

On Firewalls in Network Security

(draft-gont-opsawg-firewalls-analysis)

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Goals of this document

- Recognize role of FWs in internet architecture
- Analyze common kinds of FW and associated claims
- Analyze assumptions made around firewalls
- Analyze trade-offs in different paradigms
- Provide conceptual guidance wrt use and deployment of FWs
- Identify harmful behavior and provide advice
- Trigger other work in this area

But...what do we mean by “firewall”?

- A device or software that imposes a policy whose effect is "a stated type of network traffic may or may not be allowed from A to B".
- May reside in the host or the network
- May be implemented in general-purpose system or in special-purpose middle-ware device.
- May operate at different layers
- The layer at which the firewall operates has implications on the types of policies it may apply

Role of Firewalls in Network Security

- Firewalls provide prophylactic perimeter security
 - analogous to the service provided by the human skin to the human body
- Firewalls do not prevent the need for the stronger solutions
 - they rather make their expensive invocation less needful and more focused.

Firewalls and the E2E Principle

- One common complaint about firewalls is that they violate the E2E Principle.
- However, the E2E Principle:
 - is a plea for simplicity
 - argues against behavior that from the pov of a higher layer introduces inconsistency, complexity, or coupling
 - does **not** forbid e.g. lower layer retransmissions, nor maintenance of state, nor consistent policies imposed for security reasons

Common Kinds of Firewalls

- **Context or Zone-based firewalls**
 - protect systems within a perimeter from systems outside it
- **Pervasive routing-based measures**
 - protect intermingled systems from each other by enforcing role-based policies
- **IPS systems**
 - analyze application behavior and trigger on events that are unusual, match a signature, or involve an untrusted peer

Firewalling Strategies

- **Default-deny**
 - traffic is blocked unless it is explicitly allowed
 - Fails on the “safe side”
 - Prevents deployment of new features and applications
- **Default allow**
 - traffic is allowed unless explicitly blocked
 - typically enforced at perimeters where a comprehensive security policy

Assumptions on addresses & ports

- IP addresses and transport protocol ports are typically assumed to be stable
- IP address stability
 - Assumption changes with IPv6 temporary addresses (RFC4941)
- Transport protocol port numbers
 - More of a short-cut than a design principle
 - Think about DNS SRV records or Portmap
 - Also consider apps such as FTP and SIP

Assumptions on addresses & ports

- Tendency to multiplex apps on usually-allowed ports
 - e.g., tunnel apps on port 80

State Associated with Filtering

- **Stateless filtering**
 - Decision solely based on the incoming packet
 - Scales well
- **Stateful filtering**
 - Decision based on incoming packet and existing (or lack of thereof) state
 - Allows for more powerful filtering
 - Does not scale well
 - Filtering device can become target of DoS attack

Areas where FWs could do better

- **Enforcing Protocol Syntax at the FW**
 - Some FWs check that e.g. reserved bits are set to 0
 - This prevents incremental deployment on new features and protocol extensions -- e.g., TCP ECN, DNSec

Moving Forward

- Adopt as an opsec WG document?