

Internet Engineering Task Force
Internet-Draft
Intended status: Standards Track
Expires: March 31, 2014

G. Lozano
ICANN
September 27, 2013

Mark and Signed Mark Objects Mapping
draft-lozano-tmch-smd-03

Abstract

This document describes the format of a mark and a digitally signed mark, referred to as a signed mark and the Signed Mark Data (SMD) file as defined by the ICANN Trademark Clearinghouse.

Status of this Memo

This Internet-Draft is submitted in full conformance with the provisions of BCP 78 and BCP 79.

Internet-Drafts are working documents of the Internet Engineering Task Force (IETF). Note that other groups may also distribute working documents as Internet-Drafts. The list of current Internet-Drafts is at <http://datatracker.ietf.org/drafts/current/>.

Internet-Drafts are draft documents valid for a maximum of six months and may be updated, replaced, or obsoleted by other documents at any time. It is inappropriate to use Internet-Drafts as reference material or to cite them other than as "work in progress."

This Internet-Draft will expire on March 31, 2014.

Copyright Notice

Copyright (c) 2013 IETF Trust and the persons identified as the document authors. All rights reserved.

This document is subject to BCP 78 and the IETF Trust's Legal Provisions Relating to IETF Documents (<http://trustee.ietf.org/license-info>) in effect on the date of publication of this document. Please review these documents carefully, as they describe your rights and restrictions with respect to this document. Code Components extracted from this document must include Simplified BSD License text as described in Section 4.e of the Trust Legal Provisions and are provided without warranty as described in the Simplified BSD License.

Table of Contents

1. Introduction	3
1.1. Terminology	3
2. Object Description	3
2.1. Holder and Contacts objects	3
2.2. Mark	5
2.3. Signed Mark	9
2.4. Encoded Signed Mark	12
2.5. Appendix A. base64 encoded signedMark	13
3. Formal Syntax	15
3.1. Signed Mark Schema	15
3.2. Mark Schema	18
4. Acknowledgements	23
5. Change History	24
6. IANA Considerations	25
7. Security Considerations	25
8. Normative References	25
Author's Address	26

1. Introduction

This document describes the format of a mark and a digitally signed mark, referred to as a signed mark and the Signed Mark Data (SMD) file as defined by the ICANN Trademark Clearinghouse. This document provides a framework that can be referenced by application protocols like the Extensible Provisioning Protocol (EPP), defined in [RFC5730].

1.1. Terminology

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in RFC 2119 [RFC2119].

XML is case sensitive. Unless stated otherwise, XML specifications and examples provided in this document MUST be interpreted in the character case presented in order to develop a conforming implementation.

"signedMark-1.0" is used as an abbreviation for "urn:ietf:params:xml:ns:signedMark-1.0". The XML namespace prefix "smd" is used, but implementations MUST NOT depend on it and instead employ a proper namespace-aware XML parser and serializer to interpret and output the XML documents.

"mark-1.0" is used as an abbreviation for "urn:ietf:params:xml:ns:mark-1.0". The XML namespace prefix "mark" is used, but implementations MUST NOT depend on it and instead employ a proper namespace-aware XML parser and serializer to interpret and output the XML documents.

2. Object Description

This section defines the objects associated with marks and signed marks. Empty complex element types and abstract elements are defined to support additional mark and signed mark definition using XSD substitution groups. Support for replacement through the XSD substitution groups is included in the descriptions of the objects.

2.1. Holder and Contacts objects

Marks are linked to Holder objects and optionally linked to Contacts objects. This section defines the <mark:holder> and <mark:contact> objects.

- o The child elements of <mark:holder> include:
 - * An OPTIONAL <mark:name> element that contains the name of the holder. A <mark:name> MUST be specified in case <mark:org> is not specified.
 - * An OPTIONAL <mark:org> element that contains the name of the organization holder of the mark. A <mark:org> MUST be specified in case <mark:name> is not specified.
 - * A <mark:addr> element that contains the address information of the holder of a mark. A <mark:addr> contains the following child elements:
 - + One, two or three OPTIONAL <mark:street> elements that contains the organization's street address.
 - + A <mark:city> element that contains the organization's city.
 - + An OPTIONAL <mark:sp> element that contains the organization's state or province.
 - + An OPTIONAL <mark:pc> element that contains the organization's postal code.
 - + A <mark:cc> element that contains the organization's country code. This a two-character code from [ISO3166-2].
 - * An OPTIONAL <mark:voice> element that contains the organization's voice telephone number.
 - * An OPTIONAL <mark:fax> element that contains the organization's facsimile telephone number.
 - * An OPTIONAL <mark:email> element that contains the email address of the holder.
- o The child elements of <mark:contact> include:
 - * A <mark:name> element that contains name of the responsible person.
 - * An OPTIONAL <mark:org> element that contains the name of the organization of the contact.
 - * A <mark:addr> element that contains the address information of the contact. A <mark:addr> contains the following child elements:

- + One, two or three OPTIONAL <mark:street> elements that contains the contact's street address.
- + A <mark:city> element that contains the contact's city.
- + An OPTIONAL <mark:sp> element that contains the contact's state or province.
- + An OPTIONAL <mark:pc> element that contains the contact's postal code.
- + A <mark:cc> element that contains the contact's country code. This a two-character code from [ISO3166-2].
- * A <mark:voice> element that contains the contact's voice telephone number.
- * An OPTIONAL <mark:fax> element that contains the contact's facsimile telephone number.
- * A <mark:email> element that contains the contact's email address.

2.2. Mark

A <mark:mark> element that describes an applicant's prior right to a given domain name.

A <mark:mark> element substitutes for the <mark:abstractMark> abstract element to define a concrete definition of a mark. The <mark:abstractMark> element can be replaced by other mark definitions using the XML schema substitution groups feature.

The child elements of the <mark:mark> element include:

One or more <mark:trademark>, <mark:treatyOrStatute> and <mark:court> elements that contains the detailed information of marks.

- o A <mark:trademark> element that contains the following child elements.
 - * A <mark:id> element that contains an identifier of the mark. The identifier MUST be globally unique in relation to the repository of marks. A <mark:id> value is a concatenation of the local identifier, followed by a hyphen ("-", ASCII value 0x002D), followed by the issuer identifier.

- * A <mark:markName> element that contains the mark text string.
- * One or more <mark:holder> elements that contains the information of the holder of the mark. An "entitlement" attribute is used to identify the entitlement of the holder, possible values are: owner, assignee and licensee.
- * Zero or more OPTIONAL <mark:contact> elements that contains the information of the representative of the mark registration. A "type" attribute is used to identify the type of contact, possible values are: owner, agent or thirdparty.
- * A <mark:jurisdiction> element that contains the two-character code of the jurisdiction where the trademark was registered. This is a two-character code from [WIPO.ST3].
- * Zero or more OPTIONAL <mark:class> elements that contain the Nice Classification class numbers of the mark as defined in the Nice List of Classes [1].
- * Zero or more OPTIONAL <mark:label> elements that contain the A-label form of the label that correspond to the <mark:markName>.
- * A <mark:goodsAndServices> element that contains the full description of the goods and services mentioned in the mark registration document.
- * An OPTIONAL <mark:apId> element that contains the trademark application ID registered in the trademark office.
- * An OPTIONAL <mark:apDate> element that contains the date the trademark was applied for.
- * A <mark:regNum> element that contains the trademark registration number registered in the trademark office.
- * A <mark:regDate> element that contains the date the trademark was registered.
- * An OPTIONAL <mark:exDate> element that contains the expiration date of the trademark.
- o A <mark:treatyOrStatute> element that contains the following child elements.
 - * A <mark:id> element that contains an identifier of the mark. The identifier MUST be globally unique in relation to the

repository of marks. A <mark:id> value is a concatenation of the local identifier, followed by a hyphen ("-", ASCII value 0x002D), followed by the issuer identifier.

- * A <mark:markName> element that contains the mark text string.
- * One or more <mark:holder> elements that contains the information of the holder of the mark. An "entitlement" attribute is used to identify the entitlement of the holder, possible values are: owner, assignee and licensee.
- * Zero or more OPTIONAL <mark:contact> elements that contains the information of the representative of the mark registration. A "type" attribute is used to identify the type of contact, possible values are: owner, agent or thirdparty.
- * One or more <mark:protection> elements that contain the countries and region of the country where the mark is protected. The <mark:protection> element contains the following child elements:
 - + A <mark:cc> element that contains the two-character code of the country in which the mark is protected. This is a two-character code from [ISO3166-2].
 - + An OPTIONAL <mark:region> element that contains the name of a city, state, province or other geographic region of <mark:country> in which the mark is protected.
 - + Zero or more OPTIONAL <mark:ruling> elements that contains the two-character code of the countries of the ruling. This is a two-character code from [ISO3166-2].
- * Zero or more OPTIONAL <mark:label> elements that contain the A-label form of the label that correspond to the <mark:markName>.
- * A <mark:goodsAndServices> element that contains the full description of the goods and services mentioned in the mark registration document.
- * A <mark:refNum> element that contains the number of the mark of the treaty or statute.
- * A <mark:proDate> element that contains the date of protection of the mark.

- * A <mark:title> element that contains the title of the treaty or statute.
- * A <mark:execDate> element that contains the execution date of the treaty or statute.
- o A <mark:court> element that contains the following child elements.
 - * A <mark:id> element that contains an identifier of the mark. The identifier MUST be globally unique in relation to the repository of marks. A <mark:id> value is a concatenation of the local identifier, followed by a hyphen ("-", ASCII value 0x002D), followed by the issuer identifier.
 - * A <mark:markName> element that contains the mark text string.
 - * One or more <mark:holder> elements that contains the information of the holder of the mark. An "entitlement" attribute is used to identify the entitlement of the holder, possible values are: owner, assignee and licensee.
 - * Zero or more OPTIONAL <mark:contact> elements that contains the information of the representative of the mark registration. A "type" attribute is used to identify the type of contact, possible values are: owner, agent or thirdparty.
 - * Zero or more OPTIONAL <mark:label> elements that contain the A-label form of the label that correspond to the <mark:markName>.
 - * A <mark:goodsAndServices> element that contains the full description of the goods and services mentioned in the mark registration document.
 - * A <mark:refNum> element that contains the reference number of the court's opinion.
 - * A <mark:proDate> element that contains the date of protection of the mark.
 - * A <mark:cc> element that contains the two-character code of the country where the court is located. This a two-character code from [ISO3166-2].
 - * Zero or more OPTIONAL <mark:region> elements that contains the name of a city, state, province or other geographic region of <mark:cc> in which the mark is protected. In case <mark:region> is specified a default-deny approach MUST be assumed

regarding the regions of a country.

- * A `<mark:courtName>` element that contains the name of the court.

2.3. Signed Mark

The `<smd:signedMark>` is the fragment of XML that is digitally signed using XML Signature [2]. The `<smd:signedMark>` includes a required "id" attribute of type XSD ID for use with an IDREF URI from the Signature element. The certificate of the issuer MAY be issued by a Certificate Authority (CA) that can be chained with the issuer's certificate by the validating client.

A `<smd:signedMark>` element substitutes for the `<smd:abstractSignedMark>` abstract element to define a concrete definition of a signed mark. The `<smd:abstractSignedMark>` element can be replaced by other signed mark definitions using the XML schema substitution groups feature.

The child elements of the `<smd:signedMark>` element include:

- o The `<smd:id>` value is a concatenation of the local identifier, followed by a hyphen ("-", ASCII value 0x002D), followed by the issuer identifier.
- o A `<smd:issuerInfo>` element that contains the information of the issuer of the mark registration. A "issuerID" attribute is used to specify the issuer identifier. The child elements include:
 - * A `<smd:org>` element that contains the organization name of the issuer.
 - * A `<smd:email>` element that contains the issuer customer support email address.
 - * An OPTIONAL `<smd:url>` element that contains the HTTP URL of the issuer's site.
 - * An OPTIONAL `<smd:voice>` element that contains the issuer's voice telephone number.
- o A `<smd:notBefore>` element that contains the creation date and time of the signed mark.
- o A `<smd:notAfter>` element that contains the expiration date and time of the signed mark.

- o A <mark:mark> element that contains the mark information as defined in the Mark (Section 2.2) section.
- o A <Signature> XML Signature [2] for the <smd:signedMark>. Use of a namespace prefix, like "dsig", is recommended for the "http://www.w3.org/TR/xmlsig-core/" elements.

The following is an example <smd:signedMark> using the XML Signature [2] to sign all of the elements of <smd:signedMark> element.

```
<?xml version="1.0" encoding="UTF-8"?>
<smd:signedMark xmlns:smd="urn:ietf:params:xml:ns:signedMark-1.0"
id="smdl">
  <smd:id>0000001751376056503931-65535</smd:id>
  <smd:issuerInfo issuerID="65535">
    <smd:org>ICANN TMCH TESTING TMV</smd:org>
    <smd:email>notavailable@example.com</smd:email>
    <smd:url>http://www.example.com</smd:url>
    <smd:voice>+32.000000</smd:voice>
  </smd:issuerInfo>
  <smd:notBefore>2013-08-09T13:55:03.931Z</smd:notBefore>
  <smd:notAfter>2017-07-23T22:00:00.000Z</smd:notAfter>
  <mark:mark xmlns:mark="urn:ietf:params:xml:ns:mark-1.0">
    <mark:trademark>
      <mark:id>00052013734689731373468973-65535</mark:id>
      <mark:markName>Test & Validate</mark:markName>
      <mark:holder entitlement="owner">
        <mark:org>Ag corporation</mark:org>
        <mark:addr>
          <mark:street>1305 Bright Avenue</mark:street>
          <mark:city>Arcadia</mark:city>
          <mark:sp>CA</mark:sp>
          <mark:pc>90028</mark:pc>
          <mark:cc>US</mark:cc>
        </mark:addr>
      </mark:holder>
      <mark:contact type="agent">
        <mark:name>Tony Holland</mark:name>
        <mark:org>Ag corporation</mark:org>
        <mark:addr>
          <mark:street>1305 Bright Avenue</mark:street>
          <mark:city>Arcadia</mark:city>
          <mark:sp>CA</mark:sp>
          <mark:pc>90028</mark:pc>
          <mark:cc>US</mark:cc>
        </mark:addr>
      <mark:voice>+1.2025562302</mark:voice>
    </mark:mark>
  </smd:signedMark>
```

```

    <mark:fax>+1.2025562301</mark:fax>
    <mark:email>info@agcorporation.com</mark:email>
  </mark:contact>
  <mark:jurisdiction>US</mark:jurisdiction>
  <mark:class>15</mark:class>
  <mark:label>testandvalidate</mark:label>
  <mark:label>test---validate</mark:label>
  <mark:label>testand-validate</mark:label>
  <mark:label>test-et-validate</mark:label>
  <mark:label>test-validate</mark:label>
  <mark:label>test--validate</mark:label>
  <mark:label>test-etvalidate</mark:label>
  <mark:label>testetvalidate</mark:label>
  <mark:label>testvalidate</mark:label>
  <mark:label>testet-validate</mark:label>
  <mark:goodsAndServices>guitar</mark:goodsAndServices>
  <mark:regNum>1234</mark:regNum>
  <mark:regDate>2012-12-31T23:00:00.000Z</mark:regDate>
</mark:trademark>
</mark:mark>
<Signature xmlns="http://www.w3.org/2000/09/xmldsig#">
  <SignedInfo>
    <CanonicalizationMethod
      Algorithm="http://www.w3.org/2001/10/xml-exc-c14n#" />
    <SignatureMethod
      Algorithm="http://www.w3.org/2001/04/xmldsig-more#rsa-sha256" />
    <Reference URI="#smd1">
      <Transforms>
        <Transform
          Algorithm="http://www.w3.org/2000/09/xmldsig#enveloped-signature" />
        </Transforms>
        <DigestMethod
          Algorithm="http://www.w3.org/2001/04/xmlenc#sha256" />
        <DigestValue>wgyW3nZPoEfptlhRILKnOQnbdU6ArM7ShrAfHgDFg=</DigestValue>
      </Reference>
    </SignedInfo>
    <SignatureValue>
      jMu4PfyQGiJBF0GWSEPFcJjmywCEqR2h4LD+ge6XQ+JnmKFFCuCZS/3SLKAx0L1w
      QDFO2e0Y69k2G7/LGE37X3vOflobFM1oGwja8+GMVraoto5xAd4/AF7eHukgAymD
      o9toxo2h0yV4A4PmXzsU6S86XtCcUE+S/WM72nyn47zoUCzzPKHZBRYeWehVFQ+
      jYRMIAMzM57HHQA+6eaXefRvtPETgU04aVIVSugc4OUAZZwbYcZrC6wOaQqqqAZi
      30aPOBYbAvHMSmWSS+hFkbshomJfHxb97TD2grlYNrQIzqXk7WbHWy2SYdA+sI/Z
      ipJsXNa6osTUw1CzA7jfwA==
    </SignatureValue>
    <KeyInfo>
      <X509Data>
        <X509Certificate>
          MIIESTCCAzGgAwIBAgIBAJANBgkqhkiG9w0BAQsFADBIMQswCQYDVQQGEwJVUzEL

```

```

MakGA1UECBMCQ0ExFDASBgNVBACTC0xvncyBBbmdlbGVzMRMwEQYDVQQKEwpJQ0FO
TiBUTUNIMRswGQYDVQQDExJJQ0FOTiBUTUNIIFRfU1QgQ0EwHhcNMTMwMjA4MDAw
MDAwWhcNMTgwMjA3MjM1OTU5WjBzMQswCQYDVQQGEwJVUzELMAkGA1UECBMCQ0Ex
FDASBgNVBACTC0xvncyBBbmdlbGVzMRcwFQYDVQQKEw5WYWxpZGF0b3IgcVE1DSDEh
MB8GA1UEAxMYVmFsaWRhdG9yIFRNQ0ggVEVTVCBDRVJUMIIBIjANBgkqhkiG9w0B
AQEFAAOCAQ8AMIIBCgKCAQEAo/cwvXhbVYl0RDWWvoveZpETVZVVcMCovUVNg/sw
WinuMgEWgVQFrz0xA04pEhXCFVv4evbUpekJ5buqU1gmQyOsCKQ1hOHTdPjvkC5u
pDqa5lFlk0TMAkIQjs7aUKCmA4RG4tTTGK/EjR1ix8/D0gHYVRldylYPrMP+ou7
5bOVnIos+HifrAtrIv4qEqwLL4FTZAUpaCa2BmgXfy2CSRQbxD5OrlgcSa3vurh5
sPMCnxqaxmIXmQipS+DuEBqMM8tldan7RYojuEKRgVsNk5i9y2/7sjnlzyyUPf7v
L4GgDYqhJYWV6lDnXgx/Jd6CWxvsndf6scscQzUTel+hywIDAQABo4H/MIH8MAwG
AlUdEwEB/wQCMAAwHQYDVR0OBBYEFpZEcIQcD/Bj2IFz/LEruo2ADJviMIGMBgNV
HSMEgYQwgYGAFO0/7kEh3FuEKS+Q/kYHaD/W6wihoWakZDBiMQswCQYDVQQGEwJV
UzELMAkGA1UECBMCQ0ExFDASBgNVBACTC0xvncyBBbmdlbGVzMRMwEQYDVQQKEwpJ
Q0FOTiBUTUNIMRswGQYDVQQDExJJQ0FOTiBUTUNIIFRfU1QgQ0GCAQEWdGyDVR0P
AQH/BAQDAgeAMC4GA1UdHwQnMCUwI6AhoB+GHWh0dHA6Ly9jcmwuaWNhbm4ub3Jn
L3RtY2guY3JsMA0GCSqGSIb3DQEBCwUAA4IBAQB2qSy7ui+43cebKUKwWPrzz9y/
IkrMeJGKjo40n+9uekaw3DJ5EqiOf/qZ4pjbD++oR6BJCb6NQuQKwnoAz5le4Ssu
y5+i93oT3HfyVc4gNMIoHm1PS19l7DBKrbwbzAea/0jKWVzrvvV7TbfjxD3AQo1R
buU5dBr6IjbdLFlnO5x0G0mrG7x5OUPuurihyiURpFDpWH8KAHlwMcCpXGXFrtGKk
wydgyVYAty7otkl/z3bZkCVT34gPvF70sR6+QxUy8u0LzF5A/beYaZpxSYG3lamL
AdXittTWfipaIGea9lEGFM0L9+Bg7XzNn4nVLXokyEB3bgs4scG6QznX23FGk
</X509Certificate>
</X509Data>
</KeyInfo>
</Signature>
</smd:signedMark>

```

NOTE: The example shown above includes white-spaces for indentation purposes. It is RECOMMENDED that SMDs do not include white-spaces between the XML elements, in order to mitigate risks of invalidating the digital signature when transferring of SMDs between applications takes place.

NOTE: Exclusive XML canonicalization SHOULD be used when generating the SMD. SHA256/RSA-SHA256 SHOULD be used for digesting and signing. The size of the RSA key SHOULD be at least 2048 bits.

2.4. Encoded Signed Mark

The <smd:encodedSignedMark> element contains an encoded form of the digitally signed <smd:signedMark> element, described in Section 2.3, with the encoding defined by the "encoding" attribute with the default "encoding" value of "base64". The "base64" encoded text of the <smd:encodedSignedMark> element MUST conform to [RFC2045]. A full example of a <smd:encodedSignedMark> element is presented in Appendix A.

[illegible]

```

Pc0lvS1NzV1EzNEI0Uy9qb0U2N25wc0pQVGRLc05QSlR5UU1EQVFBQm80SUJoekNDQVlNd0
RBWURWUjBUQVFIL0JBSXdBREFkCkJnTlZiUTFRmdRVW9GcFk3NnA1ew9ORFJHdFFwelZ1U
jgxVvdRMHdnY1lHQTFVZEl3U0J2akNCdTRBVXc2MctwdFlSQUVXQVhEcFgKU29wdDNERU5u
bkdoZl1Da2ZqQjhNUXN3Q1FZRfZRUUdFd0pWVXpFOE1Eb0dBMVVFQ2hNelNXNTBaWEplWlh
RZ1EyOX1jRz15WVhScApiMjRnWm05eU1FRnpjMmxuYm1Wa0lFNWhiV1Z6SudGdVpDQk9kVz
FpWlhKek1TOHdMUv1EVlFRREV5WkpRMEZPVGlCVWNTtRmtaVzFoCmNtc2dRMnhsWVhKcGJtZ
G9iMlZ6WlNCUWFXeHkQ0JEUVlJZ0xyQWJldm9hZTUyeTNmNkMydEiWU24zcDdYSm0wVDAy
Rm9neEtDZk4KaFhrd0RnWURWUjBUQVFIL0JBUURBZ2VBTURRR0ExVVRIdlF0TUNzd0thQW5
vQ1dHSTJoMGRIQTZMeTlqY213dWFXTmhibTR1YjNkbGpMM1J0WTJoZmNHbHNiMlF1WTNKc0
lFVUdBMVVKsUFRK01Ed3dPZl1ES2dNRU1ETXduVlJS3dZQkJRvUhbZ0VXSldoMGRIQTZMe
TkzCmQzY3VhV05oYm00dWizSm5MM0JwYkc5MFgzSmxjRz16YVhSdmNua3dEUv1KS29aSWH2
Y05BUUVMQlFBRGdnRUJBSWVEWVlKc jYwVzMKEtLRcyszJWSTlRZWtLb20ldmtIT2FsQjN
3SGFaSWFBRL1wSTk4dFkwYVZOOWFHT04wdjZXUUYrbnZ6MUTSWlFiQXowMUJYdGFSSgo0bV
BrYXJoaHVMbjl0a0J4cDhIUjVxY2MrS0g3Z3Y2ci9jMGlHM2JDTkorUVNyNlFmKzVNbElvN
npMNVVkJZFUvVDJqawJNWENqL2YyCjFRdzN4OVFnbn3lYTEZKOW96YUxnUTlSTWtMbE9temtD
QWlYTjVBYjQzYUo5ZjdOMmdFMk5uUmpOS21tQz1BQ1EwVFJ3RUtWTGhWbDEKVUdxQ0hKM0F
sQlhXSvHONXNqUFFjRC8rbkh1RVhNeFl2bEF5cXhYb0QzTVd0UVZqN2oyb3FsYWtPQk1nRz
grcTjXWWxtQnRzNEZOaQp3NzQ4SWw1ODZIS0JScXhIdFpkUktXMLZxYVE9PC9kcZpYNTA5Q
2VydGlmaWNhdGU+PC9kcZpYNTA5RGF0YT48L2RzOktleUluZm8+PC9kcZpTaWduYXR1cmU+
PC9zbWQ6c2lnbmVktWFFyaz4=
</smd:encodedSignedMark>

```

3. Formal Syntax

Two schemas are presented here. The first schema is the schema for the Signed Mark. The second schema is the schema for the Mark.

The formal syntax presented here is a complete schema representation of the object mapping suitable for automated validation of EPP XML instances. The BEGIN and END tags are not part of the schema; they are used to note the beginning and ending of the schema for URI registration purposes.

3.1. Signed Mark Schema

Copyright (c) 2012 IETF Trust and the persons identified as authors of the code. All rights reserved.

Redistribution and use in source and binary forms, with or without modification, are permitted provided that the following conditions are met:

- o Redistributions of source code must retain the above copyright notice, this list of conditions and the following disclaimer.
- o Redistributions in binary form must reproduce the above copyright notice, this list of conditions and the following disclaimer in

the documentation and/or other materials provided with the distribution.

- o Neither the name of Internet Society, IETF or IETF Trust, nor the names of specific contributors, may be used to endorse or promote products derived from this software without specific prior written permission.

THIS SOFTWARE IS PROVIDED BY THE COPYRIGHT HOLDERS AND CONTRIBUTORS "AS IS" AND ANY EXPRESS OR IMPLIED WARRANTIES, INCLUDING, BUT NOT LIMITED TO, THE IMPLIED WARRANTIES OF MERCHANTABILITY AND FITNESS FOR A PARTICULAR PURPOSE ARE DISCLAIMED. IN NO EVENT SHALL THE COPYRIGHT OWNER OR CONTRIBUTORS BE LIABLE FOR ANY DIRECT, INDIRECT, INCIDENTAL, SPECIAL, EXEMPLARY, OR CONSEQUENTIAL DAMAGES (INCLUDING, BUT NOT LIMITED TO, PROCUREMENT OF SUBSTITUTE GOODS OR SERVICES; LOSS OF USE, DATA, OR PROFITS; OR BUSINESS INTERRUPTION) HOWEVER CAUSED AND ON ANY THEORY OF LIABILITY, WHETHER IN CONTRACT, STRICT LIABILITY, OR TORT (INCLUDING NEGLIGENCE OR OTHERWISE) ARISING IN ANY WAY OUT OF THE USE OF THIS SOFTWARE, EVEN IF ADVISED OF THE POSSIBILITY OF SUCH DAMAGE.

BEGIN

```
<?xml version="1.0" encoding="UTF-8"?>
<schema
  targetNamespace="urn:ietf:params:xml:ns:signedMark-1.0"
  xmlns:smd="urn:ietf:params:xml:ns:signedMark-1.0"
  xmlns:mark="urn:ietf:params:xml:ns:mark-1.0"
  xmlns:dsig="http://www.w3.org/2000/09/xmldsig#"
  xmlns="http://www.w3.org/2001/XMLSchema"
  elementFormDefault="qualified">

  <annotation>
    <documentation>
      Schema for representing a Signed Trademark.
    </documentation>
  </annotation>

  <import namespace="urn:ietf:params:xml:ns:mark-1.0"
    schemaLocation="mark-1.0.xsd" />
  <import namespace="http://www.w3.org/2000/09/xmldsig#"
    schemaLocation="xmldsig-core-schema.xsd"/>

  <!--
  Abstract signed mark for replacement via substitution.
  -->
  <element name="abstractSignedMark" type="smd:abstractSignedMarkType"
    abstract="true"/>

  <!--
```



```
Empty type for use in extending for a signed mark
-->
<complexType name="abstractSignedMarkType"/>

<element name="signedMark" type="smd:signedMarkType"
  substitutionGroup="smd:abstractSignedMark"/>

<element name="encodedSignedMark" type="smd:encodedSignedMarkType"/>

<complexType name="signedMarkType">
  <complexContent>
    <extension base="smd:abstractSignedMarkType">
      <sequence>
        <element name="id" type="mark:idType"/>
        <element name="issuerInfo" type="smd:issuerInfoType"/>
        <element name="notBefore" type="dateTime"/>
        <element name="notAfter" type="dateTime"/>
        <element ref="mark:abstractMark"/>
        <element ref="dsig:Signature"/>
      </sequence>
      <attribute name="id" type="ID" use="required"/>
    </extension>
  </complexContent>
</complexType>

<complexType name="issuerInfoType">
  <sequence>
    <element name="org" type="token"/>
    <element name="email" type="mark:minTokenType"/>
    <element name="url" type="token" minOccurs="0"/>
    <element name="voice" type="mark:el64Type" minOccurs="0"/>
  </sequence>
  <attribute name="issuerID" type="token" use="required"/>
</complexType>

<complexType name="encodedSignedMarkType">
  <simpleContent>
    <extension base="token">
      <attribute name="encoding" default="base64"/>
    </extension>
  </simpleContent>
</complexType>
</schema>
END
```

3.2. Mark Schema

Copyright (c) 2012 IETF Trust and the persons identified as authors of the code. All rights reserved.

Redistribution and use in source and binary forms, with or without modification, are permitted provided that the following conditions are met:

- o Redistributions of source code must retain the above copyright notice, this list of conditions and the following disclaimer.
- o Redistributions in binary form must reproduce the above copyright notice, this list of conditions and the following disclaimer in the documentation and/or other materials provided with the distribution.
- o Neither the name of Internet Society, IETF or IETF Trust, nor the names of specific contributors, may be used to endorse or promote products derived from this software without specific prior written permission.

THIS SOFTWARE IS PROVIDED BY THE COPYRIGHT HOLDERS AND CONTRIBUTORS "AS IS" AND ANY EXPRESS OR IMPLIED WARRANTIES, INCLUDING, BUT NOT LIMITED TO, THE IMPLIED WARRANTIES OF MERCHANTABILITY AND FITNESS FOR A PARTICULAR PURPOSE ARE DISCLAIMED. IN NO EVENT SHALL THE COPYRIGHT OWNER OR CONTRIBUTORS BE LIABLE FOR ANY DIRECT, INDIRECT, INCIDENTAL, SPECIAL, EXEMPLARY, OR CONSEQUENTIAL DAMAGES (INCLUDING, BUT NOT LIMITED TO, PROCUREMENT OF SUBSTITUTE GOODS OR SERVICES; LOSS OF USE, DATA, OR PROFITS; OR BUSINESS INTERRUPTION) HOWEVER CAUSED AND ON ANY THEORY OF LIABILITY, WHETHER IN CONTRACT, STRICT LIABILITY, OR TORT (INCLUDING NEGLIGENCE OR OTHERWISE) ARISING IN ANY WAY OUT OF THE USE OF THIS SOFTWARE, EVEN IF ADVISED OF THE POSSIBILITY OF SUCH DAMAGE.

```
BEGIN
<?xml version="1.0" encoding="UTF-8"?>
<schema
  targetNamespace="urn:ietf:params:xml:ns:mark-1.0"
  xmlns:mark="urn:ietf:params:xml:ns:mark-1.0"
  xmlns="http://www.w3.org/2001/XMLSchema"
  elementFormDefault="qualified">

  <annotation>
    <documentation>
      Schema for representing a Trademark, also referred to
      as Mark.
    </documentation>
  </annotation>
```

```
<!--
Abstract mark for replacement via substitution.
-->
<element name="abstractMark" type="mark:abstractMarkType"
  abstract="true"/>

<!--
<mark:mark> element definition
-->
<element name="mark" type="mark:markType"
  substitutionGroup="mark:abstractMark"/>

<!--
Empty type for use in extending for a mark
-->
<complexType name="abstractMarkType"/>

<!--
<mark:mark> child elements
-->
<complexType name="markType">
  <complexContent>
    <extension base="mark:abstractMarkType">
      <sequence>
        <element name="trademark" type="mark:trademarkType"
          minOccurs="0" maxOccurs="unbounded"/>
        <element name="treatyOrStatute"
          type="mark:treatyOrStatuteType" minOccurs="0"
          maxOccurs="unbounded"/>
        <element name="court" type="mark:courtType" minOccurs="0"
          maxOccurs="unbounded"/>
      </sequence>
    </extension>
  </complexContent>
</complexType>

<complexType name="holderType">
  <sequence>
    <element name="name" type="token" minOccurs="0"/>
    <element name="org" type="token" minOccurs="0"/>
    <element name="addr" type="mark:addrType"/>
    <element name="voice" type="mark:el64Type" minOccurs="0"/>
    <element name="fax" type="mark:el64Type" minOccurs="0"/>
    <element name="email" type="mark:minTokenType" minOccurs="0"/>
  </sequence>
  <attribute name="entitlement" type="mark:entitlementType"/>
</complexType>
```

```
<complexType name="contactType">
  <sequence>
    <element name="name" type="token"/>
    <element name="org" type="token" minOccurs="0"/>
    <element name="addr" type="mark:addrType"/>
    <element name="voice" type="mark:e164Type"/>
    <element name="fax" type="mark:e164Type" minOccurs="0"/>
    <element name="email" type="mark:minTokenType"/>
  </sequence>
  <attribute name="type" type="mark:contactTypeType"/>
</complexType>

<complexType name="trademarkType">
  <sequence>
    <element name="id" type="mark:idType"/>
    <element name="markName" type="token"/>
    <element name="holder" type="mark:holderType"
      maxOccurs="unbounded" />
    <element name="contact" type="mark:contactType" minOccurs="0"
      maxOccurs="unbounded" />
    <element name="jurisdiction" type="mark:ccType"/>
    <element name="class" type="integer" minOccurs="0"
      maxOccurs="unbounded" />
    <element name="label" type="mark:labelType" minOccurs="0"
      maxOccurs="unbounded" />
    <element name="goodsAndServices" type="token" />
    <element name="apId" type="token" minOccurs="0"/>
    <element name="apDate" type="dateTime" minOccurs="0"/>
    <element name="regNum" type="token"/>
    <element name="regDate" type="dateTime"/>
    <element name="exDate" type="dateTime" minOccurs="0"/>
  </sequence>
</complexType>

<complexType name="treatyOrStatuteType">
  <sequence>
    <element name="id" type="mark:idType"/>
    <element name="markName" type="token"/>
    <element name="holder" type="mark:holderType"
      maxOccurs="unbounded" />
    <element name="contact" type="mark:contactType" minOccurs="0"
      maxOccurs="unbounded" />
    <element name="protection" type="mark:protectionType"
      maxOccurs="unbounded" />
    <element name="label" type="mark:labelType" minOccurs="0"
      maxOccurs="unbounded" />
    <element name="goodsAndServices" type="token" />
    <element name="refNum" type="token"/>
  </sequence>
</complexType>
```

```
<element name="proDate" type="dateTime"/>
<element name="title" type="token"/>
<element name="execDate" type="dateTime"/>
</sequence>
</complexType>

<complexType name="courtType">
  <sequence>
    <element name="id" type="mark:idType"/>
    <element name="markName" type="token"/>
    <element name="holder" type="mark:holderType"
      maxOccurs="unbounded" />
    <element name="contact" type="mark:contactType" minOccurs="0"
      maxOccurs="unbounded" />
    <element name="label" type="mark:labelType" minOccurs="0"
      maxOccurs="unbounded" />
    <element name="goodsAndServices" type="token" />
    <element name="refNum" type="token"/>
    <element name="proDate" type="dateTime"/>
    <element name="cc" type="mark:ccType"/>
    <element name="region" type="token" minOccurs="0"
      maxOccurs="unbounded" />
    <element name="courtName" type="token"/>
  </sequence>
</complexType>

<!--
Address (<mark:addr>) child elements
-->
<complexType name="addrType">
  <sequence>
    <element name="street" type="token" minOccurs="1" maxOccurs="3"/>
    <element name="city" type="token"/>
    <element name="sp" type="token" minOccurs="0"/>
    <element name="pc" type="mark:pcType" minOccurs="0"/>
    <element name="cc" type="mark:ccType"/>
  </sequence>
</complexType>

<!--
<mark:protection> child elements
-->
<complexType name="protectionType">
  <sequence>
    <element name="cc" type="mark:ccType"/>
    <element name="region" type="token" minOccurs="0"/>
    <element name="ruling" type="mark:ccType"
      minOccurs="0" maxOccurs="unbounded"/>
  </sequence>
</complexType>
```

```
</sequence>
</complexType>

<!--
Postal code definition
-->
<simpleType name="pcType">
  <restriction base="token">
    <maxLength value="16"/>
  </restriction>
</simpleType>

<!--
Country code definition
-->
<simpleType name="ccType">
  <restriction base="token">
    <length value="2"/>
  </restriction>
</simpleType>

<!--
Phone number with extension definition
-->
<complexType name="e164Type">
  <simpleContent>
    <extension base="mark:e164StringType">
      <attribute name="x" type="token"/>
    </extension>
  </simpleContent>
</complexType>

<!--
Phone number with extension definition
-->
<simpleType name="e164StringType">
  <restriction base="token">
    <pattern value="(\+[0-9]{1,3}\.[0-9]{1,14})?" />
    <maxLength value="17"/>
  </restriction>
</simpleType>

<!--
Id type definition
-->
<simpleType name="idType">
  <restriction base="token">
    <pattern value="\d+-\d+" />
  </restriction>
</simpleType>
```

```
    </restriction>
  </simpleType>

  <!--
  DNS label type definition
  -->
  <simpleType name="labelType">
    <restriction base="token">
      <minLength value="1"/>
      <maxLength value="63"/>
      <pattern value="[a-zA-Z0-9]([a-zA-Z0-9\-*[a-zA-Z0-9])?"/>
    </restriction>
  </simpleType>

  <!--
  Type used for email addresses
  -->
  <simpleType name="minTokenType">
    <restriction base="token">
      <minLength value="1"/>
    </restriction>
  </simpleType>

  <simpleType name="entitlementType">
    <restriction base="token">
      <enumeration value="owner"/>
      <enumeration value="assignee"/>
      <enumeration value="licensee"/>
    </restriction>
  </simpleType>

  <simpleType name="contactTypeType">
    <restriction base="token">
      <enumeration value="owner"/>
      <enumeration value="agent"/>
      <enumeration value="thirdparty"/>
    </restriction>
  </simpleType>
</schema>
END
```

4. Acknowledgements

Special thanks to Chris Wright for creating the first prototype of a SMD; James Gould, Wil Tan and Gavin Brown for creating the mark and SMD definitions in their EPP draft launch extension on which this draft is based.

5. Change History

Version 02 to version 03

<smd:signedMark> example is now aligned with ICANN test SMDs.

<smd:encodedSignedMark> example is replaced with a public ICANN test SMD.

Several recommendations where added.

Version 01 to version 02

Change apID and regNum of trademark validated mark to token

Change refNum of treatyOrStatute validated mark to token

Change refNum of court validated mark to token

Version 00 to version 01

Add missing email element to holderType

Change ruling from an attribute to an element

Version preview-01 to version 00

signedMarkType now ref mark:abstractMark

Security section completed

Version preview-00 to preview-01

Full example of an encodedSignedMark added.

signedMark example now fully validates with XSD.

Fixed labelType to allow two-character labels.

Missing mark:protectionType added in the XSD.

Issuer email is now required

6. IANA Considerations

This document uses URNs to describe XML namespaces and XML schemas conforming to a registry mechanism described in [RFC3688]. Three URI assignments have been registered by the IANA.

Registration request for the Signed mark namespace:

URI: urn:ietf:params:xml:ns:signedMark-1.0

Registrant Contact: See the "Author's Address" section of this document.

XML: None. Namespace URIs do not represent an XML specification.

Registration request for the Mark namespace:

URI: urn:ietf:params:xml:ns:mark-1.0

Registrant Contact: See the "Author's Address" section of this document.

XML: None. Namespace URIs do not represent an XML specification.

7. Security Considerations

The object mapping described in this document does not provide any security services or introduce any additional considerations.

8. Normative References

- [ISO3166-2] ISO, "International Standard for country codes and codes for their subdivisions", 2006.
- [RFC2045] Freed, N. and N. Borenstein, "Multipurpose Internet Mail Extensions (MIME) Part One: Format of Internet Message Bodies", RFC 2045, November 1996.
- [RFC2119] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", BCP 14, RFC 2119, March 1997.
- [RFC3688] Mealling, M., "The IETF XML Registry", BCP 81, RFC 3688,

January 2004.

[RFC5730] Hollenbeck, S., "Extensible Provisioning Protocol (EPP)", STD 69, RFC 5730, August 2009.

[WIPO.ST3]

WIPO, "Recommended standard on two-letter codes for the representation of states, other entities and intergovernmental organizations", March 2007.

[1] <<http://www.wipo.int/classifications/nivilo/nice/index.htm>>

[2] <<http://www.w3.org/TR/xmlsig-core/>>

Author's Address

Gustavo Lozano
ICANN
12025 Waterfront Drive, Suite 300
Los Angeles 90292
US

Phone: +1.3103015800
Email: gustavo.lozano@icann.org

