alice and Bob at the coffee shop and receives Alice's LO along with it. Eve posts the photo and location to her public page on a social networking site without checking the Rules, even though the LO doesn't allow Eve to send the location anywhere else. The social networking site, however, observes that no retransmission or retention are allowed (both of which it needs for a public posting), and rejects the upload.

In terms of the location life-cycle, this scenario consists of a positioning step, followed by four distribution hops and use. Positioning is the simplest step: An LG in Alice's ISP monitors her location and transmits it to the presence service, maintaining privacy by only transmitting location to a single entity (to which Alice has delegated privacy responsibilities).

The first distribution hop occurs when the presence server sends location to Bob. In this transaction, the presence server acts as an LS, Alice acts as an RM, and Bob acts as an LR. The privacy of this transaction is assured by the fact that Alice has installed Rules on the presence server that dictate who it may allow to access her location. The second distribution hop is when Bob uploads the LO to the photo-sharing site. Here Bob acts as an LS, preserving the privacy of location information by verifying that the Rules in the LO allow him to upload it. The third distribution hop is when the photo-sharing site sends the LO to Eve, likewise following the Rules -- but a different set of Rules than Bob, since an LO can specify different Rule sets for different LSes.

Eve is the fourth LS in the chain, and fails to comply with Geopriv by not checking the Rules in the LO prior to uploading the LO to the social networking site. The site, however, is a responsible LR -- it checks the Rules in the LO, sees that they don't allow it to use the location as it needs to, and discards the LO.

The following outline summarizes this scenario:

- o Positioning: Network-based, LG in network, privacy via exclusive relationship with presence service
- o Distribution/use hop 1: Presence server --> Bob, privacy via Alice's access control rules
- o Distribution/use hop 2: Bob --> photo sharing site, privacy via Rules for Bob in LO
- o Distribution/use hop 3: Photo sharing site --> Eve, privacy via Rules for site in LO

- o Distribution/use hop 4: Eve --> Social networking site, violates privacy by retransmitting
- o Use: Social networking site, privacy via checking Rules and discarding
- o Key points:
 - * Privacy can be preserved through multiple hops
 - * A LO can specify different Rules for different entities
 - * An LS can still disobey the Rules, but even then, the architecture still works in some cases

7. Glossary

Various security-related terms not defined here are to be understood in the sense defined in RFC 4949 [26].

\$ Access Control Rule

A rule that describe which entities may receive location information and in what form.

\$ civic location

The geographic position of an entity in terms of a postal address or civic landmark. Examples of such data are room number, street number, street name, city, ZIP code, county, state and country.

\$ Device

The physical device whose location is tracked as a proxy for the location of a Target.

\$ geodetic location

The geographic position of an entity in a particular coordinate system (for example, a latitude-longitude pair).

\$ Local Rule

A Privacy Rules that directs a Location Server about how to treat a Target's location information. Local Rules are used internally by a Location Server to handle requests from Location Recipients. They are not distributed to Location Recipients.

\$ Location Generator (LG)

Performs the role of initially determining or gathering the location of a Target. Location Generators may be any sort of software or hardware used to obtain a Target's location (examples include GPS chips and cellular networks).

\$ Location Information Server (LIS)

An entity responsible for providing devices within an access network with information about their own locations. A Location Information Server uses knowledge of the access network and its physical topology to generate and distribute location information to devices.

\$ Location Object (LO)

A data unit that conveys location information together with Privacy Rules within the Geopriv architecture. A Location Object may convey geodetic location data (latitude/longitude/altitude), civic location data (street/city/state/etc.), or both.

\$ Location Recipient (LR)

An ultimate end point entity to which a Location Object is distributed. Location Recipients request location information about a particular Target from a Location Server. If allowed by the appropriate Privacy Rules, a Location Recipient will receive Location Objects describing the Target's location from the Location Server.

\$ Location Server (LS)

An entity that receives Location Objects from Location Generators, Privacy Rules from Rule Makers, and location requests from Location Recipients. A Location Server applies the appropriate Privacy Rules to a Location Object received from a Location Generator and may disclose the Location Object, in compliance with the Rules, to Location Recipients.

Location Servers may not necessarily be "servers" in the colloquial sense of hosts in remote data centers servicing requests. Rather, a Location Server can be any software or hardware component that receives and distributes location information. Examples include a positioning server (with a location interface) in an access network, a presence server, or a Web browser or other software running on a Target's device.

\$ Privacy Rule

A directive that regulates an entity's activities with respect to a Target's location information, including the collection, use, disclosure, and retention of the location information. Privacy Rules describe how location information may be used by an entity, the level of detail with which location information may be described to an entity, and the conditions under which location information may be disclosed to an entity. Privacy Rules are communicated from Rule Makers to Location Servers and conveyed in Location Objects throughout the Geopriv architecture.

\$ Rule

See Privacy Rule.

\$ Rule Maker (RM)

An individual or entity that is authorized to set Privacy Rules for a Target. In some cases a Rule Maker and a Target will be the same individual or entity, and in other cases they will be separate. For example, a parent may serve as the Rule Maker when the Target is his child. The Rule Maker is also not necessarily the owner of a Target device. For example, a corporation may own a device that it provides to an employee but permit the employee to serve as the Rule Maker and set her own Privacy Rules. Rule Makers provide the Privacy Rules associated with a Target to Location Servers.

\$ Forwarded Rule

A Privacy Rule that travels inside a Location Object. Forwarded Rules direct Location Recipients about how to handle the location information they receive. Because the Forwarded Rules themselves may reveal potentially sensitive information about a Target, only the minimal subset of Forwarded Rules necessary for a Location Recipient to handle a Location Object is distributed to the Location Recipient.

\$ Target

An individual or other entity whose location is sought in the Geopriv architecture. In many cases the Target will be the human user of a Device, or it may be an object such as a vehicle or shipping container to which a Device is attached. In some instances the Target will be the Device itself. The Target is the entity whose privacy Geopriv seeks to protect.

\$ Usage Rule

A rule that describe what uses of location information are authorized.

8. Acknowledgements

Section 5 is largely based on the security investigations conducted as part of the Geopriv Layer-7 Location Configuration Protocol design team, which produced [9]. We would like to thank all the members of the design team.

We would also like to thank Marc Linsner and Martin Thomson for their contributions regarding terminology and LCPs.

9. IANA Considerations

This document makes no request of IANA.

10. References

10.1. Normative References

- [1] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", BCP 14, RFC 2119, March 1997.

10.2. Informative References

- [2] Cuellar, J., Morris, J., Mulligan, D., Peterson, J., and J. Polk, "Geopriv Requirements", RFC 3693, February 2004.
- [3] Danley, M., Mulligan, D., Morris, J., and J. Peterson, "Threat Analysis of the Geopriv Protocol", RFC 3694, February 2004.
- [4] U.S. Department of Defense, "National Industrial Security Program Operating Manual", DoD 5220-22M, January 1995.
- [5] Winterbottom, J., Thomson, M., and H. Tschofenig, "GEOPRIV Presence Information Data Format Location Object (PIDF-LO) Usage Clarification, Considerations, and Recommendations", RFC 5491, March 2009.
- [6] Schulzrinne, H., Tschofenig, H., Morris, J., Cuellar, J., Polk, J., and J. Rosenberg, "Common Policy: A Document Format for Expressing Privacy Preferences", RFC 4745, February 2007.

- [7] Schulzrinne, H., Tschofenig, H., Morris, J., Cuellar, J., and J. Polk, "Geolocation Policy: A Document Format for Expressing Privacy Preferences for Location Information", draft-ietf-geopriv-policy-21 (work in progress), January 2010.
- [8] Rosenberg, J., "The Extensible Markup Language (XML) Configuration Access Protocol (XCAP)", RFC 4825, May 2007.
- [9] Tschofenig, H. and H. Schulzrinne, "GEOPRIV Layer 7 Location Configuration Protocol: Problem Statement and Requirements", RFC 5687, March 2010.
- [10] Polk, J., Schnizlein, J., and M. Linsner, "Dynamic Host Configuration Protocol Option for Coordinate-based Location Configuration Information", RFC 3825, July 2004.
- [11] Schulzrinne, H., "Dynamic Host Configuration Protocol (DHCPv4 and DHCPv6) Option for Civic Addresses Configuration Information", RFC 4776, November 2006.
- [12] Polk, J., "Dynamic Host Configuration Protocol (DHCP) IPv4 and IPv6 Option for a Location Uniform Resource Identifier (URI)", draft-ietf-geopriv-dhcp-lbyr-uri-option-08 (work in progress), July 2010.
- [13] Barnes, M., "HTTP-Enabled Location Delivery (HELD)", RFC 5985, September 2010.
- [14] Marshall, R., "Requirements for a Location-by-Reference Mechanism", RFC 5808, May 2010.
- [15] Hardie, T., Newton, A., Schulzrinne, H., and H. Tschofenig, "LoST: A Location-to-Service Translation Protocol", RFC 5222, August 2008.
- [16] Kent, S. and K. Seo, "Security Architecture for the Internet Protocol", RFC 4301, December 2005.
- [17] Dierks, T. and E. Rescorla, "The Transport Layer Security (TLS) Protocol Version 1.2", RFC 5246, August 2008.
- [18] Peterson, J., "A Presence-based GEOPRIV Location Object Format", RFC 4119, December 2005.
- [19] Fielding, R., Gettys, J., Mogul, J., Frystyk, H., Masinter, L., Leach, P., and T. Berners-Lee, "Hypertext Transfer Protocol -- HTTP/1.1", RFC 2616, June 1999.

- [20] Rosenberg, J., Schulzrinne, H., Camarillo, G., Johnston, A., Peterson, J., Sparks, R., Handley, M., and E. Schooler, "SIP: Session Initiation Protocol", RFC 3261, June 2002.
- [21] World Wide Web Consortium, "The XMLHttpRequest Object", W3C document <http://www.w3.org/TR/XMLHttpRequest/>, April 2008.
- [22] Rosen, B., Schulzrinne, H., Polk, J., and A. Newton, "Framework for Emergency Calling using Internet Multimedia", draft-ietf-ecrit-framework-11 (work in progress), July 2010.
- [23] Rosen, B. and J. Polk, "Best Current Practice for Communications Services in support of Emergency Calling", draft-ietf-ecrit-phonebcp-15 (work in progress), July 2010.
- [24] Schulzrinne, H., "Location-to-URL Mapping Architecture and Framework", draft-ietf-ecrit-mapping-arch-04 (work in progress), March 2009.
- [25] Saint-Andre, P., Ed., "Extensible Messaging and Presence Protocol (XMPP): Core", RFC 3920, October 2004.
- [26] Shirey, R., "Internet Security Glossary, Version 2", RFC 4949, August 2007.
- [27] Polk, J. and B. Rosen, "Location Conveyance for the Session Initiation Protocol", draft-ietf-sip-location-conveyance-13 (work in progress), March 2009.

URIs

- [28] <<http://creativecommons.org/>>

Authors' Addresses

Richard Barnes
BBN Technologies
9861 Broken Land Pkwy, Suite 400
Columbia, MD 21046
USA

Phone: +1 410 290 6169
Email: rbarnes@bbn.com

Matt Lepinski
BBN Technologies
10 Moulton St
Cambridge, MA 02138
USA

Phone: +1 617 873 5939
Email: mlepinski@bbn.com

Alissa Cooper
Center for Democracy & Technology
1634 I Street NW, Suite 1100
Washington, DC
USA

Email: acooper@cdt.org

John Morris
Center for Democracy & Technology
1634 I Street NW, Suite 1100
Washington, DC
USA

Email: jmorris@cdt.org

Hannes Tschofenig
Nokia Siemens Networks
Linnoitustie 6
Espoo 02600
Finland

Phone: +358 (50) 4871445
Email: Hannes.Tschofenig@gmx.net
URI: <http://www.tschofenig.priv.at>

Henning Schulzrinne
Columbia University
Department of Computer Science
450 Computer Science Building
New York, NY 10027
US

Phone: +1 212 939 7004
Email: hgs@cs.columbia.edu
URI: <http://www.cs.columbia.edu>

