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Namespace Considerations and Registries for GSS-API Extensions  
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Abstract

This document describes the ways in which the GSS-API may be extended and directs the creation of an IANA registry for various GSS-API namespaces.

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## Table of Contents

1. Conventions used in this document . . . . .	2
2. Introduction . . . . .	2
3. Extensions to the GSS-API . . . . .	2
4. Generic GSS-API Namespaces . . . . .	3
5. Language Binding-Specific GSS-API Namespaces . . . . .	3
6. Extension-Specific GSS-API Namespaces . . . . .	4
7. Registration Form . . . . .	4
8. IANA Considerations . . . . .	6
8.1. Initial Namespace Registrations . . . . .	7
8.1.1. Example registrations . . . . .	7
8.2. Registration Maintenance Guidelines . . . . .	9
8.2.1. Sub-Namespace Symbol Pattern Matching . . . . .	10
8.2.2. Expert Reviews of Individual Submissions . . . . .	10
8.2.3. Change Control . . . . .	11
9. Security Considerations . . . . .	12
10. References . . . . .	12
10.1. Normative References . . . . .	12
10.2. Informative References . . . . .	12
Authors' Addresses . . . . .	13

## 1. Conventions used in this document

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [RFC2119].

## 2. Introduction

There is a need for private-use and mechanism-specific extensions to the Generic Security Services Application Programming Interface (GSS-API). As such extensions are designed and standardized (or not), both at the IETF and elsewhere, there is a non-trivial risk of namespace pollution and conflicts. To avoid this we set out guidelines for extending the GSS-API and direct the creation of an IANA registry for GSS-API namespaces.

Registrations of individual items and sub-namespaces are allowed. Each sub-namespace may provide different rules for registration, e.g., for mechanism-specific and private-use extensions.

## 3. Extensions to the GSS-API

Extensions to the GSS-API can be categorized as follows:

- o Abstract API extensions

- o Implementation-specific
- o Mechanism-specific
- o Language binding-specific

Extensions to the GSS-API may be purely semantic, without effect on the GSS-API's namespaces. Or they may introduce new functions, constants, types, etc...; these clearly affect the GSS-API namespaces.

Extensions that affect the GSS-API namespaces should be registered with the IANA as described herein.

#### 4. Generic GSS-API Namespaces

The abstract API namespaces for the GSS-API are:

- o Type names
- o Function names
- o Constant names for various types
- o Constant values for various types
- o Name types (OID, type name and syntaxes)

Additionally we have namespaces associates with the OBJECT IDENTIFIER (OID) type. The IANA already maintains a registry of such OIDs:

- o Mechanism OIDs
- o Name Type OIDs

#### 5. Language Binding-Specific GSS-API Namespaces

Language binding specific namespaces include, among others:

- o Header/interface module names
- o Object classes and/or types
- o Methods and/or functions
- o Constant names
- o Constant values

## 6. Extension-Specific GSS-API Namespaces

Extensions to the GSS-API may create additional namespaces. See Section 8.2.

## 7. Registration Form

Registrations for GSS-API namespaces SHALL take the following form:

Registration Field	Possible Values	Description
Bindings	'Generic', 'C-bindings', 'Java', 'C#', <programming language name>	Indicates the name of the programming language that this registration involves, or, if 'Generic', that this is an entry for the generic abstract GSS-API (i.e., not specific to any programming language).
Registration type	'Instance', 'Sub-Namespace'	Indicates whether this entry reserves a given symbol name (and possibly, constant value), or whether it reserves an entire sub-namespace (the name is a pattern) or constant value range.
Object Type	<Symbol> defined by the binding language (for example 'Data-Type', 'Function', 'Method', 'Integer', 'String', 'OID', 'Context-Flag', 'Name-Type', 'Macro', 'Header-File-Name', 'Module-Name', 'Class')	Indicates the type of the object whose symbolic name or constant value this entry registers. The possible values of this field depend on the programming language in question, therefore they are not all specified here.
Symbol Name/Prefix	<Symbol name or name pattern>	The name of a symbol or symbol sub-namespace being

		registered. See Section 8.2.1
Binding of	<Name of abstract API element of which this object is a binding>	If the registration is for a specific language binding of the GSS-API, then this names the abstract API element of which it is a binding (OPTIONAL).
Constant Value/Range	<Constant value> or <constant value range>	The value of the constant named by the <Symbol Name/Prefix>. This field is present only for Instance and Sub-namespace registrations of Constant object types.
Description	<Text>	Description of the registration. Multiple instances of this field may result (see Section 8.2.3).
Registration Rules	<Reference> to an IANA registration Policy defined in [RFC5226] (or an RFC that updates it), for instance 'IESG Approval', 'Expert Review', 'First Come First Served', 'Private Use'.	Describes the rules for allocation of items that fall in this sub-namespace, for entries with Registration Type of Sub-namespace (OPTIONAL). For private use sub-namespaces the submitter MUST provide the e-mail address of a responsible contact. If this field is not specified for a sub-namespace, the default registration rules specified in Section 8.2 apply.
Reference	<Reference>	Reference to a document that describes the registration, if any (OPTIONAL). Multiple instances of this field are allowed, with one reference each.
Expert Reviewer	<Name of expert reviewers, possibly	OPTIONAL, see Section 8.2.2. Multiple instances of this

	WG names>	field are allowed, with one expert reviewer per-instance. Leave this field blank when requesting a registration. It will be filled in by the Expert who reviews the registration.
Expert Review Notes	<Notes from the expert review>	Expert reviewers may request that some comments be included with the registration, e.g., regarding security considerations of the registered extension.
Status	'Registered' or 'Obsoleted'	Status of the registration.
Obsoleting Reference	<Reference>	Reference to a document, if any, that obsoletes this registration. Multiple instances of this field are allowed, with one reference each. (OPTIONAL)

The IANA should create a single GSS-API namespace registry, or multiple registries, one for symbolic names and one for constant values, and/or it may create a registry per-programming language, at its convenience.

Entries in these registries should consist of all the fields from their corresponding registration entries.

Entries should be sorted by: programming language, registration type, object type, and symbol name/pattern.

## 8. IANA Considerations

This document deals with IANA considerations throughout. Specifically it creates a single registry of various kinds of things, though the IANA may instead create multiple registries, each for one of those kinds of things. Of particular interest may be that IANA will now be the registration authority for the GSS-API name type OID space.

## 8.1. Initial Namespace Registrations

Initial registry content corresponding to the items defined in [RFC2743], [RFC2744], [RFC2853], [RFC1964] and [RFC4121] and others will be supplied during the IANA review portion of the RFC publishing process. [[Note to RFC Editor: Delete the following sentence before publication:]] The KITTEN WG chairs MUST indicate that such content has been reviewed by the WG and that there is WG consensus that the entries are in agreement with those RFCs.

### 8.1.1. Example registrations

In order to sanity check recommended IANA registration templates, this section registers several entries.

Registration Field	Possible Values
Bindings	C-bindings
Registration type	Instance
Object Type	Function
Symbol Name	gss_init_sec_context
Binding of	GSS_Init_sec_context
Constant Value/Range	N/A
Description	Create a security context by initiator
Registration Rules	N/A
Reference	RFC 2744
Expert Reviewer	Kitten WG
Expert Review Notes	
Status	Registered
Obsoleting Reference	N/A

Registration Field	Possible Values
Bindings	C-bindings
Registration type	Instance
Object Type	Function
Symbol Name	gss_accept_sec_context
Binding of	GSS_Accept_sec_context
Constant Value/Range	N/A
Description	Accept a security context from initiator
Registration Rules	N/A
Reference	RFC 2744
Expert Reviewer	Kitten WG
Expert Review Notes	
Status	Registered
Obsoleting Reference	N/A



Registration Field	Possible Values
Bindings	C-bindings
Registration type	Instance
Object Type	Context-Flag
Symbol Name	GSS_C_DELEG_FLAG
Binding of	deleg_state or deleg_req_flag
Constant Value/Range	1
Description	On output (if set): Delegated credentials are available via the delegated_cred_handle parameter of GSS_Accept_sec_context. On input (if set): With the call to GSS_Init_sec_context, delegate credentials to the acceptor.
Registration Rules	N/A
Reference	RFC 2744
Expert Reviewer	Kitten WG
Expert Review Notes	
Status	Registered
Obsoleting Reference	N/A

## 8.2. Registration Maintenance Guidelines

Standards-Track RFCs can create new items with any non-conflicting Symbol Name/Prefix value for this registry by virtue of IESG approval to publish as a Standards-Track RFC -- that is, without additional expert review.

Standards-Track RFCs can mark existing entries as obsolete, and can even create conflicting entries if explicitly stated (the IESG, of course, should review conflicts carefully, and may reject them).

IANA shall also consider submissions from individuals, and via Informational and Experimental RFCs, subject to Expert Review. IANA SHALL allow such registrations if a) they are not conflicting, b) provided that the registration is for object types other than Context-Flags, and c) subject to expert review. Guidelines for expert reviews are given below.

#### 8.2.1. Sub-Namespace Symbol Pattern Matching

Sub-namespace registrations must provide a pattern for matching symbols for which the sub-namespace's registration rules apply. The pattern consists of a string with the following special tokens:

- o `'*'`, meaning "match any string."
- o `%m`, meaning "match any mechanism family short-hand name."
- o `%i`, meaning "match any implementor vanity short-hand name."

For example, `"GSS_%m_*"` matches `"GSS_krb5_foo"` since `"krb5"` is a common short-hand for the Kerberos V GSS-API mechanism [RFC1964]. But `"GSS_%m_*"` does not match `"GSS_foo_bar"` unless `"foo"` is asserted to be a short-hand for some mechanism.

#### 8.2.2. Expert Reviews of Individual Submissions

[[The following paragraph should be deleted from the document before publication, as it will not age well. It should be moved to the shepherding write-up.]]

Expert review selection SHALL be done as follows. If, at the time that the IANA receives an individual submission for registration in this registry, there are any IETF Working Groups chartered to produce GSS-API-related documents, then the IANA SHALL ask the chairs of such WGs to be expert reviewers or to name one. If there are no such WGs at that time, then the IANA SHALL ask past chairs of the KITTEN WG and the author/editor of this RFC to act as expert reviewers or name an alternate.

Expert reviewers of individual registration submissions with Registration Type == Sub-namespace should check that the registration request has a suitable description (which doesn't need to be sufficiently detailed for others to implement) and that the Symbol Name/Prefix is sufficiently descriptive of the purpose of the sub-namespace or reflective of the name of the submitter or associated company.

Expert reviewers of individual registration submissions with

Registration Type == Instance should check that the Symbol Name falls under a sub-namespace controlled by the submitter. Registration of such entries which do not fall under such a sub-namespace may be allowed provided that they correspond to long existing non-standard extensions to the GSS-API and this can be easily checked or demonstrated, otherwise IESG Protocol Action is REQUIRED (see previous section). Also, reviewers should check that any registration of constant values have a detailed description that is suitable for other implementors to reproduce, and that they don't conflict with other usages or are otherwise dangerous in the reviewers estimation.

Expert reviewers should review impact on mechanisms, security and interoperability, and may reject or annotate registrations which can have mechanism impact that requires IESG protocol action. Consider, for example, new versions of `GSS_Init_sec_context()` and/or `GSS_Accept_sec_context` which have new input and/or output parameters which imply changes on the wire or in behaviour that may result in interoperability issues. A reviewer could choose to add notes to the registration describing such issues, or the reviewer might conclude that the danger to Internet interoperability is sufficient to warrant rejecting the registration.

#### 8.2.3. Change Control

Registered entries may be marked obsoleted using the same expert review process as for registering new entries. Obsoleted entries are not, however, to be deleted, but merely marked having Obsoleted Status. Note that entries may be created as obsoleted to record the fact that the given symbol(s) have been used before, even though continued use of them is discouraged.

Registered entries may also be updated in two other ways: additional references, obsoleting references, and descriptions may be added.

All changes are subject to expert review, except for changes to registrations in a sub-namespace which are subject to the rules of the relevant sub-namespace. The submitter of a change request need not be the same as the original submitter.

Registrations may be modified by addition, but under no circumstance may any fields be modified except for the Status field or Contact Address, or to correct for transcription errors in filing or processing registration requests.

The IANA SHALL add a field describing the date that a an addition or modification was made, and a description of the change.

## 9. Security Considerations

General security considerations relating to IANA registration services apply; see [RFC5226].

Also, expert reviewers should look for and may document security related issues with submitters' GSS-API extensions, to the best of the reviewers' ability given the information furnished by the submitter. Reviewers may add comments regarding their limited ability to review a submission for security problems if the submitter is unwilling to provide sufficient documentation.

## 10. References

### 10.1. Normative References

- [RFC2119] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", BCP 14, RFC 2119, DOI 10.17487/RFC2119, March 1997, <<http://www.rfc-editor.org/info/rfc2119>>.
- [RFC2743] Linn, J., "Generic Security Service Application Program Interface Version 2, Update 1", RFC 2743, DOI 10.17487/RFC2743, January 2000, <<http://www.rfc-editor.org/info/rfc2743>>.
- [RFC5226] Narten, T. and H. Alvestrand, "Guidelines for Writing an IANA Considerations Section in RFCs", BCP 26, RFC 5226, DOI 10.17487/RFC5226, May 2008, <<http://www.rfc-editor.org/info/rfc5226>>.

### 10.2. Informative References

- [RFC1964] Linn, J., "The Kerberos Version 5 GSS-API Mechanism", RFC 1964, DOI 10.17487/RFC1964, June 1996, <<http://www.rfc-editor.org/info/rfc1964>>.
- [RFC2744] Wray, J., "Generic Security Service API Version 2 : C-bindings", RFC 2744, DOI 10.17487/RFC2744, January 2000, <<http://www.rfc-editor.org/info/rfc2744>>.
- [RFC2853] Kabat, J. and M. Upadhyay, "Generic Security Service API Version 2 : Java Bindings", RFC 2853, DOI 10.17487/RFC2853, June 2000, <<http://www.rfc-editor.org/info/rfc2853>>.

[RFC4121] Zhu, L., Jaganathan, K., and S. Hartman, "The Kerberos Version 5 Generic Security Service Application Program Interface (GSS-API) Mechanism: Version 2", RFC 4121, DOI 10.17487/RFC4121, July 2005, <<http://www.rfc-editor.org/info/rfc4121>>.

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