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An Architecture for Media Recording using the Session Initiation
Protocol
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Abstract

Session recording is a critical requirement in many communications environments such as call centers and financial trading. In some of these environments, all calls must be recorded for regulatory, compliance, and consumer protection reasons. Recording of a session is typically performed by sending a copy of a media stream to a recording device. This document describes architectures for deploying session recording solutions in an environment which is based on the Session Initiation Protocol (SIP).

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1. Introduction

Session recording is a critical requirement in many communications environments such as call centers and financial trading. In some of these environments, all calls must be recorded for regulatory, compliance, and consumer protection reasons. Recording of a session is typically performed by sending a copy of a media stream to a recording device. This document describes architectures for

deploying session recording solutions as defined in "Use Cases and Requirements for SIP-Based Media Recording (SIPREC)" [RFC6341].

This document focuses on how sessions are established between a Session Recording Client (SRC) and the Session Recording Server (SRS) for the purpose of conveying the Replicated Media and Recording Metadata (e.g. Identity of parties involved) relating to the Communication Session.

Once the Replicated Media and Recording Metadata have been received by the SRS they will typically be archived for retrieval at a later time. The procedures relating to the archiving and retrieval of this information is outside the scope of this document.

This document only considers active recording, where the SRC purposefully streams media to a SRS. Passive recording, where a recording device detects media directly from the network (E.g. using port mirroring techniques), is outside the scope of this document. In addition, lawful intercept is outside the scope of this document which takes account of the IETF policy on wiretapping [RFC2804].

The Recording Session that is established between the SRC and the SRS uses the normal procedures for establishing INVITE initiated dialogs as specified in [RFC3261] and uses SDP for describing the media to be used during the session as specified in [RFC4566]. However it is intended that some extensions to SIP (E.g. Headers, Option Tags, Etc.) will be defined to support the requirements for media recording. The Replicated Media is required to be sent in real-time to the SRS and is not buffered by the SRC to allow for real-time analysis of the media by the SRS.

2. Definitions

Session Recording Server (SRS): A Session Recording Server (SRS) is a SIP User Agent (UA) that is a specialized media server or collector that acts as the sink of the recorded media. An SRS is typically implemented as a multi-port device that is capable of receiving media from multiple sources simultaneously. An SRS is the sink of the communication session metadata.

Session Recording Client (SRC): A Session Recording Client (SRC) is a SIP User Agent (UA) that acts as the source of the recorded media, sending it to the SRS. An SRC is a logical function. Its capabilities may be implemented across one or more physical devices. In practice, an SRC could be a personal device (such as a SIP phone), a SIP Media Gateway (MG), a Session Border Controller (SBC) or a SIP Media Server (MS) integrated with an Application Server (AS). This specification defines the term SRC such that all such SIP entities can be generically addressed under one definition. The SRC provides communication session metadata to the SRS.

Communication Session (CS): A session created between two or more SIP User Agents (UAs) that is the subject of recording.

Recording Session (RS): The SIP session created between an SRC and SRS for the purpose of recording a CS.

Recording aware User Agent (UA): A SIP User Agent that is aware of SIP extensions associated with the CS. Such extensions may be used to notify the Recording aware UA that a session is being recorded, or by a Recording aware UA to express preferences as to whether a recording should be started, paused, resumed or stopped.

Recording unaware User Agent (UA): A SIP User Agent that is unaware of SIP extensions associated with the CS. Such Recording unaware UA will be notified that a session is being recorded or express preferences as to whether a recording should be started, paused, resumed or stopped via some other means that is out of scope for the SIP media recording architecture.

Recording Metadata: The metadata describing the CS that is required by the SRS. This will include for example the identity of users that participate in the CS and dialog state. Typically this metadata is archived with the Replicated Media at the SRS. The recording metadata is delivered in real-time to the SRS.

Replicated Media: A copy of the media associated with the CS created by the SRC and sent to the SRS. It may contain all the media

associated with the CS (e.g. Audio and Video) or just a subset (e.g. Audio). Replicated Media is part of Recording Session.

3. Session Recording Architecture

3.1. Location of the SRC

This section contains some example session recording architectures showing how the SRC is a logical function that can be located in or split between various physical components.

3.1.1. B2BUA acts as a SRC

A SIP Back to Back User Agent (B2BUA) which has access to the media to be recorded may act as an SRC. The B2BUA may already be aware that a session needs to be recorded before the initial establishment of the CS or the decision to record the session may occur after the session has been established.

If the SRC makes the decision to initiate the RS, then it will initiate the establishment of a SIP RS by sending an INVITE to the SRS.

If the SRS makes the decision to initiate the recording session, then it will initiate the establishment of a SIP RS by sending an INVITE to the SRC.

The RS INVITE contains information which identifies the session as being established for the purposes of recording and prevents the session from being accidentally rerouted to a UA which is not an SRS if the RS was initiated by SRC or vice-versa.

The B2BUA/SRC is responsible for notifying the UAs involved in the CS that the session is being recorded.

The B2BUA/SRC is responsible for complying with requests from recording aware UAs or through some configured policies indicating that the CS should not be recorded.

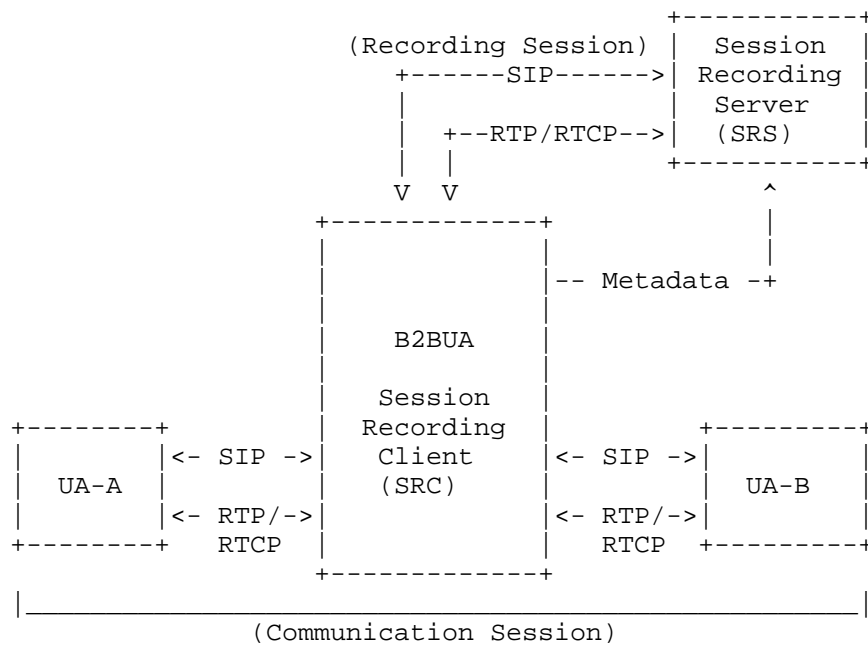


Figure 1: B2BUA Acts as the Session Recording Client.

3.1.2. Endpoint acts as SRC

A SIP Endpoint / UA may act as a SRC. in which case the endpoint sends the Replicated Media to the SRS.

If the endpoint makes the decision to initiate the Recording Session then it will initiate the establishment of a SIP Session by sending an INVITE to the SRS.

If the SRS makes the decision to initiate the Recording Session then it will initiate the establishment of a SIP Session by sending an INVITE to the endpoint. The actual decision mechanism is out of scope for the SIP media recording architecture.

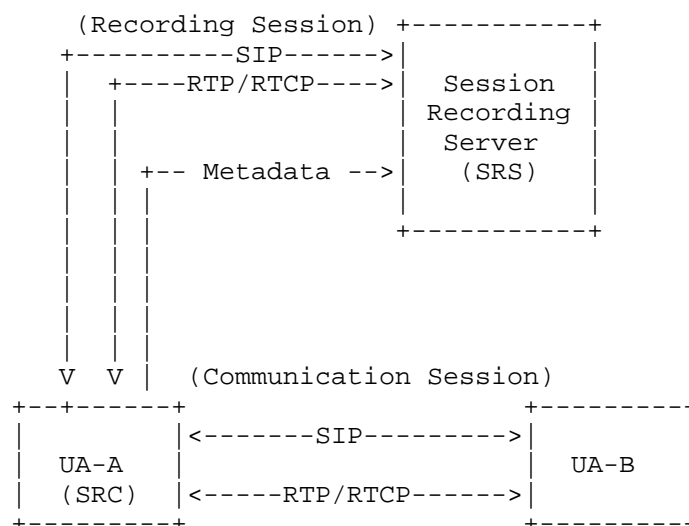


Figure 2: SIP Endpoint acts as the Session Recording Client

3.1.3. A SIP Proxy cannot be a SRC

A SIP Proxy is unable to act as an SRC because it does not have access to the media and therefore has no way of enabling the delivery of the replicated media to the SRS.

3.1.4. Interaction with MEDIACTRL

The MEDIACTRL architecture [RFC5567] describes an architecture in which an Application Server (AS) controls a Media Server (MS) which may be used for purposes such as conferencing and recording media streams. In the [RFC5567] architecture the AS typically uses SIP Third Party Call Control (3PCC) to instruct the SIP UAs to direct their media to the Media Server.

The SRC or the SRS described in this document may be architected according to [RFC5567]; and therefore, when further decomposed, they may be made up of an application server (AS) which uses a mediactrl interface to control a media server (MS).

As shown in figure 3, when the SRS is architected according to [RFC5567] the MS acts as a sink of the recording media and the AS acts as a sink of the metadata and the termination point for RS SIP signaling. As shown in figure 4, when the SRC is architected according to [RFC5567] the MS acts as a source of recording media and the AS acts as a source of the metadata and the termination point for RS SIP signaling.

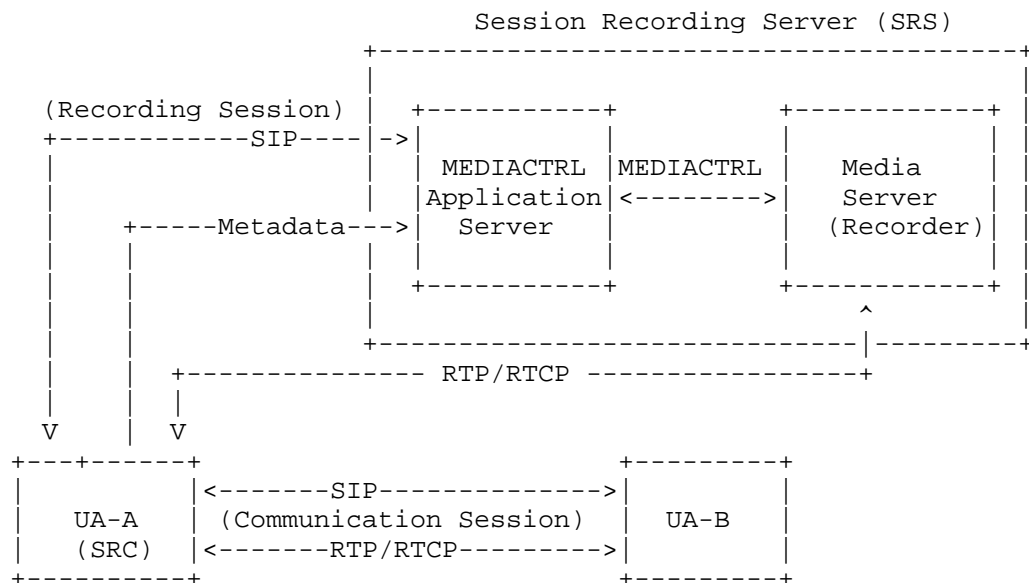


Figure 3: Example of Session Recording Server using MEDIACTRL

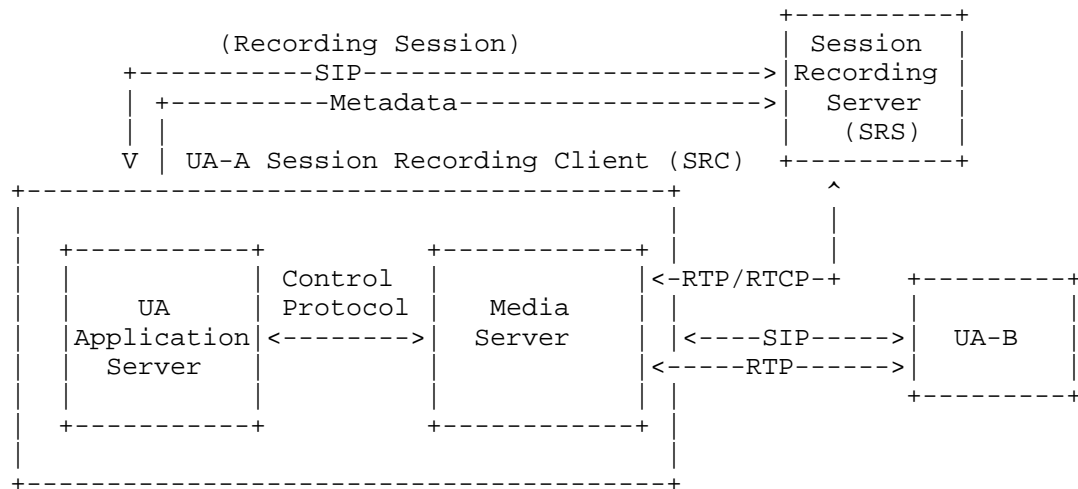


Figure 4: Example of Session Recording Client decomposition

3.1.5. Interaction with Conference Focus

In the case of a centralised conference a combination of the conference focus and mixer [RFC4353] may act as a SRC and therefore provide the SRS with the replicated media and associated recording metadata. In this arrangement the SRC is able to provide media and metadata relating to each of the participants, including, for example, any side conversations where the media passes through the mixer.

Conference Focus can either provide mixed replicated media or separate streams per conference participant (as depicted in the Figure 5).

The conference focus may also act as a Recording Aware UA in the case when one of the participants acts as a SRC.

In an alternative arrangement a SIP endpoint which is a conference participant can act as an SRC. The SRC will in this case have access to the media and metadata relating to that particular participant and may be able to obtain additional metadata from the conference focus. The SRC may for example use the conference event package as described in [RFC4575] to obtain information about other participants which it provides to the SRS within the recording metadata.

The SRC may be involved in the conference from the very beginning or may join at some later point of time.

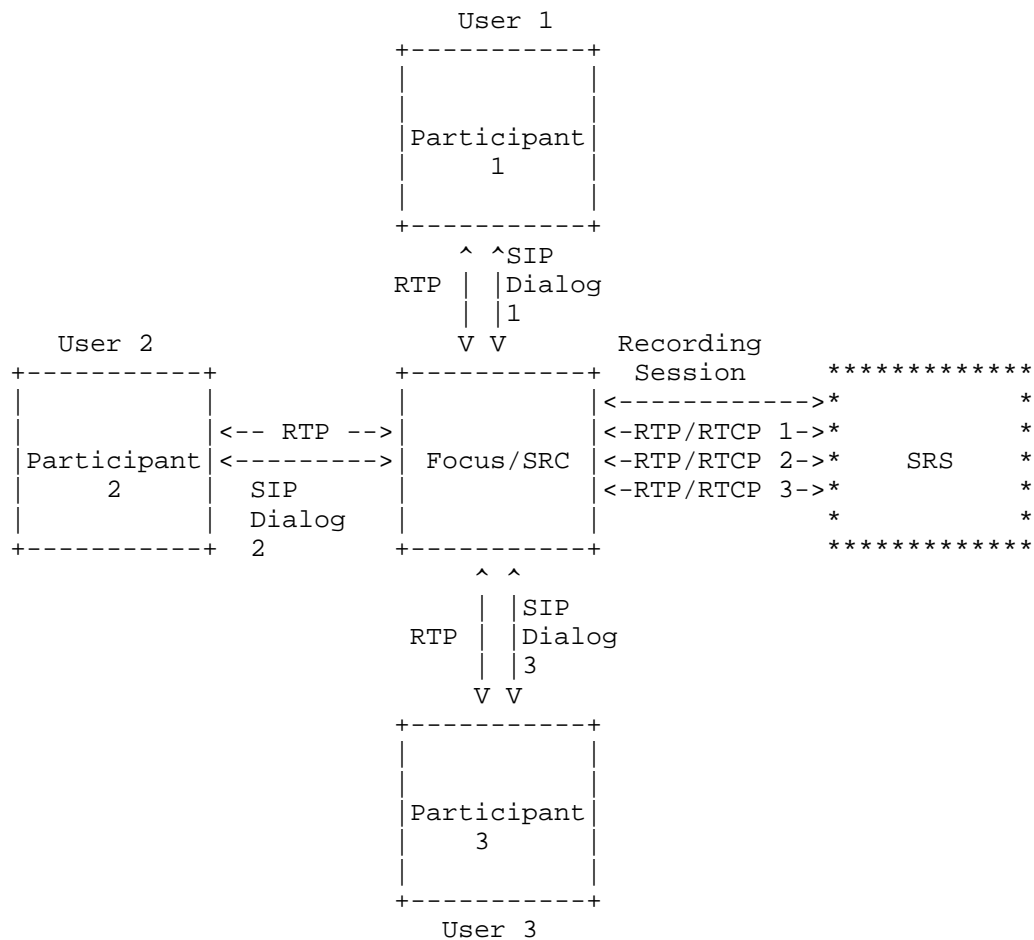


Figure 5: Conference Focus acting as an SRC.

3.2. Establishing the Recording Session

The SRC or the SRS may initiate the Recording Session.

It should be noted that the Recording Session is independent from the CS that is being recorded at both the SIP dialog level and at the session level.

Concerning media negotiation, regular SIP/SDP capabilities should be used, and existing transcoding capabilities and media encryption should not be precluded.

3.2.1. SRC Initiated Recording

When the SRC initiates the Recording Session for the purpose of conveying media to the SRS it performs the following actions:

- o The SRC is provisioned with a Unified Resource Identifier (URI) for the SRS, which is resolved through normal [RFC3263] procedures.
- o Initiates the dialog by sending an INVITE request to the SRS. The dialog is established according to the normal procedures for establishing an INVITE initiated dialog as specified in [RFC3261].
- o Include in the INVITE an indication that the session is established for the purpose of recording the associated media.
- o If the Replicated Media is to be started immediately then the SRC will include an SDP attribute of "a=sendonly" for each media line or "a=inactive" if it is not ready to transmit the media.
- o The Recording Session may replicate all media associated with the CS or only a subset.
- o Replicates the media streams that are to be recorded and transmits the media to the SRS.

3.2.2. SRS Initiated Recording

When the SRS initiates the media recording session with the SRC it performs the following actions:

- o The SRS is provisioned with a Unified Resource Identifier (URI) for the SRC, which is resolved through normal [RFC3263] procedures.
- o Sends an INVITE request to the SRC.
- o Includes in the INVITE an indication that the session is established for the purpose of recording the associated media.
- o Identifies the sessions that are to be recorded. The actual mechanism of the identification depends on SRC policy.
- o If the Recording Session is to be started immediately then the SRS will include an SDP attribute of "a=recvonly" for each media line or "a=inactive" if it is not ready to receive the media.

If the SRS does not have prior knowledge of what media streams are available to be recorded it can make use of an offerless INVITE which allows the SRC to make the initial Session Description Protocol (SDP) offer.

3.2.3. Pause/Resume Recording Session

The SRS or the SRC may pause the recording by changing the SDP direction attribute to "inactive" and resume the recording by changing the direction back to "recvonly" or "sendonly".

3.2.4. Media Stream Mixing

In a basic session involving only audio there are typically two audio /RTP streams between the two UAs involved transporting media in each direction. When recording this media, the two streams may be mixed or not mixed at the SRC before being transmitted to the SRS. In the case when they are not mixed, two separate streams are sent to the SRS. In the mixed case, a single mixed media stream is sent to the SRS. However, in the case when the media streams are not mixed, the SDP offer sent to the SRS must describe two separate media streams.

3.2.5. Media Transcoding

The CS and the RS are negotiated separately using the standard SDP offer/answer exchange which may result in the SRC having to perform media transcoding between the two sessions. If the SRC is not capable of performing media transcoding it may limit the media formats in the offer to the SRS depending on what media is negotiated on the CS or may limit what it includes in the offer on the CS if it has prior knowledge of the media formats supported by the SRS. However typically the SRS will be a more capable device which can provide a wide range of media format options to the SRC and may also be able to make use of a media transcoder as detailed in [RFC5369].

3.2.6. Lossless Recording

Session recording may be a regulatory requirement in certain communication environments. Such environments may impose a requirement generally known as Lossless Recording. An overall lossless recordingsolution may involve multiple layers of solutions. Individual aspects of the solutions may range from administering networks for appropriate QoS, reliable transmission of recorded media and perhaps certain SIPREC protocol level capabilities in SRC and SRS.

3.3. Recording Metadata

3.3.1. Contents of recording metadata

The metadata model is defined in [I-D.ietf-siprec-metadata].

3.3.2. Mechanisms for delivery of metadata to SRS

The SRS obtains session recording metadata from the SRC. The metadata is transported via SIP based mechanisms as specified in [I-D.ietf-siprec-protocol]

It is also possible that metadata is transported via non SIP based mechanisms but these are considered out of scope.

It is also possible to have RS session without the metadata, in such case SRS will be receiving it by some other means or not at all.

3.4. Notifications to the Recorded User Agents

Typically a user that is involved in a session that is to be recorded is notified by an announcement at the beginning of the session or may receive some warning tones within the media. However the standardization of media recording protocols when using SIP enable an indication that the call is being recorded to be included in the SIP requests and responses associated with that CS.

It is the SRC that provides the notification to all SIP UAs for which it is replicating received media for the purpose of recording including the local user if the SRC is a SIP endpoint.

3.5. Preventing the recording of a SIP session

A Recording Aware UA may during the initial session establishment or during an established session provide an indication of their preference with regard to recording the media in the CS. The mechanism for this are specified in [I-D.ietf-siprec-protocol]

4. IANA considerations

This document has no actions for IANA. This draft mentions SIP/SDP extensions. The associated IANA considerations are addressed in [I-D.ietf-siprec-protocol] that defines them.

5. Security considerations

The Recording Session is fundamentally a standard SIP dialog and media session and therefore makes use of existing SIP security mechanisms for securing the Recording Session and Recording Metadata.

The intended use of this architecture is only for the case where the users are aware that they are being recorded, and the architecture provides the means for the SRC to notify users that they are being recorded.

This architectural solution is not intended to support lawful intercept which in contrast requires that users are not informed.

It is the responsibility of the SRS to protect the Replicated Media and Recording Metadata once it has been received and archived. The stored content must be protected using a cipher at least as strong (or stronger) than the original content however the mechanism for protecting the storage and retrieval from the SRS is out of scope of this work. The keys used to store the data must also be securely maintained by the SRS and should only be released, securely, to authorized parties. How to secure these keys, properly authorize a receiving party, or securely distribute the keying material is also out of scope of this work.

Protection of the RS should not be weaker than protection of the CS, and may need to be stronger because the media is retransmitted (allowing more possibility for interception). This applies to both the signaling and media paths.

It is essential that the SRC will authenticate the SRS because the client must be certain that it is recording on the right recording system. It is less important that the SRS authenticate the SRC, but implementations must have the ability to perform mutual authentication.

In some environments, it is desirable to not decrypt and re-encrypt the media. This means the same media encryption key is negotiated and used within the CS and RS. If for any reason the media are decrypted on the CS, and are re-encrypted on the RS, a new key must be used.

The retrieval mechanism for media recorded by this protocol is out of scope. Implementations of retrieval mechanisms should consider the security implications carefully as the retriever is not usually a party to the call that was recorded. Retrievers should be authenticated carefully. The crypto suites on the retrieval should be no less strong than used on the RS, and may need to be stronger.

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Use Cases and Requirements for SIP-based Media Recording (SIPREC)
draft-ietf-siprec-req-12

Abstract

Session recording is a critical requirement in many business communications environments such as call centers and financial trading floors. In some of these environments, all calls must be recorded for regulatory and compliance reasons. In others, calls may be recorded for quality control or business analytics.

Recording is typically performed by sending a copy of the session media to the recording devices. This document specifies requirements for extensions to SIP that will manage delivery of RTP media to a recording device. This is being referred to as SIP-based Media Recording.

Status of this Memo

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1. Introduction

Session recording is a critical operational requirement in many businesses, especially where voice is used as a medium for commerce and customer support. A prime example where voice is used for trade is the financial industry. The call recording requirements in this industry are quite stringent. The recorded calls are used for dispute resolution and compliance. Other businesses such as customer support call centers typically employ call recording for quality control or business analytics, with different requirements.

Depending on the country and its regulatory requirements, financial trading floors typically must record all calls. In contrast, call centers typically only record a subset of the calls, and calls must not fail regardless of the availability of the recording device.

Respecting the privacy rights and wishes of users engaged in a call is of paramount importance. In many jurisdictions participants have a right to know that the session is being recorded or might be recorded, and have a right to opt out, either by terminating the call or by demanding that the call not be recorded. Therefore this document contains requirements for being able to notify users that a call is being recorded and for users to be able to request that a call not be recorded. Use cases where users participating in a call are not informed that the call is or might be recorded are outside the scope of this document. In particular, lawful intercept is outside the scope of this document.

Furthermore, one-size-fits-all model will not fit all markets where the scale and cost burdens vary widely having different needs for solution capabilities such as media injection, transcoding, and security. If a standardized solution supports all of the requirements from every recording market, but doing so would be expensive for markets with lesser needs, then proprietary solutions for those markets will continue to propagate. Care must be taken, therefore, to make a standards-based solution support optionality and flexibility.

This document specifies requirements for using SIP [RFC3261] between a Session Recording Client and a Session Recording Server to control the recording of media that has been transmitted in the context of a Communication Session. A Communication Session is the "call" between participants. The Session Recording Client is the source of the recorded media. The Session Recording Server is the sink of recorded media. It should be noted that the requirements for the protocol between a Session Recording Server and Session Recording Client have very similar requirements (such as codec and transport negotiation, encryption key interchange, firewall traversal) as compared to

regular SIP media sessions. The choice of SIP for session recording provides reuse of an existing protocol.

The recorded sessions can be any RTP media sessions including voice, DTMF (as defined by [RFC4733]), video, and text (as defined by [RFC4103]).

An archived session recording is typically comprised of the Communication Session media content and the Communication Session Metadata. The Communication Session Metadata allows recording archives to be searched and filtered at a later time and allows a session to be played back in a meaningful way, e.g., with correct synchronization between the media. The Communication Session Metadata needs to be conveyed from the Session Recording Client to the Session Recording Server.

This document only considers active recording, where the Session Recording Client purposefully streams media to a Session Recording Server. Passive recording, where a recording device detects media directly from the network, is outside the scope of this document.

2. Requirements notation

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [RFC2119] and indicate requirement levels for compliant mechanisms.

3. Definitions

Session Recording Server (SRS): A Session Recording Server (SRS) is a SIP User Agent (UA) that is a specialized media server or collector that acts as the sink of the recorded media. An SRS is typically implemented as a multi-port device that is capable of receiving media from multiple sources simultaneously. An SRS is the sink of the recorded session metadata.

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metadata to the SRS.

Communication Session (CS): A session created between two or more SIP User Agents (UAs) that is the subject of recording.

Recording Session (RS): The SIP session created between an SRC and SRS for the purpose of recording a Communication Session.

Figure 1 pictorially represents the relationship between a Recording Session and Communication Session.

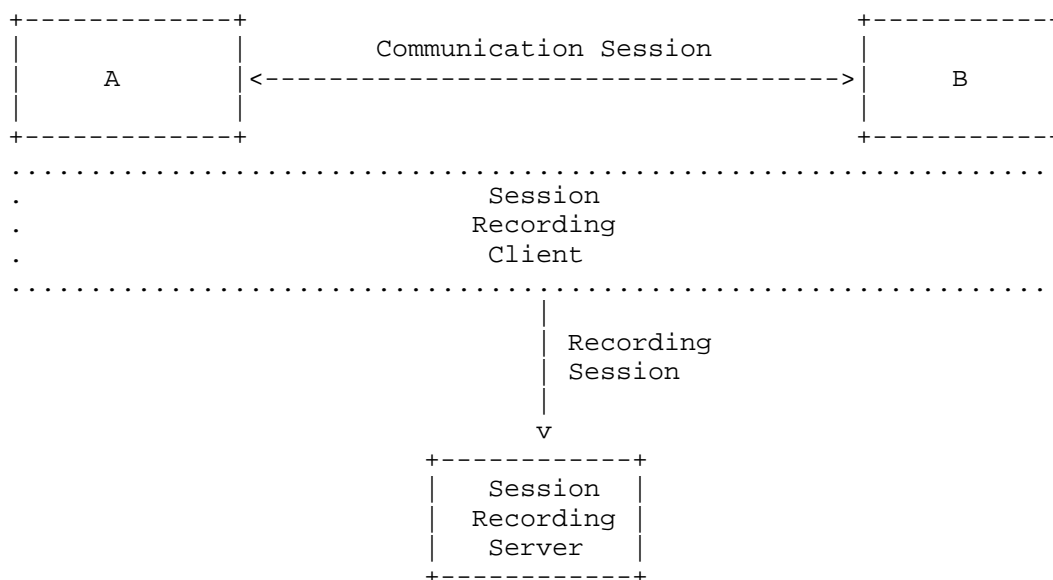


Figure 1

Metadata: Information that describes recorded media and the CS to which they relate.

Pause and Resume during a Communication Session: **Pause:** The action of temporarily discontinuing the transmission and collection of RS media

Resume: The action of recommencing the transmission and collection of RS media

Most security-related terms in this document are to be understood in the sense defined in [RFC4949]; such terms include, but are not limited to, "authentication", "confidentiality", "encryption",

"identity", and "integrity".

4. Use Cases

Use Case 1: Full-time Recording: One Recording Session for each Communication Session.

For example, the diagram below shows the lifecycle of Communication Sessions (CS) and the relationship to the Recording Sessions (RS)

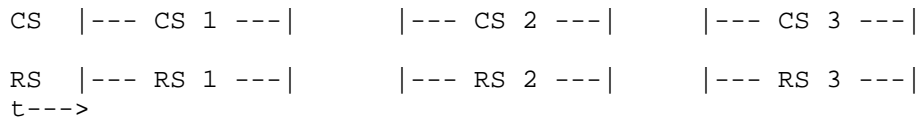


Figure 2

Record every CS for specific extension/person.

The need to record all calls is typically due to business process purposes (such as transaction confirmation or dispute resolution) or to ensure compliance with governmental regulations. Applications include enterprise, contact center, and financial trading floors.

Also commonly known as Total Recording.

Use Case 2: Selective Recording: Start a Recording Session when a Communication Session to be recorded is established.

In this example, Communication Sessions 1 and 3 are recorded but CS 2 is not.

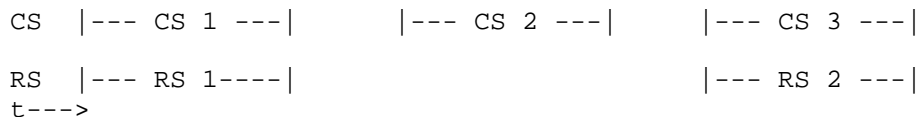


Figure 3

Use Case 3: Start/Stop a Recording Session during a Communication Session.

The Recording Session starts during a Communication Session, either manually via a user-controlled mechanism (e.g. button on user's phone) or automatically via an application (e.g. a Contact Center customer service application) or business event. A Recording Session either ends during the Communication Session, or when the

Communication Session ends. One or more Recording Sessions may record each Communication Session.

```

CS  |----- Communication Session -----|
RS      |---- RS 1 ----| |---- RS 2 -----|
t---->

```

Figure 4

Use Case 4: Persistent Recording: A single Recording Session captures one or more Communication Sessions.

```

      |--- CS 1 ---|      |--- CS 2 ---|      |--- CS 3 ---|
RS  |----- Recording Session -----|
t---->

```

Figure 5

A Recording Session records continuously without interruption. Periods when there is no CS in progress must be reproduced upon playback (e.g. by recording silence during such periods or by not recording such periods but marking them by means of metadata for utilization on playback, etc.). Applications include financial trading desks and emergency (first-responder) service bureaus. The length of a Persistent Recording Session is independent from the length of the actual Communication Sessions. Persistent Recording Sessions avoid issues such as media clipping that can occur due to delays in Recording Session establishment.

The connection and attributes of media in the Recording Session are not dynamically signaled for each Communication Session before it can be recorded; however, codec re-negotiation is possible.

In some cases, more than one concurrent Communication Session (on a single end-user apparatus, e.g. trading floor turret) is mixed into one Recording Session:

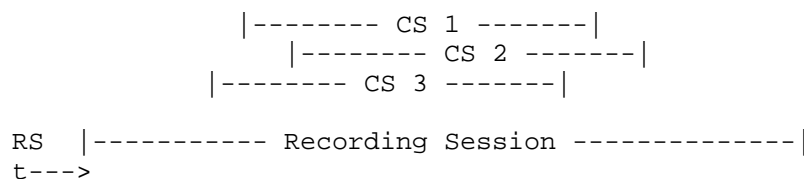


Figure 6

Use Case 5: Real-time Recording Controls.

For an active Recording Session, privacy or security reasons may demand not capturing a specific portion of a conversation. An example is for PCI (payment card industry) compliance where credit card info must be protected. One solution is to not record a caller speaking their credit card information.

An example of a real-time controls is Pause/Resume.

Use Case 6: IVR / Voice Portal Recording.

Self-service Interactive Voice Response applications may need to be recorded for application performance tuning or to meet compliance requirements.

Metadata about an IVR session recording must include session information and may include application context information (e.g. VoiceXML session variables, dialog names, etc.)

Use Case 7: Enterprise Mobility Recording.

Many agents and enterprise workers whose calls are to be recorded are not located on company premises.

Examples:

- o Home-based agents or enterprise workers.
- o Mobile phones of knowledge workers when they conduct work related (and legally required recording) calls. e.g. insurance agents, brokers, physicians.

Use Case 8: Geographically distributed or centralized recording.

Enterprises such as banks, insurance agencies, and retail stores may have many locations, possibly up to thousands of small sites. Frequently only phones and network infrastructure are installed in branches, without local recording services. In cases where calls inside or between branches must be recorded, a centralized recording system in data centers together with telephony infrastructure (e.g. PBX) may be deployed.

Use Case 9: Record complex call scenarios.

The following is an example of a scenario where one call that is recorded must be associated with a related call that also must be recorded.

- o A Customer is in a conversation with a Customer Service Agent.
- o Agent puts Customer on hold in order to consult with a Supervisor.
- o Agent enters into a conversation with Supervisor.
- o Agent disconnects from Supervisor, then reconnects with Customer.
- o The Supervisor call must be associated with the original customer call.

Use case 10: High availability and continuous recording.

Specific deployment scenarios present different requirements for system availability, error handling, etc. including:

- o An SRS must always be available at call setup time.
- o No loss of media recording, including during failure of an SRS.
- o The Communication Session must be terminated (or suitable notification given to parties) in the event of a recording failure.

Use Case 11: Record multi-channel, multi-media session.

Some applications require the recording of more than one media stream, possibly of different types. Media are synchronized, either at storage or at playback.

Speech analytics technologies (e.g. word spotting, emotion detection, speaker identification) may require speaker-separated recordings for optimum performance.

Multi-modal Contact Centers may include audio, video, IM or other

interaction modalities.

In trading floors environments, in order to minimize storage and recording system resources, it may be preferable to mix multiple concurrent calls (Communication Sessions) on different handsets/speakers on the same turret into single recording session.

Use Case 12: Real-time media processing.

It must be possible for an SRS to support real-time media processing, such as speech analytics of trading floor interactions. Real-time analytics may be employed for automatic intervention (stopping interaction or alerting) if for example, a trader is not following regulations.

Speaker separation is required in order to reliably detect who is saying specific phrases.

5. Requirements

The following are requirements for SIP-based Media Recording:

- o REQ-001 The mechanism MUST provide a means for using the SIP protocol for establishing, maintaining and terminating Recording Sessions between a Session Recording Client and a Session Recording Server.
- o REQ-002 The mechanism MUST support the ability to record all CSs in their entirety.
- o REQ-003 The mechanism MUST support the ability to record selected CSs in their entirety, according to policy.
- o REQ-004 The mechanism MUST support the ability to record selected parts of selected CSs.
- o REQ-005 The mechanism MUST support the ability to record a CS without loss of media of RS (for example, clipping media at the beginning of the CS) due to RS recording preparation and also, without impacting the quality or timing of the CS (for example, delaying the start of the CS while preparation for recording session). See Use Case 4 in Section 4 for more details.
- o REQ-006 The mechanism MUST support the recording of IVR sessions.
- o REQ-007 The mechanism MUST support the recording of RTP media types voice, DTMF (as defined by [RFC4733]), video, and text (as defined by

[RFC4103])).

o REQ-008 The mechanism MUST support the ability for an SRC to deliver mixed audio streams from multiple Communication Sessions to an SRS.

Note: A mixed audio stream is where several related Communication Sessions are carried in a single Recording Session. A mixed media stream is typically produced by a mixer function. The RS MAY be informed about the composition of the mixed streams through session metadata.

o REQ-009: The mechanism MUST support the ability for an SRC to deliver mixed audio streams from different parties of a given Communication Session to an SRS.

o REQ-010 The mechanism MUST support the ability to deliver to the SRS multiple media streams for a given CS.

o REQ-011 The mechanism MUST support the ability to pause and resume the transmission and collection of RS media.

o REQ-012 The mechanism MUST include a means for providing the SRS with metadata describing CSs that are being recorded, including the media being used and the identifiers of parties involved.

o REQ-013 The mechanism MUST include a means for the SRS to be able to correlate RS media with CS participant media.

o REQ-014 Metadata format must be agnostic of the transport protocol.

o REQ-015: The mechanism MUST support a means to stop the recording.

o REQ-016: The mechanism MUST support a means for a recording-aware UA involved in a CS to request at session establishment time that the CS should be recorded or should not be recorded, the honoring of such a request being dependent on policy.

o REQ-017: The mechanism MUST support a means for a recording-aware UA involved in a CS to request during a session that the recording of the CS should be started, paused, resumed or stopped, the honoring of such a request being dependent on policy. Such recording-aware UA MUST be notified about outcome of such requests.

o REQ-018 The mechanism MUST NOT prevent the application of tones or announcements during recording or at the start of a CS to support notification to participants that the call is being recorded or may be recorded.

- o REQ-019 The mechanism MUST provide a means of indicating to recording-aware UAs whether recording is taking place, for appropriate rendering at the user interface.
- o REQ-020 The mechanism MUST provide a way for metadata to be conveyed to the SRS incrementally during the CS.
- o REQ-021 The mechanism MUST NOT prevent high availability deployments.
- o REQ-022 The mechanism MUST provide means for facilitating synchronization of the recorded media streams and metadata.
- o REQ-023 The mechanism MUST provide means for facilitating synchronization among the recorded media streams.
- o REQ-024 The mechanism MUST provide means to relate recording and recording controls such as start/stop/pause/resume to the wall clock time.
- o REQ-025 The mechanism MUST provide means for an SRS to authenticate the SRC on RS initiation.
- o REQ-026 The mechanism MUST provide means for an SRC to authenticate the SRS on RS initiation.
- o REQ-027 The mechanism MUST include a means for ensuring that the integrity of the metadata sent from SRC to SRS is an accurate representation of the original CS metadata.
- o REQ-028 The mechanism MUST include a means for ensuring that the integrity of the media sent from SRC to SRS is an accurate representation of the original CS media.
- o REQ-029 The mechanism MUST include a means for ensuring the confidentiality of the Metadata sent from SRC to SRS.
- o REQ-030 The mechanism MUST provide a means to support RS confidentiality.
- o REQ-031 The mechanism MUST support the ability to deliver to the SRS multiple media streams of the same media type (e.g. audio, video). For example in the case of delivering unmixed audio for each participant in the CS.

6. Privacy Considerations

Respecting the privacy rights and wishes of users engaged in a call is of paramount importance. In many jurisdictions participants have a right to know that the session is being recorded or might be recorded, and have a right to opt out, either by terminating the call or by demanding that the call not be recorded. Therefore this document contains requirements for being able to notify users that a call is being recorded and for users to be able to request that a call not be recorded. Use cases where users participating in a call are not informed that the call is or might be recorded are outside the scope of this document. In particular, lawful intercept is outside the scope of this document.

Requirements for participant notification of recording vary widely by jurisdiction. In a given deployment, not all users will be authorized to stop the recording of a CS (although any user can terminate its participation in a CS). Typically users within the domain that is carrying out the recording will be subject to policies of that domain concerning whether CSs are recorded. For example, in a call centre, agents will be subject to policies of the call centre and may or may not have the right to prevent the recording of a CS or part of a CS. Users calling into the call centre, on the other hand, will typically have to ask the agent not to record the CS. If the agent is unable to prevent recording, or if the caller does not trust the agent, the only option generally is to terminate the CS.

Privacy considerations also extend to what happens to a recording once it has been created. Typical issues are who can access the recording (e.g., receive a copy of the recording, view the metadata, play back the media, etc.), for what purpose the recording can be used (e.g., for training purposes, for quality control purposes, etc.) and for how long the recording is to be retained before deletion. These are typically policies of the domain that makes the recording, rather than policies of individual users involved in a recorded CS, whether those users be in the same domain or in a different domain. Taking the call centre example again, agents might be made aware of call centre policy regarding retention and use of recordings as part of their employment contract, and callers from outside the call centre might be given some information about policy when notified that a CS will be recorded (e.g., through an announcement that says that calls may be recorded for quality purposes).

This document does not specify any requirements for a user engaged in a CS to be able to dictate policy for what happens to a recording, or for such information to be conveyed from an SRC to an SRS. It is assumed that the SRS has access to policy applicable to its

environment and can ensure that recordings are stored and used in accordance with that policy.

7. Security Considerations

Session recording has substantial security implications, for the SIP UA's being recorded, the SRC, and the SRS.

For the SIP UA's involved in the Communication Session, the requirements in this draft enable the UA to identify that a Communication Session is being recorded and for the UA to request that a given Communication Session is not subject to recording.

Since humans don't typically look at or know about protocol signaling such as SIP, and indeed the SIP session might have originated through a PSTN Gateway without any ability to pass on in-signaling indications of recording, users can be notified of recording in the media itself through voice announcements, a visual indicator on the endpoint, or other means.

With regards to security implications of the protocol(s), clearly there is a need for authentication, authorization and eavesdropping protection for the solution. The SRC needs to know the SRS it is communicating with is legitimate, and vice-versa, even if they are in different domains. Both the signaling and media for the Recording Session need the ability to be authenticated and protected from eavesdropping. Requirements are detailed in the requirements section.

Communication Sessions and Recording Sessions can require different security levels both for signaling and media, depending on deployment configurations. For some environments, for example, SRS and SRC will be collocated in a secure network region and therefore the RS will not require the same protection level as a CS that extends over a public network, for example. For other environments, the SRS can be located in a public cloud, for example, and the RS will require a higher protection level than the CS. For these reasons, there is not a direct relationship between the security level of Communication Sessions and the security level of Recording Sessions.

A malicious or corrupt SRC can tamper with media and metadata relating to a CS before sending to an SRS. Also CS media and signaling can be tampered with in the network prior to reaching an SRC, unless proper means are provided to ensure integrity protection during transmission on the CS. Means for ensuring the correctness of media and metadata emitted by an SRC are outside the scope of this work. Other organizational and technical controls will need to be

used to prevent tampering.

8. IANA Considerations

This document has no IANA actions.

9. Acknowledgements

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