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Information Elements for Flow Performance Measurement  
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Abstract

There is a need to be able to quantify and report the performance of network applications and the network service in handling user data. This performance data provides information essential in validating service level agreements, fault isolation as well as early warnings of greater problems. This document describes IPFIX Information Elements related to performance measurement of network based applications. In addition, to the performance information several non-metric information elements are also included to provide greater context to the reports. The measurements use audio/video applications as a base but are not restricted to these class of applications.

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## Table of Contents

1. Introduction . . . . .	3
2. Terminology . . . . .	4
3. General Usage . . . . .	5
3.1. Quality of Service (QoS) Monitoring . . . . .	5
3.2. Service Level Agreement (SLA) Validation . . . . .	6
3.3. Fault Isolation and Troubleshooting . . . . .	6
4. New Information Elements . . . . .	6
4.1. Transport Layer . . . . .	7
4.1.1. perfPacketLoss . . . . .	7
4.1.2. perfPacketExpected . . . . .	9
4.1.3. perfPacketLossRate . . . . .	10
4.1.4. perfPacketLossEvent . . . . .	11
4.1.5. perfPacketInterArrivalJitterAvg . . . . .	12
4.1.6. perfPacketInterArrivalJitterMin . . . . .	14
4.1.7. perfPacketInterArrivalJitterMax . . . . .	15
4.2. User and Application Layer . . . . .	16
4.2.1. perfSessionSetupDelay . . . . .	16
4.3. Contextual Elements . . . . .	17
4.3.1. mediaRTPSSRC . . . . .	17
4.3.2. mediaRTPPayloadType . . . . .	18
4.3.3. mediaCodec . . . . .	19
5. Security Considerations . . . . .	20
6. IANA Considerations . . . . .	20
7. References . . . . .	20
7.1. Normative References . . . . .	20
7.2. Informative References . . . . .	21
Author's Address . . . . .	22

## 1. Introduction

Today's networks support a multitude of highly demanding and sensitive network applications. Network issues are readily apparent by the users of these applications due to the sensitivity of these applications to impaired network conditions. Examples of these network applications include applications making use of IP based audio, video, database transactions, virtual desktop interface (VDI), online gaming, cloud services and many more. In some cases the impaired application translates directly to loss of revenue. In other cases, there may be regulatory or contractual service level agreements that motivate the network operator. Due to the sensitive of these types of applications to impaired service it leaves a poor impression of the service on the user-- regardless of the actual performance of the network itself. In the case of an actual problem within the network service, monitoring the performance may yield a early indicator of a much more serious problem.

Due to the demanding and sensitive nature of these applications, network operators have tried to engineer their networks in an attempt to wring better and differentiated performance. However, that same differentiated design prevents network operators from extrapolating observational data from one application to another, or from one set of synthetic (active test) test traffic to actual application performance.

Performance measurements on user data provide greater visibility not only into the quality of experience of the end users but also visibility into network health. With regards to network health, as flow performance is being measured, there will be visibility into the end to end performance which means that not only visibility into local network health, but also viability into remote network health. If these measurements are made at multiple points within the network (or between the network and end device) then there is not only identification that there might be an issue, but a span of area can be established where the issue might be. The resolution of the fault increases with the number of measurement points along the flow path.

The IP Flow Information Export Protocol (IPFIX) [RFC5101] provides new levels of flexibility in reporting from measurement points across the life cycle of a network based application. IPFIX can provide granular results in terms of flow specificity as well as time granularity. At the same time, IPFIX allows for summarization of data along different types of boundaries for operators that are unconcerned about specific sessions but about health of a service or a portion of the network.

Where possible, an attempt has been made to make use of existing

definitions of metrics ([RFC4710]) and if needed, clarify and expand on them to widen their usage with additional applications. The methodology described in [I-D.ietf-pmol-sip-perf-metrics] is used to describe the methodology of measurement. As this document also covers the reporting of these metrics via IPFIX, consideration is taken with mapping the metric's capabilities and context with the IPFIX information and data representation model. The guidelines outlined in [I-D.trammell-ipfix-ie-doctors] are used to ensure proper IPFIX information element definition.

There has been related work in this area such as [RFC2321], [I-D.huici-ipfix-sipfix], and [VoIP-monitor]. This document is also an attempt to generalize as well as standardize the reporting formats and measurement methodology.

## 2. Terminology

Terms used in this document that are defined in the Terminology section of the IPFIX Protocol [RFC5101] document are to be interpreted as defined there.

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in RFC 2119 [RFC2119].

In addition, the information element definitions use the following terms:

**Name:** Name of the information element per the IPFIX rules defined in Section 2.3 of [RFC5102]

**Description:** Short description of what the information element is trying to convey.

**Observation Point:** Where the measurement is meant to be performed. Either at an intermediate point (for example, a router) or end system.

**Element Data Type:** The IPFIX informationElementDataTypes as defined in Section 3.1 of [RFC5610]

**Element Semantics:** The IPFIX informationElementSemantics as defined in section Section 3.6 of [RFC5610]

Element Units: The IPFIX informationElementUnits as defined in section Section 3.7 of [RFC5610]

Element Range Begin: The IPFIX informationElementRangeBegin as defined in section Section 3.7 of [RFC5610]

Element Range End: The IPFIX informationElementRangeEnd as defined in section Section 3.7 of [RFC5610]

Element Id: The IPFIX global unique element ID as defined in Section 3.2 of [RFC5101]

Status: The status of the specification of this IPFIX Information Element.

Use and Applications An explanation of how this particular information element would be used.

Calculation Method: In the case of metrics, this section describes how the metric is calculated, as well as any special conditions.

Units of Measurement: In the case of metrics, what are the units of measurement. The text here is expected to be wider and more descriptive than in the IPFIX Element Units section.

Measurement Timing: Discussion on the acceptable range of timing and sampling intervals.

### 3. General Usage

#### 3.1. Quality of Service (QoS) Monitoring

The network operator needs to be able to gauge the end user's satisfaction with the network service. While there are many components of the satisfaction such as pricing, packaging, offering, etc., a major component of satisfaction is delivering a consistent service. The user builds trust on this consistency of the network service and is then to be able to run network applications-- which is of course the end goal. Without the ability to deliver a consistent service for end user network applications network operator will be left dealing with price sensitive disgruntled users with very low expectations (if they don't have choice of operator) or abandonment (if they have choice).

### 3.2. Service Level Agreement (SLA) Validation

Similar to QoS and QoE validation, there might be contractual or regulatory requirements that need to be met by the network operator. Monitoring the performance of the flows allows the application operator, network operator as well as the end user to validate of the target service is being delivered. While there is quite a diversity in the codification of network SLAs they may eventually involve some measurement of network uptime, end to end latency, end to end jitter and perhaps service response time. In the case violation of the SLA, the start and end times, nature and network scope of the violation needs to be captured to allow for the most accurate settling of the SLA.

### 3.3. Fault Isolation and Troubleshooting

It has been generally easier to troubleshoot and fix problems that are binary in nature: it either works or does not work. The host is pingable or not pingable. However, the much more difficult to resolve issues that are transitory in nature, move from location to location, more complicated than simple ICMP reachability and many times unverifiable reports by the users themselves. It is these intermittent and seemingly inconsistent network impairments that performance metrics can be extremely helpful with. Just the basic timely detection that there is a problem (or an impending problem) can give the provider the confidence that there is a real problem that needs to be resolved. The next step would be to assist the operator in a speedy resolution by providing information regarding the network location and nature of the problem.

## 4. New Information Elements

The information elements are organized into two main groups:

Transport Layer: Metrics that might be calculated from observations at higher layers but essentially provide information about the network transport of user data. For example, the metrics related to packet loss, latency and jitter would be defined here.

User and Application Layer: Metrics that are might be affected by the network indirectly, but are ultimately related to user, end-system and session states. For example, session setup time, transaction rate and session duration would be defined here.

Contextual Elements Information elements that provide further context to the metrics. For example, media type, codec type, and type of application would be defined here.

#### 4.1. Transport Layer

##### 4.1.1. perfPacketLoss

Name: perfPacketLoss

Description: The packet loss metric reports the number of individual packets that were lost in the reporting interval.

Observation Point: The observation can be made anywhere along the media path or on the endpoints themselves. The observation is only relevant in a unidirectional sense.

Element Data Type: unsigned32

Element Semantics: deltaCounter

Element Units: packets

Element Range Begin: 0

Element Range End: 0xFFFFFFFF

Element Id: TBDperfPacketLoss

Status: current

Use and Applications The packet loss metric can be used to determine if there is a network impairment that is causing packet loss upstream of the measurement point. When there are observation points on either side of the impairment location it is possible to locate the impairment. With the location information the operator can be able to perform quicker fault-isolation as well as shorten time to resolution.

Calculation Method: This metric requires that each IP packet be individually marked with a monotonically incrementing sequence number. A number of encapsulations support this type of sequencing: IPsec ESP [RFC4303], GRE [RFC2890] and RTP [RFC3550]. An analysis of the sequence number field can yield the lost number of packets. In certain cases, there might be an element of discovery and synchronization of the flow itself before the measurement can be made. An example of this can be found for RTP flows running on ephemeral UDP port numbers. In these cases,

reporting 0 as packet loss would be misleading and the value 0xFFFFFFFF MUST be used in cases where the packet loss value cannot be determined. In the case of a monitor interval where synchronization was achieved mid-interval, the loss packet counter MAY be used to represent the remainder of the interval. As this metric is a deltaCounter, the number of loss packets only represent the observation within the reporting interval. Due to the dependency on the arrival of a packet with a sequence number to calculate loss, the loss calculation may be indefinitely delayed if no more packets arrive at all. For the case of RTP, in addition to the 16 bit sequence number field in RFC3550, there is also the additional 16-bit high-order sequence number field (for a total of 32-bit seq number space) that is used in RFC3497 [RFC3497]. RFC3497 traffic runs at a very high rate and the 32-bit field allow for additional time for wrapping (21 seconds). So, a loss span of greater than 21 seconds measured only by the 16-bit field will lead to inaccurate reporting. In the case of secure RTP [RFC3711], the relevant portion of the RTP header is in the clear and lost packet counting can still be performed. It is important to note that the sequence number space is unique per RTP SSRC. Therefore it is important to track the high sequence number seen on a per SSRC-5-tuple basis. There may be multiple SSRCS in a single 5-tuple. Certain applications inject non-RTP traffic into the same 5-tuple as the media stream. RTCP packets may be seen in the same 5-tuple as the RTP stream [RFC5761], and STUN [RFC5389] packets may also be seen. The loss detection should ignore these packets. There may be spans within the network where header compression schemes such as [RFC2508] are used. In cases where the measurement device is terminating the compression, and the measurement implementation does not support calculation of the metric the value 0xFFFFFFFF MUST be reported. In other cases the measurement point may be at a midpoint of the header compression network span. Depending on the mechanics of header compression, sequencing information may be present and it is possible to calculate the metric. In such cases the implementation SHOULD perform the calculation and report the metric.

Units of Measurement: packets

Measurement Timing To be able to calculate this metric a continuous set of the flow's packets (as each would have an incrementing sequence number) needs to be monitored. Therefore, per-packet sampling would prevent this metric from being calculated. However, there are other sampling methodologies that might be usable. It is possible to generate sampled metrics by sampling spans of continuous packets, however a portion of the span may have to be utilized for resynchronization of the sequence number. Another form of acceptable sampling would be at the flow level.

## 4.1.2. perfPacketExpected

Name: perfPacketExpected

Description: The number of packets there were expected within a monitoring interval.

Observation Point: The observation can be made anywhere along the media path or on the endpoints them selves. The observation is only relevant in a unidirectional sense.

Element Data Type: unsigned32

Element Semantics: deltaCounter

Element Units: none

Element Range Begin: 0

Element Range End: 0xFFFFFFFF

Element Id: TBDperfPacketExpected

Status: current

Use and Applications The perfPacketExpected is a mid-calculation metric used in the calculation of perfPacketLossRate.

Calculation Method: The subtraction of the last sequence number from the first sequence number in monitoring interval yields the expected count. As discussed with perfPacketLost, there might be a delay due to synchronization with the flow's sequence numbers and in such times the value of the metric should be set to 0xFFFFFFFF. Care has to be taken to account for cases where the packet's sequence number field wraps. For RTP, the expected count calculation formula can be found in Appendix A.3 of [RFC3550]. Refer to the perfPacketLoss metric regarding considerations for header compression. The value 0xFFFF is used to represent cases where the metric could not be calculated.

Units of Measurement: packets

Measurment Timing Same considerations as perfPacketLoss

## 4.1.3. perfPacketLossRate

Name: perfPacketLossRate

Description: Percentage of number of packets lost out of the total set of packets sent.

Observation Point: The observation can be made anywhere along the media path or on the endpoints them selves. The observation is only relevant in a unidirectional sense.

Element Data Type: unsigned16

Element Semantics: quantity

Element Units: none

Element Range Begin: 0

Element Range End: 0xFFFFE

Element Id: TBDperfPacketLossRate

Status: current

Use and Applications The perfPacketLossRate metric can be used to normalize the perfPacketLoss metric to handle cases where different flows are running at different packet per second (PPS) rates. Due to the normalization, comparisons can now be made against thresholds (for creating alerts, etc.). In addition, the percentage form of the metric allows for comparisons against other flows at the same observation point to determine if there is an equal bias for drops between the flows. Otherwise, the perfPacketLossRate is used in same way as perfPacketLoss.

Calculation Method: The number of lost packets divided by the number of expected packets in an interval period multiplied by 100. In cases where perfPacketLoss is unknown (for example due to synchronization issues), the perfPacketLossRate would also be unknown. In such cases perfPacketLossRate MUST be set to 0xFFFF. If there are multiple flows whose loss rate is being aggregated, then the average of the individual flows is used. Refer to the perfPacketLoss metric regarding considerations for header compression. The value 0xFFFF is used to represent cases where the metric could not be calculated.

Units of Measurement: percentage

Measurement Timing Same notes as perfPacketLossRate

#### 4.1.4. perfPacketLossEvent

Name: perfPacketLossEvent

Description: The packet loss event metric reports the number of continuous sets of packets that were lost in the reporting interval.

Observation Point: The observation can be made anywhere along the media path or on the endpoints themselves. The observation is only relevant in a unidirectional sense.

Element Data Type: unsigned32

Element Semantics: deltaCounter

Element Units: packets

Element Range Begin: 0

Element Range End: 0xFFFFFFFF

Element Id: TBDperfPacketLossEvent

Status: current

Use and Applications The perfPacketLossEvent metric can provide loss information for protocols that do not implement per packet sequencing. Similarly to the perfPacketLoss metric, the packet loss event metric can be used to determine if there is a network impairment that is causing packet loss upstream of the measurement point. In cases where both the perfPacketLoss and perfPacketLossEvent metric are available, the ratio between the packet loss and packet event count can provide the average loss length. The average loss length provides additional information regarding the cause of the loss. For example, a dirty fiber connection might have a low average loss length, while a routing protocol convergence will have a high loss length.

Calculation Method: This data value is a simplified version of the Lost Packets metric. Whereas Lost Packets counts individual packet loss, the 'loss event count' metric counts sets of packets that are lost. For example, in the case of a sequence of packets: 1,3,6,7,10 the packets marked 2,4,5,8 and 9 are lost. So, a total

of 5 packets are lost. This same sequence translates to 3 loss events: (2), (4,5) and (8,9). In the case of RTP, the sequence number in the RTP header can be used to identify loss events. Certain protocols such as TCP and UDP+MPEG2-TS encapsulation in IP have sequencing information, but the sequence field is incremented by individual IP packets. As a side note, in the case of UDP+MPEG2-TS encapsulation the simple use of RTP+MPEG2-TS via [RFC2250] results in the availability of the more granular perfPacketLoss metrics. In these cases, the perfPacketLoss metric cannot be calculated but the perfPacketLossEvent can be calculated and can provide detection of loss. The value 0xFFFFFFFF is used to represent non-applicable cases such as lack of sequence number synchronization. Many of the same considerations as for perfPacketLoss apply to perfPacketLoss event. Please refer to the Calculation Method section of the perfPacketLoss.

Units of Measurement: event counts

Measurement Timing Please refer to the measurement timing section of perfPacketLoss.

#### 4.1.5. perfPacketInterArrivalJitterAvg

Name: perfPacketInterArrivalJitterAvg

Description: This metric measures the absolute deviation of the difference in packet spacing at the measurement point compared to the packet spacing at the sender.

Observation Point: The observation can be made anywhere along the media path or on the receiver. The observation is only relevant in a unidirectional sense.

Element Data Type: unsigned32

Element Semantics: quantity

Element Units: microseconds

Element Range Begin: 0

Element Range End: 0xFFFFFFFFE

Element Id: TBDperfPacketInterArrivalJitterAvg

Status: current

**Use and Applications** The inter arrival jitter data value can be used by network operator to determine the network's impact to the spacing in between a media stream's packets as they traverse the network. For example, in the case of media applications, the receiving end system is expecting these packets to come in at a particular periodicity and large deviations may result in de-jitter buffers adding excessive delay, or the media packets being discarded. When the data is reported from multiple intermediate nodes, the area of the network that is having a detrimental contribution can be identified. On a non-media application level, the inter arrival jitter metrics can be used for early indication queuing contention within the network (which could lead to packet loss).

**Calculation Method:** The inter arrival jitter value makes use of the association of sending time with an IP packets and comparison of the arrival time on the monitoring point. In certain protocols, a representation of sending time is encoded into the header itself. For example, in the case of RTP packets, the RTP header's timestamps field represents encoder clock ticks-- which are representations of time. Similarly, in the case of TCP options encode absolute timestamps values. For RTP the calculation method can be found in Appendix A of [RFC3550]. It should be noted that the RFC3550 calculation is on the last 16 packets measured. The most recent value calculated SHOULD be reported at the end of the monitoring interval. The range of the jitter values during the monitoring interval can be reported using `perfPacketInterArrivalJitterMin` and `perfPacketInterArrivalJitterMax`. Similarly to the `perfPacketLoss` case there may be periods of time where the jitter value cannot be calculated. In these cases, the `0xFFFFFFFF` value should be used to convey the lack of availability of the metric. As mentioned earlier, the RTP header timestamps is actually a 'sample-stamp' (ie clicks) from the encoder's clock. The frequency of the clock is dependent on the codec. Some codecs (eg AAC-LD) support multiple possible frequencies one of which is then selected for the media-stream. The mapping to clock rate can be performed via mapping from the static RTP payload type (RTP-PT), but newer codecs are make use of the dynamic payload type range and the RTP-PT (in the dynamic case) cannot be used to determine the clock frequency. There are various methods by which the clock frequency (deep packet inspection of the signalling, manual configuration, etc.) can be associated to the calculation method. The frequency should be locked in the metering layer to a unique combination of the IP source, IP destination, IP protocol layer-4 ports, RTP-PT and SSRC. By strict RFC3550 definition, the SSRC is set to a

specific encoder clock and it is the SSRC that should be tracked rather than payload type. However, in recent discussions it has been noted that there are RTP implementations that might change the encoder clock frequency while maintaining the SSRC value. An encoder frequency change will be accompanied by a different RTP-PT.

Units of Measurement: microseconds

Measurement Timing Please refer to the measurement timing section of perfPacketLoss.

#### 4.1.6. perfPacketInterArrivalJitterMin

Name: perfPacketInterArrivalJitterMin

Description: This metric measures the minimum value the calculation used for perfPacketInterArrivalJitterAvg within the monitoring interval.

Observation Point: The observation can be made anywhere along the media path or on the receiver. The observation is only relevant in a unidirectional sense.

Element Data Type: unsigned32

Element Semantics: quantity

Element Units: microseconds

Element Range Begin: 0

Element Range End: 0xFFFFFFFF

Element Id: TBDperfPacketInterArrivalJitterMin

Status: current

Use and Applications Please refer to the 'Use and Applications' section of perfPacketInterArrivalJitterAvg. This specific metric, along with perfPacketInterArrivalJitterMax, is to capture the range of measurements observed within a monitoring interval as the average function may hide extremes.

Calculation Method: Please see the perfPacketInterArrivalJitterAvg section for general calculation section. The average calculation is evaluated on a running basis over the last 16 packets and the entire monitoring interval is not covered. In this metric, the

minimum value is taken over the entire monitoring interval.

Units of Measurement: microseconds

Measurement Timing Please refer to the measurement timing section of perfPacketLoss.

#### 4.1.7. perfPacketInterArrivalJitterMax

Name: perfPacketInterArrivalJitterMax

Description: This metric measures the maximum value the calculation used for perfPacketInterArrivalJitterAvg within the monitoring interval.

Observation Point: The observation can be made anywhere along the media path or on the receiver. The observation is only relevant in a unidirectional sense.

Element Data Type: unsigned32

Element Semantics: quantity

Element Units: microseconds

Element Range Begin: 0

Element Range End: 0xFFFFFFFF

Element Id: TBDperfPacketInterArrivalJitterMax

Status: current

Use and Applications Please refer to the 'Use and Applications' section of perfPacketInterArrivalJitterAvg. This specific metric, along with perfPacketInterArrivalJitterMin, is to capture the range of measurements observed within a monitoring interval as the average function may hide extremes.

Calculation Method: Please see the perfPacketInterArrivalJitterAvg section for general calculation section. The average calculation is evaluated on a running basis over the last 16 packets and the entire monitoring interval is not covered. In this metric, the maximum value is taken over the entire monitoring interval.

Units of Measurement: microseconds

Measurement Timing Please refer to the measurement timing section of perfPacketLoss.

#### 4.2. User and Application Layer

##### 4.2.1. perfSessionSetupDelay

Name: perfSessionSetupDelay

Description: The Session Setup Delay metric reports the time taken from a request being initiated by a host/endpoint to the response (or request indicator) to the request being observed. This metric is defined in [RFC4710], however the units have been updated to microseconds.

Observation Point: This metric needs to be calculated where both request and response can be observed. This could be at network choke points, application proxies, or within the end systems themselves.

Element Data Type: unsigned32

Element Semantics: quantity

Element Units: microseconds

Element Range Begin: 0

Element Range End: 0xFFFFFFFF

Element Id: TBDperfSessionSetupDelay

Status: current

Use and Applications The session setup delay metric can measure the end user initial wait experience as seen from the network transaction level. The value will not only include the network flight time, but also includes the server response time and may be used to alert the operator in cases where the overall service is overloaded and thus sluggish, or within normal operating values.

Calculation Method: Measure distance in time between the first bit of request and the first bit of the response. For the case of SIP, please see Section 4.3.1 of [I-D.ietf-pmol-sip-perf-metrics]

Units of Measurement: microseconds

**Measurement Timing** This measurement can be sampled on a session by session basis. It may be advisable to set sample targets on a per source range - to destination basis. Due to the nature of measurement intervals, there may be a period of time (and thus measurement reports) in which the perfSessionSetupDelay value has not been calculated. In these cases the value 0xFFFFFFFF MUST be used and can be interpreted to mean not applicable. For measurement intervals after perfSessionSetupDelay has been calculated and the existing calculated perfSessionSetupDelay value SHOULD be sent if reporting only on that single session. However, if multiple sessions are summarized in the report then the average for perfSessionSetupDelay values calculated in the most recent interval SHOULD be used. The intention with this behavior is to acknowledge that the value has not been calculated, and when it has provide the freshest values available.

#### 4.3. Contextual Elements

##### 4.3.1. mediaRTPSSRC

Name: mediaRTPSSRC

Description: Value of the synchronization source (SSRC) field in the RTP header of the flow. This field is defined in [RFC3550]

Observation Point: This metric can be gleaned from the RTP packets directly, so the observation point needs to be on the flow path or within the endpoints.

Element Data Type: unsigned32

Element Semantics: identifier

Element Units: octets

Element Range Begin: 0

Element Range End: 0xFFFFFFFF

Element Id: TBDmediaRTPSSRC

Status: current

**Use and Applications** The RTP SSRC value denotes a specific media stream. As such when trying to differentiate media stream problems between session participants the SSRC field is needed.

**Calculation Method:** Copy from RTP header's SSRC field as defined in [RFC3550]. In the case of a non-RTP flow, or the time period in which the flow has not been verified to be a RTP flow the value 0xFFFFFFFF MUST be reported.

**Units of Measurement:** identifier

**Measurement Timing** It is possible that the SSRC may have be renegotiated mid-session due to collisions with other RTP senders.

#### 4.3.2. mediaRTPPayloadType

**Name:** mediaRTPPayloadType

**Description:** The value of the RTP Payload Type Field as seen in the RTP header of the flow. This field is defined in [RFC3550]

**Observation Point:** This metric can be gleaned from the RTP packets directly, so the observation point needs to on the flow path or within the endpoints.

**Element Data Type:** unsigned16

**Element Semantics:** identifier

**Element Units:** octets

**Element Range Begin:** 0

**Element Range End:** 0xFF

**Element Id:** TBDmediaRTPPayloadType

**Status:** current

**Use and Applications** The RTP PT conveys the payload format and media encoding used in the RTP payload. For simple cases, where the RTP PT is from the statically defined range this can lead to an understanding of type of media codec used. With the knowledge of the codec being used the degree of media impairment (given loss values and jitter) can be estimated better. However, for more recent codecs, the RTP dynamic range is used. In these cases the RTP payload values are dynamically negotiated. In the case of a non-RTP flow, or the time period in which the flow has not been

verified to be a RTP flow, the value 0xFFFF MUST be reported.

Calculation Method: Copy from RTP header's RTP-PT field as defined in [RFC3550]

Units of Measurement: identifier

Measurement Timing

#### 4.3.3. mediaCodec

Name: mediaCodec

Description: The media codec used in the flow.

Observation Point: The ideal location of this metric is on the media generators and consumers. However, given application inspection or static configuration it is possible that intermediate nodes are able to generate codec information.

Element Data Type: string

Element Semantics: identifier

Element Units: octets

Element Id: TBDmediaCodec

Status: current

Use and Applications The media codec value conveys the name of the codec used to encode the media in the flow being monitored. Simply reporting loss and jitter measurements are useful for detection of network problems. However, judging the degree of the impact on the audio/video experience needs additional information. The most basic information is the codec being used which when coupled with per-codec knowledge of sensitivity to the transport metrics a better idea of the experience can be gained.

Calculation Method: The valid values for the mediaCodec are listed on the IANA media-types registry. Analysis of the RTP payload type may lead to the determination of the media codec. However, with the use of the RTP dynamic payload type range the media information is not encoded into the data packet. For these cases, intermediate nodes may need to perform inspection of the signalling (SIP, H.323, RTSP, etc.). In cases where the mediaCodec cannot be determined, the value 'unknown' MUST be used.

Units of Measurement: identifier

Measurement Timing

## 5. Security Considerations

The recommendations in this document do not introduce any additional security issues to those already mentioned in [RFC5101] and [RFC5477]

## 6. IANA Considerations

This document requires an elements assignment to be made by IANA.

## 7. References

### 7.1. Normative References

- [RFC5101] Claise, B., "Specification of the IP Flow Information Export (IPFIX) Protocol for the Exchange of IP Traffic Flow Information", RFC 5101, January 2008.
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An Overview of  
Operations, Administration, and Maintenance (OAM) Tools  
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Abstract

Operations, Administration, and Maintenance (OAM) is a general term that refers to a toolset for fault detection and isolation, and for performance measurement. Over the years various OAM tools have been defined for various layers in the protocol stack.

This document summarizes some of the OAM tools defined in the IETF in the context of IP unicast, MPLS, MPLS Transport Profile (MPLS-TP), pseudowires, and TRILL. This document focuses on tools for detecting and isolating failures in networks and for performance monitoring. Control and management aspects of OAM are outside the scope of this document. Network repair functions such as Fast Reroute (FRR) and protection switching, which are often triggered by OAM protocols, are also out of the scope of this document.

The target audience of this document includes network equipment vendors, network operators and standards development organizations, and can be used as an index to some of the main OAM tools defined in the IETF. This document provides a brief description of each of the OAM tools in the IETF. At the end of the document a list of the OAM toolsets and a list of the OAM functions are presented as a summary.

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#### Table of Contents

1. Introduction .....	4
1.1. Background .....	4
1.2. Target Audience.....	5
1.3. OAM-related Work in the IETF .....	6
1.4. Focusing on the Data Plane .....	7
2. Terminology .....	7
2.1. Abbreviations .....	7
2.2. Terminology used in OAM Standards .....	9
2.2.1. General Terms .....	9
2.2.2. Operations, Administration and Maintenance .....	9
2.2.3. Functions, Tools and Protocols .....	10
2.2.4. Data Plane, Control Plane and Management Plane ....	11
2.2.5. The Players .....	12
2.2.6. Proactive and On-demand Activation .....	12
2.2.7. Connectivity Verification and Continuity Checks ...	13
2.2.8. Connection Oriented vs. Connectionless Communication	14
2.2.9. Point-to-point vs. Point-to-multipoint Services ...	14

2.2.10. Failures .....	15
3. OAM Functions .....	16
4. OAM Tools in the IETF - a Detailed Description .....	16
4.1. IP Ping .....	17
4.2. IP Traceroute .....	17
4.3. Bidirectional Forwarding Detection (BFD) .....	18
4.3.1. Overview .....	18
4.3.2. Terminology .....	19
4.3.3. BFD Control .....	19
4.3.4. BFD Echo .....	19
4.4. MPLS OAM .....	20
4.4.1. LSP Ping .....	20
4.4.2. BFD for MPLS .....	21
4.4.3. OAM for Virtual Private Networks (VPN) over MPLS ..	21
4.5. MPLS-TP OAM .....	21
4.5.1. Overview .....	21
4.5.2. Terminology .....	22
4.5.3. Generic Associated Channel .....	24
4.5.4. MPLS-TP OAM Toolset .....	24
4.5.4.1. Continuity Check and Connectivity Verification	25
4.5.4.2. Route Tracing .....	25
4.5.4.3. Lock Instruct .....	25
4.5.4.4. Lock Reporting .....	25
4.5.4.5. Alarm Reporting .....	26
4.5.4.6. Remote Defect Indication .....	26
4.5.4.7. Client Failure Indication .....	26
4.5.4.8. Performance Monitoring .....	26
4.5.4.8.1. Packet Loss Measurement (LM) .....	26
4.5.4.8.2. Packet Delay Measurement (DM) .....	27
4.6. Pseudowire OAM .....	27
4.6.1. Pseudowire OAM using Virtual Circuit Connectivity	
Verification (VCCV) .....	27
4.6.2. Pseudowire OAM using G-ACh .....	29
4.6.3. Attachment Circuit - Pseudowire Mapping .....	29
4.7. OWAMP and TWAMP.....	29
4.7.1. Overview .....	29
4.7.2. Control and Test Protocols .....	30
4.7.3. OWAMP .....	31
4.7.4. TWAMP .....	31
4.8. TRILL .....	32
5. Summary .....	32
5.1. Summary of OAM Tools .....	32
5.2. Summary of OAM Functions .....	35
5.3. Guidance to Network Equipment Vendors .....	36
6. Security Considerations .....	36
7. IANA Considerations .....	37
8. Acknowledgments .....	37

9. References .....	37
9.1. Normative References .....	37
9.2. Informative References .....	37
Appendix A. List of OAM Documents .....	43
A.1. List of IETF OAM Documents .....	43
A.2. List of Selected Non-IETF OAM Documents .....	48

## 1. Introduction

OAM is a general term that refers to a toolset for detecting, isolating and reporting failures and for monitoring the network performance.

There are several different interpretations to the "OAM" acronym. This document refers to Operations, Administration and Maintenance, as recommended in Section 3 of [OAM-Def].

This document summarizes some of the OAM tools defined in the IETF in the context of IP unicast, MPLS, MPLS Transport Profile (MPLS-TP), pseudowires, and TRILL.

This document focuses on tools for detecting and isolating failures and for performance monitoring. Hence, this document focuses on the tools used for monitoring and measuring the data plane; control and management aspects of OAM are outside the scope of this document. Network repair functions such as Fast Reroute (FRR) and protection switching, which are often triggered by OAM protocols, are also out of the scope of this document.

### 1.1. Background

OAM was originally used in traditional communication technologies such as E1 and T1, evolving into PDH and then later in SONET/SDH. ATM was probably the first technology to include inherent OAM support from day one, while in other technologies OAM was typically defined in an ad hoc manner after the technology was already defined and deployed. Packet-based networks were traditionally considered unreliable and best-effort. As packet-based networks evolved, they have become the common transport for both data and telephony, replacing traditional transport protocols. Consequently, packet-based networks were expected to provide a similar "carrier grade" experience, and specifically to support more advanced OAM functions, beyond ICMP and router hellos, that were traditionally used for fault detection.

As typical networks have a multi-layer architecture, the set of OAM protocols similarly take a multi-layer structure; each layer has its

own OAM protocols. Moreover, OAM can be used at different levels of hierarchy in the network to form a multi-layer OAM solution, as shown in the example in Figure 1.

Figure 1 illustrates a network in which IP traffic between two customer edges is transported over an MPLS provider network. MPLS OAM is used at the provider-level for monitoring the connection between the two provider edges, while IP OAM is used at the customer-level for monitoring the end-to-end connection between the two customer edges.

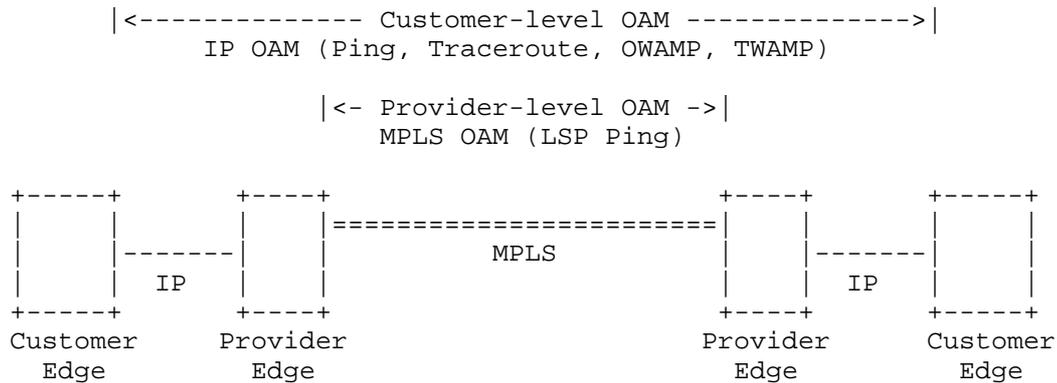


Figure 1 Example: Multi-layer OAM

### 1.2. Target Audience

The target audience of this document includes:

- o Standards development organizations - both IETF working groups and non-IETF organizations can benefit from this document when designing new OAM protocols, or when looking to reuse existing OAM tools for new technologies.
- o Network equipment vendors and network operators - can use this document as an index to some of the common IETF OAM tools.

It should be noted that some background in OAM is necessary in order to understand and benefit from this document. Specifically, the reader is assumed to be familiar with the term OAM [OAM-Def], the motivation for using OAM, and the distinction between OAM and network management [OAM-Mng].

### 1.3. OAM-related Work in the IETF

This memo provides an overview of the different sets of OAM tools defined by the IETF. The set of OAM tools described in this memo are applicable to IP unicast, MPLS, pseudowires, MPLS Transport Profile (MPLS-TP), and TRILL. While OAM tools that are applicable to other technologies exist, they are beyond the scope of this memo.

This document focuses on IETF documents that have been published as RFCs, while other ongoing OAM-related work is outside the scope.

The IETF has defined OAM protocols and tools in several different contexts. We roughly categorize these efforts into a few sets of OAM-related RFCs, listed in Table 1. Each set defines a logically-coupled set of RFCs, although the sets are in some cases intertwined by common tools and protocols.

The discussion in this document is ordered according to these sets (the acronyms and abbreviations are listed in Section 2.1.).

Toolset	Transport Technology
IP Ping	IPv4/IPv6
IP Traceroute	IPv4/IPv6
BFD	generic
MPLS OAM	MPLS
MPLS-TP OAM	MPLS-TP
Pseudowire OAM	Pseudowires
OWAMP and TWAMP	IPv4/IPv6
TRILL OAM	TRILL

Table 1 OAM Toolset Packages in the IETF Documents

This document focuses on OAM tools that have been developed in the IETF. A short summary of some of the significant OAM standards that have been developed in other standard organizations is presented in Appendix A.2.

#### 1.4. Focusing on the Data Plane

OAM tools may, and quite often do, work in conjunction with a control plane and/or management plane. OAM provides instrumentation tools for measuring and monitoring the data plane. OAM tools often use control plane functions, e.g., to initialize OAM sessions and to exchange various parameters. The OAM tools communicate with the management plane to raise alarms, and often OAM tools may be activated by the management (as well as by the control plane), e.g., to locate and localize problems.

The considerations of the control plane maintenance tools and the functionality of the management plane are out of scope for this document, which concentrates on presenting the data plane tools that are used for OAM. Network repair functions such as Fast Reroute (FRR) and protection switching, which are often triggered by OAM protocols, are also out of the scope of this document.

Since OAM protocols are used for monitoring the data plane, it is imperative for OAM tools to be capable of testing the actual data plane with as much accuracy as possible. Thus, it is important to enforce fate-sharing between OAM traffic that monitors the data plane and the data plane traffic it monitors.

## 2. Terminology

### 2.1. Abbreviations

ACH	Associated Channel Header
AIS	Alarm Indication Signal
ATM	Asynchronous Transfer Mode
BFD	Bidirectional Forwarding Detection
CC	Continuity Check
CV	Connectivity Verification
DM	Delay Measurement

ECMP	Equal Cost Multiple Paths
FEC	Forwarding Equivalence Class
FRR	Fast Reroute
G-ACh	Generic Associated Channel
GAL	Generic Associated Label
ICMP	Internet Control Message Protocol
L2TP	Layer Two Tunneling Protocol
L2VPN	Layer Two Virtual Private Network
L3VPN	Layer Three Virtual Private Network
LCCE	L2TP Control Connection Endpoint
LDP	Label Distribution Protocol
LER	Label Edge Router
LM	Loss Measurement
LSP	Label Switched Path
LSR	Label Switched Router
ME	Maintenance Entity
MEG	Maintenance Entity Group
MEP	MEG End Point
MIP	MEG Intermediate Point
MP	Maintenance Point
MPLS	Multiprotocol Label Switching
MPLS-TP	MPLS Transport Profile
MTU	Maximum Transmission Unit
OAM	Operations, Administration, and Maintenance

OWAMP	One-way Active Measurement Protocol
PDH	Plesiochronous Digital Hierarchy
PE	Provider Edge
PSN	Public Switched Network
PW	Pseudowire
PWE3	Pseudowire Emulation Edge-to-Edge
RBridge	Routing Bridge
RDI	Remote Defect Indication
SDH	Synchronous Digital Hierarchy
SONET	Synchronous Optical Networking
TRILL	Transparent Interconnection of Lots of Links
TTL	Time To Live
TWAMP	Two-way Active Measurement Protocol
VCCV	Virtual Circuit Connectivity Verification
VPN	Virtual Private Network

## 2.2. Terminology used in OAM Standards

### 2.2.1. General Terms

A wide variety of terms is used in various OAM standards. This section presents a comparison of the terms used in various OAM standards, without fully quoting the definition of each term.

An interesting overview of the term OAM and its derivatives is presented in [OAM-Def]. A thesaurus of terminology for MPLS-TP terms is presented in [TP-Term], and provides a good summary of some of the OAM related terminology.

### 2.2.2. Operations, Administration and Maintenance

The following definition of OAM is quoted from [OAM-Def]:

The components of the "OAM" acronym (and provisioning) are defined as follows:

- o Operations - Operation activities are undertaken to keep the network (and the services that the network provides) up and running. It includes monitoring the network and finding problems. Ideally these problems should be found before users are affected.
- o Administration - Administration activities involve keeping track of resources in the network and how they are used. It includes all the bookkeeping that is necessary to track networking resources and the network under control.
- o Maintenance - Maintenance activities are focused on facilitating repairs and upgrades -- for example, when equipment must be replaced, when a router needs a patch for an operating system image, or when a new switch is added to a network. Maintenance also involves corrective and preventive measures to make the managed network run more effectively, e.g., adjusting device configuration and parameters.

### 2.2.3. Functions, Tools and Protocols

#### OAM Function

An OAM function is an instrumentation measurement type or diagnostic.

OAM functions are the atomic building blocks of OAM, where each function defines an OAM capability.

Typical examples of OAM functions are presented in Section 3.

#### OAM Protocol

A protocol used for implementing one or more OAM functions.

The OWAMP-Test [OWAMP] is an example of an OAM protocol.

#### OAM Tool

An OAM tool is a specific means of applying one or more OAM functions.

In some cases an OAM protocol *is* an OAM tool, e.g., OWAMP-Test. In other cases an OAM tool uses a set of protocols that are not strictly OAM-related; for example, Traceroute (Section 4.2.) can be

implemented using UDP and ICMP messages, without using an OAM protocol per se.

#### 2.2.4. Data Plane, Control Plane and Management Plane

##### Data Plane

The data plane is the set of functions used to transfer data in the stratum or layer under consideration [ITU-Terms].

The Data Plane is also known as the Forwarding Plane or the User Plane.

##### Control Plane

The control plane is the set of protocols and mechanisms that enable routers to efficiently learn how to forward packets towards their final destination (based on [Comp]).

##### Management Plane

The term Management Plane, as described in [Mng], is used to describe the exchange of management messages through management protocols (often transported by IP and by IP transport protocols) between management applications and the managed entities such as network nodes.

##### Data Plane vs. Control Plane vs. Management Plane

The distinction between the planes is at times a bit vague. For example, the definition of "Control Plane" above may imply that OAM tools such as ping, BFD and others are in fact in the control plane.

This document focuses on tools used for monitoring the data plane. While these tools could arguably be considered to be in the control plane, these tools monitor the data plane, and hence it is imperative to have fate-sharing between OAM traffic that monitors the data plane and the data plane traffic it monitors.

Another potentially vague distinction is between the management plane and control plane. The management plane should be seen as separate from, but possibly overlapping with, the control plane (based on [Mng]).

## 2.2.5. The Players

An OAM tool is used between two (or more) peers. Various terms are used in IETF documents to refer to the players that take part in OAM. Table 2 summarizes the terms used in each of the toolsets discussed in this document.

Toolset	Terms
Ping / Traceroute ([ICMPv4], [ICMPv6], [TCPIP-Tools])	-Host -Node -Interface -Gateway
BFD [BFD]	System
MPLS OAM [MPLS-OAM-FW]	LSR
MPLS-TP OAM [TP-OAM-FW]	-End Point - MEP -Intermediate Point - MIP
Pseudowire OAM [VCCV]	-PE -LCCE
OWAMP and TWAMP ([OWAMP], [TWAMP])	-Host -End system
TRILL OAM [TRILL-OAM]	-RBridge

Table 2 Maintenance Point Terminology

## 2.2.6. Proactive and On-demand Activation

The different OAM tools may be used in one of two basic types of activation:

Proactive

Proactive activation - indicates that the tool is activated on a continual basis, where messages are sent periodically, and errors are detected when a certain number of expected messages are not received.

On-demand

On-demand activation - indicates that the tool is activated "manually" to detect a specific anomaly.

#### 2.2.7. Connectivity Verification and Continuity Checks

Two distinct classes of failure management functions are used in OAM protocols, connectivity verification and continuity checks. The distinction between these terms is defined in [MPLS-TP-OAM], and is used similarly in this document.

Continuity Check

Continuity checks are used to verify that a destination is reachable, and are typically sent proactively, though they can be invoked on-demand as well.

Connectivity Verification

A connectivity verification function allows Alice to check whether she is connected to Bob or not. It is noted that while the CV function is performed in the data plane, the "expected path" is predetermined either in the control plane or in the management plane. A connectivity verification (CV) protocol typically uses a CV message, followed by a CV reply that is sent back to the originator. A CV function can be applied proactively or on-demand.

Connectivity verification tools often perform path verification as well, allowing Alice to verify that messages from Bob are received through the correct path, thereby verifying not only that the two MPs are connected, but also that they are connected through the expected path, allowing detection of unexpected topology changes.

Connectivity verification functions can also be used for checking the MTU of the path between the two peers.

Connectivity verification and continuity checks are considered complementary mechanisms, and are often used in conjunction with each other.

### 2.2.8. Connection Oriented vs. Connectionless Communication

#### Connection Oriented

In Connection Oriented technologies an end-to-end connection is established (by a control protocol or provisioned by a management system) prior to the transmission of data.

Typically a connection identifier is used to identify the connection. In connection oriented technologies it is often the case (although not always) that all packets belonging to a specific connection use the same route through the network.

#### Connectionless

In Connectionless technologies data is typically sent between end points without prior arrangement. Packets are routed independently based on their destination address, and hence different packets may be routed in a different way across the network.

#### Discussion

The OAM tools described in this document include tools that support connection oriented technologies, as well as tools for connectionless technologies.

In connection oriented technologies OAM is used to monitor a \*specific\* connection; OAM packets are forwarded through the same route as the data traffic and receive the same treatment. In connectionless technologies, OAM is used between a source and destination pair without defining a specific connection. Moreover, in some cases the route of OAM packets may differ from the one of the data traffic. For example, the connectionless IP Ping (Section 4.1.) tests the reachability from a source to a given destination, while the connection oriented LSP Ping (Section 4.4.) is used for monitoring a specific LSP (connection), and provides the capability to monitor all the available paths used by an LSP.

It should be noted that in some cases connectionless protocols are monitored by connection oriented OAM protocols. For example, while IP is a connectionless protocol, it can be monitored by BFD (Section 4.3.), which is connection oriented.

### 2.2.9. Point-to-point vs. Point-to-multipoint Services

#### Point-to-point (P2P)

A P2P service delivers data from a single source to a single destination.

#### Point-to-multipoint (P2MP)

A P2MP service delivers data from a single source to a one or more destinations (based on [Signal]).

An MP2MP service is a service that delivers data from more than one source to one or more receivers (based on [Signal]).

Note: the two definitions for P2MP and MP2MP are quoted from [Signal]. Although [Signal] describes a specific case of P2MP and MP2MP which is MPLS-specific, these two definitions also apply to non-MPLS cases.

#### Discussion

The OAM tools described in this document include tools for P2P services, as well as tools for P2MP services.

The distinction between P2P services and P2MP services affects the corresponding OAM tools. A P2P service is typically simpler to monitor, as it consists of a single pair of end points. P2MP and MP2MP services present several challenges. For example, in a P2MP service, the OAM mechanism not only verifies that each of the destinations is reachable from the source, but also verifies that the P2MP distribution tree is intact and loop-free.

#### 2.2.10. Failures

The terms Failure, Fault, and Defect are used interchangeably in the standards, referring to a malfunction that can be detected by a connectivity or a continuity check. In some standards, such as 802.1ag [IEEE802.1Q], there is no distinction between these terms, while in other standards each of these terms refers to a different type of malfunction.

The terminology used in IETF MPLS-TP OAM is based on the ITU-T terminology, which distinguishes between these three terms in [ITU-T-G.806];

#### Fault

The term Fault refers to an inability to perform a required action, e.g., an unsuccessful attempt to deliver a packet.

## Defect

The term Defect refers to an interruption in the normal operation, such as a consecutive period of time where no packets are delivered successfully.

## Failure

The term Failure refers to the termination of the required function. While a Defect typically refers to a limited period of time, a failure refers to a long period of time.

## 3. OAM Functions

This subsection provides a brief summary of the common OAM functions used in OAM-related standards. These functions are used as building blocks in the OAM standards described in this document.

- o Connectivity Verification (CV), Path Verification and Continuity Checks (CC):  
As defined in Section 2.2.7.
- o Path Discovery / Fault Localization:  
This function can be used to trace the route to a destination, i.e., to identify the nodes along the route to the destination. When more than one route is available to a specific destination, this function traces one of the available routes. When a failure occurs, this function attempts to detect the location of the failure.  
Note that the term route tracing (or Traceroute) that is used in the context of IP and MPLS, is sometimes referred to as path tracing in the context of other protocols, such as TRILL.
- o Performance Monitoring:  
Typically refers to:
  - o Loss Measurement (LM) - monitors the packet loss rate.
  - o Delay Measurement (DM) - monitors the delay and delay variation (jitter).

## 4. OAM Tools in the IETF - a Detailed Description

This section presents a detailed description of the sets of OAM-related tools in each of the toolsets in Table 1.

#### 4.1. IP Ping

Ping is a common network diagnosis application for IP networks that uses ICMP. According to [NetTerms], 'Ping' is an abbreviation for Packet internet groper, although the term has been so commonly used that it stands on its own. As defined in [NetTerms], it is a program used to test reachability of destinations by sending them an ICMP echo request and waiting for a reply.

The ICMP Echo request/reply exchange in Ping is used as a continuity check function for the Internet Protocol. The originator transmits an ICMP Echo request packet, and the receiver replies with an Echo reply. ICMP ping is defined in two variants, [ICMPv4] is used for IPv4, and [ICMPv6] is used for IPv6.

Ping can be invoked either to a unicast destination or to a multicast destination. In the latter case, all members of the multicast group send an Echo reply back to the originator.

Ping implementations typically use ICMP messages. UDP Ping is a variant that uses UDP messages instead of ICMP echo messages.

Ping is a single-ended continuity check, i.e., it allows the \*initiator\* of the Echo request to test the reachability. If it is desirable for both ends to test the reachability, both ends have to invoke Ping independently.

Note that since ICMP filtering is deployed in some routers and firewalls, the usefulness of Ping is sometimes limited in the wider internet. This limitation is equally relevant to Traceroute.

#### 4.2. IP Traceroute

Traceroute ([TCPIP-Tools], [NetTools]) is an application that allows users to discover a path between an IP source and an IP destination.

The most common way to implement Traceroute [TCPIP-Tools] is described as follows. Traceroute sends a sequence of UDP packets to UDP port 33434 at the destination. By default, Traceroute begins by sending three packets (the number of packets is configurable in most Traceroute implementations), each with an IP Time-To-Live (or Hop Limit in IPv6) value of one to the destination. These packets expire as soon as they reach the first router in the path. Consequently, that router sends three ICMP Time Exceeded Messages back to the Traceroute application. Traceroute now sends another three UDP packets, each with the TTL value of 2. These messages cause the second router to return ICMP messages. This process continues, with

ever increasing values for the TTL field, until the packets actually reach the destination. Because no application listens to port 33434 at the destination, the destination returns ICMP Destination Unreachable Messages indicating an unreachable port. This event indicates to the Traceroute application that it is finished. The Traceroute program displays the round-trip delay associated with each of the attempts.

While Traceroute is a tool that finds *a* path from A to B, it should be noted that traffic from A to B is often forwarded through Equal Cost Multiple Paths (ECMP). Paris Traceroute [PARIS] is an extension to Traceroute that attempts to discover all the available paths from A to B by scanning different values of header fields (such as UDP ports) in the probe packets.

It is noted that Traceroute is an application, and not a protocol. As such, it has various different implementations. One of the most common ones uses UDP probe packets, as described above. Other implementations exist that use other types of probe messages, such as ICMP or TCP.

Note that IP routing may be asymmetric. While Traceroute discovers a path between a source and destination, it does not reveal the reverse path.

A few ICMP extensions ([ICMP-MP], [ICMP-Int]) have been defined in the context of Traceroute. These documents define several extensions, including extensions to the ICMP Destination Unreachable message, that can be used by Traceroute applications.

Traceroute allows path discovery to *unicast* destination addresses. A similar tool [mtrace] was defined for multicast destination addresses, allowing to trace the route that a multicast IP packet takes from a source to a particular receiver.

#### 4.3. Bidirectional Forwarding Detection (BFD)

##### 4.3.1. Overview

While multiple OAM tools have been defined for various protocols in the protocol stack, Bidirectional Forwarding Detection [BFD], defined by the IETF BFD working group, is a generic OAM tool that can be deployed over various encapsulating protocols, and in various medium types. The IETF has defined variants of the protocol for IP ([BFD-IP], [BFD-Multi]), for MPLS LSPs [BFD-LSP], and for pseudowires [BFD-VCCV]. The usage of BFD in MPLS-TP is defined in [TP-CC-CV].

BFD includes two main OAM functions, using two types of BFD packets: BFD Control packets, and BFD Echo packets.

#### 4.3.2. Terminology

BFD operates between *\*systems\**. The BFD protocol is run between two or more systems after establishing a *\*session\**.

#### 4.3.3. BFD Control

BFD supports a bidirectional continuity check, using BFD control packets, that are exchanged within a BFD session. BFD sessions operate in one of two modes:

- o Asynchronous mode (i.e., proactive): in this mode BFD control packets are sent periodically. When the receiver detects that no BFD control packets have been received during a predetermined period of time, a failure is reported.
- o Demand mode: in this mode, BFD control packets are sent on-demand. Upon need, a system initiates a series of BFD control packets to check the continuity of the session. BFD control packets are sent independently in each direction.

Each of the end-points (referred to as systems) of the monitored path maintains its own session identification, called a Discriminator, both of which are included in the BFD Control Packets that are exchanged between the end-points. At the time of session establishment, the Discriminators are exchanged between the two-end points. In addition, the transmission (and reception) rate is negotiated between the two end-points, based on information included in the control packets. These transmission rates may be renegotiated during the session.

During normal operation of the session, i.e., when no failures have been detected, the BFD session is in the Up state. If no BFD Control packets are received during a period of time called the Detection Time, the session is declared to be Down. The detection time is a function of the pre-configured or negotiated transmission rate, and a parameter called Detect Mult. Detect Mult determines the number of missing BFD Control packets that cause the session to be declared as Down. This parameter is included in the BFD Control packet.

#### 4.3.4. BFD Echo

A BFD echo packet is sent to a peer system, and is looped back to the originator. The echo function can be used proactively, or on-demand.

The BFD echo function has been defined in BFD for IPv4 and IPv6 ([BFD-IP]), but is not used in BFD for MPLS LSPs, PWs, or in BFD for MPLS-TP.

#### 4.4. MPLS OAM

The IETF MPLS working group has defined OAM for MPLS LSPs. The requirements and framework of this effort are defined in [MPLS-OAM-FW] and [MPLS-OAM], respectively. The corresponding OAM tool defined, in this context, is LSP Ping [LSP-Ping]. OAM for P2MP services is defined in [MPLS-P2MP].

BFD for MPLS [BFD-LSP] is an alternative means for detecting data-plane failures, as described below.

##### 4.4.1. LSP Ping

LSP Ping is modeled after the Ping/Traceroute paradigm and thus it may be used in one of two modes:

- o "Ping" mode: In this mode LSP Ping is used for end-to-end connectivity verification between two LERs.
- o "Traceroute" mode: This mode is used for hop-by-hop fault isolation.

LSP Ping is based on ICMP Ping operation (of data-plane connectivity verification) with additional functionality to verify data-plane vs. control-plane consistency for a Forwarding Equivalence Class (FEC) and also identify Maximum Transmission Unit (MTU) problems.

The Traceroute functionality may be used to isolate and localize MPLS faults, using the Time-to-live (TTL) indicator to incrementally identify the sub-path of the LSP that is successfully traversed before the faulty link or node.

The challenge in MPLS networks is that the traffic of a given LSP may be load balanced across Equal Cost Multiple paths (ECMP). LSP Ping monitors all the available paths of an LSP by monitoring its different Forwarding Equivalence Classes (FEC). Note that MPLS-TP does not use ECMP, and thus does not require OAM over multiple paths.

Another challenge is that an MPLS LSP does not necessarily have a return path; traffic that is sent back from the egress LSR to the ingress LSR is not necessarily sent over an MPLS LSP, but can be sent through a different route, such as an IP route. Thus, responding to an LSP Ping message is not necessarily as trivial as in IP Ping,

where the responder just swaps the source and destination IP addresses. Note that this challenge is not applicable to MPLS-TP, where a return path is always available.

It should be noted that LSP Ping supports unique identification of the LSP within an addressing domain. The identification is checked using the full FEC identification. LSP Ping is extensible to include additional information needed to support new functionality, by use of Type-Length-Value (TLV) constructs. The usage of TLVs is typically handled by the control plane, as it is not easy to implement in hardware.

LSP Ping supports both asynchronous, as well as, on-demand activation.

#### 4.4.2. BFD for MPLS

BFD [BFD-LSP] can be used to detect MPLS LSP data plane failures.

A BFD session is established for each MPLS LSP that is being monitored. BFD Control packets must be sent along the same path as the monitored LSP. If the LSP is associated with multiple FECs, a BFD session is established for each FEC.

While LSP Ping can be used for detecting MPLS data plane failures and for verifying the MPLS LSP data plane against the control plane, BFD can only be used for the former. BFD can be used in conjunction with LSP Ping, as is the case in MPLS-TP (see Section 4.5.4.).

#### 4.4.3. OAM for Virtual Private Networks (VPN) over MPLS

The IETF has defined two classes of VPNs, Layer 2 VPNs (L2VPN) and Layer 3 VPNs (L3VPN). [L2VPN-OAM] provides the requirements and framework for OAM in the context of Layer 2 Virtual Private Networks (L2VPN), and specifically it also defines the OAM layering of L2VPNs over MPLS. [L3VPN-OAM] provides a framework for the operation and management of Layer 3 Virtual Private Networks (L3VPNs).

### 4.5. MPLS-TP OAM

#### 4.5.1. Overview

The MPLS working group has defined the OAM toolset that fulfills the requirements for MPLS-TP OAM. The full set of requirements for MPLS-TP OAM are defined in [MPLS-TP-OAM], and include both general requirements for the behavior of the OAM tools and a set of operations that should be supported by the OAM toolset. The set of

mechanisms required are further elaborated in [TP-OAM-FW], which describes the general architecture of the OAM system as well as giving overviews of the functionality of the OAM toolset.

Some of the basic requirements for the OAM toolset for MPLS-TP are:

- o MPLS-TP OAM must be able to support both an IP based and non-IP based environment. If the network is IP based, i.e., IP routing and forwarding are available, then the MPLS-TP OAM toolset should rely on the IP routing and forwarding capabilities. On the other hand, in environments where IP functionality is not available, the OAM tools must still be able to operate without dependence on IP forwarding and routing.
- o OAM packets and the user traffic are required to be congruent (i.e., OAM packets are transmitted in-band) and there is a need to differentiate OAM packets from ordinary user packets in the data plane. Inherent in this requirement is the principle that MPLS-TP OAM be independent of any existing control-plane, although it should not preclude use of the control-plane functionality. OAM packets are identified by the Generic Associated Label (GAL), which is a reserved MPLS label value (13).

#### 4.5.2. Terminology

##### Maintenance Entity (ME)

The MPLS-TP OAM tools are designed to monitor and manage a Maintenance Entity (ME). An ME, as defined in [TP-OAM-FW], defines a relationship between two points of a transport path to which maintenance and monitoring operations apply.

The term Maintenance Entity (ME) is used in ITU-T Recommendations (e.g., [ITU-T-Y1731]), as well as in the MPLS-TP terminology ([TP-OAM-FW]).

##### Maintenance Entity Group (MEG)

The collection of one or more MEs that belongs to the same transport path and that are maintained and monitored as a group are known as a Maintenance Entity Group (based on [TP-OAM-FW]).

##### Maintenance Point (MP)

A Maintenance Point (MP) is a functional entity that is defined at a node in the network, and can initiate and/or react to OAM messages. This document focuses on the data-plane functionality of MPs, while

MPs interact with the control plane and with the management plane as well.

The term MP is used in IEEE 802.1ag, and was similarly adopted in MPLS-TP ([TP-OAM-FW]).

#### Maintenance End Point (MEP)

A Maintenance End Point (MEP) is one of the end points of an ME, and can initiate OAM messages and respond to them (based on [TP-OAM-FW]).

#### Maintenance Intermediate Point (MIP)

In between MEPs, there are zero or more intermediate points, called Maintenance Entity Group Intermediate Points (based on [TP-OAM-FW]).

A Maintenance Intermediate Point (MIP) is an intermediate point that does not generally initiate OAM frames (one exception to this is the use of AIS notifications), but is able to respond to OAM frames that are destined to it. A MIP in MPLS-TP identifies OAM packets destined to it by the expiration of the TTL field in the OAM packet. The term Maintenance Point is a general term for MEPs and MIPs.

#### Up and Down MEPs

The IEEE 802.1ag [IEEE802.1Q] defines a distinction between Up MEPs and Down MEPs. A MEP monitors traffic either in the direction facing the network, or in the direction facing the bridge. A Down MEP is a MEP that receives OAM packets from, and transmits them to the direction of the network. An Up MEP receives OAM packets from, and transmits them to the direction of the bridging entity. MPLS-TP ([TP-OAM-FW]) uses a similar distinction on the placement of the MEP - either at the ingress, egress, or forwarding function of the node (Down / Up MEPs). This placement is important for localization of a failure.

Note that the terms Up and Down MEPs are entirely unrelated to the conventional up/down terminology, where down means faulty, and up is nonfaulty.

The distinction between Up and Down MEPs was defined in [TP-OAM-FW], but has not been used in other MPLS-TP RFCs, as of the writing of this document.

#### 4.5.3. Generic Associated Channel

In order to address the requirement for in-band transmission of MPLS-TP OAM traffic, MPLS-TP uses a Generic Associated Channel (G-ACh), defined in [G-ACh] for LSP-based OAM traffic. This mechanism is based on the same concepts as the PWE3 ACH [PW-ACH] and VCCV [VCCV] mechanisms. However, to address the needs of LSPs as differentiated from PW, the following concepts were defined for [G-ACh]:

- o An Associated Channel Header (ACH), that uses a format similar to the PW Control Word [PW-ACH], is a 4-byte header that is prepended to OAM packets.
- o A Generic Associated Label (GAL). The GAL is a reserved MPLS label value (13) that indicates that the packet is an ACH packet and the payload follows immediately after the label stack.

It should be noted that while the G-ACh was defined as part of the MPLS-TP definition effort, the G-ACh is a generic tool that can be used in MPLS in general, and not only in MPLS-TP.

#### 4.5.4. MPLS-TP OAM Toolset

To address the functionality that is required of the OAM toolset, the MPLS WG conducted an analysis of the existing IETF and ITU-T OAM tools and their ability to fulfill the required functionality. The conclusions of this analysis are documented in [OAM-Analys]. MPLS-TP uses a mixture of OAM tools that are based on previous standards, and adapted to the requirements of [MPLS-TP-OAM]. Some of the main building blocks of this solution are based on:

- o Bidirectional Forwarding Detection ([BFD], [BFD-LSP]) for proactive continuity check and connectivity verification.
- o LSP Ping as defined in [LSP-Ping] for on-demand connectivity verification.
- o New protocol packets, using G-ACH, to address different functionality.
- o Performance measurement protocols that are based on the functionality that is described in [ITU-T-Y1731].

The following sub-sections describe the OAM tools defined for MPLS-TP as described in [TP-OAM-FW].

#### 4.5.4.1. Continuity Check and Connectivity Verification

Continuity Check and Connectivity Verification are presented in Section 2.2.7. of this document. As presented there, these tools may be used either proactively or on-demand. When using these tools proactively, they are generally used in tandem.

For MPLS-TP there are two distinct tools, the proactive tool is defined in [TP-CC-CV] while the on-demand tool is defined in [OnDemand-CV]. In on-demand mode, this function should support monitoring between the MEPs and, in addition, between a MEP and MIP. [TP-OAM-FW] highlights, when performing Connectivity Verification, the need for the CC-V messages to include unique identification of the MEG that is being monitored and the MEP that originated the message.

The proactive tool [TP-CC-CV] is based on extensions to BFD (see Section 4.3.) with the additional limitation that the transmission and receiving rates are based on configuration by the operator. The on-demand tool [OnDemand-CV] is an adaptation of LSP Ping (see Section 4.4.) for the required behavior of MPLS-TP.

#### 4.5.4.2. Route Tracing

[MPLS-TP-OAM] defines that there is a need for functionality that would allow a path end-point to identify the intermediate and end-points of the path. This function would be used in on-demand mode. Normally, this path will be used for bidirectional PW, LSP, and sections, however, unidirectional paths may be supported only if a return path exists. The tool for this is based on the LSP Ping (see Section 4.4.) functionality and is described in [OnDemand-CV].

#### 4.5.4.3. Lock Instruct

The Lock Instruct function [Lock-Loop] is used to notify a transport path end-point of an administrative need to disable the transport path. This functionality will generally be used in conjunction with some intrusive OAM function, e.g., Performance measurement, Diagnostic testing, to minimize the side-effect on user data traffic.

#### 4.5.4.4. Lock Reporting

Lock Reporting is a function used by an end-point of a path to report to its far-end end-point that a lock condition has been affected on the path.

#### 4.5.4.5. Alarm Reporting

Alarm Reporting [TP-Fault] provides the means to suppress alarms following detection of defect conditions at the server sub-layer. Alarm reporting is used by an intermediate point of a path, that becomes aware of a fault on the path, to report to the end-points of the path. [TP-OAM-FW] states that this may occur as a result of a defect condition discovered at a server sub-layer. This generates an Alarm Indication Signal (AIS) that continues until the fault is cleared. The consequent action of this function is detailed in [TP-OAM-FW].

#### 4.5.4.6. Remote Defect Indication

Remote Defect Indication (RDI) is used proactively by a path end-point to report to its peer end-point that a defect is detected on a bidirectional connection between them. [MPLS-TP-OAM] points out that this function may be applied to a unidirectional LSP only if a return path exists. [TP-OAM-FW] points out that this function is associated with the proactive CC-V function.

#### 4.5.4.7. Client Failure Indication

Client Failure Indication (CFI) is defined in [MPLS-TP-OAM] to allow the propagation information from one edge of the network to the other. The information concerns a defect to a client, in the case that the client does not support alarm notification.

#### 4.5.4.8. Performance Monitoring

The definition of MPLS performance monitoring was motivated by the MPLS-TP requirements [MPLS-TP-OAM], but was defined generically for MPLS in [MPLS-LM-DM]. An additional document [TP-LM-DM] defines a performance monitoring profile for MPLS-TP.

##### 4.5.4.8.1. Packet Loss Measurement (LM)

Packet Loss Measurement is a function used to verify the quality of the service. Packet loss, as defined in [IPPM-1LM] and [MPLS-TP-OAM], indicates the ratio of the number of user packets lost to the total number of user packets sent during a defined time interval.

There are two possible ways of determining this measurement:

- o Using OAM packets, it is possible to compute the statistics based on a series of OAM packets. This, however, has the disadvantage of being artificial, and may not be representative since part of the packet loss may be dependent upon packet sizes and upon the implementation of the MEPs that take part in the protocol.
- o Sending delimiting messages for the start and end of a measurement period during which the source and sink of the path count the packets transmitted and received. After the end delimiter, the ratio would be calculated by the path OAM entity.

#### 4.5.4.8.2. Packet Delay Measurement (DM)

Packet Delay Measurement is a function that is used to measure one-way or two-way delay of a packet transmission between a pair of the end-points of a path (PW, LSP, or Section). Where:

- o One-way packet delay, as defined in [IPPM-1DM], is the time elapsed from the start of transmission of the first bit of the packet by a source node until the reception of the last bit of that packet by the destination node. Note that one-way delay measurement requires the clocks of the two end-points to be synchronized.
- o Two-way packet delay, as defined in [IPPM-2DM], is the time elapsed from the start of transmission of the first bit of the packet by a source node until the reception of the last bit of the loop-backed packet by the same source node, when the loopback is performed at the packet's destination node. Note that due to possible path asymmetry, the one-way packet delay from one end-point to another is not necessarily equal to half of the two-way packet delay.  
As opposed to one-way delay measurement, two-way delay measurement does not require the two end-points to be synchronized.

For each of these two metrics, the DM function allows the MEP to measure the delay, as well as the delay variation. Delay measurement is performed by exchanging timestamped OAM packets between the participating MEPs.

### 4.6. Pseudowire OAM

#### 4.6.1. Pseudowire OAM using Virtual Circuit Connectivity Verification (VCCV)

VCCV, as defined in [VCCV], provides a means for end-to-end fault detection and diagnostics tools to be used for PWs (regardless of the

underlying tunneling technology). The VCCV switching function provides a control channel associated with each PW. [VCCV] defines three Control Channel (CC) types, i.e., three possible methods for transmitting and identifying OAM messages:

- o CC Type 1: In-band VCCV, as described in [VCCV], is also referred to as "PWE3 Control Word with 0001b as first nibble". It uses the PW Associated Channel Header [PW-ACH].
- o CC Type 2: Out-of-band VCCV [VCCV], is also referred to as "MPLS Router Alert Label". In this case the control channel is created by using the MPLS router alert label [MPLS-ENCAPS] immediately above the PW label.
- o CC Type 3: TTL expiry VCCV [VCCV], is also referred to as "MPLS PW Label with TTL == 1", i.e., the control channel is identified when the value of the TTL field in the PW label is set to 1.

VCCV currently supports the following OAM tools: ICMP Ping, LSP Ping, and BFD. ICMP and LSP Ping are IP encapsulated before being sent over the PW ACH. BFD for VCCV [BFD-VCCV] supports two modes of encapsulation - either IP/UDP encapsulated (with IP/UDP header) or PW-ACH encapsulated (with no IP/UDP header) and provides support to signal the AC status. The use of the VCCV control channel provides the context, based on the MPLS-PW label, required to bind and bootstrap the BFD session to a particular pseudo wire (FEC), eliminating the need to exchange Discriminator values.

VCCV consists of two components: (1) signaled component to communicate VCCV capabilities as part of VC label, and (2) switching component to cause the PW payload to be treated as a control packet.

VCCV is not directly dependent upon the presence of a control plane. The VCCV capability advertisement may be performed as part of the PW signaling when LDP is used. In case of manual configuration of the PW, it is the responsibility of the operator to set consistent options at both ends. The manual option was created specifically to handle MPLS-TP use cases where no control plane was a requirement. However, new use cases such as pure mobile backhaul find this functionality useful too.

The PWE3 working group has conducted an implementation survey of VCCV [VCCV-SURVEY], which analyzes which VCCV mechanisms are used in practice.

#### 4.6.2. Pseudowire OAM using G-ACh

As mentioned above, VCCV enables OAM for PWs by using a control channel for OAM packets. When PWs are used in MPLS-TP networks, rather than the control channels defined in VCCV, the G-ACh can be used as an alternative control channel. The usage of the G-ACh for PWs is defined in [PW-G-ACh].

#### 4.6.3. Attachment Circuit - Pseudowire Mapping

The PWE3 working group has defined a mapping and notification of defect states between a pseudowire (PW) and the Attachment Circuits (ACs) of the end-to-end emulated service. This mapping is of key importance to the end-to-end functionality. Specifically, the mapping is provided by [PW-MAP], by [L2TP-EC] for L2TPv3 pseudowires, and Section 5.3 of [ATM-L2] for ATM.

[L2VPN-OAM] provides the requirements and framework for OAM in the context of Layer 2 Virtual Private Networks (L2VPN), and specifically it also defines the OAM layering of L2VPNs over pseudowires.

The mapping defined in [Eth-Int] allows an end-to-end emulated Ethernet service over pseudowires.

### 4.7. OWAMP and TWAMP

#### 4.7.1. Overview

The IPPM working group in the IETF defines common criteria and metrics for measuring performance of IP traffic ([IPPM-FW]). Some of the key RFCs published by this working group have defined metrics for measuring connectivity [IPPM-Con], delay ([IPPM-1DM], [IPPM-2DM]), and packet loss [IPPM-1LM]. It should be noted that the work of the IETF in the context of performance metrics is not limited to IP networks; [PM-CONS] presents general guidelines for considering new performance metrics.

The IPPM working group has defined not only metrics for performance measurement, but also protocols that define how the measurement is carried out. The One-way Active Measurement Protocol [OWAMP] and the Two-Way Active Measurement Protocol [TWAMP] define a method and protocol for measuring performance metrics in IP networks.

OWAMP [OWAMP] enables measurement of one-way characteristics of IP networks, such as one-way packet loss and one-way delay. For its proper operation OWAMP requires accurate time of day setting at its end points.

TWAMP [TWAMP] is a similar protocol that enables measurement of both one-way and two-way (round trip) characteristics.

OWAMP and TWAMP are both comprised of two separate protocols:

- o OWAMP-Control/TWAMP-Control: used to initiate, start, and stop test sessions and to fetch their results. Continuity Check and Connectivity Verification are tested and confirmed by establishing the OWAMP/TWAMP Control Protocol TCP connection.
- o OWAMP-Test/TWAMP-Test: used to exchange test packets between two measurement nodes. Enables the loss and delay measurement functions, as well as detection of other anomalies, such as packet duplication and packet reordering.

It should be noted that while [OWAMP] and [TWAMP] define tools for performance measurement, they do not define the accuracy of these tools. The accuracy depends on scale, implementation and network configurations.

Alternative protocols for performance monitoring are defined, for example, in MPLS-TP OAM ([MPLS-LM-DM], [TP-LM-DM]), and in Ethernet OAM [ITU-T-Y1731].

#### 4.7.2. Control and Test Protocols

OWAMP and TWAMP control protocols run over TCP, while the test protocols run over UDP. The purpose of the control protocols is to initiate, start, and stop test sessions, and for OWAMP to fetch results. The test protocols introduce test packets (which contain sequence numbers and timestamps) along the IP path under test according to a schedule, and record statistics of packet arrival. Multiple sessions may be simultaneously defined, each with a session identifier, and defining the number of packets to be sent, the amount of padding to be added (and thus the packet size), the start time, and the send schedule (which can be either a constant time between test packets or exponentially distributed pseudo-random). Statistics recorded conform to the relevant IPPM RFCs.

From a security perspective, OWAMP and TWAMP test packets are hard to detect because they are simply UDP streams between negotiated port numbers, with potentially nothing static in the packets. OWAMP and TWAMP also include optional authentication and encryption for both control and test packets.

#### 4.7.3. OWAMP

OWAMP defines the following logical roles: Session-Sender, Session-Receiver, Server, Control-Client, and Fetch-Client. The Session-Sender originates test traffic that is received by the Session-Receiver. The Server configures and manages the session, as well as returning the results. The Control-Client initiates requests for test sessions, triggers their start, and may trigger their termination. The Fetch-Client requests the results of a completed session. Multiple roles may be combined in a single host - for example, one host may play the roles of Control-Client, Fetch-Client, and Session-Sender, and a second playing the roles of Server and Session-Receiver.

In a typical OWAMP session the Control-Client establishes a TCP connection to port 861 of the Server, which responds with a server greeting message indicating supported security/integrity modes. The Control-Client responds with the chosen communications mode and the Server accepts the mode. The Control-Client then requests and fully describes a test session to which the Server responds with its acceptance and supporting information. More than one test session may be requested with additional messages. The Control-Client then starts a test session and the Server acknowledges, and instructs the Session-Sender to start the test. The Session-Sender then sends test packets with pseudorandom padding to the Session-Receiver until the session is complete or until the Control-client stops the session. Once finished, the Session-Sender reports to the Server which recovers data from the Session-Receiver. The Fetch-Client can then send a fetch request to the Server, which responds with an acknowledgement and immediately thereafter the result data.

#### 4.7.4. TWAMP

TWAMP defines the following logical roles: session-sender, session-reflector, server, and control-client. These are similar to the OWAMP roles, except that the Session-Reflector does not collect any packet information, and there is no need for a Fetch-Client.

In a typical TWAMP session the Control-Client establishes a TCP connection to port 862 of the Server, and mode is negotiated as in OWAMP. The Control-Client then requests sessions and starts them. The Session-Sender sends test packets with pseudorandom padding to the Session-Reflector which returns them with insertion of timestamps.

#### 4.8. TRILL

The requirements of OAM in TRILL are defined in [TRILL-OAM]. The challenge in TRILL OAM, much like in MPLS networks, is that traffic between RBridges RB1 and RB2 may be forwarded through more than one path. Thus, an OAM protocol between RBridges RB1 and RB2 must be able to monitor all the available paths between the two RBridge.

During the writing of this document the detailed definition of the TRILL OAM tools are still work in progress. This subsection presents the main requirements of TRILL OAM.

The main requirements defined in [TRILL-OAM] are:

- o Continuity Checking (CC) - the TRILL OAM protocol must support a function for CC between any two RBridges RB1 and RB2.
- o Connectivity Verification (CV) - connectivity between two RBridges RB1 and RB2 can be verified on a per-flow basis.
- o Path Tracing - allows an RBridge to trace all the available paths to a peer RBridge.
- o Performance monitoring - allows an RBridge to monitor the packet loss and packet delay to a peer RBridge.

#### 5. Summary

This section summarizes the OAM tools and functions presented in this document. This summary is an index to some of the main OAM tools defined in the IETF. This compact index that can be useful to all readers from network operators to standards development organizations. The summary includes a short subsection that presents some guidance to network equipment vendors.

##### 5.1. Summary of OAM Tools

This subsection provides a short summary of each of the OAM toolsets described in this document.

A detailed list of the RFCs related to each toolset is given in Appendix A.1.

Toolset	Description	Transport Technology

IP Ping	Ping ([IntHost], [NetTerms]) is a simple application for testing reachability that uses ICMP Echo messages ([ICMPv4], [ICMPv6]).	IPv4/IPv6
IP Traceroute	Traceroute ([TCPIP-Tools], [NetTools]) is an application that allows users to trace the path between an IP source and an IP destination, i.e., to identify the nodes along the path. If more than one path exists between the source and destination Traceroute traces *a* path. The most common implementation of Traceroute uses UDP probe messages, although there are other implementations that use different probes, such as ICMP or TCP. Paris Traceroute [PARIS] is an extension that attempts to discover all the available paths from A to B by scanning different values of header fields.	IPv4/IPv6
BFD	Bidirectional Forwarding Detection (BFD) is defined in [BFD] as a framework for a lightweight generic OAM tool. The intention is to define a base tool that can be used with various encapsulation types, network environments, and in various medium types.	generic
MPLS OAM	MPLS LSP Ping, as defined in [MPLS-OAM], [MPLS-OAM-FW] and [LSP-Ping], is an OAM tool for point-to-point and point-to-multipoint MPLS LSPs. It includes two main functions: Ping and Traceroute. BFD [BFD-LSP] is an alternative means for detecting MPLS LSP data plane failures.	MPLS
MPLS-TP OAM	MPLS-TP OAM is defined in a set of RFCs.	MPLS-TP

	The OAM requirements for MPLS Transport Profile (MPLS-TP) are defined in [MPLS-TP-OAM]. Each of the tools in the OAM toolset is defined in its own RFC, as specified in Section A.1.	
Pseudowire OAM	The PWE3 OAM architecture defines control channels that support the use of existing IETF OAM tools to be used for a pseudowire (PW). The control channels that are defined in [VCCV] and [PW-G-ACh] may be used in conjunction with ICMP Ping, LSP Ping, and BFD to perform CC and CV functionality. In addition the channels support use of any of the MPLS-TP based OAM tools for completing their respective OAM functionality for a PW.	Pseudowire
OWAMP and TWAMP	The One Way Active Measurement Protocol [OWAMP] and the Two Way Active Measurement Protocols [TWAMP] are two protocols defined in the IP Performance Metrics (IPPM) working group in the IETF. These protocols allow various performance metrics to be measured, such as packet loss, delay and delay variation, duplication and reordering.	IPv4/IPv6
TRILL OAM	The requirements of OAM in TRILL are defined in [TRILL-OAM]. These requirements include continuity checking, connectivity verification, path tracing and performance monitoring. During the writing of this document the detailed definition of the TRILL OAM tools is work in progress.	TRILL

Table 3 Summary of OAM-related IETF Tools

5.2. Summary of OAM Functions

Table 4 summarizes the OAM functions that are supported in each of the toolsets that were analyzed in this section. The columns of this tables are the typical OAM functions described in Section 1.3.

Toolset	Continuity Check	Connectivity Verification	Path Discovery	Performance Monitoring	Other Functions
IP Ping	Echo				
IP Traceroute			Traceroute		
BFD	BFD Control / Echo	BFD Control			RDI using BFD Control
MPLS OAM (LSP Ping)		"Ping" mode	"Traceroute" mode		
MPLS-TP OAM	CC	CV/pro-active or on-demand	Route Tracing	-LM -DM	-Diagnostic Test -Lock -Alarm Reporting -Client Failure Indication -RDI
Pseudowire OAM	BFD	-BFD -ICMP Ping -LSP-Ping	LSP-Ping		
OWAMP and	- control			-Delay	

TWAMP	protocol			measur ement -Packet loss measur ement	
TRILL OAM	CC	CV	Path tracing	-Delay measur ement -Packet loss measur ement	

Table 4 Summary of the OAM Functionality in IETF OAM Tools

5.3. Guidance to Network Equipment Vendors

As mentioned in Section 1.4. , it is imperative for OAM tools to be capable of testing the actual data plane in as much accuracy as possible. While this guideline may appear obvious, it is worthwhile to emphasize the key importance of enforcing fate-sharing between OAM traffic that monitors the data plane and the data plane traffic it monitors.

6. Security Considerations

OAM is tightly coupled with the stability of the network. A successful attack on an OAM protocol can create a false illusion of non-existent failures, or prevent the detection of actual ones. In both cases the attack may result in denial of service.

Some of the OAM tools presented in this document include security mechanisms that provide integrity protection, thereby preventing attackers from forging or tampering with OAM packets. For example, [BFD] includes an optional authentication mechanism for BFD Control packets, using either SHA1, MD5, or a simple password. [OWAMP] and [TWAMP] have 3 modes of security: unauthenticated, authenticated, and encrypted. The authentication uses SHA1 as the HMAC algorithm, and the encrypted mode uses AES encryption.

Confidentiality is typically not considered a requirement for OAM protocols. However, the use of encryption (e.g., [OWAMP] and

[TWAMP]) can make it difficult for attackers to identify OAM packets, thus making it more difficult to attack the OAM protocol.

OAM can also be used as a means for network reconnaissance; information about addresses, port numbers and about the network topology and performance can be gathered either by passively eavesdropping to OAM packets, or by actively sending OAM packets and gathering information from the respective responses. This information can then be used maliciously to attack the network. Note that some of this information, e.g., addresses and port numbers, can be gathered even when encryption is used ([OWAMP], [TWAMP]).

For further details about the security considerations of each OAM protocol, the reader is encouraged to review the Security Considerations section of each document referenced by this memo.

## 7. IANA Considerations

There are no new IANA considerations implied by this document.

## 8. Acknowledgments

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This document was prepared using 2-Word-v2.0.template.dot.

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## Appendix A.

## List of OAM Documents

## A.1. List of IETF OAM Documents

Table 5 summarizes the OAM related RFCs published by the IETF.

It is important to note that the table lists various RFCs that are different by nature. For example, some of these documents define OAM tools or OAM protocols (or both), while others define protocols that

are not strictly OAM-related, but are used by OAM tools. The table also includes RFCs that define the requirements or the framework of OAM in a specific context (e.g., MPLS-TP).

The RFCs in the table are categorized in a few sets as defined in Section 1.3.

Toolset	Title	RFC
IP Ping	Requirements for Internet Hosts -- Communication Layers [IntHost]	RFC 1122
	A Glossary of Networking Terms [NetTerms]	RFC 1208
	Internet Control Message Protocol [ICMPv4]	RFC 792
	Internet Control Message Protocol (ICMPv6) for the Internet Protocol Version 6 (IPv6) Specification [ICMPv6]	RFC 4443
IP Traceroute	A Primer On Internet and TCP/IP Tools and Utilities [TCPIP-Tools]	RFC 2151
	FYI on a Network Management Tool Catalog: Tools for Monitoring and Debugging TCP/IP Internets and Interconnected Devices [NetTools]	RFC 1470
	Internet Control Message Protocol [ICMPv4]	RFC 792
	Internet Control Message Protocol (ICMPv6) for the Internet Protocol Version 6 (IPv6) Specification [ICMPv6]	RFC 4443
	Extended ICMP to Support Multi-Part Messages [ICMP-MP]	RFC 4884

	Extending ICMP for Interface and Next-Hop Identification [ICMP-Int]	RFC 5837
BFD	Bidirectional Forwarding Detection [BFD]	RFC 5880
	Bidirectional Forwarding Detection (BFD) for IPv4 and IPv6 (Single Hop) [BFD-IP]	RFC 5881
	Generic Application of Bidirectional Forwarding Detection [BFD-Gen]	RFC 5882
	Bidirectional Forwarding Detection (BFD) for Multihop Paths [BFD-Multi]	RFC 5883
	Bidirectional Forwarding Detection for MPLS Label Switched Paths (LSPs) [BFD-LSP]	RFC 5884
	Bidirectional Forwarding Detection for the Pseudowire Virtual Circuit Connectivity Verification (VCCV) [BFD-VCCV]	RFC 5885
MPLS OAM	Operations and Management (OAM) Requirements for Multi-Protocol Label Switched (MPLS) Networks [MPLS-OAM]	RFC 4377
	A Framework for Multi-Protocol Label Switching (MPLS) Operations and Management (OAM) [MPLS-OAM-FW]	RFC 4378
	Detecting Multi-Protocol Label Switched (MPLS) Data Plane Failures [LSP-Ping]	RFC 4379
	Operations and Management (OAM) Requirements for Point-to-Multipoint MPLS Networks [MPLS-P2MP]	RFC 4687

	ICMP Extensions for Multiprotocol Label Switching [ICMP-Ext]	RFC 4950
	Bidirectional Forwarding Detection for MPLS Label Switched Paths (LSPs) [BFD-LSP]	RFC 5884
MPLS-TP OAM	Requirements for OAM in MPLS-TP [MPLS-TP-OAM]	RFC 5860
	MPLS Generic Associated Channel [G-ACh]	RFC 5586
	MPLS-TP OAM Framework [TP-OAM-FW]	RFC 6371
	Proactive Connectivity Verification, Continuity Check, and Remote Defect Indication for the MPLS Transport Profile [TP-CC-CV]	RFC 6428
	MPLS On-Demand Connectivity Verification and Route Tracing [OnDemand-CV]	RFC 6426
	MPLS Fault Management Operations, Administration, and Maintenance (OAM) [TP-Fault]	RFC 6427
	MPLS Transport Profile Lock Instruct and Loopback Functions [Lock-Loop]	RFC 6435
	Packet Loss and Delay Measurement for MPLS Networks [MPLS-LM-DM]	RFC 6374
	A Packet Loss and Delay Measurement Profile for MPLS-Based Transport Networks [TP-LM-DM]	RFC 6375
Pseudowire	Pseudowire Virtual Circuit	RFC 5085

OAM	Connectivity Verification (VCCV): A Control Channel for Pseudowires [VCCV]	
	Bidirectional Forwarding Detection for the Pseudowire Virtual Circuit Connectivity Verification (VCCV) [BFD-VCCV]	RFC 5885
	Using the Generic Associated Channel Label for Pseudowire in the MPLS Transport Profile (MPLS-TP) [PW-G-ACh]	RFC 6423
	Pseudowire (PW) Operations, Administration, and Maintenance (OAM) Message Mapping [PW-MAP]	RFC 6310
	MPLS and Ethernet Operations, Administration, and Maintenance (OAM) Interworking [Eth-Int]	RFC 7023
OWAMP and TWAMP	A One-way Active Measurement Protocol [OWAMP]	RFC 4656
	A Two-Way Active Measurement Protocol [TWAMP]	RFC 5357
	Framework for IP Performance Metrics [IPPM-FW]	RFC 2330
	IPPM Metrics for Measuring Connectivity [IPPM-Con]	RFC 2678
	A One-way Delay Metric for IPPM [IPPM-1DM]	RFC 2679
	A One-way Packet Loss Metric for IPPM [IPPM-1LM]	RFC 2680
	A Round-trip Delay Metric for IPPM	RFC 2681

	[IPPM-2DM]	
	Packet Reordering Metrics [Reorder]	RFC 4737
	A One-Way Packet Duplication Metric [Dup]	RFC 5560
TRILL OAM	Requirements for Operations, Administration, and Maintenance (OAM) in Transparent Interconnection of Lots of Links (TRILL)	RFC 6905

Table 5 Summary of IETF OAM Related RFCs

## A.2. List of Selected Non-IETF OAM Documents

In addition to the OAM tools defined by the IETF, the IEEE and ITU-T have also defined various OAM tools that focus on Ethernet, and various other transport network environments. These various tools, defined by the three standard organizations, are often tightly coupled, and have had a mutual effect on each other. The ITU-T and IETF have both defined OAM tools for MPLS LSPs, [ITU-T-Y1711] and [LSP-Ping]. The following OAM standards by the IEEE and ITU-T are to some extent linked to IETF OAM tools listed above and are mentioned here only as reference material:

- o OAM tools for Layer 2 have been defined by the ITU-T in [ITU-T-Y1731], and by the IEEE in 802.1ag [IEEE802.1Q] . The IEEE 802.3 standard defines OAM for one-hop Ethernet links [IEEE802.3ah].
- o The ITU-T has defined OAM for MPLS LSPs in [ITU-T-Y1711], and MPLS-TP OAM in [ITU-G8113.1] and [ITU-G8113.2].

It should be noted that these non-IETF documents deal in many cases with OAM functions below the IP layer (Layer 2, Layer 2.5) and in some cases operators use a multi-layered OAM approach, which is a function of the way their networks are designed.

Table 6 summarizes some of the main OAM standards published by non-IETF standard organizations. This document focuses on IETF OAM standards, but these non-IETF standards are referenced in this document where relevant.

	Title	Standard/Draft
ITU-T MPLS OAM	Operation & Maintenance mechanism for MPLS networks [ITU-T-Y1711]	ITU-T Y.1711
	Assignment of the 'OAM Alert Label' for Multiprotocol Label Switching Architecture (MPLS) Operation and Maintenance (OAM) Functions [OAM-Label]  Note: although this is an IETF document, it is listed as one of the non-IETF OAM standards, since it was defined as a complementary part of ITU-T Y.1711.	RFC 3429
ITU-T MPLS-TP OAM	Operations, administration and Maintenance mechanisms for MPLS-TP networks using the tools defined for MPLS [ITU-G8113.2]  Note: this document describes the OAM toolset defined by the IETF for MPLS-TP, whereas ITU-T G.8113.1 describes the OAM toolset defined by the ITU-T.	ITU-T G.8113.2
	Operations, Administration and Maintenance mechanism for MPLS-TP in Packet Transport Network (PTN)	ITU-T G.8113.1
	Allocation of a Generic Associated Channel Type for ITU-T MPLS Transport Profile Operation, Maintenance, and Administration (MPLS-TP OAM) [ITU-T-CT]  Note: although this is an IETF document, it is listed as one of the	RFC 6671

	non-IETF OAM standards, since it was defined as a complementary part of ITU-T G.8113.1.	
ITU-T Ethernet OAM	OAM Functions and Mechanisms for Ethernet-based Networks [ITU-T-Y1731]	ITU-T Y.1731
IEEE CFM	Connectivity Fault Management [IEEE802.1Q]  Note: CFM was originally published as IEEE 802.1ag, but is now incorporated in the 802.1Q standard.	IEEE 802.1ag
IEEE DDCFM	Management of Data Driven and Data Dependent Connectivity Faults [IEEE802.1Q]  Note: DDCFm was originally published as IEEE 802.1Qaw, but is now incorporated in the 802.1Q standard.	IEEE 802.1ag
IEEE 802.3 link level OAM	Media Access Control Parameters, Physical Layers, and Management Parameters for Subscriber Access Networks [IEEE802.3ah]  Note: link level OAM was originally defined in IEEE 802.3ah, and is now incorporated in the 802.3 standard.	IEEE 802.3ah

Table 6 Non-IETF OAM Standards Mentioned in this Document

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