

Registration Protocols Extensions
Internet-Draft
Intended status: Standards Track
Expires: April 21, 2017

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October 18, 2016

Validate Extension for the Extensible Provisioning Protocol (EPP)
draft-carney-regext-validate-01

Abstract

This document describes an Extensible Provisioning Protocol (EPP) extension mapping for the validation of contact and eligibility data.

Status of This Memo

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1. Introduction

This document describes an extension mapping for version 1.0 of the Extensible Provisioning Protocol (EPP) [RFC5730]. This EPP mapping specifies a flexible schema by which EPP clients and servers can reliably validate contact and eligibility data.

With the increased number of restrictions on contacts and required data points (license, ids, etc.) to register a domain name, a way to validate the data points prior to issuing a transform command is becoming more important.

1.1. Conventions Used in This Document

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in RFC 2119 [RFC2119].

XML is case sensitive. Unless stated otherwise, XML specifications and examples provided in this document MUST be interpreted in the character case presented in order to develop a conforming implementation.

In examples, "C:" represents lines sent by a protocol client and "S:" represents lines returned by a protocol server. Indentation and white space in examples are provided only to illustrate element relationships and are not a REQUIRED feature of this protocol.

2. Object Attributes

This extension adds additional elements to EPP object mappings like the EPP domain name mapping [RFC5733]. Only those new elements are described here.

Key Value provides a flexible mechanism to share data between the client and the server. The <validate:kv> element defines the data, with two required simple attributes, key and value, and an optional contactType attribute for specificity in the response, more details below.

- o An example <validate:kv key="VATID" value="0123456789"/>.
- o An example <validate:kv contactType="Admin" key="contact:cc" value="Invalid country code for admin contact, must be MX."/>.

3. EPP Command Mapping

A detailed description of the EPP syntax and semantics can be found in [RFC5730]. The command mappings described here are specifically for the Validate Extension

3.1. EPP Query Commands

EPP provides four commands to retrieve object information: <check> to determine if an object is known to the server, <info> to retrieve detailed information associated with an object, <poll> to discover and retrieve service messages queued by the server, and <transfer> to retrieve object transfer status information.

3.1.1. EPP <check> Command

This extension defines additional elements for the EPP <check> command.

The command frame MAY contain an <extension> element which MAY contain one child <validate:check> element with the following child element(s):

- o one or more <validate:contact> element(s) for each contact that is to be validated that contains the contact type of the contact to be validated.

The <validate:contact> element MUST contain the following child elements:

- o one <validate:cd> element.
- o zero or more <validate:kv> elements.

The <validate:cd> element MUST contain the following child elements:

- o one <validate:id> element.
- o an OPTIONAL <validate:postalInfo> element.
- o an OPTIONAL <validate:voice> element.
- o an OPTIONAL <validate:fax> element.
- o an OPTIONAL <validate:email> element.
- o an OPTIONAL <validate:authInfo> element.
- o an OPTIONAL <validate:disclose> element.

The following is an example of the <check> command using the <validate> extension.

```
C:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
C:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0"
C:  xmlns:validate="urn:ietf:params:xml:ns:validate-0.1"
C:  xmlns:contact="urn:ietf:params:xml:ns:contact-1.0">
C:  <command>
C:    <check>
C:      <validate:check>
C:        <validate:contact contactType="registrant" tld="COM">
C:          <validate:cd>
C:            <validate:id>sh8013</validate:id>
C:            <validate:postalInfo type="int">
C:              <contact:name>John Doe</contact:name>
C:              <contact:org>Example Inc.</contact:org>
C:              <contact:addr>
C:                <contact:street>123 Example Dr.</contact:street>
C:                <contact:street>Suite 100</contact:street>
C:                <contact:city>Dulles</contact:city>
C:                <contact:sp>VA</contact:sp>
C:                <contact:pc>20166-6503</contact:pc>
C:                <contact:cc>US</contact:cc>
C:              </contact:addr>
C:            </validate:postalInfo>
C:            <validate:voice>+1.7035555555</validate:voice>
C:            <validate:fax>+1.7035555556</validate:fax>
C:            <validate:email>jdoe@example.com</validate:email>
```

```
C:      <validate:authInfo>
C:      <contact:pw>2fooBAR</contact:pw>
C:      </validate:authInfo>
C:      <validate:disclose flag="0">
C:      <contact:voice/>
C:      <contact:email/>
C:      </validate:disclose>
C:      </validate:cd>
C:      <validate:kv key="VAT" value="1234567890"/>
C:      </validate:contact>
C:      <validate:contact contactType="tech" tld="COM">
C:      <validate:cd>
C:      <validate:id>sh8013</validate:id>
C:      </validate:cd>
C:      </validate:contact>
C:      <validate:contact contactType="admin" tld="COM">
C:      <validate:cd>
C:      <validate:id>sh8014</validate:id>
C:      <validate:postalInfo type="int">
C:      <contact:name>John Doe</contact:name>
C:      <contact:org>Example Inc.</contact:org>
C:      <contact:addr>
C:      <contact:street>123 Example Dr.</contact:street>
C:      <contact:street>Suite 100</contact:street>
C:      <contact:city>Dulles</contact:city>
C:      <contact:sp>VA</contact:sp>
C:      <contact:pc>20166-6503</contact:pc>
C:      <contact:cc>US</contact:cc>
C:      </contact:addr>
C:      </validate:postalInfo>
C:      <validate:voice>+1.7035555555</validate:voice>
C:      <validate:fax>+1.7035555556</validate:fax>
C:      <validate:email>jdoe@example.com</validate:email>
C:      <validate:authInfo>
C:      <contact:pw>2fooBAR</contact:pw>
C:      </validate:authInfo>
C:      <validate:disclose flag="0">
C:      <contact:voice/>
C:      <contact:email/>
C:      </validate:disclose>
C:      </validate:cd>
C:      </validate:contact>
C:      <validate:contact contactType="billing" tld="COM">
C:      <validate:cd>
C:      <validate:id>sh8014</validate:id>
C:      </validate:cd>
C:      </validate:contact>
C:      </validate:check>
```

```
C:    </check>
C:    <clTRID>ABC-12345</clTRID>
C:    </command>
C:</epp>
```

When the server receives a <check> command that includes the extension elements described above, its response MUST contain an <extension> element, which MUST contain a child <validate:chkData> element. The <validate:chkData> element MUST contain a <validate:cd> element for each <validate:check> element contained in the <check> command. The <validate:cd> element MUST contain the following child elements:

- o one <validate:id> element.
- o one <validate:response> element.
- o zero or more <validate:kv> elements.

The following is an example of the <check> response using the <validate> extension.

```
S:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
S:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
S:  <response>
S:    <result code="1000">
S:      <msg>Command completed successfully</msg>
S:    </result>
S:    <resData>
S:      <validate:chkData
S:        xmlns:validate="urn:ietf:params:xml:ns:validate-0.1">
S:        <validate:cd>
S:          <validate:id>sh8013</validate:id>
S:          <validate:response>1000</validate:response>
S:        </validate:cd>
S:        <validate:cd>
S:          <validate:id>sh8014</validate:id>
S:          <validate:response>2306</validate:response>
S:          <validate:kv key="contact:city" value="City not valid
S:            for state."/>
S:          <validate:kv contactType="Admin" key="contact:cc"
S:            value="Invalid country code for admin, must be mx."/>
S:          <validate:kv contactType="Billing" key="VAT" value="VAT
S:            required for Billing contact."/>
S:        </validate:cd>
S:      </validate:chkData>
S:    </resData>
S:    <trID>
S:      <clTRID>ABC-12345</clTRID>
S:      <svTRID>54321-ZYX</svTRID>
S:    </trID>
S:  </response>
S:</epp>
```

3.1.2. EPP <info> Command

This extension does not add any elements to the EPP <info> command or <info> response.

3.1.3. EPP <poll> Command

This extension does not add any elements to the EPP <poll> command or <poll> response.

3.1.4. EPP <transfer> Command

This extension does not add any elements to the EPP <transfer> command or <transfer> response.

3.2. EPP Query Commands

EPP provides five commands to transform objects: <create> to create an instance of an object with a server, <delete> to remove an instance of an object from a server, <renew> to extend the validity period of an object, <transfer> to manage changes in client sponsorship of an object, and <update> to change information.

3.2.1. EPP <create> Command

This extension does not add any elements to the EPP <create> command or <create> response.

3.2.2. EPP <delete> Command

This extension does not add any elements to the EPP <delete> command or <delete> response.

3.2.3. EPP <renew> Command

This extension does not add any elements to the EPP <renew> command or <renew> response.

3.2.4. EPP <transfer> Command

This extension does not add any elements to the EPP <transfer> command or <transfer> response.

3.2.5. EPP <update> Command

This extension does not add any elements to the EPP <update> command or <update> response.

4. Formal Syntax

One schema is presented here that is the EPP Validate Extension schema.

The formal syntax presented here is a complete schema representation of the object mapping suitable for automated validation of EPP XML instances. The BEGIN and END tags are not part of the schema; they are used to note the beginning and ending of the schema for URI registration purposes.

4.1. Validate Extension Schema

```
BEGIN
<?xml version="1.0" encoding="UTF-8"?>
<schema
  targetNamespace="urn:ietf:params:xml:ns:validate-0.1"
  xmlns:validate="urn:ietf:params:xml:ns:validate-0.1"
  xmlns:epp="urn:ietf:params:xml:ns:epp-1.0"
  xmlns:eppcom="urn:ietf:params:xml:ns:eppcom-1.0"
  xmlns:contact="urn:ietf:params:xml:ns:contact-1.0"
  xmlns="http://www.w3.org/2001/XMLSchema"
  elementFormDefault="qualified">

  <annotation>
    <documentation>
      Extensible Provisioning Protocol v1.0
      Validate Object Extension
    </documentation>
  </annotation>

  <!-- Import common element types. -->
  <import namespace="urn:ietf:params:xml:ns:eppcom-1.0"
    schemaLocation="eppcom-1.0.xsd"/>
  <import namespace="urn:ietf:params:xml:ns:epp-1.0"
    schemaLocation="epp-1.0.xsd"/>
  <import namespace="urn:ietf:params:xml:ns:contact-1.0"
    schemaLocation="contact-1.0.xsd"/>

  <!--
  Child elements of the <check> command.
  -->
  <element name="check" type="validate:checkType"/>

  <complexType name="checkType">
    <sequence>
      <element name="contact"
        type="validate:validateContactType"
        maxOccurs="unbounded" />
    </sequence>
  </complexType>

  <complexType name="validateContactType">
    <sequence>
      <element name="cd"
        type="validate:checkDataType"/>
      <element name="kv"
        type="validate:kvType" minOccurs="0"
        maxOccurs="unbounded" />
    </sequence>
  </complexType>
```

```
</sequence>
<attribute name="contactType" type="eppcom:labelType"
  use="required"/>
<attribute name="tld"
  type="eppcom:labelType" use="required"/>
</complexType>

<complexType name="checkDataType">
  <sequence>
    <element name="id"
      type="eppcom:clIDType" />
    <element name="postalInfo"
      type="contact:postalInfoType"
      minOccurs="0" maxOccurs="2" />
    <element name="voice"
      type="contact:el64Type" minOccurs="0" />
    <element name="fax"
      type="contact:el64Type" minOccurs="0" />
    <element name="email"
      type="eppcom:minTokenType" minOccurs="0"/>
    <element name="authInfo"
      type="contact:authInfoType"
      minOccurs="0"/>
    <element name="disclose"
      type="contact:discloseType"
      minOccurs="0" />
  </sequence>
</complexType>

<complexType name="kvType">
  <attribute name="contactType"
    type="eppcom:labelType" use="optional" />
  <attribute name="key"
    type="validate:keyType" use="required" />
  <attribute name="value"
    type="validate:valueType" use="required" />
</complexType>

<simpleType name="keyType">
  <restriction base="token">
    <minLength value="1" />
  </restriction>
</simpleType>

<simpleType name="valueType">
  <restriction base="token">
    <minLength value="0" />
  </restriction>
</simpleType>
```

```
</simpleType>

<!--
Child elements of the <check> response.
-->
  <element name="chkData" type="validate:chkDataType" />

  <complexType name="chkDataType">
    <sequence>
      <element name="cd"
        type="validate:resCreateDataType" maxOccurs="unbounded" />
    </sequence>
  </complexType>

  <complexType name="resCreateDataType">
    <sequence>
      <element name="id"
        type="eppcom:clIDType" />
      <element name="response"
        type="epp:resultCodeType" />
      <element name="kv"
        type="validate:kvType"
        minOccurs="0" maxOccurs="unbounded" />
    </sequence>
  </complexType>

</schema>
END
```

5. IANA Considerations

5.1. XML Namespace

This document uses URNs to describe XML namespaces and XML schemas conforming to a registry mechanism described in [RFC3688]. The following URI assignment is requested of IANA:

URI: ietf:params:xml:ns:validate-1.0

Registrant Contact: See the "Author's Address" section of this document.

XML: See the "Formal Syntax" section of this document.

5.2. EPP Extension Registry

The EPP extension described in this document should be registered by the IANA in the EPP Extension Registry described in [RFC7451]. The details of the registration are as follows:

Name of Extension: "Validate Extension for the Extensible Provisioning Protocol (EPP)"

Document status: Standards Track

Reference: (insert reference to RFC version of this document)

Registrant Name and Email Address: IESG, <iesg@ietf.org>

TLDs: Any

IPR Disclosure: None

Status: Active

Notes: None

6. Security Considerations

The mapping extensions described in this document do not provide any security services beyond those described by EPP [RFC5730] and protocol layers used by EPP. The security considerations described in these other specifications apply to this specification as well.

7. Acknowledgements

The authors wish to thank the following persons for their feedback and suggestions:

- o Kevin Allendorf of GoDaddy Inc.
- o Jody Kolker of GoDaddy Inc.
- o James Gould of Verisign Inc

8. Change History

8.1. Change from 00 to 01

After review and broad feedback, extensive changes have been made transforming the original document from a standalone extension command to an extension using the <check> command and response framework.

9. Normative References

- [RFC2119] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", BCP 14, RFC 2119, DOI 10.17487/RFC2119, March 1997, <<http://www.rfc-editor.org/info/rfc2119>>.
- [RFC3688] Mealling, M., "The IETF XML Registry", BCP 81, RFC 3688, DOI 10.17487/RFC3688, January 2004, <<http://www.rfc-editor.org/info/rfc3688>>.
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- [RFC5733] Hollenbeck, S., "Extensible Provisioning Protocol (EPP) Contact Mapping", STD 69, RFC 5733, DOI 10.17487/RFC5733, August 2009, <<http://www.rfc-editor.org/info/rfc5733>>.
- [RFC7451] Hollenbeck, S., "Extension Registry for the Extensible Provisioning Protocol", RFC 7451, DOI 10.17487/RFC7451, February 2015, <<http://www.rfc-editor.org/info/rfc7451>>.

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eppext
Internet-Draft
Intended status: Standards Track
Expires: December 2, 2016

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May 31, 2016

Key Relay Mapping for the Extensible Provisioning Protocol
draft-ietf-eppext-keyrelay-12

Abstract

This document describes an Extensible Provisioning Protocol (EPP) mapping for a key relay object that relays DNSSEC key material between EPP clients using the poll queue defined in RFC5730.

This key relay mapping will help facilitate changing the DNS operator of a domain while keeping the DNSSEC chain of trust intact.

Status of This Memo

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1. Introduction

There are certain transactions initiated by a DNS-operator that require an authenticated exchange of information between DNS-operators. Often, there is no direct channel between these parties or it is non-scalable and insecure.

One such transaction is the exchange of DNSSEC key material when changing the DNS operator for DNSSEC signed zones. We suggest that DNS-operators use the administrative EPP channel to bootstrap the delegation by relaying DNSSEC key material for the zone.

In this document we define an EPP extension to sent DNSSEC key material between EPP clients. This allows DNS operators to bootstrap automatically, reliable and securely the transfer of a domain name while keeping the DNSSEC chain of trust intact.

1.1. Conventions Used in This Document

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in BCP 14, RFC 2119 [RFC2119].

XML is case sensitive. Unless stated otherwise, XML specifications and examples provided in this document MUST be interpreted in the character case presented in order to develop a conforming implementation.

In examples, "C:" represents lines sent by a protocol client, and "S:" represents lines returned by a protocol server. Indentation and white space in examples is provided only to illustrate element relationships and is not a mandatory feature of this protocol.

1.2. Secure Transfer of DNSSEC Key Material

Exchanging DNSSEC key material in preparation of a domain name transfer is one of the phases in the lifecycle of a domain name [I-D.koch-dnsop-dnssec-operator-change].

DNS-operators need to exchange DNSSEC key material before the registration data can be changed to keep the DNSSEC chain of trust intact. This exchange is normally initiated through the gaining registrar.

The gaining and losing DNS operators could talk directly to each other (the ~ arrow in Figure 1) to exchange the DNSKEY, but often there is no trusted path between the two. As both can securely interact with the registry over the administrative channel through the registrar, the registry can act as a relay for the key material exchange.

The registry is merely used as a relay channel. Therefore it is up to the losing DNS-operator to complete the intended transaction. The registry SHOULD have certain policies in place that require the losing DNS operator to cooperate with this transaction, however this is beyond this document. This document focuses on the EPP protocol syntax.

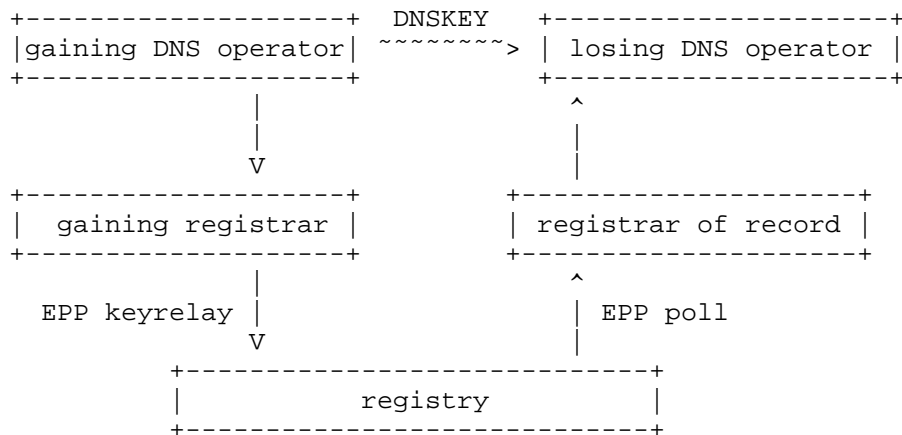


Figure 1: Transfer of DNSSEC key material.

There is no distinction in the EPP protocol between Registrars and DNS-operators, there is only mention of an EPP client and EPP server. Therefore the term EPP client will be used for the interaction with the EPP server for relaying DNSSEC key material.

2. Object Attributes

2.1. DNSSEC Key Material

The DNSSEC key material is represented in EPP by a <keyRelayData> element.

2.1.1. <keyRelayData> element

The <keyRelayData> contains the following elements:

- o One REQUIRED <keyData> element that contains the DNSSEC key material as described in [RFC5910], Section 4
- o An OPTIONAL <expiry> element that describes the expected lifetime of the relayed key(s) in the zone. When the <expiry> element is provided the losing DNS operator SHOULD remove the inserted key material from the zone after the expire time. This may be because the transaction that needed the insertion should either be completed or abandoned by that time. If a client receives a key relay object that has been sent previously it MUST update the expire time of the key material. This enables the clients to update the lifetime of the key material when a transfer is delayed.

The <expiry> element MUST contain exactly one of the following child elements:

* <absolute>: The DNSSEC key material is valid from the current date and time until it expires on the specified date and time. If a date in the past is provided this MUST be interpreted as a revocation of a previously sent key relay object.

* <relative>: The DNSSEC key material is valid from the current date and time until the end of the specified duration. If a period of zero is provided this MUST be interpreted as a revocation of a previously sent key relay object.

3. EPP Command Mapping

A detailed description of the EPP syntax and semantics can be found in the EPP core protocol specification [RFC5730]. The command mapping described here is specifically for use in this key relay mapping.

3.1. EPP Query Commands

EPP provides three commands to retrieve object information: <check> to determine if an object is known to the server, <info> to retrieve

detailed information associated with an object, and <transfer> to retrieve object transfer status information.

3.1.1. EPP <check> Command

Check semantics do not apply to key relay objects, so there is no mapping defined for the EPP <check> command and the EPP <check> response.

3.1.2. EPP <info> Command

Info command semantics do not apply to the key relay objects, so there is no mapping defined for the EPP <info> Command.

The EPP <info> response for key relay objects is used in the EPP poll response, as described in [RFC5730]. The key relay object created with the <create> command, described in Section 3.2.1 is inserted into the receiving client's poll queue. The receiving client will receive the key relay object using the EPP <poll> command, as described in [RFC5730].

When a <poll> command has been processed successfully for a key relay poll message, the EPP <resData> element MUST contain a child <keyrelay:infData> element that is identified by the keyrelay namespace. The <keyrelay:infData> element contains the following child elements:

- o A REQUIRED <name> element containing the domain name for which the DNSSEC key material is relayed.
- o A REQUIRED <authInfo> element that contains authorization information associated with the domain object ([RFC5731], Section 3.2.1).
- o One or more REQUIRED <keyRelayData> elements containing data to be relayed, as defined in Section 2.1. A server MAY apply a server policy that specifies the number of <keyRelayData> elements that can be incorporated. When a server policy is violated, a server MUST respond with an EPP result code 2308 "Data management policy violation".
- o An OPTIONAL <crDate> element that contains the date and time of the submitted <create> command.
- o An OPTIONAL <reID> element that contains the identifier of the client that requested the key relay.

- o An OPTIONAL <acID> element that contains the identifier of the client that SHOULD act upon the key relay.

Example <poll> response:

```
S:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
S:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0"
S:  xmlns:keyrelay="urn:ietf:params:xml:ns:keyrelay-1.0"
S:  xmlns:s="urn:ietf:params:xml:ns:secDNS-1.1"
S:  xmlns:d="urn:ietf:params:xml:ns:domain-1.0">
S: <response>
S:   <result code="1301">
S:     <msg>Command completed successfully; ack to dequeue</msg>
S:   </result>
S:   <msgQ count="5" id="12345">
S:     <qDate>1999-04-04T22:01:00.0Z</qDate>
S:     <msg>Keyrelay action completed successfully.</msg>
S:   </msgQ>
S:   <resData>
S:     <keyrelay:infData>
S:       <keyrelay:name>example.org</keyrelay:name>
S:       <keyrelay:authInfo>
S:         <d:pw>JnSdBAZSxxzJ</d:pw>
S:       </keyrelay:authInfo>
S:       <keyrelay:keyRelayData>
S:         <keyrelay:keyData>
S:           <s:flags>256</s:flags>
S:           <s:protocol>3</s:protocol>
S:           <s:alg>8</s:alg>
S:           <s:pubKey>cmlraXN0aGVlZXN0</s:pubKey>
S:         </keyrelay:keyData>
S:         <keyrelay:expiry>
S:           <keyrelay:relative>P1M13D</keyrelay:relative>
S:         </keyrelay:expiry>
S:       </keyrelay:keyRelayData>
S:       <keyrelay:crDate>
S:         1999-04-04T22:01:00.0Z
S:       </keyrelay:crDate>
S:       <keyrelay:reID>
S:         ClientX
S:       </keyrelay:reID>
S:       <keyrelay:acID>
S:         ClientY
S:       </keyrelay:acID>
S:     </keyrelay:infData>
S:   </resData>
S:   <trID>
S:     <clTRID>ABC-12345</clTRID>
S:     <svTRID>54321-ZYX</svTRID>
S:   </trID>
S: </response>
S:</epp>
```

3.1.3. EPP <transfer> Command

Transfer semantics do not apply to key relay objects, so there is no mapping defined for the EPP <transfer> command.

3.2. EPP Transform Commands

EPP provides five commands to transform objects: <create> to create an instance of an object, <delete> to delete an instance of an object, <renew> to extend the validity period of an object, <transfer> to manage object sponsorship changes, and <update> to change information associated with an object.

3.2.1. EPP <create> Command

The EPP <create> command provides a transform operation that allows a client to create a key relay object that includes the domain name and DNSSEC key material to be relayed. When the <create> command is validated, the server MUST insert an EPP <poll> message, using the key relay info response (See Section 3.1.2), in the receiving client's poll queue that belongs to the registrar on record of the provided domain name.

In addition to the standard EPP command elements, the <create> command MUST contain a <keyrelay:create> element that is identified by the keyrelay namespace. The <keyrelay:create> element contains the following child elements:

- o A REQUIRED <keyrelay:name> element containing the domain name for which the DNSSEC key material is relayed.
- o A REQUIRED <authInfo> element that contains authorization information associated with the domain object ([RFC5731], Section 3.2.1).
- o One or more REQUIRED <keyrelay:keyRelayData> element containing data to be relayed, as defined in Section 2.1

Example <create> commands:

Note that in the provided example the second <keyrelay:keyRelayData> element has a period of zero and thus represents the revocation of a previously sent key relay object (see Section 2.1.1).

```
C:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
C:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0"
C:  xmlns:keyrelay="urn:ietf:params:xml:ns:keyrelay-1.0"
C:  xmlns:s="urn:ietf:params:xml:ns:secDNS-1.1"
C:  xmlns:d="urn:ietf:params:xml:ns:domain-1.0">
C:  <command>
C:    <create>
C:      <keyrelay:create>
C:        <keyrelay:name>example.org</keyrelay:name>
C:        <keyrelay:authInfo>
C:          <d:pw>JnSdBAZSxxzJ</d:pw>
C:        </keyrelay:authInfo>
C:        <keyrelay:keyRelayData>
C:          <keyrelay:keyData>
C:            <s:flags>256</s:flags>
C:            <s:protocol>3</s:protocol>
C:            <s:alg>8</s:alg>
C:            <s:pubKey>cmlraXN0aGVlZXN0</s:pubKey>
C:          </keyrelay:keyData>
C:          <keyrelay:expiry>
C:            <keyrelay:relative>P1M13D</keyrelay:relative>
C:          </keyrelay:expiry>
C:        </keyrelay:keyRelayData>
C:        <keyrelay:keyRelayData>
C:          <keyrelay:keyData>
C:            <s:flags>256</s:flags>
C:            <s:protocol>3</s:protocol>
C:            <s:alg>8</s:alg>
C:            <s:pubKey>bWFyY2lzdGhlYmVzdA==</s:pubKey>
C:          </keyrelay:keyData>
C:          <keyrelay:expiry>
C:            <keyrelay:relative>P0D</keyrelay:relative>
C:          </keyrelay:expiry>
C:        </keyrelay:keyRelayData>
C:      </keyrelay:create>
C:    </create>
C:    <clTRID>ABC-12345</clTRID>
C:  </command>
C:</epp>
```

When a server has successfully processed the <create> command it MUST respond with a standard EPP response. See [RFC5730], Section 2.6.

Example <create> response:

```
S:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
S:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
S:  <response>
S:    <result code="1000">
S:      <msg>Command completed successfully</msg>
S:    </result>
S:    <trID>
S:      <clTRID>ABC-12345</clTRID>
S:      <svTRID>54321-ZYX</svTRID>
S:    </trID>
S:  </response>
S:</epp>
```

When a server cannot process the <create> command due to the server policy it MUST return an EPP 2308 error message. This might be the case when the server knows that the receiving client does not support keyrelay transactions. See [RFC5730], Section 2.6.

Example <create> response:

```
S:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
S:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
S:  <response>
S:    <result code="2308">
S:      <msg>Data management policy violation</msg>
S:    </result>
S:    <trID>
S:      <clTRID>ABC-12345</clTRID>
S:      <svTRID>54321-ZYX</svTRID>
S:    </trID>
S:  </response>
S:</epp>
```

3.2.2. EPP <delete> Command

Delete semantics do not apply to key relay objects, so there is no mapping defined for the EPP <delete> command and the EPP <delete> response.

3.2.3. EPP <renew> Command

Renew semantics do not apply to key relay objects, so there is no mapping defined for the EPP <renew> command and the EPP <renew> response.

3.2.4. EPP <transfer> Command

Transfer semantics do not apply to key relay objects, so there is no mapping defined for the EPP <transfer> command and the EPP <transfer> response.

3.2.5. EPP <update> Command

Update semantics do not apply to key relay objects, so there is no mapping defined for the EPP <update> command and the EPP <update> response.

4. Formal Syntax

```
<?xml version="1.0" encoding="UTF-8"?>
<schema targetNamespace="urn:ietf:params:xml:ns:keyrelay-1.0"
  xmlns:keyrelay="urn:ietf:params:xml:ns:keyrelay-1.0"
  xmlns:eppcom="urn:ietf:params:xml:ns:eppcom-1.0"
  xmlns:secDNS="urn:ietf:params:xml:ns:secDNS-1.1"
  xmlns:domain="urn:ietf:params:xml:ns:domain-1.0"
  xmlns="http://www.w3.org/2001/XMLSchema"
  elementFormDefault="qualified">

  <annotation>
    <documentation>
      Extensible Provisioning Protocol v1.0 protocol
      extension schema for relaying DNSSEC key material.
    </documentation>
  </annotation>

  <import namespace="urn:ietf:params:xml:ns:eppcom-1.0" />
  <import namespace="urn:ietf:params:xml:ns:secDNS-1.1" />
  <import namespace="urn:ietf:params:xml:ns:domain-1.0" />

  <element name="keyRelayData" type="keyrelay:keyRelayDataType" />
  <element name="infData" type="keyrelay:infDataType" />
  <element name="create" type="keyrelay:createType" />

  <complexType name="createType">
    <sequence>
      <element name="name" type="eppcom:labelType" />
      <element name="authInfo" type="domain:authInfoType" />
      <element name="keyRelayData" type="keyrelay:keyRelayDataType"
        maxOccurs="unbounded" />
    </sequence>
  </complexType>

  <complexType name="infDataType">
```

```
<sequence>
  <element name="name" type="eppcom:labelType" />
  <element name="authInfo" type="domain:authInfoType" />
  <element name="keyRelayData" type="keyrelay:keyRelayDataType"
    maxOccurs="unbounded" />
  <element name="crDate" type="dateTime" />
  <element name="reID" type="eppcom:clIDType" />
  <element name="acID" type="eppcom:clIDType" />
</sequence>
</complexType>

<complexType name="keyRelayDataType">
  <sequence>
    <element name="keyData" type="secDNS:keyDataType" />
    <element name="expiry" type="keyrelay:keyRelayExpiryType"
      minOccurs="0" />
  </sequence>
</complexType>

<complexType name="keyRelayExpiryType">
  <choice>
    <element name="absolute" type="dateTime" />
    <element name="relative" type="duration" />
  </choice>
</complexType>
</schema>
```

5. IANA Considerations

5.1. XML Namespace

This document uses URNs to describe a XML namespace conforming to the registry mechanism described in [RFC3688]. The following URI assignment is requested of IANA:

URI: urn:ietf:params:xml:ns:keyrelay-1.0

Registrant Contact: See the "Author's Address" section of this document.

XML: See the "Formal Syntax" section of this document.

5.2. XML Schema

This document uses URNs to describe a XML schema conforming to the registry mechanism described in [RFC3688]. The following URI assignment is requested of IANA:

URI: urn:ietf:params:xml:schema:keyrelay-1.0

XML: See the "Formal Syntax" section of this document.

5.3. EPP Extension Registry

The EPP extension described in this document should be registered by the IANA in the EPP Extension Registry described in [RFC7451]. The details of the registration are as follows:

Name of Extension: "Key Relay Mapping for the Extensible Provisioning Protocol"

Document status: Standards Track

Reference: (insert reference to RFC version of this document)

Registrant Name and Email Address: IESG, iesg@ietf.org

TLDs: Any

IPR Disclosure: <https://datatracker.ietf.org/ipr/2393/>

Status: Active

Notes: None

6. Security Considerations

A server SHOULD NOT perform any transformation on data under server management when processing a <keyrelay:create> command. The intent of this command is to put DNSSEC key material on the poll queue of another client. To make sure that this EPP extension is interoperable with the different server policies that already have implemented EPP this extension it is not classified as must not.

Any EPP client can use this mechanism to put data on the message queue of another EPP client, allowing for the potential of a denial of service attack. However this can, and should be detected by the server. A server MAY set a server policy which limits or rejects a <keyrelay:create> command if it detects the mechanism is being abused.

For the <keyrelay:keyRelayData> data a correct <domain:authInfo> element should be used as an indication that putting the key material on the receiving EPP clients poll queue is authorized by the `_registrant_` of that domain name. The authorization of EPP clients

to perform DNS changes is not covered in this document as it depends on registry specific policy.

A client that uses this mechanism to send DNSSEC key material to another client could verify through DNS that the DNSSEC key material is added to the authoritative zone of the domain. This check can be used to verify that the DNSSEC key material has traveled end-to-end from the gaining DNS operator to the losing DNS operator. This check does not tell anything about the DNSSEC chain of trust and can merely be used as a verification of a successful transfer of the DNSSEC key material.

7. Acknowledgements

We like to thank the following individuals for their valuable input, review, constructive criticism in earlier revisions or support for the concepts described in this document:

Maarten Wullink, Marco Davids, Ed Lewis, James Mitchell, David Peal, Patrik Faltstrom, Klaus Malorny, James Gould, Patrick Mevzek, Seth Goldman, Maarten Bosteels, Ulrich Wisser, Kees Monshouwer and Scott Hollenbeck.

8. References

8.1. Normative References

- [RFC2119] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", BCP 14, RFC 2119, March 1997.
- [RFC3688] Mealling, M., "The IETF XML Registry", BCP 81, RFC 3688, January 2004.
- [RFC5730] Hollenbeck, S., "Extensible Provisioning Protocol (EPP)", STD 69, RFC 5730, August 2009.
- [RFC5731] Hollenbeck, S., "Extensible Provisioning Protocol (EPP) Domain Name Mapping", STD 69, RFC 5731, August 2009.
- [RFC5910] Gould, J. and S. Hollenbeck, "Domain Name System (DNS) Security Extensions Mapping for the Extensible Provisioning Protocol (EPP)", RFC 5910, May 2010.

8.2. Informative References

[I-D.koch-dnsop-dnssec-operator-change]

Koch, P., Sanz, M., and A. Verschuren, "Changing DNS Operators for DNSSEC signed Zones", draft-koch-dnsop-dnssec-operator-change-06 (work in progress), February 2014.

[RFC7451] Hollenbeck, S., "Extension Registry for the Extensible Provisioning Protocol", RFC 7451, February 2015.

Appendix A. Changelog

[This section should be removed by the RFC editor before publishing]

A.1. draft-gieben-epp-keyrelay-00

1. Initial document.

A.2. draft-gieben-epp-keyrelay-01

1. Style and grammar changes;
2. Added an expire element as per suggestion by Klaus Malorny;
3. Make the authInfo element mandatory and make the registry check it as per feedback by Klaus Malorny and James Gould.

A.3. draft-gieben-epp-keyrelay-02

1. Added element to identify the relaying EPP client as suggested by Klaus Malorny;
2. Corrected XML for missing and excess clTRID as noted by Patrick Mevzek;
3. Added clarifications for the examples based on feedback by Patrick Mevzek;
4. Reviewed the consistency of using DNS operator versus registrar after review comments by Patrick Faltstrom and Ed Lewis.

A.4. draft-gieben-epp-keyrelay-03

1. Style and grammar changes
2. Corrected acknowledgement section
3. Corrected XML for Expire element to not be mandatory but only occur once.

A.5. draft-ietf-eppeext-keyrelay-00

1. Added feedback from Seth Goldman and put him in the acknowledgement section.
2. IDnits formatting adjustments

A.6. draft-ietf-eppeext-keyrelay-01

1. Introducing the <relay> command, and thus separating the data and the command.
2. Updated the Introduction, describing the general use of relay vs the intended use-case of relaying DNSSEC key data.
3. Restructuring the document to make it more inline with existing EPP extensions.

A.7. draft-ietf-eppeext-keyrelay-02

1. Updated the XML structure by removing the <relay> command based on WG feedback
2. Updated the wording

A.8. draft-ietf-eppeext-keyrelay-03

1. Updated the document title in the EPP Extension Registry section
2. Restored Acknowledgement section, thanks to Marco Davids
3. Incorporated feedback from Patrick Mevzek

A.9. draft-ietf-eppeext-keyrelay-04

1. Incorporated feedback from James Gould
2. Added additional text when server is aware that receiving clients do not support keyrelay transactions or DNSSEC as suggested by Kees Monshouwer.
3. Added additional text for supporting key revocation as suggested by Kees Monshouwer
4. Updated some of the wording
5. Fix the usage of multiple keys in a create message

- A.10. draft-ietf-eppext-keyrelay-05
 - 1. Review comments after WG last call
- A.11. draft-ietf-eppext-keyrelay-06
 - 1. Review comments by Ulrich Wisser during IESG writeup
- A.12. draft-ietf-eppext-keyrelay-07
 - 1. fixed changelog
- A.13. draft-ietf-eppext-keyrelay-08
 - 1. fixed issue with authinfo
 - 2. fixed issue with relative period in example xml
- A.14. draft-ietf-eppext-keyrelay-09
 - 1. fixed issue with naming
- A.15. draft-ietf-eppext-keyrelay-10
 - 1. removed 4 spaces
- A.16. draft-ietf-eppext-keyrelay-11
 - 1. Processed editorial changes from AD review
 - 2. Processed comments made during IETF last call
- A.17. draft-ietf-regext-keyrelay-00
 - 1. Processed comments made during IESG review

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Network Working Group
Internet-Draft
Intended status: Standards Track
Expires: April 7, 2019

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Allocation Token Extension for the Extensible Provisioning Protocol
(EPP)
draft-ietf-regext-allocation-token-12

Abstract

This document describes an Extensible Provisioning Protocol (EPP) extension for including an Allocation Token in "query" and "transform" commands. The Allocation Token is used as a credential that authorizes a client to request the allocation of a specific object from the server, using one of the EPP transform commands including create and transfer.

Status of This Memo

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1. Introduction

This document describes an extension mapping for version 1.0 of the Extensible Provisioning Protocol (EPP) [RFC5730]. This mapping, an extension to EPP object mappings like the EPP domain name mapping [RFC5731], supports passing an Allocation Token as a credential that authorizes a client to request the allocation of a specific object from the server, using one of the EPP transform commands including create and transfer.

Allocation is when a server assigns the sponsoring client of an object based on the use of an Allocation Token credential. Examples include allocating a registration based on a pre-eligibility Allocation Token, allocating a premium domain name registration based on an auction Allocation Token, allocating a registration based on a founders Allocation Token, and allocating an existing domain name held by the server or by a different sponsoring client based on an Allocation Token passed with a transfer command.

Clients pass an Allocation Token to the server for validation, and the server determines if the supplied Allocation Token is one supported by the server. It is up to server policy which EPP transform commands and which objects require the Allocation Token. The Allocation Token MAY be returned to an authorized client for passing out-of-band to a client that uses it with an EPP transform command.

1.1. Conventions Used in This Document

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in BCP 14 [RFC2119] [RFC8174] when, and only when, they appear in all capitals, as shown here.

XML is case sensitive. Unless stated otherwise, XML specifications and examples provided in this document MUST be interpreted in the character case presented in order to develop a conforming implementation.

In examples, "C:" represents lines sent by a protocol client and "S:" represents lines returned by a protocol server. Indentation and white space in the examples are provided only to illustrate element relationships and are not REQUIRED in the protocol.

The XML namespace prefix "allocationToken" is used for the namespace "urn:ietf:params:xml:ns:allocationToken-1.0", but implementations MUST NOT depend on it and instead employ a proper namespace-aware XML parser and serializer to interpret and output the XML documents.

The "abc123" token value is used as a placeholder value in the examples. The server MUST support token values that follow the Security Considerations (Section 7) section.

The domain object attribute values, including the "2fooBAR" <domain:pw> value, in the examples are provided for illustration purposes only. Refer to [RFC5731] for details on the domain object attributes.

2. Object Attributes

This extension adds additional elements to EPP object mappings like the EPP domain name mapping [RFC5731]. Only those new elements are described here.

2.1. Allocation Token

The Allocation Token is a simple XML "token" type. The exact format of the Allocation Token is up to server policy. The server MAY have the Allocation Token for each object to match against the Allocation Token passed by the client to authorize the allocation of the object. The <allocationToken:allocationToken> element is used for all of the supported EPP commands as well as the info response. If the supplied Allocation Token passed to the server does not apply to the object, the server MUST return an EPP error result code of 2201.

Authorization information, like what is defined in the EPP domain name mapping [RFC5731], is associated with objects to facilitate transfer operations. The authorization information is assigned when an object is created. The Allocation Token and the authorization information are both credentials, but used for different purposes and used in different ways. The Allocation Token is used to facilitate the allocation of an object instead of transferring the sponsorship of the object. The Allocation Token is not managed by the client, but is validated by the server to authorize assigning the initial sponsoring client of the object.

An example `<allocationToken:allocationToken>` element with value of "abc123":

```
<allocationToken:allocationToken xmlns:allocationToken=
    "urn:ietf:params:xml:ns:allocationToken-1.0">
  abc123
</allocationToken:allocationToken>
```

3. EPP Command Mapping

A detailed description of the EPP syntax and semantics can be found in the EPP core protocol specification [RFC5730].

3.1. EPP Query Commands

EPP provides three commands to retrieve object information: `<check>` to determine if an object can be provisioned, `<info>` to retrieve information associated with an object, and `<transfer>` to retrieve object transfer status information.

3.1.1. EPP `<check>` Command

This extension defines additional elements to extend the EPP `<check>` command of an object mapping like [RFC5731].

This extension allows clients to check the availability of an object with an Allocation Token, as described in Section 2.1. Clients can check if an object can be created using the Allocation Token. The Allocation Token is applied to all object names included in the EPP `<check>` command.

Example <check> command for the allocation.example domain name using the <allocationToken:allocationToken> extension with the allocation token of 'abc123':

```
C:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
C:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
C:  <command>
C:    <check>
C:      <domain:check
C:        xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
C:          <domain:name>allocation.example</domain:name>
C:        </domain:check>
C:      </check>
C:    <extension>
C:      <allocationToken:allocationToken
C:        xmlns:allocationToken=
C:          "urn:ietf:params:xml:ns:allocationToken-1.0">
C:        abc123
C:      </allocationToken:allocationToken>
C:    </extension>
C:  <clTRID>ABC-12345</clTRID>
C: </command>
C:</epp>
```

If the query was successful, the server replies with a <check> response providing the availability status of the queried object based on the following Allocation Token cases, where the object is otherwise available:

1. If an object requires an Allocation Token and the Allocation Token does apply to the object, then the server MUST return the availability status as available (e.g., "avail" attribute is "1" or "true").
2. If an object requires an Allocation Token and the Allocation Token does not apply to the object, then the server SHOULD return the availability status as unavailable (e.g., "avail" attribute is "0" or "false").
3. If an object does not require an Allocation Token, the server MAY return the availability status as available (e.g., "avail" attribute is "1" or "true").

Example <check> domain response for a <check> command using the <allocationToken:allocationToken> extension:

```
S:<?xml version="1.0" encoding="UTF-8"?>
S:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
S: <response>
S:   <result code="1000">
S:     <msg lang="en-US">Command completed successfully</msg>
S:   </result>
S:   <resData>
S:     <domain:chkData
S:       xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
S:       <domain:cd>
S:         <domain:name avail="1">allocation.example</domain:name>
S:       </domain:cd>
S:     </domain:chkData>
S:   </resData>
S:   <trID>
S:     <clTRID>ABC-DEF-12345</clTRID>
S:     <svTRID>54321-XYZ</svTRID>
S:   </trID>
S: </response>
S:</epp>
```

Example <check> command with the <allocationToken:allocationToken> extension for the allocation.example and allocation2.example domain names. Availability of allocation.example and allocation2.example domain names are based on the Allocation Token 'abc123':

```
C:<?xml version="1.0" encoding="UTF-8"?>
C:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
C: <command>
C:   <check>
C:     <domain:check
C:       xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
C:       <domain:name>allocation.example</domain:name>
C:       <domain:name>allocation2.example</domain:name>
C:     </domain:check>
C:   </check>
C:   <extension>
C:     <allocationToken:allocationToken
C:       xmlns:allocationToken=
C:         "urn:ietf:params:xml:ns:allocationToken-1.0">
C:       abc123
C:     </allocationToken:allocationToken>
C:   </extension>
C: <clTRID>ABC-DEF-12345</clTRID>
C: </command>
C:</epp>
```


Example <check> domain response for multiple domain names in the <check> command using the <allocationToken:allocationToken> extension, where the Allocation Token 'abc123' matches allocation.example but does not match allocation2.example:

```
S:<?xml version="1.0" encoding="UTF-8"?>
S:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
S: <response>
S:   <result code="1000">
S:     <msg lang="en-US">Command completed successfully</msg>
S:   </result>
S:   <resData>
S:     <domain:chkData
S:       xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
S:       <domain:cd>
S:         <domain:name avail="1">allocation.example</domain:name>
S:       </domain:cd>
S:       <domain:cd>
S:         <domain:name avail="0">allocation2.example</domain:name>
S:         <domain:reason>Allocation Token mismatch</domain:reason>
S:       </domain:cd>
S:     </domain:chkData>
S:   </resData>
S:   <trID>
S:     <clTRID>ABC-DEF-12345</clTRID>
S:     <svTRID>54321-XYZ</svTRID>
S:   </trID>
S: </response>
S:</epp>
```

This extension does not add any elements to the EPP <check> response described in the [RFC5730].

3.1.2. EPP <info> Command

This extension defines additional elements to extend the EPP <info> command of an object mapping like [RFC5731].

The EPP <info> command allows a client to request information associated with an existing object. Authorized clients MAY retrieve the Allocation Token (Section 2.1) along with the other object information by supplying the <allocationToken:info> element in the command. The <allocationToken:info> element is an empty element that serves as a marker to the server to return the <allocationToken:allocationToken> element in the info response. If the client is not authorized to receive the Allocation Token, the server MUST return an EPP error result code of 2201. If the client

is authorized to receive the Allocation Token, but there is no Allocation Token associated with the object, the server MUST return an EPP error result code of 2303. The authorization is subject to server policy.

Example <info> command with the allocationToken:info extension for the allocation.example domain name:

```
C:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
C:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
C:  <command>
C:    <info>
C:      <domain:info
C:        xmlns:domain="urn:ietf:params:xml:ns:domain-1.0"
C:        xsi:schemaLocation="urn:ietf:params:xml:ns:domain-1.0
C:        domain-1.0.xsd">
C:        <domain:name>allocation.example</domain:name>
C:      </domain:info>
C:    </info>
C:    <extension>
C:      <allocationToken:info
C:        xmlns:allocationToken=
C:          "urn:ietf:params:xml:ns:allocationToken-1.0/>
C:    </extension>
C:    <clTRID>ABC-12345</clTRID>
C:  </command>
C:</epp>
```

If the query was successful, the server replies with an <allocationToken:allocationToken> element along with the regular EPP <resData>. The <allocationToken:allocationToken> element is described in Section 2.1.

Example <info> domain response using the <allocationToken:allocationToken> extension:

```
S:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
S:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
S:  <response>
S:    <result code="1000">
S:      <msg>Command completed successfully</msg>
S:    </result>
S:    <resData>
S:      <domain:infData
S:        xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
S:        <domain:name>allocation.example</domain:name>
S:        <domain:roid>EXAMPLE1-REP</domain:roid>
S:        <domain:status s="pendingCreate"/>
S:        <domain:registrant>jd1234</domain:registrant>
S:        <domain:contact type="admin">sh8013</domain:contact>
S:        <domain:contact type="tech">sh8013</domain:contact>
S:        <domain:clID>ClientX</domain:clID>
S:        <domain:crID>ClientY</domain:crID>
S:        <domain:crDate>2012-04-03T22:00:00.0Z</domain:crDate>
S:        <domain:authInfo>
S:          <domain:pw>2fooBAR</domain:pw>
S:        </domain:authInfo>
S:      </domain:infData>
S:    </resData>
S:    <extension>
S:      <allocationToken:allocationToken
S:        xmlns:allocationToken=
S:          "urn:ietf:params:xml:ns:allocationToken-1.0">
S:        abc123
S:      </allocationToken:allocationToken>
S:    </extension>
S:    <trID>
S:      <clTRID>ABC-12345</clTRID>
S:      <svTRID>54321-XYZ</svTRID>
S:    </trID>
S:  </response>
S:</epp>
```

3.1.3. EPP <transfer> Query Command

This extension does not add any elements to the EPP <transfer> query command or <transfer> query response described in [RFC5730].

3.2. EPP Transform Commands

EPP provides five commands to transform objects: <create> to create an instance of an object, <delete> to delete an instance of an object, <renew> to extend the validity period of an object, <transfer> to manage object sponsorship changes, and <update> to change information associated with an object.

3.2.1. EPP <create> Command

This extension defines additional elements to extend the EPP <create> command of an object mapping like [RFC5731].

The EPP <create> command provides a transform operation that allows a client to create an instance of an object. In addition to the EPP command elements described in an object mapping like [RFC5731], the command MUST contain a child <allocationToken:allocationToken> element for the client to be authorized to create and allocate the object. If the Allocation Token does not apply to the object, the server MUST return an EPP error result code of 2201.

Example <create> command to create a domain object with an Allocation Token:

```
C:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
C:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
C:  <command>
C:    <create>
C:      <domain:create
C:        xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
C:        <domain:name>allocation.example</domain:name>
C:        <domain:registrant>jd1234</domain:registrant>
C:        <domain:contact type="admin">sh8013</domain:contact>
C:        <domain:contact type="tech">sh8013</domain:contact>
C:        <domain:authInfo>
C:          <domain:pw>2fooBAR</domain:pw>
C:        </domain:authInfo>
C:      </domain:create>
C:    </create>
C:  <extension>
C:    <allocationToken:allocationToken
C:      xmlns:allocationToken=
C:        "urn:ietf:params:xml:ns:allocationToken-1.0">
C:      abc123
C:    </allocationToken:allocationToken>
C:  </extension>
C:  <clTRID>ABC-12345</clTRID>
C: </command>
C:</epp>
```

This extension does not add any elements to the EPP <create> response described in the [RFC5730].

3.2.2. EPP <delete> Command

This extension does not add any elements to the EPP <delete> command or <delete> response described in the [RFC5730].

3.2.3. EPP <renew> Command

This extension does not add any elements to the EPP <renew> command or <renew> response described in the [RFC5730].

3.2.4. EPP <transfer> Command

This extension defines additional elements to extend the EPP <transfer> request command of an object mapping like [RFC5731].

The EPP <transfer> request command provides a transform operation that allows a client to request the transfer of an object. In addition to the EPP command elements described in an object mapping like [RFC5731], the command MUST contain a child <allocationToken:allocationToken> element for the client to be authorized to transfer and allocate the object. The authorization associated with the Allocation Token is in addition to and does not replace the authorization mechanism defined for the object's <transfer> request command. If the Allocation Token is invalid or not required for the object, the server MUST return an EPP error result code of 2201.

Example <transfer> request command to allocate the domain object with the Allocation Token:

```
C:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
C:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
C:  <command>
C:    <transfer op="request">
C:      <domain:transfer
C:        xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
C:        <domain:name>example1.tld</domain:name>
C:        <domain:period unit="y">1</domain:period>
C:        <domain:authInfo>
C:          <domain:pw>2fooBAR</domain:pw>
C:        </domain:authInfo>
C:      </domain:transfer>
C:    </transfer>
C:    <extension>
C:      <allocationToken:allocationToken
C:        xmlns:allocationToken=
C:          "urn:ietf:params:xml:ns:allocationToken-1.0">
C:        abc123
C:      </allocationToken:allocationToken>
C:    </extension>
C:    <clTRID>ABC-12345</clTRID>
C:  </command>
C:</epp>
```

This extension does not add any elements to the EPP <transfer> response described in the [RFC5730].

3.2.5. EPP <update> Command

This extension does not add any elements to the EPP <update> command or <update> response described in the [RFC5730].

4. Formal Syntax

One schema is presented here that is the EPP Allocation Token Extension schema.

The formal syntax presented here is a complete schema representation of the object mapping suitable for automated validation of EPP XML instances. The BEGIN and END tags are not part of the schema; they are used to note the beginning and ending of the schema for URI registration purposes.

4.1. Allocation Token Extension Schema

```
BEGIN
<?xml version="1.0" encoding="UTF-8"?>
<schema xmlns="http://www.w3.org/2001/XMLSchema"
  xmlns:allocationToken="urn:ietf:params:xml:ns:allocationToken-1.0"
  targetNamespace="urn:ietf:params:xml:ns:allocationToken-1.0"
  elementFormDefault="qualified">
  <annotation>
    <documentation>
      Extensible Provisioning Protocol v1.0
      Allocation Token Extension
    </documentation>
  </annotation>

  <!-- Element used in info command to get allocation token. -->
  <element name="info">
    <complexType>
      <complexContent>
        <restriction base="anyType" />
      </complexContent>
    </complexType>
  </element>

  <!-- Allocation Token used in transform
  commands and info response -->
  <element name="allocationToken"
    type="allocationToken:allocationTokenType" />
  <simpleType name="allocationTokenType">
    <restriction base="token">
      <minLength value="1" />
    </restriction>
  </simpleType>

  <!-- End of schema. -->
</schema>
END
```

5. IANA Considerations

5.1. XML Namespace

This document uses URNs to describe XML namespaces and XML schemas conforming to a registry mechanism described in [RFC3688].

Registration request for the allocationToken namespace:

URI: urn:ietf:params:xml:ns:allocationToken-1.0
Registrant Contact: IESG
XML: None. Namespace URIs do not represent an XML specification.

Registration request for the allocationToken XML schema:

URI: urn:ietf:params:xml:schema:allocationToken-1.0
Registrant Contact: IESG
XML: See the "Formal Syntax" section of this document.

5.2. EPP Extension Registry

The following registration of the EPP Extension Registry, described in [RFC7451], is requested:

Name of Extension: "Allocation Token Extension for the Extensible Provisioning Protocol (EPP)"

Document status: Standards Track

Reference: (insert reference to RFC version of this document)

Registrant Name and Email Address: IESG, <iesg@ietf.org>

TLDs: Any

IPR Disclosure: None

Status: Active

Notes: None

6. Implementation Status

Note to RFC Editor: Please remove this section and the reference to RFC 7942 [RFC7942] before publication.

This section records the status of known implementations of the protocol defined by this specification at the time of posting of this

Internet-Draft, and is based on a proposal described in RFC 7942 [RFC7942]. The description of implementations in this section is intended to assist the IETF in its decision processes in progressing drafts to RFCs. Please note that the listing of any individual implementation here does not imply endorsement by the IETF. Furthermore, no effort has been spent to verify the information presented here that was supplied by IETF contributors. This is not intended as, and must not be construed to be, a catalog of available implementations or their features. Readers are advised to note that other implementations may exist.

According to RFC 7942 [RFC7942], "this will allow reviewers and working groups to assign due consideration to documents that have the benefit of running code, which may serve as evidence of valuable experimentation and feedback that have made the implemented protocols more mature. It is up to the individual working groups to use this information as they see fit".

6.1. Verisign EPP SDK

Organization: Verisign Inc.

Name: Verisign EPP SDK

Description: The Verisign EPP SDK includes both a full client implementation and a full server stub implementation of draft-ietf-regext-allocation-token.

Level of maturity: Production

Coverage: All aspects of the protocol are implemented.

Licensing: GNU Lesser General Public License

Contact: jgould@verisign.com

URL: https://www.verisign.com/en_US/channel-resources/domain-registry-products/epp-sdks

6.2. Neustar EPP SDK

Organisation: Neustar Inc.

Name: Neustar EPP SDK

Description: The Neustar EPP SDK includes a full client implementation of draft-ietf-regext-allocation-token.

Level of maturity: Production

Coverage: All aspects of the protocol are implemented.

Licensing: GNU Lesser General Public License

Contact: quoc-anh.np@team.neustar

URL: <http://registrytoolkit.neustar>

6.3. Neustar gTLD SRS

Organisation: Neustar Inc.

Name: Neustar generic Top Level Domain (gTLD) Shared Registry System (SRS).

Description: The Neustar gTLD SRS implements the server side of draft-ietf-regext-allocation-token for several Top Level Domains.

Level of maturity: Production

Coverage: All server side aspects of the protocol are implemented.

Licensing: Proprietary

Contact: quoc-anh.np@team.neustar

6.4. Net::DRI

Organization: Dot and Co

Name: Net::DRI

Description: Net::DRI implements the client-side of draft-ietf-regext-allocation-token.

Level of maturity: Production

Coverage: All client-side aspects of the protocol are implemented.

Licensing: GNU Lesser General Public License

Contact: netdri@dotandco.com

7. Security Considerations

The mapping described in this document does not provide any security services beyond those described by EPP [RFC5730] and protocol layers used by EPP. The security considerations described in these other specifications apply to this specification as well.

The mapping acts as a conduit for the passing of Allocation Tokens between a client and a server. The definition of the Allocation Token SHOULD be defined outside of this mapping. The following are security considerations in the definition and use of an Allocation Token:

1. An Allocation Token should be considered secret information by the client and SHOULD be protected at rest and MUST be protected in transit.
2. An Allocation Token should be single use, meaning it should be unique per object and per allocation operation.
3. An Allocation Token should have a limited life with some form of expiry in the Allocation Token if generated by a trusted 3rd party, or with a server-side expiry if generated by the server.
4. An Allocation Token should use a strong random value if it is based on an unsigned code.
5. An Allocation Token should leverage digital signatures to confirm its authenticity if generated by a trusted 3rd party.
6. An Allocation Token that is signed XML should be encoded (e.g., base64 [RFC4648]) to mitigate server validation issues.

8. Acknowledgements

The authors wish to acknowledge the original concept for this draft and the efforts in the initial versions of this draft by Trung Tran and Sharon Wodjenski.

Special suggestions that have been incorporated into this document were provided by Ben Campbell, Scott Hollenbeck, Benjamin Kaduk, Mirja Kuehlewind, Rubens Kuhl, Alexander Mayrhofer, Patrick Mevzek, Eric Rescoria, and Adam Roach.

9. References

9.1. Normative References

- [RFC2119] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", BCP 14, RFC 2119, DOI 10.17487/RFC2119, March 1997, <<https://www.rfc-editor.org/info/rfc2119>>.

- [RFC3688] Mealling, M., "The IETF XML Registry", BCP 81, RFC 3688, DOI 10.17487/RFC3688, January 2004, <<https://www.rfc-editor.org/info/rfc3688>>.
- [RFC5730] Hollenbeck, S., "Extensible Provisioning Protocol (EPP)", STD 69, RFC 5730, DOI 10.17487/RFC5730, August 2009, <<https://www.rfc-editor.org/info/rfc5730>>.
- [RFC5731] Hollenbeck, S., "Extensible Provisioning Protocol (EPP) Domain Name Mapping", STD 69, RFC 5731, DOI 10.17487/RFC5731, August 2009, <<https://www.rfc-editor.org/info/rfc5731>>.
- [RFC7942] Sheffer, Y. and A. Farrel, "Improving Awareness of Running Code: The Implementation Status Section", BCP 205, RFC 7942, DOI 10.17487/RFC7942, July 2016, <<https://www.rfc-editor.org/info/rfc7942>>.

9.2. Informative References

- [RFC4648] Josefsson, S., "The Base16, Base32, and Base64 Data Encodings", RFC 4648, DOI 10.17487/RFC4648, October 2006, <<https://www.rfc-editor.org/info/rfc4648>>.
- [RFC7451] Hollenbeck, S., "Extension Registry for the Extensible Provisioning Protocol", RFC 7451, DOI 10.17487/RFC7451, February 2015, <<https://www.rfc-editor.org/info/rfc7451>>.
- [RFC8174] Leiba, B., "Ambiguity of Uppercase vs Lowercase in RFC 2119 Key Words", BCP 14, RFC 8174, DOI 10.17487/RFC8174, May 2017, <<https://www.rfc-editor.org/info/rfc8174>>.

Appendix A. Change History

A.1. Change from 00 to 01

1. Amended XML Namespace section of IANA Considerations, added EPP Extension Registry section.
2. Moved Change History to the back section as an Appendix.

A.2. Change from 01 to 02

1. Ping update.

- A.3. Change from 02 to 03
 - 1. Ping update.
- A.4. Change from 03 to 04
 - 1. Updated the authors for the draft.
- A.5. Change from 04 to REGEXT 00
 - 1. Changed to regext working group draft by changing draft-gould-allocation-token to draft-ietf-regext-allocation-token.
- A.6. Change from REGEXT 00 to REGEXT 01
 - 1. Ping update.
- A.7. Change from REGEXT 01 to REGEXT 02
 - 1. Added the Implementation Status section.
- A.8. Change from REGEXT 02 to REGEXT 03
 - 1. Changed Neustar author to Kal Feher.
- A.9. Change from REGEXT 03 to REGEXT 04
 - 1. Added Neustar implementation to the Implementation Status section.
- A.10. Change from REGEXT 04 to REGEXT 05
 - 1. Updates based on feedback from Patrick Mevzek, that include:
 - 1. Remove "or code" from the Abstract section.
 - 2. Add a missing "to" in "an allocation token TO one of the EPP..." in the Introduction section.
 - 3. Reword the "The allocation token is known to the server..." sentence in the Introduction section.
 - 4. Modify the "The allocation token MAY be returned to an authorized client for passing out-of-band to a client that uses it with an EPP transform command" to clarify who the two separate clients are.
 - 5. Removed an unneeded ":" from the EPP <transfer> Command and EPP <update> Command sections.

A.11. Change from REGEXT 05 to REGEXT 06

1. Fix description of Neustar gTLD SRS based on feedback from Rubens Kuhl.
2. Updates based on feedback from Alexander Mayrhofer, that include:
 1. Making all references to Allocation Token to use the upper case form.
 2. Revise the language of the abstract to include "for including an Allocation Token in query and transform commands. The Allocation Token is used as a credential that authorizes a client to request the allocation of a specific object from the server, using one of the EPP transform commands..."
 3. Replace the title "EPP <transfer> Command" with "EPP <transfer> Query Command" for section 3.1.3.
 4. Revise the second sentence of the Introduction to "The mapping, ..., supports passing an Allocation Token..."
 5. Change "support" to "require" in the Introduction sentence "It is up to server policy which EPP transform commands and which objects support the Allocation Token."
 6. Add the definition of Allocation to the Introduction.
 7. Removed "transform" from "all of the supported EPP transform commands" in the "Allocation Token" section, since the Allocation Token can be used with the "check" command as well.
 8. Remove the word "same" from "The same <allocationToken:allocationToken> element is used for all..." in the "Allocation Token" section.
 9. Change the description of the use of the 2201 error in the "Allocation Token" section, the "EPP <create> Command" section, the "EPP <transfer> Command" section, and the "EPP <update> Command" section.
 10. Revise "<check> to determine if an object is known to the server..." to "<check> to determine if an object can be provisioned..." and remove "detailed" in the description of the <info> in the "EPP Query Commands" section.
 11. Add missing description of the expected <check> response behavior.
 12. Replaced the example reason "Invalid domain-token pair" with "Allocation Token mismatch".
 13. Replace "information on" with "information associated with" in the "EPP <info> Command" section.
 14. Removed the "that identifies the extension namespace", the ", defined in...", the Allocation Token links from the error response sentences, and the "object referencing the <allocationToken:info> element" in the "EPP <info> Command" section.

15. Added "The authorization is subject to server policy." to the "EPP <info> Command" section.
 16. Replace "or <transfer> response" with "or <transfer> query response" in the "EPP <transfer> Query Command" section.
 17. Replace "create an object" with "create an instance of an object" in the "EPP <create> Command" section.
 18. Revised the sentence to include "the command MUST contain a child <allocationToken:allocationToken> element for the client to be authorized to create and allocate the object" in the "EPP <create> Command" section.
 19. Removed the reference to section 2.1 and the namespace identification text in the "EPP <transfer> Command" section.
 20. Added "The authorization associated with the Allocation Token is in addition to and does not replace the authorization mechanism defined for the object's <transfer> request command." to the "EPP <transfer> Command" section.
 21. Modified the first sentence of the "EPP Extension Registry" section to read "The following registration of the EPP Extension Registry, described in RFC7451, is requested"
 22. Removed support with using the Allocation Token with an empty extension of update (e.g., release command), based on the confusion and lack of known applicability.
3. Updates based on feedback from Scott Hollenbeck, that include:
 1. Revised XML schema to included a minimum length of 1 for the allocationTokenType.
 2. Revised the "IANA Considerations" section to include the registration of the XML schema.
 3. Revised the "Security Considerations" section to include considerations for the definition of the Allocation Tokens.
- A.12. Change from REGEXT 06 to REGEXT 07
1. Updates based on feedback from Patrick Mevzek:
 1. Updated obsoleted RFC 7942 to RFC 7942.
 2. Moved RFC 7451 to an informational reference.
- A.13. Change from REGEXT 07 to REGEXT 08
1. Changed Kal Feher's contact e-mail address.
 2. Changed Neustar's Implementation Status contact e-mail address.
 3. Added the Net::DRI sub-section to the Implementation Status section.

A.14. Change from REGEXT 08 to REGEXT 09

1. Updates based on the AD review by Adam Roach, that include:
 1. In "Abstract", set "query" and "transform" off in some way (e.g., using quotation marks)
 2. In "Conventions Used in This Document", please update to use the boilerplate from RFC 8174.
 3. Remove "allocationToken-1.0" is used as an abbreviation for "urn:ietf:params:xml:ns:allocationToken-1.0".
 4. In "Allocation Token", change "The server MUST have the Allocation Token" to "The server MAY have the Allocation Token".
 5. In "EPP <check> Command", change "This extension allow clients" to "This extension allows clients".
 6. Use domains reserved by RFC 2026 for the examples. The example domain "example.tld" was changed to "allocation.example" and the example domain "example2.tld" was changed to "allocation2.example".
 7. In "EPP <info> Command", change "...the server MUST return an EPP error result code of 2303 object referencing the <allocationToken:info> element." to "...the server MUST return an EPP error result code of 2303."
 8. In "EPP <transfer> Query Command", remove "the" before "RFC5730".
 9. In "EPP <transfer> Command", change "If the Allocation Token does not apply to the object..." to "If the Allocation Token is invalid or not required for the object...".
 10. In "XML Namespace", remove the sentence "The following URI assignment is requested of IANA:"
 11. In "Security Considerations", change "An Allocation Token should is" to "An Allocation Token that is". Also informatively cite RFC 4648 for the base64 reference.
2. Change "ietf:params:xml:ns:allocationToken-1.0" to "ietf:params:xml:schema:allocationToken-1.0" for the XML schema IANA registration.

A.15. Change from REGEXT 09 to REGEXT 10

1. Changed "auhorization" to "authorization" in the "EPP <info> Command" section.
2. Added 'If an object does not require an Allocation Token, the server MAY return the availability status as available (e.g., "avail" attribute is "1" or "true").' to the check response cases, based on feedback by Mirja Kuehlewind.
3. Changed the definition of the <info> element in the XML schema to only allow an empty element, based on IANA's expert review.

4. Added normative language to the storage and transport of the Allocation Token, in the "Security Considerations" section, based on feedback from Eric Rescoria.
 5. Changed "The definition of the Allocation Token is defined outside of this mapping" to "The definition of the Allocation Token SHOULD be defined outside of this mapping", in the "Security Considerations" section, based on feedback from Eric Rescoria.
 6. Added the missing "urn:" prefix with the IANA URI registrations.
 7. The URL for the BCP 14 was removed based on feedback from Alissa Cooper.
 8. Updates based on review by Benjamin Kaduk, that include:
 1. Added the second paragraph to the "Allocation Token" section to describe the difference (motivation) of using the Allocation Token versus the EPP RFC authorization mechanism.
 2. Added a paragraph to the "Conventions Used in This Document" section for the use of the "abc123" token value and the use of domain object "2fooBAR" password value in the examples.
 3. Changed the "A client MUST pass an Allocation Token known to the server to be authorized to use one of the supported EPP transform commands." sentence in the "Introduction" section to "Clients pass an Allocation Token to the server for validation, and the server determines if the supplied Allocation Token is one supported by the server."
 4. Changed the "Indentation and white space in the examples are provided only to illustrate element relationships and are not REQUIRED in the protocol." sentence in the "Conventions Used in This Document" section to "Indentation and white space in the examples are provided only to illustrate element relationships and are not REQUIRED in the protocol."
 5. Changed the "Authorized clients MAY retrieve..." sentence in the "EPP <info> Command" section.
 6. Changed the "If the query was successful..." sentence in the "EPP <info> Command" section.
 7. Added "supplied" to the "If the supplied Allocation Token passed..." sentence in the "Allocation Token" section.
 8. Removed an extra newline in the <annotation> element in the "Allocation Token Extension Schema" section.
- A.16. Change from REGEXT 10 to REGEXT 11
1. Removed the old duplicate "Authorized clients MAY retrieve..." sentence from section 3.1.2 "EPP <info> Command".

A.17. Change from REGEXT 11 to REGEXT 12

1. Revised the example <check> domain response to first include the positive case for allocation.example, and to second include the negative case for allocation2.example, based on feedback from Ben Campbell. The caption was revised for the example to include the text ", where the Allocation Token 'abc123' matches allocation.example but does not match allocation2.example".

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Internet-Draft
Intended status: Informational
Expires: April 7, 2020

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Extensible Provisioning Protocol (EPP) Domain Name Mapping Extension for
Strict Bundling Registration
draft-ietf-regext-bundling-registration-11

Abstract

This document describes an extension of Extensible Provisioning Protocol (EPP) domain name mapping for the provisioning and management of strict bundling registration of domain names. Specified in XML, this mapping extends the EPP domain name mapping to provide additional features required for the provisioning of bundled domain names. This is a non-standard proprietary extension.

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1. Introduction

Bundled domain names are those which share the same TLD but whose second level labels are variants, or those which have identical second level labels for which certain parameters are shared in different TLDs. For an example, Public Interest Registry has requested to implement bundling of second level domains for .NGO and .ONG. So we have two kinds of bundled domain names. The first one is in the form of "V-label.TLD" in which the second level label (V-label) is a variant sharing the same TLD; Second one is in the form of "LABEL.V-tld" in which the second level label(LABEL) remains the same but ending with a different TLD (V-tld).

Bundled domain names normally share some attributes. Policy-wise bundling can be implemented in three ways. The first one is strict bundling, which requires all bundled names to share many same attributes. When creating, updating, or transferring of any of the bundled domain names, all bundled domain names will be created, updated or transferred atomically. The second one is partial bundling, which requires the bundled domain names to be registered by the same registrant. The third one is relaxed bundling, which has no specific requirements on the domain registration. This document mainly addresses the strict bundling names registration.

For the name variants, some registries adopt the policy that variant IDNs which are identified as equivalent are allocated or delegated to the same registrant. For example, most registries offering Chinese Domain Name (CDN) adopt a registration policy whereby a registrant can apply for an original CDN in any forms: Simplified Chinese (SC) form, Traditional Chinese (TC) form, or other variant forms, then the corresponding variant CDN in SC form and that in TC form will also be delegated to the same registrant. All variant names in the same TLD share a common set of attributes.

The basic Extensible Provisioning Protocol (EPP) domain name mapping [RFC5731] provides the facility for single domain name registration. It does not specify how to register the strict bundled names which share many of the attributes.

In order to meet the above requirements of strict bundled name registration, this document describes an extension of the EPP domain name mapping [RFC5731] for the provisioning and management of bundled names. This document describes a non-standard proprietary extension.

This extension is specially useful for registries of practising Chinese domain name registration. This document is specified using Extensible Markup Language (XML) 1.0 as described in [W3C.REC-xml-20040204] and XML Schema notation as described in [W3C.REC-xmlschema-1-20041028] and [W3C.REC-xmlschema-2-20041028].

The EPP core protocol specification [RFC5730] provides a complete description of EPP command and response structures. A thorough understanding of the base protocol specification is necessary to understand the extension mapping described in this document.

This document uses many IDN concepts, so a thorough understanding of the IDNs for Application (IDNA, described in [RFC5890], [RFC5891], and [RFC5892]) and the variant approach discussed in [RFC4290] is assumed.

2. Terminology

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in BCP 14 [RFC2119][RFC8174] when, and only when, they appear in all capitals, as shown here.

uLabel in this document is used to express the U-label of an internationalized domain name as a series of characters where non-ASCII characters will be represented in the format of "&#xXXXX;" where XXXX is a UNICODE point by using the XML escaping mechanism. U-Label is defined in [RFC5890].

The XML namespace prefix "b-dn" is used for the namespace "urn:ietf:params:xml:ns:epp:b-dn", but implementations MUST NOT rely on it and instead employ a proper namespace-aware XML parser and serializer to interpret and output the XML documents.

In examples, "C:" represents lines sent by a protocol client and "S:" represents lines returned by a protocol server. Indentation and white space in examples are provided only to illustrate element relationships and are not a required feature of this specification.

XML is case sensitive. Unless stated otherwise, XML specifications and examples provided in this document MUST be interpreted in the character case presented to develop a conforming implementation.

3. Definitions

The following definitions are used in this document:

- o Registered Domain Name (RDN), represents the valid domain name that users submitted for the initial registration.
- o Bundled Domain Name (BDN), represents the bundled domain name produced according to the bundled domain name registration policy.

4. Overview

Domain registries have traditionally adopted a registration model whereby metadata relating to a domain name, such as its expiration date and sponsoring registrar, are stored as properties of the domain object. The domain object is then considered an atomic unit of registration, on which operations such as update, renewal and deletion may be performed.

Bundled names brought about the need for multiple domain names to be registered and managed as a single package. In this model, the registry typically accepts a domain registration request (i.e. EPP domain <create> command) containing the domain name to be registered. This domain name is referred to as the RDN in this document. As part of the processing of the registration request, the registry generates a set of bundled names that are related to the RDN, either programmatically or with the guidance of registration policies, and places them in the registration package together with the RDN.

The bundled names share many properties, such as expiration date and sponsoring registrar, by sharing the same domain object. So when users update any property of a domain object within a bundle package, that property of all other domain objects in the bundle package will be updated at the same time.

5. Requirement for Bundling Registration of Names

The bundled names whether they are in the form of "V-label.TLD" or in the form of "LABEL.V-tld" should share some parameter or attributes associated with domain names. Typically, bundled names will share the following parameters or attributes:

- o Registrar Ownership
- o Registration and Expiry Dates
- o Registrant, Admin, Billing, and Technical Contacts
- o Name Server Association
- o Domain Status

- o Applicable grace periods (Add Grace Period, Renewal Grace Period, Auto-Renewal Grace Period, Transfer Grace Period, and Redemption Grace Period)

Because the domain names are bundled and share the same parameters or attributes, the EPP command should do some processing for these requirements:

- o When performing a domain check, either BDN or RDN can be queried for the EPP command, and will return the same response.
- o When performing a domain info, either BDN or RDN can be queried, the same response will include both BDN and RDN information with the same attributes.
- o When performing a domain Create, either of the bundle names will be accepted. If the domain name is available, both BDN and RDN will be registered.
- o When performing a domain Delete, either BDN or RDN will be accepted. If the domain name is registered, both BDN and RDN will be deleted.
- o When performing a domain renew, either BDN or RDN will be accepted. Upon a successful domain renewal, both BDN and RDN will have their expiry date extended by the requested term. Upon a successful domain renewal, both BDN and RDN will conform to the same renew grace period.
- o When performing a domain transfer, either BDN or RDN will be accepted. Upon successful completion of a domain transfer request, both BDN and RDN will enter a pendingTransfer status. Upon approval of the transfer request, both BDN and RDN will be owned and managed by the same new registrant.
- o When performing a domain update, either BDN or RDN will be accepted. Any modifications to contact associations, name server associations, domain status values and authorization information will be applied to both BDN and RDN.

6. Object Attributes

This extension defines following additional elements to the EPP domain name mapping [RFC5731]. All of these additional elements are returned from <domain:info> command.

6.1. RDN

The RDN is an ASCII name or an IDN with the A-label [RFC5890] form. In this document, its corresponding element is <b-dn:rdn>. An optional attribute "uLabel" associated with <b-dn:rdn> is used to represent the U-label [RFC5890] form.

For example: <b-dn:rdn uLabel="实例.example"> xn--fsq270a.example</b-dn:rdn>

6.2. BDN

The BDN is an ASCII name or an IDN with the A-label [RFC5890] form which is converted from the corresponding BDN. In this document, its corresponding element is `<b-dn:bdn>`. An optional attribute "uLabel" associated with `<b-dn:bdn>` is used to represent the U-label [RFC5890] form.

For example: `<b-dn:bdn uLabel="實例.example"> xn--fsqz41a.example</b-dn:bdn>`

7. EPP Command Mapping

A detailed description of the EPP syntax and semantics can be found in the EPP core protocol specification [RFC5730]. The command mappings described here are specifically for use in provisioning and managing bundled names via EPP.

7.1. EPP Query Commands

EPP provides three commands to retrieve domain information: `<check>` to determine if a domain object can be provisioned within a repository, `<info>` to retrieve detailed information associated with a domain object, and `<transfer>` to retrieve domain-object transfer status information.

7.1.1. EPP `<check>` Command

This extension does not add any element to the EPP `<check>` command or `<check>` response described in the EPP domain name mapping [RFC5731]. However, when either RDN or BDN is sent for check, response SHOULD contain both RDN and BDN information, which may also give some explanation in the reason field to tell the user that the associated domain name is a produced name according to some bundle domain name policy.

Example <check> response:

```
S:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
S:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
S:  <response>
S:    <result code="1000">
S:      <msg>Command completed successfully</msg>
S:    </result>
S:    <resData>
S:      <domain:chkData
S:        xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
S:        <domain:cd>
S:          <domain:name avail="1">
S:            xn--fsq270a.example</domain:name>
S:          </domain:cd>
S:          <domain:cd>
S:            <domain:name avail="1">
S:              xn--fsqz41a.example
S:            </domain:name>
S:            <domain:reason>This associated domain name is
S:              a produced name based on bundle name policy.
S:            </domain:reason>
S:          </domain:cd>
S:        </domain:chkData>
S:      </resData>
S:    <trID>
S:      <clTRID>ABC-12345</clTRID>
S:      <svTRID>54322-XYZ</svTRID>
S:    </trID>
S:  </response>
S:</epp>
```

7.1.2. EPP <info> Command

This extension does not add any element to the EPP <info> command described in the EPP domain mapping [RFC5731]. However, additional elements are defined for the <info> response.

When an <info> command has been processed successfully, the EPP <resData> element MUST contain child elements as described in the EPP domain mapping [RFC5731]. In addition, unless some registration policy has some special processing, the EPP <extension> element SHOULD contain a child <b-dn:infData> element that identifies the extension namespace if the domain object has data associated with this extension and based on its registration policy. The <b-dn:infData> element contains the <b-dn:bundle> which has the following child elements:

- o An <b-dn:rdn> element that contains the RDN, along with the attribute described below.
- o An OPTIONAL <b-dn:bdn> element that contains the BDN, along with the attribute described below.

The above elements contain the following attribute:

- o An optional "uLabel" attribute represents the U-label of the element.

Example <info> response for an authorized client:

```
S:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
S:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
S:  <response>
S:    <result code="1000">
S:      <msg>Command completed successfully</msg>
S:    </result>
S:    <resData>
S:      <domain:infData
S:        xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
S:        <domain:name>xn--fsq270a.example</domain:name>
S:        <domain:roid>58812678-domain</domain:roid>
S:        <domain:status s="ok"/>
S:        <domain:registrant>123</domain:registrant>
S:        <domain:contact type="admin">123</domain:contact>
S:        <domain:contact type="tech">123</domain:contact>
S:        <domain:ns>
S:          <domain:hostObj>ns1.example.cn
S:        </domain:hostObj>
S:        </domain:ns>
S:        <domain:clID>ClientX</domain:clID>
S:        <domain:crID>ClientY</domain:crID>
S:        <domain:crDate>2011-04-03T22:00:00.0Z
S:        </domain:crDate>
S:        <domain:exDate>2012-04-03T22:00:00.0Z
S:        </domain:exDate>
S:        <domain:authInfo>
S:          <domain:pw>2fooBAR</domain:pw>
S:        </domain:authInfo>
S:      </domain:infData>
S:    </resData>
S:    <extension>
S:      <b-dn:infData
S:        xmlns:b-dn="urn:ietf:params:xml:ns:epp:b-dn">
S:        <b-dn:bundle>
S:          <b-dn:rdn uLabel="&#x5B9E;&#x4F8B;.example">
```

```

S:          xn--fsq270a.example
S:          </b-dn:rdn>
S:          <b-dn:bdn uLabel="&#x5BE6;&#x4F8B;.example">
S:          xn--fsqz41a.example
S:          </b-dn:bdn>
S:          </b-dn:bundle>
S:          </b-dn:infData>
S:          </extension>
S:          <trID>
S:          <clTRID>ABC-12345</clTRID>
S:          <svTRID>54322-XYZ</svTRID>
S:          </trID>
S:          </response>
S:</epp>

```

<info> Response for the unauthorized client has not been changed, see [RFC5731] for detail.

An EPP error response MUST be returned if an <info> command cannot be processed for any reason.

7.1.3. EPP <transfer> Query Command

This extension does not add any element to the EPP <transfer> command or <transfer> response described in the EPP domain mapping [RFC5731].

7.2. EPP Transform Commands

EPP provides five commands to transform domain objects: <create> to create an instance of a domain object, <delete> to delete an instance of a domain object, <renew> to extend the validity period of a domain object, <transfer> to manage domain object sponsorship changes, and <update> to change information associated with a domain object.

When these commands have been processed successfully, the EPP <resData> element MUST contain child elements as described in the EPP domain mapping [RFC5731]. Unless some registration policy has some special processing, this EPP <extension> element SHOULD contain the <b-dn:bundle> which has the following child elements:

- o An <b-dn:rdn> element that contains the RDN, along with the attribute described below.
- o An OPTIONAL <b-dn:bdn> element that contains the BDN, along with the attribute described below.

The above elements contain the following attribute:

- o An optional "uLabel" attribute represents the U-label of the element.

7.2.1. EPP <create> Command

This extension defines additional elements to extend the EPP <create> command described in the EPP domain name mapping [RFC5731] for bundled names registration.

In addition to the EPP command elements described in the EPP domain mapping [RFC5731], the <create> command SHALL contain an <extension> element. Unless some registration policy has some special processing, the <extension> element SHOULD contain a child <b-dn:create> element that identifies the bundle namespace, and a child <b-dn:rdn> element that identifies the U-Label form of the registered domain name with the uLabel attribute.

Example <create> command:

```
C:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
C:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
C:  <command>
C:    <create>
C:      <domain:create
C:        xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
C:        <domain:name>xn--fsq270a.example</domain:name>
C:        <domain:period unit="y">2</domain:period>
C:        <domain:registrant>123</domain:registrant>
C:        <domain:contact type="admin">123</domain:contact>
C:        <domain:contact type="tech">123</domain:contact>
C:        <domain:authInfo>
C:          <domain:pw>2fooBAR</domain:pw>
C:        </domain:authInfo>
C:      </domain:create>
C:    </create>
C:    <extension>
C:      <b-dn:create
C:        xmlns:b-dn="urn:ietf:params:xml:ns:epp:b-dn">
C:        <b-dn:rdn uLabel="&#x5B9E;&#x4F8B;.example">
C:          xn--fsq270a.example
C:        </b-dn:rdn>
C:      </b-dn:create>
C:    </extension>
C:    <clTRID>ABC-12345</clTRID>
C:  </command>
C:</epp>
```

When an <create> command has been processed successfully, the EPP <creData> element MUST contain child elements as described in the EPP domain mapping [RFC5731]. In addition, unless some registration policy has some special processing, the EPP <extension> element SHOULD contain a child <b-dn:creData> element that identifies the extension namespace if the domain object has data associated with this extension and based on its registration policy. The <b-dn:creData> element contains the <b-dn:bundle> element.

Example <create> response:

```
S:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
S:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
S:  <response>
S:    <result code="1000">
S:      <msg>Command completed successfully</msg>
S:    </result>
S:    <resData>
S:      <domain:creData
S:        xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
S:        <domain:name>xn--fsq270a.example</domain:name>
S:        <domain:crDate>1999-04-03T22:00:00.0Z</domain:crDate>
S:        <domain:exDate>2001-04-03T22:00:00.0Z</domain:exDate>
S:      </domain:creData>
S:    </resData>
S:    <extension>
S:      <b-dn:creData
S:        xmlns:b-dn="urn:ietf:params:xml:ns:epp:b-dn">
S:        <b-dn:bundle>
S:          <b-dn:rdn uLabel="&#x5B9E;&#x4F8B;.example">
S:            xn--fsq270a.example
S:          </b-dn:rdn>
S:          <b-dn:bdn uLabel="&#x5BE6;&#x4F8B;.example" >
S:            xn--fsqz41a.example
S:          </b-dn:bdn>
S:        </b-dn:bundle>
S:      </b-dn:creData>
S:    </extension>
S:    <trID>
S:      <clTRID>ABC-12345</clTRID>
S:      <svTRID>54322-XYZ</svTRID>
S:    </trID>
S:  </response>
S:</epp>
```

An EPP error response MUST be returned if an <create> command cannot be processed for any reason.

7.2.2. EPP <delete> Command

This extension does not add any element to the EPP <delete> command described in the EPP domain mapping [RFC5731]. However, additional elements are defined for the <delete> response.

When a <delete> command has been processed successfully, the EPP <delData> element MUST contain child elements as described in the EPP domain mapping [RFC5731]. In addition, unless some registration policy has some special processing, the EPP <extension> element SHOULD contain a child <b-dn:delData> element that identifies the extension namespace if the domain object has data associated with this extension and based on its registration policy. The <b-dn:delData> element SHOULD contain the <b-dn:bundle> element.

Example <delete> response:

```
S:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
S:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
S:  <response>
S:    <result code="1000">
S:      <msg>Command completed successfully</msg>
S:    </result>
S:    <extension>
S:      <b-dn:delData
S:        xmlns:b-dn="urn:ietf:params:xml:ns:epp:b-dn">
S:        <b-dn:bundle>
S:          <b-dn:rdn uLabel="&#x5B9E;&#x4F8B;.example">
S:            xn--fsq270a.example
S:          </b-dn:rdn>
S:          <b-dn:bdn uLabel="&#x5BE6;&#x4F8B;.example">
S:            xn--fsqz41a.example
S:          </b-dn:bdn>
S:        </b-dn:bundle>
S:      </b-dn:delData>
S:    </extension>
S:    <trID>
S:      <clTRID>ABC-12345</clTRID>
S:      <svTRID>54321-XYZ</svTRID>
S:    </trID>
S:  </response>
S:</epp>
```

An EPP error response MUST be returned if a <delete> command cannot be processed for any reason.

7.2.3. EPP <renew> Command

This extension does not add any element to the EPP <renew> command described in the EPP domain name mapping [RFC5731]. However, when either RDN or BDN is sent for renew, response SHOULD contain both RDN and BDN information. When the command has been processed successfully, the EPP <extension> element SHALL be contained in the response if the domain object has data associated with bundled names. Unless some registration policy has some special processing, this EPP <extension> element SHOULD contain the <b-dn:renData> which contains <b-dn:bundle> element.

Example <renew> response:

```
S:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
S:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
S:  <response>
S:    <result code="1000">
S:      <msg>Command completed successfully</msg>
S:    </result>
S:    <resData>
S:      <domain:renData
S:        xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
S:        <domain:name>xn--fsq270a.example</domain:name>
S:        <domain:exDate>2012-04-03T22:00:00.0Z</domain:exDate>
S:      </domain:renData>
S:    </resData>
S:    <extension>
S:      <b-dn:renData
S:        xmlns:b-dn="urn:ietf:params:xml:ns:epp:b-dn">
S:        <b-dn:bundle>
S:          <b-dn:rdn uLabel="&#x5B9E;&#x4F8B;.example">
S:            xn--fsq270a.example
S:          </b-dn:rdn>
S:          <b-dn:bdn uLabel="&#x5BE6;&#x4F8B;.example" >
S:            xn--fsqz41a.example
S:          </b-dn:bdn>
S:        </b-dn:bundle>
S:      </b-dn:renData>
S:    </extension>
S:    <trID>
S:      <clTRID>ABC-12345</clTRID>
S:      <svTRID>54322-XYZ</svTRID>
S:    </trID>
S:  </response>
S:</epp>
```


7.2.4. EPP <transfer> Command

This extension does not add any element to the EPP <transfer> command described in the EPP domain name mapping [RFC5731]. However, additional elements are defined for the <transfer> response in the EPP object mapping. When the command has been processed successfully, the EPP <extension> element SHALL be contained in the response if the domain object has data associated with bundled names. Unless some registration policy has some special processing, this EPP <extension> element SHOULD contain the <b-dn:trnData> which contains <b-dn:bundle> element.

Example <transfer> response:

```

S:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
S:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
S:  <response>
S:    <result code="1001">
S:      <msg>Command completed successfully; action pending</msg>
S:    </result>
S:    <resData>
S:      <domain:trnData
S:        xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
S:        <domain:name>xn--fsq270a.example</domain:name>
S:        <domain:trStatus>pending</domain:trStatus>
S:        <domain:reID>ClientX</domain:reID>
S:        <domain:reDate>2011-04-03T22:00:00.0Z</domain:reDate>
S:        <domain:acID>ClientY</domain:acID>
S:        <domain:acDate>2011-04-08T22:00:00.0Z</domain:acDate>
S:        <domain:exDate>2012-04-03T22:00:00.0Z</domain:exDate>
S:      </domain:trnData>
S:    </resData>
S:    <extension>
S:      <b-dn:trnData
S:        xmlns:b-dn="urn:ietf:params:xml:ns:epp:b-dn">
S:        <b-dn:bundle>
S:          <b-dn:rdn uLabel="&#x5B9E;&#x4F8B;.example">
S:            xn--fsq270a.example
S:          </b-dn:rdn>
S:          <b-dn:bdn uLabel="&#x5BE6;&#x4F8B;.example">
S:            xn--fsqz41a.example
S:          </b-dn:bdn>
S:        </b-dn:bundle>
S:      </b-dn:trnData>
S:    </extension>
S:    <trID>
S:      <clTRID>ABC-12345</clTRID>
S:      <svTRID>54322-XYZ</svTRID>
S:    </trID>
S:  </response>
S:</epp>

```

7.2.5. EPP <update> Command

This extension does not add any element to the EPP <update> command described in the EPP domain name mapping [RFC5731]. However, additional elements are defined for the <update> response in the EPP object mapping. When the command has been processed successfully, the EPP <extension> element SHALL be contained in the response if the domain object has data associated with bundled names. Unless some

registration policy has some special processing, this EPP <extension> element SHOULD contain the <b-dn:upData> which contains <b-dn:bundle> element.

Example <update> response:

```
S:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
S:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
S:  <response>
S:    <result code="1000">
S:      <msg>Command completed successfully</msg>
S:    </result>
S:    <extension>
S:      <b-dn:upData
S:        xmlns:b-dn="urn:ietf:params:xml:ns:epp:b-dn">
S:        <b-dn:bundle>
S:          <b-dn:rdn uLabel="&#x5B9E;&#x4F8B;.example" >
S:            xn--fsq270a.example
S:          </b-dn:rdn>
S:          <b-dn:bdn uLabel="&#x5BE6;&#x4F8B;.example">
S:            xn--fsq41a.example
S:          </b-dn:bdn>
S:        </b-dn:bundle>
S:      </b-dn:upData>
S:    </extension>
S:    <trID>
S:      <clTRID>ABC-12345</clTRID>
S:      <svTRID>54322-XYZ</svTRID>
S:    </trID>
S:  </response>
S:</epp>
```

8. Formal Syntax

An EPP object name mapping extension for bundled names is specified in XML Schema notation. The formal syntax presented here is a complete schema representation of the object mapping suitable for automated validation of EPP XML instances. The BEGIN and END tags are not part of the schema; they are used to note the beginning and ending of the schema for URI registration purposes.

BEGIN

```
<?xml version="1.0" encoding="UTF-8"?>
```

```
<schema targetNamespace="urn:ietf:params:xml:ns:epp:b-dn"
  xmlns:b-dn="urn:ietf:params:xml:ns:epp:b-dn"
  xmlns:eppcom="urn:ietf:params:xml:ns:eppcom-1.0"
  xmlns="http://www.w3.org/2001/XMLSchema"
```

```
    elementFormDefault="qualified">

  <!--
    Import common element types.
  -->
  <import namespace="urn:iana:xml:ns:eppcom-1.0"
    schemaLocation="eppcom-1.0.xsd"/>

  <annotation>
    <documentation>
      Extensible Provisioning Protocol v1.0
      Bundle Domain Extension Schema v1.0
    </documentation>
  </annotation>

  <!--
    Child elements found in EPP commands.
  -->
  <element name="create" type="b-dn:createDataType"/>

  <!--
    Child elements of the <b-dn:create> command.
    All elements must be present at time of creation
  -->
  <complexType name="createDataType">
    <sequence>
      <element name="rdn" type="b-dn:rdnType"
        minOccurs="0"/>
    </sequence>
  </complexType>

  <!--
    Child response elements in <b-dn:infData>, <b-dn:delData>,
    <b-dn:creData>, <b-dn:renData>, <b-dn:trnData> and <b-dn:upData>.
  -->
  <element name="infData" type="b-dn:bundleDataType"/>
  <element name="delData" type="b-dn:bundleDataType"/>
  <element name="creData" type="b-dn:bundleDataType"/>
  <element name="renData" type="b-dn:bundleDataType"/>
  <element name="trnData" type="b-dn:bundleDataType"/>
  <element name="upData" type="b-dn:bundleDataType"/>

  <complexType name="bundleDataType">
    <sequence>
      <element name="bundle" type="b-dn:bundleType" />
    </sequence>
  </complexType>
```

```
<complexType name="bundleType">
  <sequence>
    <element name="rdn" type="b-dn:rdnType" />
    <element name="bdn" type="b-dn:rdnType"
      minOccurs="0" maxOccurs="unbounded" />
  </sequence>
</complexType>

<complexType name="rdnType">
  <simpleContent>
    <extension base="eppcom:labelType">
      <attribute name="uLabel" type="eppcom:labelType"/>
    </extension>
  </simpleContent>
</complexType>

<!--
  End of schema.
-->
</schema>

END
```

9. Internationalization Considerations

EPP is represented in XML, which provides native support for encoding information using the Unicode character set and its more compact representations including UTF-8. Conformant XML processors recognize both UTF-8 and UTF-16. Though XML includes provisions to identify and use other character encodings through use of an "encoding" attribute in an <?xml?> declaration, use of UTF-8 is RECOMMENDED.

As an extension of the EPP domain name mapping, the elements, element content described in this document MUST inherit the internationalization conventions used to represent higher-layer domain and core protocol structures present in an XML instance that includes this extension.

10. IANA Considerations

This document uses URNs to describe XML namespaces and XML schemas conforming to a registry mechanism described in [RFC3688]. IANA is requested to assign the following two URIs.

Registration request for the IDN namespace:

- o URI: urn:ietf:params:xml:ns:epp:b-dn

- o Registrant Contact: See the "Author's Address" section of this document.
- o XML: None. Namespace URI does not represent an XML specification.

Registration request for the IDN XML schema:

- o URI: urn:ietf:params:xml:schema:epp:b-dn
- o Registrant Contact: See the "Author's Address" section of this document.
- o XML: See the "Formal Syntax" section of this document.

The EPP extension described in this document should be registered by IANA in the "Extensions for the Extensible Provisioning Protocol (EPP)" registry described in [RFC7451]. The details of the registration are as follows:

- o Name of Extension: "Domain Name Mapping Extension for Strict Bundling Registration"
- o Document status: Informational
- o Reference: This document
- o Registrant Name and Email Address: IESG, iesg@ietf.org
- o Top-Level Domains (TLDs): Any
- o IPR Disclosure: <https://datatracker.ietf.org/ipr/>
- o Status: Active
- o Notes: None

11. Security Considerations

Some registries and registrars have more than 15 years of the bundled registration of domain names (especially Chinese domain names). They have not found any significant security issues. One principle that the registry and registrar should let the registrants know is that bundled registered domain names will be created, transferred, updated, and deleted together as a group. The registrants for bundled domain names should remember this principle when doing some operations to these domain names. [RFC5730] also introduces some security consideration.

This document does not take a position regarding whether or not the bundled domain names share a DS/DNSKEY key. The DNS administrator can choose whether DS/DNSKEY information can be shared or not. If a DS/DNSKEY key is shared then the bundled domain names share fate if there is a key compromise.

12. Implementation Status

Note to RFC Editor: Please remove this section before publication.

- o The Chinese Domain Name Consortium(CDNC) including CNNIC, TWNIC, HKIRC, MONIC, SGNIC and more have followed the principles defined in this document for many years.
- o CNNIC and TELEINFO have implemented this extension in their EPP based Chinese domain name registration system.
- o Public Interest Registry, has requested to implement technical bundling of second level domains for .NGO and .ONG. This means that by registering and purchasing a domain in the .ngo TLD, for an example, the NGO registrant is also registering and purchasing the corresponding name in the .ong TLD (and vice-versa for registrations in .ong).
- o Patrick Mevzek has released a new version of Net::DRI, an EPP client (Perl library, free software) implementing this extension.

13. Acknowledgements

The authors especially thank the authors of [RFC5730] and [RFC5731] and the following ones of CNNIC: Weiping Yang, Chao Qi.

Useful comments were made by John Klensin, Scott Hollenbeck, Patrick Mevzek and Edward Lewis.

14. Change History

RFC Editor: Please remove this section.

14.1. draft-ietf-regext-bundle-registration: Version 00

- o accepted as WG document.

14.2. draft-ietf-regext-bundle-registration: Version 01

- o make this document to focus on the restrict bundled domain name registration.

- 14.3. draft-ietf-regext-bundle-registration: Version 02
 - o Update the section of implementation status.
- 14.4. draft-ietf-regext-bundle-registration: Version 03
 - o This document is changed to informational category.
 - o Refine the text.
- 14.5. draft-ietf-regext-bundle-registration: Version 04
 - o Update the implementation section.
 - o Refine the text.
- 14.6. draft-ietf-regext-bundle-registration: Version 05
 - o Scope the XML namespaces to include 'epp'.
- 14.7. draft-ietf-regext-bundle-registration: Version 06
 - o add some examples for the transfer, update and renew command
 - o add some text to security consideration
- 14.8. draft-ietf-regext-bundle-registration: Version 07
 - o Update IANA consideration section based on Scott's comments
 - o Update security consideration based on Chair and Patrick Mevzek's comments
- 14.9. draft-ietf-regext-bundle-registration: Version 08
 - o Refine some texts.
- 14.10. draft-ietf-regext-bundle-registration: Version 09
 - o Refine the texts.
- 14.11. draft-ietf-regext-bundle-registration: Version 10
 - o Update the texts based on IETF LC.

14.12. draft-ietf-regext-bundle-registration: Version 11

- o Update the texts based on AD's comment.

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Network Working Group
Internet-Draft
Intended status: Standards Track
Expires: July 8, 2019

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January 4, 2019

Change Poll Extension for the Extensible Provisioning Protocol (EPP)
draft-ietf-regext-change-poll-12

Abstract

This document describes an Extensible Provisioning Protocol (EPP) extension for notifying clients of operations on client-sponsored objects that were not initiated by the client through EPP. These operations may include contractual or policy requirements including but not limited to regular batch processes, customer support actions, Uniform Domain-Name Dispute-Resolution Policy (UDRP) or Uniform Rapid Suspension (URS) actions, court-directed actions, and bulk updates based on customer requests. Since the client is not directly involved or knowledgeable of these operations, the extension is used along with an EPP object mapping to provide the resulting state of the post-operation object, and optionally a pre-operation object, with the operation meta-data of what, when, who, and why.

Status of This Memo

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1. Introduction

This document describes an extension mapping for version 1.0 of the Extensible Provisioning Protocol (EPP) [RFC5730]. This mapping, an extension to EPP object mappings like the EPP domain name mapping [RFC5731], is used to notify clients of operations they are not directly involved in, on objects that the client sponsors. It is up to server policy to determine what transform operations and clients to notify. Using this extension, clients can more easily keep their systems in-sync with the objects stored in the server. When a change occurs that a client needs to be notified of, a poll message can be inserted by the server for consumption by the client using the EPP <poll> command and response defined in [RFC5730]. The extension supports including a "before" operation poll message and an "after" operation poll message. The extension only extends the EPP <poll> response in [RFC5730] and does not extend the EPP <poll> command. Please refer to [RFC5730] for information and examples of the EPP <poll> command.

1.1. Conventions Used in This Document

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in BCP 14 [RFC2119] [RFC8174] when, and only when, they appear in all capitals, as shown here.

XML is case sensitive. Unless stated otherwise, XML specifications and examples provided in this document MUST be interpreted in the

character case presented in order to develop a conforming implementation.

In examples, "C:" represents lines sent by a protocol client and "S:" represents lines returned by a protocol server. Indentation and white space in examples are provided only to illustrate element relationships and are not a REQUIRED feature of this protocol.

The XML namespace prefix "changePoll" is used for the namespace "urn:ietf:params:xml:ns:changePoll-1.0", but implementations MUST NOT depend on it and instead employ a proper namespace-aware XML parser and serializer to interpret and output the XML documents.

2. Object Attributes

This extension adds additional elements to EPP object mappings like the EPP domain name mapping [RFC5731]. Only those new elements are described here.

2.1. Operation

An operation consists of any transform operation that impacts objects that the client sponsors and should be notified of. The <changePoll:operation> element defines the operation. The OPTIONAL "op" attribute is an identifier, represented in the 7-bit US-ASCII character set defined in [RFC0020], that is used to define a sub-operation or the name of a "custom" operation. The enumerated list of <changePoll:operation> values is:

- "create": Create operation as defined in [RFC5730].
- "delete": Delete operation as defined in [RFC5730]. If the delete operation results in an immediate purge of the object, then the "op" attribute MUST be set to "purge".
- "renew": Renew operation as defined in [RFC5730].
- "transfer": Transfer operation as defined in [RFC5730] that MUST set the "op" attribute with one of the possible transfer type values that include "request", "approve", "cancel", or "reject".
- "update": Update operation as defined in [RFC5730].
- "restore": Restore operation as defined in [RFC3915] that MUST set the "op" attribute with one of the possible restore type values that include "request" or "report".
- "autoRenew": Auto renew operation executed by the server.
- "autoDelete": Auto delete operation executed by the server. If the "autoDelete" operation results in an immediate purge of the object, then the "op" attribute MUST be set to "purge".
- "autoPurge": Auto purge operation executed by the server when removing the object after it had the "pendingDelete" status.

"custom": Custom operation that MUST set the "op" attribute with the custom operation name. The custom operations supported is up to server policy.

2.2. State

The state attribute reflects the state of the object "before" or "after" the operation. The state is defined using the OPTIONAL "state" attribute of the <changePoll:changeData> element, with the possible values "before" or "after" and with a default value of "after". The server MAY support both the "before" state and the "after" state of the operation, by using one poll message for the "before" state and one poll message for the "after" state. The "before" state poll message MUST be inserted into the message queue prior to the "after" state poll message.

For operations in Section 2.1 that don't have an "after" state, the server MUST use the "before" state poll message. For example, for the "delete" operation with the "op" attribute set to "purge", or the "autoPurge" operation, the server includes the state of the object prior to being purged in the "before" state poll message.

For operations in Section 2.1 that don't have a "before" state, the server MUST use the "after" state poll message. For example, for the "create" operation, the server includes the state of the object after creation in the "after" state poll message.

2.3. Who

The <changePoll:who> element defines who executed the operation for audit purposes. It is a freeform value that is strictly meant for audit purposes and not meant to drive client-side logic. The scheme used for the possible set of <changePoll:who> element values is up to server policy. The server MAY identify the <changePoll:who> element value based on:

"Identifier": Unique user identifier of the user that executed the operation. An example is "ClientX".

"Name": Name of the user that executed the operation. An example is "John Doe".

"Role": Role of the user that executed operation. An example is "CSR" for a Customer Support Representative or "Batch" for a server batch.

2.4. Dates and Times

Date and time attribute values MUST be represented in Universal Coordinated Time (UTC) using the Gregorian calendar. The extended date-time form using upper case "T" and "Z" characters defined in [W3C.REC-xmlschema-2-20041028] MUST be used to represent date-time values, as XML Schema does not support truncated date-time forms or lower case "T" and "Z" characters.

3. EPP Command Mapping

A detailed description of the EPP syntax and semantics can be found in the EPP core protocol specification [RFC5730].

3.1. EPP Query Commands

EPP provides three commands to retrieve object information: <check> to determine if an object is known to the server, <info> to retrieve detailed information associated with an object, and <transfer> to retrieve object transfer status information.

3.1.1. EPP <check> Command

This extension does not add any elements to the EPP <check> command or <check> response described in the [RFC5730].

3.1.2. EPP <info> Command

This extension does not add any elements to the EPP <info> command described in the [RFC5730].

This extension adds operation detail of EPP object mapping operations Section 2.1 to an EPP poll response, as described in [RFC5730]. The extension is an extension of the EPP object mapping info response. Any transform operation to an object defined in an EPP object mapping by a client other than the sponsoring client MAY result in extending the <info> response of the object for inserting an EPP poll message with the operation detail. The sponsoring client will then receive the state of the object with operation detail like what, who, when, and why the object was changed. The <changePoll:changeData> element contains the operation detail along with an indication of whether the object reflects the state before or after the operation as defined in Section 2.2. The <changePoll:changeData> element includes the operation detail with the following child elements:

<changePoll:operation>: Transform operation executed on the object as defined in Section 2.1.

<changePoll:date>: Date and time when the operation was executed.

<changePoll:svTRID>: Server transaction identifier of the operation.

<changePoll:who>: Who executed the operation as defined in Section 2.3.

<changePoll:caseId>: OPTIONAL case identifier associated with the operation. The required "type" attribute defines the type of case. The OPTIONAL "name" attribute is an identifier, represented in the 7-bit US-ASCII character set defined in [RFC0020], that is used to define the name of the "custom" case type. The enumerated list of case types is:

udrp: a Uniform Domain-Name Dispute-Resolution Policy (UDRP) case.

urs: a Uniform Rapid Suspension (URS) case.

custom: A custom case that is defined using the "name" attribute.

<changePoll:reason>: OPTIONAL reason for executing the operation. If present, this element contains the server-specific text to help explain the reason the operation was executed. This text MUST be represented in the response language previously negotiated with the client; an OPTIONAL "lang" attribute MAY be present to identify the language if the negotiated value is something other than the default value of "en" (English).

Example poll <info> response with the <changePoll:changeData> extension for a URS lock transaction on the domain.example domain name, with the "before" state. The "before" state is reflected in the <resData> block:

```

S:<?xml version="1.0" encoding="UTF-8"?>
S:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
S:  <response>
S:    <result code="1301">
S:      <msg lang="en-US">
S:        Command completed successfully; ack to dequeue</msg>
S:      </result>
S:    <msgQ id="201" count="1">
S:      <qDate>2013-10-22T14:25:57.0Z</qDate>
S:      <msg>Registry initiated update of domain.</msg>
S:    </msgQ>
S:  <resData>
S:    <domain:infData
S:      xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
S:      <domain:name>domain.example</domain:name>
S:      <domain:roid>EXAMPLE1-REP</domain:roid>
S:      <domain:status s="ok"/>
S:      <domain:registrant>jd1234</domain:registrant>
S:      <domain:contact type="admin">sh8013</domain:contact>
S:      <domain:contact type="tech">sh8013</domain:contact>
S:      <domain:clID>ClientX</domain:clID>
S:      <domain:crID>ClientY</domain:crID>
S:      <domain:crDate>2012-04-03T22:00:00.0Z</domain:crDate>
S:      <domain:exDate>2014-04-03T22:00:00.0Z</domain:exDate>
S:    </domain:infData>
S:  </resData>
S:  <extension>
S:    <changePoll:changeData
S:      xmlns:changePoll="urn:ietf:params:xml:ns:changePoll-1.0"
S:      state="before">
S:      <changePoll:operation>update</changePoll:operation>
S:      <changePoll:date>2013-10-22T14:25:57.0Z</changePoll:date>
S:      <changePoll:svTRID>12345-XYZ</changePoll:svTRID>
S:      <changePoll:who>URS Admin</changePoll:who>
S:      <changePoll:caseId type="urs">urs123</changePoll:caseId>
S:      <changePoll:reason>URS Lock</changePoll:reason>
S:    </changePoll:changeData>
S:  </extension>
S:  <trID>
S:    <clTRID>ABC-12345</clTRID>
S:    <svTRID>54321-XYZ</svTRID>
S:  </trID>
S: </response>
S:</epp>

```

Example poll <info> response with the <changePoll:changeData> extension for a URS lock transaction on the domain.example domain name, with the "after" state. The "after" state is reflected in the

<resData> block:

```
S:<?xml version="1.0" encoding="UTF-8"?>
S:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
S:  <response>
S:    <result code="1301">
S:      <msg lang="en-US">
S:        Command completed successfully; ack to dequeue</msg>
S:      </result>
S:    <msgQ id="202" count="1">
S:      <qDate>2013-10-22T14:25:57.0Z</qDate>
S:      <msg>Registry initiated update of domain.</msg>
S:    </msgQ>
S:  <resData>
S:    <domain:infData
S:      xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
S:      <domain:name>domain.example</domain:name>
S:      <domain:roid>EXAMPLE1-REP</domain:roid>
S:      <domain:status s="serverUpdateProhibited"/>
S:      <domain:status s="serverDeleteProhibited"/>
S:      <domain:status s="serverTransferProhibited"/>
S:      <domain:registrar>jd1234</domain:registrar>
S:      <domain:contact type="admin">sh8013</domain:contact>
S:      <domain:contact type="tech">sh8013</domain:contact>
S:      <domain:clID>ClientX</domain:clID>
S:      <domain:crID>ClientY</domain:crID>
S:      <domain:crDate>2012-04-03T22:00:00.0Z</domain:crDate>
S:      <domain:upID>ClientZ</domain:upID>
S:      <domain:upDate>2013-10-22T14:25:57.0Z</domain:upDate>
S:      <domain:exDate>2014-04-03T22:00:00.0Z</domain:exDate>
S:    </domain:infData>
S:  </resData>
S:  <extension>
S:    <changePoll:changeData
S:      xmlns:changePoll="urn:ietf:params:xml:ns:changePoll-1.0"
S:      state="after">
S:      <changePoll:operation>update</changePoll:operation>
S:      <changePoll:date>2013-10-22T14:25:57.0Z</changePoll:date>
S:      <changePoll:svTRID>12345-XYZ</changePoll:svTRID>
S:      <changePoll:who>URS Admin</changePoll:who>
S:      <changePoll:caseId type="urs">urs123</changePoll:caseId>
S:      <changePoll:reason>URS Lock</changePoll:reason>
S:    </changePoll:changeData>
S:  </extension>
S:  <trID>
S:    <clTRID>ABC-12345</clTRID>
S:    <svTRID>54321-XYZ</svTRID>
S:  </trID>
S: </response>
S:</epp>
```

Example poll <info> response with the <changePoll:changeData> extension for a custom "sync" operation on the domain.example domain name, with the default "after" state. The "after" state is reflected in the <resData> block:

```
S:<?xml version="1.0" encoding="UTF-8"?>
S:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
S:  <response>
S:    <result code="1301">
S:      <msg>Command completed successfully; ack to dequeue</msg>
S:    </result>
S:    <msgQ id="201" count="1">
S:      <qDate>2013-10-22T14:25:57.0Z</qDate>
S:    <msg>Registry initiated Sync of Domain Expiration Date</msg>
S:    </msgQ>
S:    <resData>
S:      <domain:infData
S:        xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
S:        <domain:name>domain.example</domain:name>
S:        <domain:roid>EXAMPLE1-REP</domain:roid>
S:        <domain:status s="ok"/>
S:        <domain:registrant>jd1234</domain:registrant>
S:        <domain:contact type="admin">sh8013</domain:contact>
S:        <domain:contact type="tech">sh8013</domain:contact>
S:        <domain:clID>ClientX</domain:clID>
S:        <domain:crID>ClientY</domain:crID>
S:        <domain:crDate>2012-04-03T22:00:00.0Z</domain:crDate>
S:        <domain:upID>ClientZ</domain:upID>
S:        <domain:upDate>2013-10-22T14:25:57.0Z</domain:upDate>
S:        <domain:exDate>2014-04-03T22:00:00.0Z</domain:exDate>
S:      </domain:infData>
S:    </resData>
S:    <extension>
S:      <changePoll:changeData
S:        xmlns:changePoll="urn:ietf:params:xml:ns:changePoll-1.0">
S:        <changePoll:operation op="sync">custom
S:      </changePoll:operation>
S:      <changePoll:date>2013-10-22T14:25:57.0Z</changePoll:date>
S:      <changePoll:svTRID>12345-XYZ</changePoll:svTRID>
S:      <changePoll:who>CSR</changePoll:who>
S:      <changePoll:reason lang="en">Customer sync request
S:    </changePoll:reason>
S:    </changePoll:changeData>
S:  </extension>
S:  <trID>
S:    <clTRID>ABC-12345</clTRID>
S:    <svTRID>54321-XYZ</svTRID>
S:  </trID>
S: </response>
S:</epp>
```

Example poll <info> response with the <changePoll:changeData> extension for a "delete" operation on the domain.example domain name that is immediately purged, with the "before" state. The "before" state is reflected in the <resData> block:

```
S:<?xml version="1.0" encoding="UTF-8"?>
S:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
S:  <response>
S:    <result code="1301">
S:      <msg>Command completed successfully; ack to dequeue</msg>
S:    </result>
S:    <msgQ id="200" count="1">
S:      <qDate>2013-10-22T14:25:57.0Z</qDate>
S:      <msg>Registry initiated delete of
S:        domain resulting in immediate purge.</msg>
S:    </msgQ>
S:    <resData>
S:      <domain:infData
S:        xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
S:        <domain:name>domain.example</domain:name>
S:        <domain:roid>EXAMPLE1-REP</domain:roid>
S:        <domain:clID>ClientX</domain:clID>
S:      </domain:infData>
S:    </resData>
S:    <extension>
S:      <changePoll:changeData
S:        xmlns:changePoll="urn:ietf:params:xml:ns:changePoll-1.0"
S:        state="before">
S:        <changePoll:operation op="purge">delete
S:        </changePoll:operation>
S:        <changePoll:date>2013-10-22T14:25:57.0Z
S:        </changePoll:date>
S:        <changePoll:svTRID>12345-XYZ
S:        </changePoll:svTRID>
S:        <changePoll:who>ClientZ
S:        </changePoll:who>
S:        <changePoll:reason>Court order
S:        </changePoll:reason>
S:      </changePoll:changeData>
S:    </extension>
S:    <trID>
S:      <clTRID>ABC-12345</clTRID>
S:      <svTRID>54321-XYZ</svTRID>
S:    </trID>
S:  </response>
S:</epp>
```


Example poll <info> response with the <changePoll:changeData> extension for an "autoPurge" operation on the domain.example domain name that previously had the "pendingDelete" status, with the "before" state. The "before" state is reflected in the <resData> block:

```
S:<?xml version="1.0" encoding="UTF-8"?>
S:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
S:  <response>
S:    <result code="1301">
S:      <msg>Command completed successfully; ack to dequeue
S:    </msg>
S:  </result>
S:    <msgQ id="200" count="1">
S:      <qDate>2013-10-22T14:25:57.0Z</qDate>
S:      <msg>Registry purged domain with pendingDelete status.
S:    </msg>
S:  </msgQ>
S:  <resData>
S:    <domain:infData
S:      xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
S:      <domain:name>domain.example</domain:name>
S:      <domain:roid>EXAMPLE1-REP</domain:roid>
S:      <domain:clID>ClientX</domain:clID>
S:    </domain:infData>
S:  </resData>
S:  <extension>
S:    <changePoll:changeData
S:      xmlns:changePoll="urn:ietf:params:xml:ns:changePoll-1.0"
S:      state="before">
S:      <changePoll:operation>autoPurge
S:    </changePoll:operation>
S:      <changePoll:date>2013-10-22T14:25:57.0Z
S:    </changePoll:date>
S:      <changePoll:svTRID>12345-XYZ
S:    </changePoll:svTRID>
S:      <changePoll:who>Batch
S:    </changePoll:who>
S:      <changePoll:reason>Past pendingDelete 5 day period
S:    </changePoll:reason>
S:    </changePoll:changeData>
S:  </extension>
S:  <trID>
S:    <clTRID>ABC-12345</clTRID>
S:    <svTRID>54321-XYZ</svTRID>
S:  </trID>
S: </response>
S:</epp>
```

Example poll <info> response with the <changePoll:changeData> extension for an "update" operation on the ns1.domain.example host, with the default "after" state. The "after" state is reflected in the <resData> block:

```
S:<?xml version="1.0" encoding="UTF-8"?>
S:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
S:  <response>
S:    <result code="1301">
S:      <msg>Command completed successfully; ack to dequeue</msg>
S:    </result>
S:    <msgQ id="201" count="1">
S:      <qDate>2013-10-22T14:25:57.0Z</qDate>
S:      <msg>Registry initiated update of host.</msg>
S:    </msgQ>
S:    <resData>
S:      <host:infData
S:        xmlns:host="urn:ietf:params:xml:ns:host-1.0">
S:        <host:name>ns1.domain.example</host:name>
S:        <host:roid>NS1_EXAMPLE1-REP</host:roid>
S:        <host:status s="linked"/>
S:        <host:status s="serverUpdateProhibited"/>
S:        <host:status s="serverDeleteProhibited"/>
S:        <host:addr ip="v4">192.0.2.2</host:addr>
S:        <host:addr ip="v6">2001:db8:0:0:1:0:0:1</host:addr>
S:        <host:clID>ClientX</host:clID>
S:        <host:crID>ClientY</host:crID>
S:        <host:crDate>2012-04-03T22:00:00.0Z</host:crDate>
S:        <host:upID>ClientY</host:upID>
S:        <host:upDate>2013-10-22T14:25:57.0Z</host:upDate>
S:      </host:infData>
S:    </resData>
S:    <extension>
S:      <changePoll:changeData
S:        xmlns:changePoll="urn:ietf:params:xml:ns:changePoll-1.0">
S:        <changePoll:operation>update</changePoll:operation>
S:        <changePoll:date>2013-10-22T14:25:57.0Z</changePoll:date>
S:        <changePoll:svTRID>12345-XYZ</changePoll:svTRID>
S:        <changePoll:who>ClientZ</changePoll:who>
S:        <changePoll:reason>Host Lock</changePoll:reason>
S:      </changePoll:changeData>
S:    </extension>
S:    <trID>
S:      <clTRID>ABC-12345</clTRID>
S:      <svTRID>54321-XYZ</svTRID>
S:    </trID>
S:  </response>
S:</epp>
```

3.1.3. EPP <transfer> Command

This extension does not add any elements to the EPP <transfer> query command or <transfer> response described in the [RFC5730].

3.2. EPP Transform Commands

EPP provides five commands to transform objects: <create> to create an instance of an object, <delete> to delete an instance of an object, <renew> to extend the validity period of an object, <transfer> to manage object sponsorship changes, and <update> to change information associated with an object.

3.2.1. EPP <create> Command

This extension does not add any elements to the EPP <create> command or <create> response described in the [RFC5730].

3.2.2. EPP <delete> Command

This extension does not add any elements to the EPP <delete> command or <delete> response described in the [RFC5730].

3.2.3. EPP <renew> Command

This extension does not add any elements to the EPP <renew> command or <renew> response described in the [RFC5730].

3.2.4. EPP <transfer> Command

This extension does not add any elements to the EPP <transfer> command or <transfer> response described in the [RFC5730].

3.2.5. EPP <update> Command

This extension does not add any elements to the EPP <update> command or <update> response described in the [RFC5730].

4. Formal Syntax

One schema is presented here that is the EPP Change Poll Extension schema.

The formal syntax presented here is a complete schema representation of the object mapping suitable for automated validation of EPP XML instances. The BEGIN and END tags are not part of the schema; they are used to note the beginning and ending of the schema for URI registration purposes.

4.1. Change Poll Extension Schema

```
BEGIN
<?xml version="1.0" encoding="UTF-8"?>
  <schema targetNamespace="urn:ietf:params:xml:ns:changePoll-1.0"
    xmlns:eppcom="urn:ietf:params:xml:ns:eppcom-1.0"
    xmlns:epp="urn:ietf:params:xml:ns:epp-1.0"
    xmlns:changePoll="urn:ietf:params:xml:ns:changePoll-1.0"
    xmlns="http://www.w3.org/2001/XMLSchema"
    elementFormDefault="qualified">

    <!--
    Import common element types.
    -->
    <import namespace="urn:ietf:params:xml:ns:eppcom-1.0"/>
    <import namespace="urn:ietf:params:xml:ns:epp-1.0"/>

    <annotation>
      <documentation>
        Extensible Provisioning Protocol v1.0
        Change Poll Mapping Schema.
      </documentation>
    </annotation>

    <!--
    Change element.
    -->
    <element name="changeData" type="changePoll:changeDataType"/>

    <!--
    Attributes associated with the change.
    -->
    <complexType name="changeDataType">
      <sequence>
        <element name="operation" type="changePoll:operationType"/>
        <element name="date" type="dateTime"/>
        <element name="svTRID" type="epp:trIDStringType"/>
        <element name="who" type="changePoll:whoType"/>
        <element name="caseId" type="changePoll:caseIdType"
          minOccurs="0"/>
        <element name="reason" type="eppcom:reasonType"
          minOccurs="0"/>
      </sequence>
      <attribute name="state" type="changePoll:stateType"
        default="after"/>
    </complexType>
```

```
<!--
  Enumerated list of operations, with extensibility via "custom".
-->
<simpleType name="operationEnum">
  <restriction base="token">
    <enumeration value="create"/>
    <enumeration value="delete"/>
    <enumeration value="renew"/>
    <enumeration value="transfer"/>
    <enumeration value="update"/>
    <enumeration value="restore"/>
    <enumeration value="autoRenew"/>
    <enumeration value="autoDelete"/>
    <enumeration value="autoPurge"/>
    <enumeration value="custom"/>
  </restriction>
</simpleType>

<!--
  Enumerated of state of the object in the poll message.
-->
<simpleType name="stateType">
  <restriction base="token">
    <enumeration value="before"/>
    <enumeration value="after"/>
  </restriction>
</simpleType>

<!--
  Transform operation type
-->
<complexType name="operationType">
  <simpleContent>
    <extension base="changePoll:operationEnum">
      <attribute name="op" type="token"/>
    </extension>
  </simpleContent>
</complexType>

<!--
  Case identifier type
-->
<complexType name="caseIdType">
  <simpleContent>
    <extension base="token">
      <attribute name="type" type="changePoll:caseTypeEnum"
        use="required"/>
      <attribute name="name" type="token"

```

```
        use="optional"/>
      </extension>
    </simpleContent>
  </complexType>

  <!--
    Enumerated list of case identifier types
  -->
  <simpleType name="caseTypeEnum">
    <restriction base="token">
      <enumeration value="udrp"/>
      <enumeration value="urs"/>
      <enumeration value="custom"/>
    </restriction>
  </simpleType>

  <!--
    Who type
  -->
  <simpleType name="whoType">
    <restriction base="normalizedString">
      <minLength value="1"/>
      <maxLength value="255"/>
    </restriction>
  </simpleType>

  <!--
    End of schema.
  -->
</schema>
END
```

5. IANA Considerations

5.1. XML Namespace

This document uses URNs to describe XML namespaces and XML schemas conforming to a registry mechanism described in [RFC3688]. The following URI assignment is requested of IANA:

Registration request for the changePoll namespace:

```
URI: urn:ietf:params:xml:ns:changePoll-1.0
Registrant Contact: IESG
XML: None. Namespace URIs do not represent an XML specification.
```

Registration request for the changePoll XML schema:

URI: urn:ietf:params:xml:ns:changePoll-1.0
Registrant Contact: IESG
XML: See the "Formal Syntax" section of this document.

5.2. EPP Extension Registry

The EPP extension described in this document should be registered by the IANA in the EPP Extension Registry described in [RFC7451]. The details of the registration are as follows:

Name of Extension: "Change Poll Extension for the Extensible Provisioning Protocol (EPP)"

Document status: Standards Track

Reference: (insert reference to RFC version of this document)

Registrant Name and Email Address: IESG, <iesg@ietf.org>

TLDs: Any

IPR Disclosure: None

Status: Active

Notes: None

6. Implementation Status

Note to RFC Editor: Please remove this section and the reference to RFC 7942 [RFC7942] before publication.

This section records the status of known implementations of the protocol defined by this specification at the time of posting of this Internet-Draft, and is based on a proposal described in RFC 7942 [RFC7942]. The description of implementations in this section is intended to assist the IETF in its decision processes in progressing drafts to RFCs. Please note that the listing of any individual implementation here does not imply endorsement by the IETF. Furthermore, no effort has been spent to verify the information presented here that was supplied by IETF contributors. This is not intended as, and must not be construed to be, a catalog of available implementations or their features. Readers are advised to note that other implementations may exist.

According to RFC 7942 [RFC7942], "this will allow reviewers and working groups to assign due consideration to documents that have the benefit of running code, which may serve as evidence of valuable

experimentation and feedback that have made the implemented protocols more mature. It is up to the individual working groups to use this information as they see fit".

6.1. Verisign EPP SDK

Organization: Verisign Inc.

Name: Verisign EPP SDK

Description: The Verisign EPP SDK includes both a full client implementation and a full server stub implementation of draft-ietf-regext-change-poll.

Level of maturity: Production

Coverage: All aspects of the protocol are implemented.

Licensing: GNU Lesser General Public License

Contact: jgould@verisign.com

URL: https://www.verisign.com/en_US/channel-resources/domain-registry-products/epp-sdks

6.2. Verisign Consolidated Top Level Domain (CTLD) SRS

Organization: Verisign Inc.

Name: Verisign Consolidated Top Level Domain (CTLD) Shared Registry System (SRS)

Description: The Verisign Consolidated Top Level Domain (CTLD) Shared Registry System (SRS) implements the server-side of draft-ietf-regext-change-poll for a variety of Top Level Domains (TLD's).

Level of maturity: Production

Coverage: The "after" state poll message for an "update" transform operation of a domain name due to server policy.

Licensing: Proprietary

Contact: jgould@verisign.com

6.3. Verisign .COM / .NET SRS

Organization: Verisign Inc.

Name: Verisign .COM / .NET Shared Registry System (SRS)

Description: The Verisign Shared Registry System (SRS) for .COM and .NET implements the server-side of draft-ietf-regext-change-poll.

Level of maturity: Production

Coverage: The "after" state poll message for an "update" transform operation of a domain name due to server policy.

Licensing: Proprietary

Contact: jgould@verisign.com

6.4. Neustar EPP SDK

Organisation: Neustar Inc.

Name: Neustar EPP SDK

Description: The Neustar EPP SDK includes a full client implementation of draft-ietf-regext-change-poll.

Level of maturity: Production

Coverage: All client side aspects of the protocol are implemented.

Licensing: GNU Lesser General Public License

Contact: quoc-anh.np@team.neustar

7. Security Considerations

The mapping extensions described in this document do not provide any security services beyond those described by EPP [RFC5730] and protocol layers used by EPP. The security considerations described in these other specifications apply to this specification as well.

8. Acknowledgements

The authors wish to acknowledge the original concept for this draft and the efforts in the initial versions of this draft by Trung Tran and Sharon Wodjenski.

Special suggestions that have been incorporated into this document were provided by Scott Hollenbeck, Michael Holloway, and Patrick Mevzek.

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9.1. Normative References

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- [RFC8174] Leiba, B., "Ambiguity of Uppercase vs Lowercase in RFC 2119 Key Words", BCP 14, RFC 8174, DOI 10.17487/RFC8174, May 2017, <<https://www.rfc-editor.org/info/rfc8174>>.

Appendix A. Change History

A.1. Change from 00 to 01

1. Added an optional caseId element that defines the case identifier from UDRP, URS, or custom case, based on feedback from Michael Holloway.

A.2. Change from 01 to 02

1. Amended XML Namespace section of IANA Considerations, added EPP Extension Registry section.
2. Moved Change History to the back section as an Appendix.

A.3. Change from 02 to 03

1. Fixed "before" state example to use the "before" state value based on feedback from Patrick Mevzek.

A.4. Change from 03 to 04

1. Updated the authors for the draft.

A.5. Change from 04 to 05

1. Ping update.

A.6. Change from 05 to REGEXT 00

1. Changed to regext working group draft by changing draft-gould-change-poll to draft-ietf-regext-change-poll.

A.7. Change from REGEXT 00 to REGEXT 01

1. Ping update.

A.8. Change from REGEXT 01 to REGEXT 02

1. Added the Implementation Status section.

A.9. Change from REGEXT 02 to REGEXT 03

1. Changed Neustar author to Kal Feher.

A.10. Change from REGEXT 03 to REGEXT 04

1. Added Neustar implementation to the Implementation Status section.

A.11. Change from REGEXT 04 to REGEXT 05

1. Updates based on feedback from Patrick Mevzek, that include:
 1. Added a missing comma to "Using this extension, clients" in the Introduction section.
 2. Modified the description of the "transfer", "restore", and "custom" operations to include "MUST set the "op" attribute" language.
 3. Rephrased the first sentence of the Who section.
 4. Added references to the <changePoll:who> element in the Who section.
 5. Revise the sentence that describes how the extension extends the info response in the EPP <info> Command section.
 6. Refer to EPP Object Mapping as EPP object mapping throughout the document.
 7. Add a Dates and Times section to the Object Attributes section.

A.12. Change from REGEXT 05 to REGEXT 06

1. Added the "State" sub-section to the "Object Attributes" section to describe the expected behavior for the "before" and "after" states, based on feedback from Patrick Mevzek.
2. Added a colon suffix to each hangText entry to provide better separation.

A.13. Change from REGEXT 06 to REGEXT 07

1. Updates based on feedback from Scott Hollenbeck, that include:
 1. Changed MAY to may in the Abstract.
 2. Revised the "IANA Considerations" section to include the registration of the XML schema.

3. Revised the description of the <changePoll:caseId> "name" attribute and the "changePoll:operation" "op" attribute as containing 7-bit US-ASCII identifiers for the case type or the operation type, respectively.

A.14. Change from REGEXT 07 to REGEXT 08

1. Updated obsoleted RFC 6982 to RFC 7942.
2. Moved RFC 7451 to an informational reference based on a check done by the Idnits Tool.
3. Changed Kal Feher's contact e-mail address.
4. Changed Neustar's Implementation Status contact e-mail address.

A.15. Change from REGEXT 08 to REGEXT 09

1. Fixed Section 1.1 (Conventions) to contain the updated language (e.g. "NOT RECOMMENDED", RFC 8174, BCP 14), based on feedback from the Document Shepherd.

A.16. Change from REGEXT 09 to REGEXT 10

1. Updates based on the AD review by Adam Roach, that include:
 1. Fix the "purge" and "autoPurge" examples to use the normative "before" state instead of the default "after" state.
 2. Added the sentences "The extension only extends the EPP <poll> response in [RFC5730] and does not extend the EPP <poll> command. Please refer to [RFC5730] for information and examples of the EPP <poll> command." in the "Introduction" to clarify what is extended and reference [RFC5730] for the EPP <poll> command.
 3. Added missing hyphens to "client-sponsored" and "court-directed".
 4. Removed "changePoll-1.0" is used as an abbreviation for "urn:ietf:params:xml:ns:changePoll-1.0" and replaced the paragraph based on what was done in draft-ietf-regext-allocation-token.
 5. Changed normative "SHOULD" to non-normative "should" in "An operation consists of any transform operation that impacts objects that the client sponsors and should be notified of."
 6. Added normative reference to [RFC0020] to define "7-bit US-ASCII".
 7. Added the sentence "The custom operations supported is up to server policy." to the description of the "custom" operation.

8. Broke up the "This extension adds operation detail..." sentence into two separate sentences to address the "does" and the "is" separately.
9. Removed the commas from "Any transform operation to an object..." sentence.
10. Changed to use an IPv6 address from the documentation-only prefix "2001:DB8::/32" in RFC 3849. The IPv6 address 2001:db8:0:0:1:0:0:1 was used.

A.17. Change from REGEXT 10 to REGEXT 11

1. Updates based on the review by Benjamin Kaduk, that include:
 1. Change references of "The enumerated list ... include:" to "The enumerated list ... is:".
 2. In section 2.2, explicitly state what the message is inserted into, with the change of "... MUST be inserted prior to ..." to "... MUST be inserted into the message queue prior to ...".

A.18. Change from REGEXT 11 to REGEXT 12

1. Added clarification for the <changePoll:who> element based on the feedback from Benjamin Kaduk.

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Registration Protocols Extensions
Internet-Draft
Intended status: Standards Track
Expires: April 23, 2020

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Registry Fee Extension for the Extensible Provisioning Protocol (EPP)
draft-ietf-regext-epp-fees-20

Abstract

Given the expansion of the DNS namespace, and the proliferation of novel business models, it is desirable to provide a method for Extensible Provisioning Protocol (EPP) clients to query EPP servers for the fees and credits and provide expected fees and credits for certain commands and objects. This document describes an EPP extension mapping for registry fees.

Status of This Memo

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1. Introduction

Historically, domain name registries have applied a simple fee structure for billable transactions, namely a basic unit price applied to domain <create>, <renew>, <transfer> and RGP [RFC3915] restore commands. Given the relatively small number of EPP servers to which EPP clients have been required to connect, it has generally been the case that client operators have been able to obtain details of these fees out-of-band by contacting the server operators.

Given the expansion of the DNS namespace, and the proliferation of novel business models, it is desirable to provide a method for EPP clients to query EPP servers for the fees and credits associated with certain commands and specific objects.

This document describes an extension mapping for version 1.0 of the Extensible Provisioning Protocol (EPP) [RFC5730]. This EPP mapping provides a mechanism by which EPP clients may query the fees and credits associated with various billable transactions, and obtain their current account balance.

1.1. Conventions Used in This Document

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in BCP

14 [RFC2119] [RFC8174] when, and only when, they appear in all capitals, as shown here.

XML is case sensitive. Unless stated otherwise, XML specifications and examples provided in this document MUST be interpreted in the character case presented in order to develop a conforming implementation.

"fee" is used as an abbreviation for "urn:ietf:params:xml:ns:epp:fee-1.0". The XML namespace prefix "fee" is used, but implementations MUST NOT depend on it and instead employ a proper namespace-aware XML parser and serializer to interpret and output the XML documents.

In examples, "C:" represents lines sent by a protocol client and "S:" represents lines returned by a protocol server. Indentation and white space in examples are provided only to illustrate element relationships and are not a required feature of this protocol.

2. Migrating to Newer Versions of This Extension

Servers which implement this extension SHOULD provide a way for clients to progressively update their implementations when a new version of the extension is deployed.

Servers SHOULD (for a temporary migration period) provide support for older versions of the extension in parallel to the newest version, and allow clients to select their preferred version via the <svcExtension> element of the <login> command.

If a client requests multiple versions of the extension at login, then, when preparing responses to commands which do not include extension elements, the server SHOULD only include extension elements in the namespace of the newest version of the extension requested by the client.

When preparing responses to commands which do include extension elements, the server SHOULD only include extension elements for the extension versions present in the command.

3. Extension Elements

3.1. Client Commands

The <fee:command> element is used in the EPP <check> command to determine the fee that is applicable to the given command.

The use of the <fee:command> keys off the use of the "name" attribute to define which transform fees the client is requesting information about. Here is the list of possible values for the "name" attribute:

- o "create" indicating a <create> command as defined in [RFC5730];
- o "delete" indicating a <delete> command as defined in [RFC5730];
- o "renew" indicating a <renew> command as defined in [RFC5730];
- o "update" indicating a <update> command as defined in [RFC5730];
- o "transfer" indicating a <transfer> command as defined in [RFC5730];
- o If the server supports the Registry Grace Period Mapping [RFC3915], then the server MUST also support the "restore" value as defined in [RFC3915];
- o "custom" indicating a custom command that MUST set the "customName" attribute with custom command name. The possible set of custom command name values is up to server policy.

The <fee:command> element MAY have an OPTIONAL "phase" attribute specifying a launch phase as described in [RFC8334]. It may also contain an OPTIONAL "subphase" attribute identifying the custom or sub-phase as described in [RFC8334].

3.2. Currency Codes

The <fee:currency> element is used to indicate which currency fees are charged in. This value of this element MUST be a three-character currency code from [ISO4217:2015].

Note that ISO 4217:2015 provides the special "XXX" code, which MAY be used if the server uses a non-currency based system for assessing fees, such as a system of credits.

The use of <fee:currency> elements in client commands is OPTIONAL: if a <fee:currency> element is not present in a command, the server MUST determine the currency based on the server default currency or based on the client's account settings which are agreed to by the client and server via an out-of-band channel. However, the <fee:currency> element MUST be present in responses.

Servers SHOULD NOT perform a currency conversion if a client uses an incorrect currency code. Servers SHOULD return a 2004 "Parameter value range" error instead.

3.3. Validity Periods

When querying for fee information using the <check> command, the <fee:period> element is used to indicate the period measured in years or months, with the appropriate units specified using the "unit"

attribute to be added to the registration period of objects by the <create>, <renew> and <transfer> commands. This element is derived from the <domain:period> element described in [RFC5731].

The <fee:period> element is OPTIONAL in <check> commands, if omitted, the server MUST determine the fee(s) using the server default period. The <fee:period> element MUST be present in <check> responses.

3.4. Fees and Credits

Servers which implement this extension will include elements in responses which provide information about the fees and/or credits associated with a given billable transaction. A fee will result in subtracting from the Account Balance (described in Section 3.5) and a credit will result in adding to the Account Balance (described in Section 3.5).

The <fee:fee> and <fee:credit> elements are used to provide this information. The presence of a <fee:fee> element in a response indicates a debit against the client's account balance; a <fee:credit> element indicates a credit. A <fee:fee> element MUST have a zero or greater (non-negative) value. A <fee:credit> element MUST have a negative value.

A server MAY respond with multiple <fee:fee> and <fee:credit> elements in the same response. In such cases, the net fee or credit applicable to the transaction is the arithmetic sum of the values of each of the <fee:fee> and/or <fee:credit> elements. This amount applies to the total additional validity period applied to the object (where applicable).

The following attributes are defined for the <fee:fee> element. These are described in detail below:

description: an OPTIONAL attribute which provides a human-readable description of the fee. Servers should provide documentation on the possible values of this attribute, and their meanings. An OPTIONAL "lang" attribute MAY be present, per the language structure in [RFC5646], to identify the language of the returned text and has a default value of "en" (English). If the "description" attribute is not present, the "lang" attribute can be ignored.

refundable: an OPTIONAL boolean attribute indicating whether the fee is refundable if the object is deleted.

grace-period: an OPTIONAL attribute which provides the time period during which the fee is refundable.

applied: an OPTIONAL attribute indicating when the fee will be deducted from the client's account.

The <fee:credit> element can take a "description" attribute as described above. An OPTIONAL "lang" attribute MAY be present to identify the language of the returned text and has a default value of "en" (English).

3.4.1. Refunds

<fee:fee> elements MAY have an OPTIONAL "refundable" attribute which takes a boolean value. Fees may be refunded under certain circumstances, such as when a domain application is rejected (as described in [RFC8334]) or when an object is deleted during the relevant Grace Period (see below).

If the "refundable" attribute is omitted, then clients SHOULD NOT make any assumption about the refundability of the fee.

3.4.2. Grace Periods

[RFC3915] describes a system of "grace periods", which are time periods following a billable transaction during which, if an object is deleted, the client receives a refund.

The "grace-period" attribute MAY be used to indicate the relevant grace period for a fee. If a server implements the Registry Grace Period extension [RFC3915], it MUST specify the grace period for all relevant transactions.

If the "grace-period" attribute is omitted, then clients SHOULD NOT make any assumption about the grace period of the fee.

3.4.3. Correlation between Refundability and Grace Periods

If a <fee:fee> element has a "grace-period" attribute then it MUST also be refundable and the "refundable" attribute MUST be true. If the "refundable" attribute of a <fee:fee> element is false then it MUST NOT have a "grace-period" attribute.

3.4.4. Applicability

Fees may be applied immediately upon receipt of a command from a client, or may only be applied once an out-of-band process (such as the processing of applications at the end of a launch phase) has taken place.

The "applied" attribute of the <fee:fee> element allows servers to indicate whether a fee will be applied immediately, or whether it will be applied at some point in the future. This attribute takes two possible values: "immediate" or "delayed".

3.5. Account Balance

The <fee:balance> element is an OPTIONAL element which MAY be included in server responses to transform commands. If present, it can be used by the client to determine the remaining credit at the server.

Whether or not the <fee:balance> is included in responses is a matter of server policy. However, if a server chooses to offer support for this element, it MUST be included in responses to all "transform" or billable commands (e.g. <create>, <renew>, <update>, <delete>, <transfer op="request">).

The value of the <fee:balance> MAY be negative. A negative balance indicates that the server has extended a line of credit to the client (see below).

If a server includes a <fee:balance> element in response to transform commands, the value of the element MUST reflect the client's account balance after any fees or credits associated with that command have been applied. If the "applied" attribute of the <fee:fee> element is "delayed", then the <fee:balance> MUST reflect the client's account balance without any fees or credits associated with that command.

3.6. Credit Limit

As described above, if a server returns a response containing a <fee:balance> with a negative value, then the server has extended a line of credit to the client. A server MAY also include a <fee:creditLimit> element in responses that indicates the maximum credit available to a client. A server MAY reject certain transactions if the absolute value of the <fee:balance> is equal to or exceeds the value of the <fee:creditLimit> element.

Whether or not the <fee:creditLimit> is included in responses is a matter of server policy. However, if a server chooses to offer support for this element, it MUST be included in responses to all "transform" commands (e.g. <create>, <renew>, <update>, <delete>, <transfer op="request">).

3.7. Classification of Objects

Objects may be assigned to a particular class, category, or tier, each of which has a particular fee or set of fees associated with it. The <fee:class> element, which MAY appear in <check> and transform responses, is used to indicate the classification of an object.

If a server makes use of this element, it should provide clients with a list of all the values that the element may take via an out-of-band channel. Servers MUST NOT use values which do not appear on this list.

Servers that make use of this element MUST use a <fee:class> element with the value "standard" for all objects that are subject to the standard or default fee.

3.8. Phase and Subphase Attributes

The <fee:command> element has two attributes, phase and subphase, that provide additional information related to a specific launch phase as described in [RFC8334]. These attributes are used as filters that should refine the server processing.

If the client <fee:command> contains a server supported combination of phase/subphase the server MUST return fee data (including the phase/subphase attribute(s)) for the specific combination.

If the client <fee:command> contains no phase/subphase attributes and the server has only one active phase/subphase combination the server MUST return data (including the phase/subphase attribute(s)) of the currently active phase/subphase.

If the client <fee:command> contains no phase/subphase attributes and the server has more than one active phase/subphase combination the server MUST respond with a 2003 "Required parameter missing" error.

If the client <fee:command> contains no phase/subphase attributes and the server is currently in a "quiet period" (e.g. not accepting registrations or applications) the server MUST return data consistent with the default general availability phase (e.g. "open" or "claims") including the appropriate phase/subphase attribute(s).

If the client <fee:command> contains a phase attribute with no subphase and the server has only one active subphase (or no subphase) of this phase, the server MUST return data (including the phase/subphase attribute(s)) of the provided phase and currently active subphase.

If the client `<fee:command>` contains a phase attribute with no subphase and the server has more than one active subphase combination of this phase, the server MUST respond with a 2003 "Required parameter missing" error.

If the client `<fee:command>` contains a subphase with no phase attribute the server MUST respond with a 2003 "Required parameter missing" error.

If the client `<fee:command>` contains a phase attribute not defined in [RFC8334] or not supported by server the server MUST respond with a 2004 "Parameter value range" error.

If the client `<fee:command>` contains a subphase attribute (or phase/subphase combination) not supported by server the server MUST respond with a 2004 "Parameter value range" error.

3.9. Reason

The `<fee:reason>` element is used to provide server specific text in an effort to better explain why a `<check>` command did not complete as the client expected. An OPTIONAL "lang" attribute MAY be present to identify the language, per the language structure in [RFC5646], of the returned text and has a default value of "en" (English).

The `<fee:reason>` element can be used within the server response `<fee:command>` element or within the `<fee:cd>` element. See section 5.1.1 for details on the `<fee:cd>` "check data" element.

If the server cannot calculate the relevant fees, because the object, command, currency, period, class or some combination is invalid per server policy, the server has two ways of handling error processing of `<fee:command>` element(s):

1. Fast-fail - The server, upon error identification, MAY stop processing `<fee:command>` elements and return to the client a `<fee:cd>` containing the `<fee:objID>` and a `<fee:reason>` element detailing the reason for failure.

```
S: <fee:cd avail="0">
S:   <fee:objID>example.xyz</fee:objID>
S:   <fee:reason>Only 1 year registration periods are
S:     valid.</fee:reason>
S: </fee:cd>
```

2. Partial-fail - The server, upon error identification, MAY continue processing `<fee:command>` elements and return to the client a `<fee:cd>` containing successfully processed `<fee:command>`

elements and failed `<fee:command>` elements. All returned failed `<fee:command>` elements MUST have a `<fee:reason>` element detailing the reason for failure, and the server MAY additionally include a `<fee:reason>` element at the `<fee:cd>` level.

```
S: <fee:cd avail="0">
S:   <fee:objID>example.xyz</fee:objID>
S:   <fee:command name="create">
S:     <fee:period unit="y">2</fee:period>
S:     <fee:reason>Only 1 year registration periods are
S:       valid.</fee:reason>
S:   </fee:command>
S: </fee:cd>
```

In either failure scenario the server MUST set the `<fee:cd>` `avail` attribute to false (0) and the server MUST process all objects in the client request.

4. Server Handling of Fee Information

Depending on server policy, a client MAY be required to include the extension elements described in this document for certain transform commands. Servers must provide clear documentation to clients about the circumstances in which this extension must be used.

The server MUST return `avail="0"` in its response to a `<check>` command for any object in the `<check>` command that does not include the `<fee:check>` extension for which the server would likewise fail a domain `<create>` command when no `<fee>` extension is provided for that same object.

If a server receives a `<check>` command from a client, which results in no possible fee combination, the server MUST set the "avail" attribute of the `<fee:cd>` element to false (0) and provide a `<fee:reason>`.

If a server receives a `<check>` command from a client, which results in an ambiguous result (i.e. multiple possible fee combinations) the server MUST reject the command with a 2003 "Required parameter missing" error.

If a server receives a command from a client, which does not include the fee extension data elements required by the server for that command, then the server MUST respond with a 2003 "Required parameter missing" error.

If the total fee provided by the client is less than the server's own calculation of the fee or the server determines the currency is inappropriate for that command, then the server MUST reject the command with a 2004 "Parameter value range" error.

5. EPP Command Mapping

A detailed description of the EPP syntax and semantics can be found in [RFC5730].

5.1. EPP Query Commands

This extension does not add any elements to the EPP <poll> or <info> commands or responses.

5.1.1. EPP <check> Command

This extension defines a new command called the Fee Check Command that defines additional elements for the EPP <check> command to provide fee information along with the availability information of the EPP <check> command.

The command MAY contain an <extension> element which MAY contain a <fee:check> element. The <fee:check> element MAY contain one <fee:currency> element and MUST contain one or more <fee:command> elements.

The <fee:command> element(s) MUST contain(s) a "name" attribute (see Section 3.1), an OPTIONAL "phase" attribute, and an OPTIONAL "subphase" attribute (see Section 3.8). The <fee:command> element(s) MAY have the following child elements:

- o An OPTIONAL <fee:period> element (as described in Section 3.3).

Example <check> command:

```
C: <?xml version="1.0" encoding="utf-8" standalone="no"?>
C: <epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
C:   <command>
C:     <check>
C:       <domain:check
C:         xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
C:           <domain:name>example.com</domain:name>
C:           <domain:name>example.net</domain:name>
C:           <domain:name>example.xyz</domain:name>
C:         </domain:check>
C:       </check>
C:     <extension>
C:       <fee:check xmlns:fee="urn:ietf:params:xml:ns:epp:fee-1.0">
C:         <fee:currency>USD</fee:currency>
C:         <fee:command name="create">
C:           <fee:period unit="y">2</fee:period>
C:         </fee:command>
C:         <fee:command name="renew"/>
C:         <fee:command name="transfer"/>
C:         <fee:command name="restore"/>
C:       </fee:check>
C:     </extension>
C:   <clTRID>ABC-12345</clTRID>
C: </command>
C: </epp>
```

When the server receives a <check> command that includes the extension elements described above, its response MUST contain an <extension> element, which MUST contain a child <fee:chkData> element. The <fee:chkData> element MUST contain a <fee:currency> element and a <fee:cd> element for each object referenced in the client <check> command.

Each <fee:cd> (check data) element MUST contain the following child elements:

- o A <fee:objID> element, which MUST match an element referenced in the client <check> command.
- o An OPTIONAL <fee:class> element (as described in Section 3.7).
- o A <fee:command> element matching each <fee:command> (unless the "avail" attribute of the <fee:cd> is false) that appeared in the corresponding <fee:check> of the client command. This element MAY have the OPTIONAL "standard" attribute, with a default value of "0" (or "false"), which indicates whether the fee matches the fee of the "standard" classification (see section 3.7). This element MAY have the OPTIONAL "phase" and "subphase" attributes, which

will match the same attributes in the corresponding <fee:command> element of the client command if sent by the client.

The <fee:cd> element also has an OPTIONAL "avail" attribute which is a boolean. If the value of this attribute evaluates to false, this indicates that the server cannot calculate the relevant fees, because the object, command, currency, period, class or some combination is invalid per server policy. If "avail" is false then the <fee:cd> or the <fee:command> element MUST contain a <fee:reason> element (as described in Section 3.9) and the server MAY eliminate some or all of the <fee:command> element(s).

The <fee:command> element(s) MAY have the following child elements:

- o An OPTIONAL <fee:period> element (as described in Section 3.3), which contains the same unit, if present, that appeared in the <fee:period> element of the command. If the value of the parent <fee:command> element is "restore", this element MUST NOT be included, otherwise it MUST be included. If no <fee:period> appeared in the client command (and the command is not "restore") then the server MUST return its default period value.
- o Zero or more <fee:fee> elements (as described in Section 3.4).
- o Zero or more <fee:credit> elements (as described in Section 3.4).
- o An OPTIONAL <fee:reason> element (as described in Section 3.9).

If the "avail" attribute of the <fee:cd> element is true (1) and if no <fee:fee> elements are present in a <fee:command> element, this indicates that no fee will be assessed by the server for this command.

If the "avail" attribute of the <fee:cd> element is true (1), then the <fee:command> element MUST NOT contain a <fee:reason> element.

Example <check> response:

```
S: <?xml version="1.0" encoding="utf-8" standalone="no"?>
S: <epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
S:   <response>
S:     <result code="1000">
S:       <msg>Command completed successfully</msg>
S:     </result>
S:     <resData>
S:       <domain:chkData
S:         xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
S:         <domain:cd>
S:           <domain:name avail="1">example.com</domain:name>
S:         </domain:cd>
S:       </domain:cd>
```

```
S:         <domain:name avail="1">example.net</domain:name>
S:       </domain:cd>
S:       <domain:cd>
S:         <domain:name avail="1">example.xyz</domain:name>
S:       </domain:cd>
S:     </domain:chkData>
S:   </resData>
S: <extension>
S:   <fee:chkData
S:     xmlns:fee="urn:ietf:params:xml:ns:epp:fee-1.0">
S:   <fee:currency>USD</fee:currency>
S:   <fee:cd avail="1">
S:     <fee:objID>example.com</fee:objID>
S:     <fee:class>Premium</fee:class>
S:     <fee:command name="create">
S:       <fee:period unit="y">2</fee:period>
S:       <fee:fee
S:         description="Registration Fee"
S:         refundable="1"
S:         grace-period="P5D">10.00</fee:fee>
S:     </fee:command>
S:     <fee:command name="renew">
S:       <fee:period unit="y">1</fee:period>
S:       <fee:fee
S:         description="Renewal Fee"
S:         refundable="1"
S:         grace-period="P5D">10.00</fee:fee>
S:     </fee:command>
S:     <fee:command name="transfer">
S:       <fee:period unit="y">1</fee:period>
S:       <fee:fee
S:         description="Transfer Fee"
S:         refundable="1"
S:         grace-period="P5D">10.00</fee:fee>
S:     </fee:command>
S:     <fee:command name="restore">
S:       <fee:fee
S:         description="Redemption Fee">15.00</fee:fee>
S:     </fee:command>
S:   </fee:cd>
S: <fee:cd avail="1">
S:   <fee:objID>example.net</fee:objID>
S:   <fee:class>standard</fee:class>
S:   <fee:command name="create" standard="1">
S:     <fee:period unit="y">2</fee:period>
S:     <fee:fee
S:       description="Registration Fee"
S:       refundable="1"
```

```

S:         grace-period="P5D">5.00</fee:fee>
S:     </fee:command>
S:     <fee:command name="renew" standard="1">
S:         <fee:period unit="y">1</fee:period>
S:         <fee:fee
S:             description="Renewal Fee"
S:             refundable="1"
S:             grace-period="P5D">5.00</fee:fee>
S:     </fee:command>
S:     <fee:command name="transfer" standard="1">
S:         <fee:period unit="y">1</fee:period>
S:         <fee:fee
S:             description="Transfer Fee"
S:             refundable="1"
S:             grace-period="P5D">5.00</fee:fee>
S:     </fee:command>
S:     <fee:command name="restore" standard="1">
S:         <fee:fee
S:             description="Redemption Fee">5.00</fee:fee>
S:     </fee:command>
S: </fee:cd>
S: <fee:cd avail="0">
S:     <fee:objID>example.xyz</fee:objID>
S:     <fee:command name="create">
S:         <fee:period unit="y">2</fee:period>
S:         <fee:reason>Only 1 year registration periods are
S:             valid.</fee:reason>
S:     </fee:command>
S: </fee:cd>
S: </fee:chkData>
S: </extension>
S: <trID>
S:     <clTRID>ABC-12345</clTRID>
S:     <svTRID>54322-XYZ</svTRID>
S: </trID>
S: </response>
S: </epp>

```

5.1.2. EPP Transfer Query Command

This extension does not add any elements to the EPP <transfer> query command, but does include elements in the response, when the extension is included in the <login> command service extensions.

When the <transfer> query command has been processed successfully, if the client has included the extension in the <login> command service <svcExtension> element, and if the client is authorized by the server to view information about the transfer, then the server MAY include

in the <extension> section of the EPP response a <fee:trnData> element, which contains the following child elements:

- o A <fee:currency> element (as described in Section 3.2).
- o A <fee:period> element (as described in Section 3.3).
- o Zero or more <fee:fee> elements (as described in Section 3.4) containing the fees that will be charged to the gaining client.
- o Zero or more <fee:credit> elements (as described in Section 3.4) containing the credits that will be refunded to the losing client.

Servers SHOULD omit <fee:credit> when returning a response to the gaining client, and omit <fee:fee> elements when returning a response to the losing client.

If no <fee:trnData> element is included in the response, then no fee will be assessed by the server for the transfer.

Example <transfer> query response:

```
S: <?xml version="1.0" encoding="utf-8" standalone="no"?>
S: <epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
S:   <response>
S:     <result code="1001">
S:       <msg>Command completed successfully; action pending</msg>
S:     </result>
S:     <resData>
S:       <domain:trnData
S:         xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
S:         <domain:name>example.com</domain:name>
S:         <domain:trStatus>pending</domain:trStatus>
S:         <domain:reID>ClientX</domain:reID>
S:         <domain:reDate>2019-06-08T22:00:00.0Z</domain:reDate>
S:         <domain:acID>ClientY</domain:acID>
S:         <domain:acDate>2019-06-13T22:00:00.0Z</domain:acDate>
S:         <domain:exDate>2021-09-08T22:00:00.0Z</domain:exDate>
S:       </domain:trnData>
S:     </resData>
S:     <extension>
S:       <fee:trnData xmlns:fee="urn:ietf:params:xml:ns:epp:fee-1.0">
S:         <fee:currency>USD</fee:currency>
S:         <fee:period unit="y">1</fee:period>
S:         <fee:fee>5.00</fee:fee>
S:       </fee:trnData>
S:     </extension>
S:     <trID>
S:       <clTRID>ABC-12345</clTRID>
S:       <svTRID>54322-XYZ</svTRID>
S:     </trID>
S:   </response>
S: </epp>
```

5.2. EPP Transform Commands

5.2.1. EPP <create> Command

This extension adds elements to both the EPP <create> command and response, when the extension is included in the <login> command service extensions.

When submitting a <create> command to the server, the client MAY include in the <extension> element a <fee:create> element which includes the following child elements:

- o An OPTIONAL <fee:currency> element (as described in Section 3.2);
- o One or more <fee:fee> elements (as described in Section 3.4).

When the <create> command has been processed successfully, and the client included the extension in the <login> command service extensions, and a fee was assessed by the server for the transaction, the server MUST include in the <extension> section of the EPP response a <fee:creData> element, which contains the following child elements:

- o A <fee:currency> element (as described in Section 3.2);
- o Zero or more <fee:fee> elements (as described in Section 3.4);
- o Zero or more <fee:credit> elements (as described in Section 3.4);
- o An OPTIONAL <fee:balance> element (as described in Section 3.5);
- o An OPTIONAL <fee:creditLimit> element (as described in Section 3.6).

Example <create> command:

```
C: <?xml version="1.0" encoding="utf-8" standalone="no"?>
C: <epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
C:   <command>
C:     <create>
C:       <domain:create
C:         xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
C:         <domain:name>example.com</domain:name>
C:         <domain:period unit="y">2</domain:period>
C:         <domain:ns>
C:           <domain:hostObj>ns1.example.net</domain:hostObj>
C:           <domain:hostObj>ns2.example.net</domain:hostObj>
C:         </domain:ns>
C:         <domain:registrant>jd1234</domain:registrant>
C:         <domain:contact type="admin">sh8013</domain:contact>
C:         <domain:contact type="tech">sh8013</domain:contact>
C:         <domain:authInfo>
C:           <domain:pw>2fooBAR</domain:pw>
C:         </domain:authInfo>
C:       </domain:create>
C:     </create>
C:     <extension>
C:       <fee:create xmlns:fee="urn:ietf:params:xml:ns:epp:fee-1.0">
C:         <fee:currency>USD</fee:currency>
C:         <fee:fee>5.00</fee:fee>
C:       </fee:create>
C:     </extension>
C:     <clTRID>ABC-12345</clTRID>
C:   </command>
C: </epp>
```

Example <create> response:

```

S: <?xml version="1.0" encoding="utf-8" standalone="no"?>
S: <epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
S:   <response>
S:     <result code="1000">
S:       <msg>Command completed successfully</msg>
S:     </result>
S:     <resData>
S:       <domain:creData
S:         xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
S:         <domain:name>example.com</domain:name>
S:         <domain:crDate>2019-04-03T22:00:00.0Z</domain:crDate>
S:         <domain:exDate>2021-04-03T22:00:00.0Z</domain:exDate>
S:       </domain:creData>
S:     </resData>
S:     <extension>
S:       <fee:creData xmlns:fee="urn:ietf:params:xml:ns:epp:fee-1.0">
S:         <fee:currency>USD</fee:currency>
S:         <fee:fee
S:           description="Registration Fee"
S:           lang="en"
S:           refundable="1"
S:           grace-period="P5D">5.00</fee:fee>
S:         <fee:balance>-5.00</fee:balance>
S:         <fee:creditLimit>1000.00</fee:creditLimit>
S:       </fee:creData>
S:     </extension>
S:     <trID>
S:       <clTRID>ABC-12345</clTRID>
S:       <svTRID>54321-XYZ</svTRID>
S:     </trID>
S:   </response>
S: </epp>

```

5.2.2. EPP <delete> Command

This extension does not add any elements to the EPP <delete> command, but does include elements in the response, when the extension is included in the <login> command service extensions.

When the <delete> command has been processed successfully, and the client included the extension in the <login> command service extensions, the server MAY include in the <extension> section of the EPP response a <fee:delData> element, which contains the following child elements:

- o A <fee:currency> element (as described in Section 3.2);

- o Zero or more <fee:fee> elements (as described in Section 3.4);
- o Zero or more <fee:credit> elements (as described in Section 3.4);
- o An OPTIONAL <fee:balance> element (as described in Section 3.5);
- o An OPTIONAL <fee:creditLimit> element (as described in Section 3.6).

Example <delete> response:

```
S: <?xml version="1.0" encoding="utf-8" standalone="no"?>
S: <epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
S:   <response>
S:     <result code="1000">
S:       <msg>Command completed successfully</msg>
S:     </result>
S:     <extension>
S:       <fee:delData
S:         xmlns:fee="urn:ietf:params:xml:ns:epp:fee-1.0">
S:         <fee:currency>USD</fee:currency>
S:         <fee:credit
S:           description="AGP Credit"
S:           lang="en">-5.00</fee:credit>
S:         <fee:balance>1005.00</fee:balance>
S:       </fee:delData>
S:     </extension>
S:     <trID>
S:       <clTRID>ABC-12345</clTRID>
S:       <svTRID>54321-XYZ</svTRID>
S:     </trID>
S:   </response>
S: </epp>
```

5.2.3. EPP <renew> Command

This extension adds elements to both the EPP <renew> command and response, when the extension is included in the <login> command service extensions.

When submitting a <renew> command to the server, the client MAY include in the <extension> element a <fee:renew> element which includes the following child elements:

- o An OPTIONAL <fee:currency> element (as described in Section 3.2);
- o One or more <fee:fee> elements (as described in Section 3.4).

When the <renew> command has been processed successfully, and the client included the extension in the <login> command service extensions, the server MAY include in the <extension> section of the

EPP response a <fee:renData> element, which contains the following child elements:

- o A <fee:currency> element (as described in Section 3.2);
- o Zero or more <fee:fee> elements (as described in Section 3.4);
- o Zero or more <fee:credit> elements (as described in Section 3.4);
- o An OPTIONAL <fee:balance> element (as described in Section 3.5);
- o An OPTIONAL <fee:creditLimit> element (as described in Section 3.6).

Example <renew> command:

```
C: <?xml version="1.0" encoding="utf-8" standalone="no"?>
C: <epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
C:   <command>
C:     <renew>
C:       <domain:renew
C:         xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
C:           <domain:name>example.com</domain:name>
C:           <domain:curExpDate>2019-04-03</domain:curExpDate>
C:           <domain:period unit="y">5</domain:period>
C:         </domain:renew>
C:       </renew>
C:     <extension>
C:       <fee:renew xmlns:fee="urn:ietf:params:xml:ns:epp:fee-1.0">
C:         <fee:currency>USD</fee:currency>
C:         <fee:fee>5.00</fee:fee>
C:       </fee:renew>
C:     </extension>
C:     <clTRID>ABC-12345</clTRID>
C:   </command>
C: </epp>
```

Example <renew> response:

```
S: <?xml version="1.0" encoding="utf-8" standalone="no"?>
S: <epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
S:   <response>
S:     <result code="1000">
S:       <msg>Command completed successfully</msg>
S:     </result>
S:     <resData>
S:       <domain:renData
S:         xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
S:         <domain:name>example.com</domain:name>
S:         <domain:exDate>2024-04-03T22:00:00.0Z</domain:exDate>
S:       </domain:renData>
S:     </resData>
S:     <extension>
S:       <fee:renData xmlns:fee="urn:ietf:params:xml:ns:epp:fee-1.0">
S:         <fee:currency>USD</fee:currency>
S:         <fee:fee
S:           refundable="1"
S:           grace-period="P5D">5.00</fee:fee>
S:         <fee:balance>1000.00</fee:balance>
S:       </fee:renData>
S:     </extension>
S:     <trID>
S:       <clTRID>ABC-12345</clTRID>
S:       <svTRID>54322-XYZ</svTRID>
S:     </trID>
S:   </response>
S: </epp>
```

5.2.4. EPP <transfer> Command

This extension adds elements to both the EPP <transfer> command and response, when the value of the "op" attribute of the <transfer> command element is "request", and the extension is included in the <login> command service extensions.

When submitting a <transfer> command to the server, the client MAY include in the <extension> element a <fee:transfer> element which includes the following child elements:

- o An OPTIONAL <fee:currency> element (as described in Section 3.2);
- o One or more <fee:fee> elements (as described in Section 3.4).

When the <transfer> command has been processed successfully, and the client included the extension in the <login> command service extensions, the server MAY include in the <extension> section of the

EPP response a <fee:trnData> element, which contains the following child elements:

- o A <fee:currency> element (as described in Section 3.2);
- o Zero or more <fee:fee> elements (as described in Section 3.4);
- o Zero or more <fee:credit> elements (as described in Section 3.4);
- o An OPTIONAL <fee:balance> element (as described in Section 3.5);
- o An OPTIONAL <fee:creditLimit> element (as described in Section 3.6).

Example <transfer> command:

```
C: <?xml version="1.0" encoding="utf-8" standalone="no"?>
C: <epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
C:   <command>
C:     <transfer op="request">
C:       <domain:transfer
C:         xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
C:         <domain:name>example.com</domain:name>
C:         <domain:period unit="y">1</domain:period>
C:         <domain:authInfo>
C:           <domain:pw roid="JD1234-REP">2fooBAR</domain:pw>
C:         </domain:authInfo>
C:       </domain:transfer>
C:     </transfer>
C:     <extension>
C:       <fee:transfer xmlns:fee="urn:ietf:params:xml:ns:epp:fee-1.0">
C:         <fee:currency>USD</fee:currency>
C:         <fee:fee>5.00</fee:fee>
C:       </fee:transfer>
C:     </extension>
C:     <clTRID>ABC-12345</clTRID>
C:   </command>
C: </epp>
```

Example <transfer> response:

```
S: <?xml version="1.0" encoding="utf-8" standalone="no"?>
S: <epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
S:   <response>
S:     <result code="1001">
S:       <msg>Command completed successfully; action pending</msg>
S:     </result>
S:     <resData>
S:       <domain:trnData
S:         xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
S:         <domain:name>example.com</domain:name>
S:         <domain:trStatus>pending</domain:trStatus>
S:         <domain:reID>ClientX</domain:reID>
S:         <domain:reDate>2019-06-08T22:00:00.0Z</domain:reDate>
S:         <domain:acID>ClientY</domain:acID>
S:         <domain:acDate>2019-06-13T22:00:00.0Z</domain:acDate>
S:         <domain:exDate>2021-09-08T22:00:00.0Z</domain:exDate>
S:       </domain:trnData>
S:     </resData>
S:     <extension>
S:       <fee:trnData xmlns:fee="urn:ietf:params:xml:ns:epp:fee-1.0">
S:         <fee:currency>USD</fee:currency>
S:         <fee:fee
S:           refundable="1"
S:           grace-period="P5D">5.00</fee:fee>
S:       </fee:trnData>
S:     </extension>
S:     <trID>
S:       <clTRID>ABC-12345</clTRID>
S:       <svTRID>54322-XYZ</svTRID>
S:     </trID>
S:   </response>
S: </epp>
```

5.2.5. EPP <update> Command

This extension adds elements to both the EPP <update> command and response, when the extension is included in the <login> command service extensions.

When submitting a <update> command to the server, the client MAY include in the <extension> element a <fee:update> element which includes the following child elements:

- o An OPTIONAL <fee:currency> element (as described in Section 3.2);
- o One or more <fee:fee> elements (as described in Section 3.4).

When the <update> command has been processed successfully, and the client included the extension in the <login> command service extensions, the server MAY include in the <extension> section of the EPP response a <fee:updData> element, which contains the following child elements:

- o A <fee:currency> element (as described in Section 3.2);
- o Zero or more <fee:fee> elements (as described in Section 3.4);
- o Zero or more <fee:credit> elements (as described in Section 3.4);
- o An OPTIONAL <fee:balance> element (as described in Section 3.5);
- o An OPTIONAL <fee:creditLimit> element (as described in Section 3.6).

Example <update> command:

```
C: <?xml version="1.0" encoding="utf-8" standalone="no"?>
C: <epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
C:   <command>
C:     <update>
C:       <domain:update
C:         xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
C:         <domain:name>example.com</domain:name>
C:         <domain:chg>
C:           <domain:registrant>sh8013</domain:registrant>
C:         </domain:chg>
C:       </domain:update>
C:     </update>
C:     <extension>
C:       <fee:update xmlns:fee="urn:ietf:params:xml:ns:epp:fee-1.0">
C:         <fee:currency>USD</fee:currency>
C:         <fee:fee>5.00</fee:fee>
C:       </fee:update>
C:     </extension>
C:     <clTRID>ABC-12345</clTRID>
C:   </command>
C: </epp>
```


Example <update> response:

```
S: <?xml version="1.0" encoding="utf-8" standalone="no"?>
S: <epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
S:   <response>
S:     <result code="1000">
S:       <msg>Command completed successfully</msg>
S:     </result>
S:     <extension>
S:       <fee:updData xmlns:fee="urn:ietf:params:xml:ns:epp:fee-1.0">
S:         <fee:currency>USD</fee:currency>
S:         <fee:fee>5.00</fee:fee>
S:       </fee:updData>
S:     </extension>
S:     <trID>
S:       <clTRID>ABC-12345</clTRID>
S:       <svTRID>54321-XYZ</svTRID>
S:     </trID>
S:   </response>
S: </epp>
```

6. Formal Syntax

One schema is presented here that is the EPP Fee Extension schema.

The formal syntax presented here is a complete schema representation of the object mapping suitable for automated validation of EPP XML instances. The BEGIN and END tags are not part of the schema; they are used to note the beginning and ending of the schema for URI registration purposes.

6.1. Fee Extension Schema

The formal syntax presented here is a complete schema representation of the object mapping suitable for automated validation of EPP XML instances. The BEGIN and END tags are not part of the schema; they are used to note the beginning and ending of the schema for URI registration purposes.

BEGIN

```
<?xml version="1.0" encoding="utf-8"?>
<schema xmlns="http://www.w3.org/2001/XMLSchema"
  xmlns:fee="urn:ietf:params:xml:ns:epp:fee-1.0"
  xmlns:eppcom="urn:ietf:params:xml:ns:eppcom-1.0"
  xmlns:domain="urn:ietf:params:xml:ns:domain-1.0"
  targetNamespace="urn:ietf:params:xml:ns:epp:fee-1.0"
  elementFormDefault="qualified">
```

```
<import namespace="urn:ietf:params:xml:ns:eppcom-1.0" />
<import namespace="urn:ietf:params:xml:ns:domain-1.0" />

<annotation>
  <documentation>
    Extensible Provisioning Protocol v1.0 Fee Extension
  </documentation>
</annotation>

<!-- Child elements found in EPP commands and responses -->
<element name="check" type="fee:checkType" />
<element name="chkData" type="fee:chkDataType" />
<element name="create" type="fee:transformCommandType" />
<element name="creData" type="fee:transformResultType" />
<element name="renew" type="fee:transformCommandType" />
<element name="renData" type="fee:transformResultType" />
<element name="transfer" type="fee:transformCommandType" />
<element name="trnData" type="fee:transformResultType" />
<element name="update" type="fee:transformCommandType" />
<element name="updData" type="fee:transformResultType" />
<element name="delData" type="fee:transformResultType" />

<!-- client <check> command -->
<complexType name="checkType">
  <sequence>
    <element name="currency" type="fee:currencyType"
      minOccurs="0" />
    <element name="command" type="fee:commandType"
      minOccurs="1" maxOccurs="unbounded" />
  </sequence>
</complexType>

<complexType name="objectIdentifierType">
  <simpleContent>
    <extension base="eppcom:labelType">
      <attribute name="element"
        type="NMTOKEN" default="name" />
    </extension>
  </simpleContent>
</complexType>

<!-- server <check> result -->
<complexType name="chkDataType">
  <sequence>
    <element name="currency" type="fee:currencyType" />
    <element name="cd" type="fee:objectCDType"
      maxOccurs="unbounded" />
  </sequence>
</complexType>
```

```
</complexType>

<complexType name="objectCDType">
  <sequence>
    <element name="objID" type="fee:objectIdentifierType" />
    <element name="class" type="token" minOccurs="0" />
    <element name="command" type="fee:commandDataType"
      minOccurs="0" maxOccurs="unbounded" />
    <element name="reason" type="fee:reasonType" minOccurs="0" />
  </sequence>
  <attribute name="avail" type="boolean" default="1" />
</complexType>

<!-- general transform (create, renew, update, transfer) command -->
<complexType name="transformCommandType">
  <sequence>
    <element name="currency" type="fee:currencyType"
      minOccurs="0" />
    <element name="fee" type="fee:feeType"
      maxOccurs="unbounded" />
    <element name="credit" type="fee:creditType"
      minOccurs="0" maxOccurs="unbounded" />
  </sequence>
</complexType>

<!-- general transform (create, renew, update) result -->
<complexType name="transformResultType">
  <sequence>
    <element name="currency" type="fee:currencyType"
      minOccurs="0" />
    <element name="period" type="domain:periodType"
      minOccurs="0" />
    <element name="fee" type="fee:feeType"
      minOccurs="0" maxOccurs="unbounded" />
    <element name="credit" type="fee:creditType"
      minOccurs="0" maxOccurs="unbounded" />
    <element name="balance" type="fee:balanceType"
      minOccurs="0" />
    <element name="creditLimit" type="fee:creditLimitType"
      minOccurs="0" />
  </sequence>
</complexType>

<!-- common types -->
<simpleType name="currencyType">
  <restriction base="string">
    <pattern value="[A-Z]{3}" />
  </restriction>

```

```
</simpleType>

<complexType name="commandType">
  <sequence>
    <element name="period" type="domain:periodType"
      minOccurs="0" maxOccurs="1" />
  </sequence>
  <attribute name="name" type="fee:commandEnum" use="required"/>
  <attribute name="customName" type="token"/>
  <attribute name="phase" type="token" />
  <attribute name="subphase" type="token" />
</complexType>

<complexType name="commandDataType">
  <complexContent>
    <extension base="fee:commandType">
      <sequence>
        <element name="fee" type="fee:feeType"
          minOccurs="0" maxOccurs="unbounded" />
        <element name="credit" type="fee:creditType"
          minOccurs="0" maxOccurs="unbounded" />
        <element name="reason" type="fee:reasonType"
          minOccurs="0" />
      </sequence>
      <attribute name="standard" type="boolean" default="0" />
    </extension>
  </complexContent>
</complexType>

<complexType name="reasonType">
  <simpleContent>
    <extension base="token">
      <attribute name="lang" type="language" default="en"/>
    </extension>
  </simpleContent>
</complexType>

<simpleType name="commandEnum">
  <restriction base="token">
    <enumeration value="create"/>
    <enumeration value="delete"/>
    <enumeration value="renew"/>
    <enumeration value="update"/>
    <enumeration value="transfer"/>
    <enumeration value="restore"/>
    <enumeration value="custom"/>
  </restriction>
</simpleType>
```

```
<simpleType name="nonNegativeDecimal">
  <restriction base="decimal">
    <minInclusive value="0" />
  </restriction>
</simpleType>

<simpleType name="negativeDecimal">
  <restriction base="decimal">
    <maxInclusive value="0" />
  </restriction>
</simpleType>

<complexType name="feeType">
  <simpleContent>
    <extension base="fee:nonNegativeDecimal">
      <attribute name="description"/>
      <attribute name="lang" type="language" default="en"/>
      <attribute name="refundable" type="boolean" />
      <attribute name="grace-period" type="duration" />
      <attribute name="applied">
        <simpleType>
          <restriction base="token">
            <enumeration value="immediate" />
            <enumeration value="delayed" />
          </restriction>
        </simpleType>
      </attribute>
    </extension>
  </simpleContent>
</complexType>

<complexType name="creditType">
  <simpleContent>
    <extension base="fee:negativeDecimal">
      <attribute name="description"/>
      <attribute name="lang" type="language" default="en"/>
    </extension>
  </simpleContent>
</complexType>

<simpleType name="balanceType">
  <restriction base="decimal" />
</simpleType>

<simpleType name="creditLimitType">
  <restriction base="decimal" />
</simpleType>
```

</schema>
END

7. Security Considerations

The mapping extensions described in this document do not provide any security services beyond those described by EPP [RFC5730], the EPP domain name mapping [RFC5731], and protocol layers used by EPP. The security considerations described in these other specifications apply to this specification as well. This extension passes financial information using the EPP protocol, so confidentiality and integrity protection must be provided by the transport mechanism. All transports compliant with [RFC5730] provide the needed level of confidentiality and integrity protections. The server will only provide information, including financial information, that is relevant to the authenticated client.

8. IANA Considerations

8.1. XML Namespace

This document uses URNs to describe XML namespaces and XML schemas conforming to a registry mechanism described in [RFC3688].

Registration request for the fee namespace:

URI: urn:ietf:params:xml:ns:epp:fee-1.0

Registrant Contact: IESG

XML: None. Namespace URIs do not represent an XML specification.

Registration request for the fee schema:

URI: urn:ietf:params:xml:schema:epp:fee-1.0

Registrant Contact: IESG

XML: See the "Formal Syntax" section of this document.

8.2. EPP Extension Registry

The EPP extension described in this document should be registered by the IANA in the EPP Extension Registry described in [RFC7451]. The details of the registration are as follows:

Name of Extension: Registry Fee Extension for the Extensible Provisioning Protocol (EPP)

Document status: Standards Track

Reference: (insert reference to RFC version of this document)

Registrant Name and Email Address: IESG, <iesg@ietf.org>

TLDs: Any

IPR Disclosure: None

Status: Active

Notes: None

9. Implementation Status

Note to RFC Editor: Please remove this section and the reference to [RFC7942] before publication.

This section records the status of known implementations of the protocol defined by this specification at the time of posting of this Internet-Draft, and is based on a proposal described in [RFC7942]. The description of implementations in this section is intended to assist the IETF in its decision processes in progressing drafts to RFCs. Please note that the listing of any individual implementation here does not imply endorsement by the IETF. Furthermore, no effort has been spent to verify the information presented here that was supplied by IETF contributors. This is not intended as, and must not be construed to be, a catalog of available implementations or their features. Readers are advised to note that other implementations may exist.

According to [RFC7942], "this will allow reviewers and working groups to assign due consideration to documents that have the benefit of running code, which may serve as evidence of valuable experimentation and feedback that have made the implemented protocols more mature. It is up to the individual working groups to use this information as they see fit".

9.1. RegistryEngine EPP Service

Organization: CentralNic

Name: RegistryEngine EPP Service

Description: Generic high-volume EPP service for gTLDs, ccTLDs and SLDs

Level of maturity: Deployed in CentralNic's production environment as well as two other gTLD registry systems, and two ccTLD registry systems.

Coverage: All aspects of the protocol are implemented.

Licensing: Proprietary In-House software

Contact: epp@centralnic.com

URL: <https://www.centralnic.com>

10. Acknowledgements

The authors wish to thank the following persons for their feedback and suggestions:

- o James Gould of Verisign Inc
- o Luis Munoz of ISC
- o Michael Young of Architelos
- o Ben Levac and Jeff Eckhaus of Demand Media
- o Seth Goldman of Google
- o Klaus Malorny and Michael Bauland of Knipp
- o Jody Kolker, Joe Snitker and Kevin Allendorf of Go Daddy
- o Michael Holloway of Com Laude
- o Santosh Kalsangrah of Impetus Infotech
- o Alex Mayrhofer of Nic.at
- o Thomas Corte of Knipp Medien und Kommunikation GmbH

11. Change History

11.1. Change from 18 to 19

Added normative reference for XML Schema.

11.2. Change from 18 to 19

Updated per IESG review, all updates (except for one schema change) were just textual for clarity and correctness. The schema change was to require the name attribute of the commandType element.

11.3. Change from 17 to 18

Corrected erroneous edit left in place in previous revision (17), reverted text back to original text (revision 16) in section 3.4.

11.4. Change from 16 to 17

Updated per AD review, all updates were just textual for clarity and correctness.

11.5. Change from 15 to 16

Updated per AD review and list comments: several grammar corrections; clarification text added to section 3.4.3 and 3.5; and a schema update for consistency by providing a "lang" attribute to the <fee:fee> and <fee:credit> "description" attribute detailed in section 3.4.

11.6. Change from 14 to 15

Updated schema, moving the "standard" attribute of the "commandDataType" inside the <extension> block.

11.7. Change from 13 to 14

Moved RFC 7451 reference from Normative to Informative section.

11.8. Change from 12 to 13

Updated XML namespace and schema registration to be "epp" scoped - global replace of XML namespace from urn:ietf:params:xml:ns:fee-1.0 to urn:ietf:params:xml:ns:epp:fee-1.0 and the XML schema registration from urn:ietf:params:xml:schema:fee-1.0 to urn:ietf:params:xml:schema:epp:fee-1.0.

11.9. Change from 11 to 12

Updated references to current version of documents and moved the "standard" attribute from the check command (commandType) to the check response (commandDataType).

11.10. Change from 10 to 11

Updated document per Working Group Last Call comments. Made minor textual changes throughout for enhanced clarity per WGLC comments.

11.11. Change from 09 to 10

Updated document per Working Group Last Call comments. Updated schema to version 1.0 in anticipation of standardization, no changes were made to the latest, 0.25, schema. Made minor textual changes throughout for enhanced clarity per WGLC comments.

11.12. Change from 08 to 09

Updated scheme to version 0.25 to allow tighter checking on `<fee:command>` by splitting the client and server definitions, moved the class element from the command to the object level and added an optional standard attribute to the command element. Also updated section 3.1 for clarity on name attribute; updated section 3.9 for clarity on uses of `<fee:reason>`; removed second paragraph in section 5.2.1 as it was duplicative of second to last paragraph in 4.0; and updated section 5.1.1 to add section references.

11.13. Change from 07 to 08

Updated section 3.8 and 5.1.1 to provide clarity on server processing and response of various scenarios (i.e. "quiet" period processing).

11.14. Change from 06 to 07

Updated section 3.8 and 4.0 to provide clarity on server processing and response of various scenarios.

11.15. Change from 05 to 06

Updated scheme to version 0.23 to allow the return of no `<fee:command>` element(s) if an error situation occurs. Edited section 3.8 extensively after input from interim meeting and REGEXT F2F meeting at IETF-99. Added normative reference for draft-ietf-eppext-launchphase.

11.16. Change from 04 to 05

Updated scheme to version 0.21 to support the lang attribute for the reason element of the objectCDType and the commandType types as well as to add the update command to the commandEnum type. Updated section 3.1 to include language for the custom command. Added section 3.9 to provide a description of the `<fee:reason>` element. Fixed typos and added clarification text on when client fee is less than server fee in section 4. Additionally, I added description pointers to appropriate Section 3 definitions for element clarity throughout the document.

11.17. Change from 03 to 04

Updated scheme to version 0.19 to correct typos and to replace the `commandTypeValue` type with the `commandEnum` type and `customName` attribute for stricter validation. Updated various text for grammar and clarity. Added text to section 4 clarifying the `<check>` response

when the client provided no fee extension but the server was expecting the extension.

11.18. Change from 02 to 03

Updated scheme to version 0.17 to simplify the check command syntax. Moved fee avail to objectCDType to allow fast failing on error situations. Removed the objectCheckType as it was no longer being used. Updated examples to reflect these scheme changes. Added language for server failing a <create> if the <fee:fee> passed by the client is less than the server fee.

11.19. Change from 01 to 02

Updated scheme to version 0.15 to fix errors in CommandType, objectCDType, transformCommandType and transformResultType definitions.

11.20. Change from 00 to 01

Added Roger Carney as author to finish draft. Moved Formal Syntax section to main level numbering. Various grammar, typos, and administrative edits for clarity. Removed default value for the "applied" attribute of <fee:fee> so that it can truly be optional. Added support for the <delete> command to return a <fee:fee> element as well. Modified default response on the <check> command for the optional <fee:period> when it was not provided in the command, leaving it to the server to provide the default period value. Extensive edits were done to the <check> command, the <check> response and to the fee extension schema (checkType, objectCheckType, objectIdentifierType, objectCDType, commandType) to support requesting and returning multiple transformation fees in a single call. Added section on Phase/Subphase to provide more context on the uses.

11.21. Change from draft-brown-00 to draft-ietf-regext-fees-00

Updated to be REGEXT WG document.

12. References

12.1. Normative References

[ISO4217:2015]

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- [RFC3915] Hollenbeck, S., "Domain Registry Grace Period Mapping for the Extensible Provisioning Protocol (EPP)", RFC 3915, DOI 10.17487/RFC3915, September 2004, <<https://www.rfc-editor.org/info/rfc3915>>.
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- [RFC8334] Gould, J., Tan, W., and G. Brown, "Launch Phase Mapping for the Extensible Provisioning Protocol (EPP)", RFC 8334, DOI 10.17487/RFC8334, March 2018, <<https://www.rfc-editor.org/info/rfc8334>>.
- [W3C.REC-xmlschema-1-20041028]
Thompson, H., Beech, D., Maloney, M., and N. Mendelsohn, "XML Schema Part 1: Structures Second Edition", World Wide Web Consortium Recommendation REC-xmlschema-1-20041028, October 2004, <<http://www.w3.org/TR/2004/REC-xmlschema-1-20041028>>.

12.2. Informative References

[RFC7451] Hollenbeck, S., "Extension Registry for the Extensible Provisioning Protocol", RFC 7451, DOI 10.17487/RFC7451, February 2015, <<https://www.rfc-editor.org/info/rfc7451>>.

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Network Working Group
Internet-Draft
Intended status: Standards Track
Expires: May 1, 2017

J. Gould
VeriSign, Inc.
October 28, 2016

Extensible Provisioning Protocol (EPP) and Registration Data Access
Protocol (RDAP) Status Mapping
draft-ietf-regext-epp-rdap-status-mapping-04

Abstract

This document describes the mapping of the Extensible Provisioning Protocol (EPP) statuses with the statuses registered for use in the Registration Data Access Protocol (RDAP). This document identifies gaps in the mapping, and registers RDAP statuses to fill the gaps to ensure that all of the EPP RFC statuses are supported in RDAP.

Status of This Memo

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1. Introduction

This document maps the statuses defined in the Extensible Provisioning Protocol (EPP) RFCs to the list of statuses registered for use in the Registration Data Access Protocol (RDAP), in the RDAP JSON Values Registry [rdap-json-values].

The RDAP JSON Values Registry is described in section 10.2 of [RFC7483] and is available in the RDAP JSON Values Registry [rdap-json-values].

The EPP statuses used as the source of the mapping include section 2.3 of the Extensible Provisioning Protocol (EPP) Domain Name Mapping [RFC5731], section 2.3 of the Extensible Provisioning Protocol (EPP) Host Mapping [RFC5732], section 2.2 of the Extensible Provisioning Protocol (EPP) Contact Mapping [RFC5733], and section 3.1 of Domain Registry Grace Period Mapping for the Extensible Provisioning Protocol (EPP) [RFC3915].

Each EPP status MUST map to a single RDAP status to ensure that data in the Domain Name Registries (DNRs) that use EPP can be accurately presented in RDAP.

1.1. Conventions Used in This Document

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in RFC 2119 [RFC2119].

2. EPP to RDAP Status Mapping

Below is an alphabetically sorted list of EPP statuses from the EPP RFCs ([RFC5731], [RFC5732], [RFC5733], and [RFC3915]) mapped to the RDAP statuses registered in the RDAP JSON Values Registry [rdap-json-values], with the format <EPP Status> '=' <RDAP Status>, where a blank <RDAP Status> indicates a gap in the mapping.

```
addPeriod =
autoRenewPeriod =
clientDeleteProhibited =
clientHold =
clientRenewProhibited =
clientTransferProhibited =
clientUpdateProhibited =
inactive = inactive
linked = associated
ok = active
pendingCreate = pending create
pendingDelete = pending delete
pendingRenew = pending renew
pendingRestore =
pendingTransfer = pending transfer
pendingUpdate = pending update
redemptionPeriod =
renewPeriod =
serverDeleteProhibited =
serverRenewProhibited =
serverTransferProhibited =
serverUpdateProhibited =
serverHold =
transferPeriod =
```

The RDAP JSON Values Registry [rdap-json-values] does have a set of prohibited statuses including "renew prohibited", "update prohibited", "transfer prohibited", and "delete prohibited", but these statuses do not directly map to the EPP prohibited statuses. EPP provides status codes that allow distinguishing the case that an action is prohibited because of server policy from the case that an action is prohibited because of a client request. The ability to make this distinction needs to be preserved in RDAP.

Each of the EPP status values that don't map directly to an RDAP status value is described below. Each EPP status value includes a proposed new RDAP status value and a description of the value. The RDAP status value is derived from the EPP status value by converting the EPP camel case representation to lower case with a space character inserted between word boundaries.

`addPeriod` = add period; This grace period is provided after the initial registration of the object. If the object is deleted by the client during this period, the server provides a credit to the client for the cost of the registration.

`autoRenewPeriod` = auto renew period; This grace period is provided after an object registration period expires and is extended (renewed) automatically by the server. If the object is deleted by the client during this period, the server provides a credit to the client for the cost of the auto renewal.

`clientDeleteProhibited` = client delete prohibited; The client requested that requests to delete the object MUST be rejected.

`clientHold` = client hold; The client requested that the DNS delegation information MUST NOT be published for the object.

`clientRenewProhibited` = client renew prohibited; The client requested that requests to renew the object MUST be rejected.

`clientTransferProhibited` = client transfer prohibited; The client requested that requests to transfer the object MUST be rejected.

`clientUpdateProhibited` = client update prohibited; The client requested that requests to update the object (other than to remove this status) MUST be rejected.

`pendingRestore` = pending restore; An object is in the process of being restored after being in the redemption period state.

`redemptionPeriod` = redemption period; A delete has been received, but the object has not yet been purged because an opportunity exists to restore the object and abort the deletion process.

`renewPeriod` = renew period; This grace period is provided after an object registration period is explicitly extended (renewed) by the client. If the object is deleted by the client during this period, the server provides a credit to the client for the cost of the renewal.

`serverDeleteProhibited` = server delete prohibited; The server set the status so that requests to delete the object MUST be rejected.

`serverRenewProhibited` = server renew prohibited; The server set the status so that requests to renew the object MUST be rejected.

`serverTransferProhibited` = server transfer prohibited; The server set the status so that requests to transfer the object MUST be rejected.

`serverUpdateProhibited` = server update prohibited; The server set the status so that requests to update the object (other than to remove this status) MUST be rejected.

serverHold = server hold; The server set the status so that DNS delegation information MUST NOT be published for the object.
transferPeriod = transfer period; This grace period is provided after the successful transfer of object registration sponsorship from one client to another client. If the object is deleted by the client during this period, the server provides a credit to the client for the cost of the transfer.

The resulting mapping after registering the new RDAP statuses is:

```
addPeriod = add period
autoRenewPeriod = auto renew period
clientDeleteProhibited = client delete prohibited
clientHold = client hold
clientRenewProhibited = client renew prohibited
clientTransferProhibited = client transfer prohibited
clientUpdateProhibited = client update prohibited
inactive = inactive
linked = associated
ok = active
pendingCreate = pending create
pendingDelete = pending delete
pendingRenew = pending renew
pendingRestore = pending restore
pendingTransfer = pending transfer
pendingUpdate = pending update
redemptionPeriod = redemption period
renewPeriod = renew period
serverDeleteProhibited = server delete prohibited
serverRenewProhibited = server renew prohibited
serverTransferProhibited = server transfer prohibited
serverUpdateProhibited = server update prohibited
serverHold = server hold
transferPeriod = transfer period
```

3. IANA Considerations

3.1. JSON Values Registry

The following values should be registered by the IANA in the RDAP JSON Values Registry described in [RFC7483]:

Value: add period

Type: status

Description: This grace period is provided after the initial registration of the object. If the object is deleted by the client

during this period, the server provides a credit to the client for the cost of the registration. This maps to the Domain Registry Grace Period Mapping for the Extensible Provisioning Protocol (EPP) [RFC3915] 'addPeriod' status.

Registrant Name: IESG

Registrant Contact Information: iesg@ietf.org

Value: auto renew period

Type: status

Description: This grace period is provided after an object registration period expires and is extended (renewed) automatically by the server. If the object is deleted by the client during this period, the server provides a credit to the client for the cost of the auto renewal. This maps to the Domain Registry Grace Period Mapping for the Extensible Provisioning Protocol (EPP) [RFC3915] 'autoRenewPeriod' status.

Registrant Name: IESG

Registrant Contact Information: iesg@ietf.org

Value: client delete prohibited

Type: status

Description: The client requested that requests to delete the object MUST be rejected. This maps to the Extensible Provisioning Protocol (EPP) Domain Name Mapping [RFC5731], Extensible Provisioning Protocol (EPP) Host Mapping [RFC5732], and Extensible Provisioning Protocol (EPP) Contact Mapping [RFC5733] 'clientDeleteProhibited' status.

Registrant Name: IESG

Registrant Contact Information: iesg@ietf.org

Value: client hold

Type: status

Description: The client requested that the DNS delegation information MUST NOT be published for the object. This maps to the Extensible Provisioning Protocol (EPP) Domain Name Mapping [RFC5731] 'clientHold' status.

Registrant Name: IESG

Registrant Contact Information: iesg@ietf.org

Value: client renew prohibited

Type: status

Description: The client requested that requests to renew the object MUST be rejected. This maps to the Extensible Provisioning Protocol (EPP) Domain Name Mapping [RFC5731] 'clientRenewProhibited' status.

Registrant Name: IESG

Registrant Contact Information: iesg@ietf.org

Value: client transfer prohibited

Type: status

Description: The client requested that requests to transfer the object MUST be rejected. This maps to the Extensible Provisioning Protocol (EPP) Domain Name Mapping [RFC5731] and Extensible Provisioning Protocol (EPP) Contact Mapping [RFC5733] 'clientTransferProhibited' status.

Registrant Name: IESG

Registrant Contact Information: iesg@ietf.org

Value: client update prohibited

Type: status

Description: The client requested that requests to update the object (other than to remove this status) MUST be rejected. This maps to the Extensible Provisioning Protocol (EPP) Domain Name Mapping [RFC5731], Extensible Provisioning Protocol (EPP) Host Mapping [RFC5732], and Extensible Provisioning Protocol (EPP) Contact Mapping [RFC5733] 'clientUpdateProhibited' status.

Registrant Name: IESG

Registrant Contact Information: iesg@ietf.org

Value: pending restore

Type: status

Description: An object is in the process of being restored after being in the redemption period state. This maps to the Domain Registry Grace Period Mapping for the Extensible Provisioning Protocol (EPP) [RFC3915] 'pendingRestore' status.

Registrant Name: IESG

Registrant Contact Information: iesg@ietf.org

Value: redemption period

Type: status

Description: A delete has been received, but the object has not yet been purged because an opportunity exists to restore the object and abort the deletion process. This maps to the Domain Registry Grace Period Mapping for the Extensible Provisioning Protocol (EPP) [RFC3915] 'redemptionPeriod' status.

Registrant Name: IESG

Registrant Contact Information: iesg@ietf.org

Value: renew period

Type: status

Description: This grace period is provided after an object registration period is explicitly extended (renewed) by the client. If the object is deleted by the client during this period, the server provides a credit to the client for the cost of the renewal. This maps to the Domain Registry Grace Period Mapping for the Extensible Provisioning Protocol (EPP) [RFC3915] 'renewPeriod' status.

Registrant Name: IESG

Registrant Contact Information: iesg@ietf.org

Value: server delete prohibited

Type: status

Description: The server set the status so that requests to delete the object MUST be rejected. This maps to the Extensible Provisioning Protocol (EPP) Domain Name Mapping [RFC5731], Extensible Provisioning Protocol (EPP) Host Mapping [RFC5732], and Extensible Provisioning Protocol (EPP) Contact Mapping [RFC5733] 'serverDeleteProhibited' status.

Registrant Name: IESG

Registrant Contact Information: iesg@ietf.org

Value: server renew prohibited

Type: status

Description: The server set the status so that requests to renew the object MUST be rejected. This maps to the Extensible Provisioning Protocol (EPP) Domain Name Mapping [RFC5731] 'serverRenewProhibited' status.

Registrant Name: IESG

Registrant Contact Information: iesg@ietf.org

Value: server transfer prohibited

Type: status

Description: The server set the status so that requests to transfer the object MUST be rejected. This maps to the Extensible Provisioning Protocol (EPP) Domain Name Mapping [RFC5731] and Extensible Provisioning Protocol (EPP) Contact Mapping [RFC5733] 'serverTransferProhibited' status.

Registrant Name: IESG

Registrant Contact Information: iesg@ietf.org

Value: server update prohibited

Type: status

Description: The server set the status so that requests to update the object (other than to remove this status) MUST be rejected. This maps to the Extensible Provisioning Protocol (EPP) Domain Name Mapping [RFC5731], Extensible Provisioning Protocol (EPP) Host Mapping [RFC5732], and Extensible Provisioning Protocol (EPP) Contact Mapping [RFC5733] 'serverUpdateProhibited' status.

Registrant Name: IESG

Registrant Contact Information: iesg@ietf.org

Value: server hold

Type: status

Description: The server set the status so that DNS delegation information MUST NOT be published for the object. This maps to the Extensible Provisioning Protocol (EPP) Domain Name Mapping [RFC5731] 'serverHold' status.

Registrant Name: IESG

Registrant Contact Information: iesg@ietf.org

Value: transfer period

Type: status

Description: This grace period is provided after the successful transfer of object registration sponsorship from one client to another client. If the object is deleted by the client during this period, the server provides a credit to the client for the cost of the transfer. This maps to the Domain Registry Grace Period Mapping for the Extensible Provisioning Protocol (EPP) [RFC3915] 'transferPeriod' status.

Registrant Name: IESG

Registrant Contact Information: iesg@ietf.org

4. Security Considerations

The status values described in this document can be subject to server-side information disclosure policies that restrict display of the values to authorized clients. Implementers may wish to review [RFC7481] for a description of the RDAP security services that can be used to implement information disclosure policies.

5. Normative References

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Appendix A. Acknowledgements

Suggestions that have been incorporated into this document were provided by Andrew Newton, Scott Hollenbeck, Jim Galvin, Gustavo Lozano, and Robert Sparks.

Appendix B. Change History

B.1. Change from 00 to 01

1. Changed the mapping of "linked" to "associated" and removed the registration of "linked", based on feedback from Andrew Newton on the weirds mailing list.

B.2. Change from 01 to 02

1. Ping update.

B.3. Change from 02 to 03

1. Ping update.

B.4. Change from 03 to REGEXT 00

1. Changed to regext working group draft by changing draft-gould-epp-rdap-status-mapping to draft-ietf-regext-epp-rdap-status-mapping.

B.5. Change from REGEXT 00 to REGEXT 01

1. Updated based on regext mailing feedback from Scott Hollenbeck that included updating the registrant for the registration of the new statuses to IESG and iesg@ietf.org, and revising the security section. Changed to standards track based on suggestion by Jim Galvin and support from Gustavo Lozano on the regext mailing list.

B.6. Change from REGEXT 01 to REGEXT 02

1. Updated the text associated with distinguishing client and server prohibited statuses in RDAP based on feedback by Robert Sparks on the regext mailing list.
2. Removed the "For DNR that indicates" text from the description of the statuses based on feedback by Robert Sparks on the regext mailing list.
3. Made a few editorial changes to the status descriptions including referring to "redemption period" instead of "redemptionPeriod" and referring to "object" instead of "domain name".
4. Changed all references of "registrar" to "client" and "registry" to "server" in the status descriptions to be consistent.

B.7. Change from REGEXT 02 to REGEXT 03

1. Updated descriptions of the add period, auto renew period, renew period, and transfer period statuses to better reflect what the status is in RFC 3915, based on feedback by Robert Sparks on the regext mailing list.

B.8. Change from REGEXT 03 to REGEXT 04

1. Updated the descriptions of the JSON Values Registry entries to include a reference back to the appropriate EPP RFC status, based on feedback by Sabrina Tanamal from IANA.

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Internet Engineering Task Force
Internet-Draft
Intended status: Standards Track
Expires: June 15, 2018

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Launch Phase Mapping for the Extensible Provisioning Protocol (EPP)
draft-ietf-regext-launchphase-07

Abstract

This document describes an Extensible Provisioning Protocol (EPP) extension mapping for the provisioning and management of domain name registrations and applications during the launch of a domain name registry.

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1. Introduction

This document describes an extension mapping for version 1.0 of the Extensible Provisioning Protocol (EPP) [RFC5730]. This EPP mapping specifies a flexible schema that can be used to implement several common use cases related to the provisioning and management of domain name registrations and applications during the launch of a domain name registry.

It is typical for domain registries to operate in special modes as they begin operation to facilitate allocation of domain names, often according to special rules. This document uses the term "launch phase" and the shorter form "launch" to refer to such a period. Multiple launch phases and multiple models are supported to enable the launch of a domain name registry. What is supported and what is validated is up to server policy. Communication of the server policy is typically performed using an out-of-band mechanism that is not specified in this document.

The EPP domain name mapping [RFC5731] is designed for the steady-state operation of a registry. During a launch period, the model in place may be different from what is defined in the EPP domain name mapping [RFC5731]. For example, registries often accept multiple applications for the same domain name during the "Sunrise" launch phase, referred to as a Launch Application. A Launch Registration refers to a registration made during a launch phase when the server uses a "first-come, first-served" model. Even in a "first-come, first-served" model, additional steps and information might be required, such as trademark information. In addition, RFC 7848 [RFC7848] defines a registry interface for the Trademark Claims or "claims" launch phase that includes support for presenting a Trademark Claims Notice to the Registrant. This document proposes an extension to the domain name mapping in order to provide a uniform interface for the management of Launch Applications and Launch Registrations in launch phases.

1.1. Conventions Used in This Document

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in RFC 2119 [RFC2119].

XML is case sensitive. Unless stated otherwise, XML specifications and examples provided in this document MUST be interpreted in the character case presented in order to develop a conforming implementation.

In examples, "C:" represents lines sent by a protocol client and "S:" represents lines returned by a protocol server. Indentation and white space in examples are provided only to illustrate element relationships and are not a REQUIRED feature of this protocol. The use of "..." is used as shorthand for elements defined outside this document.

A Launch Registration is a domain name registration during a launch phase when the server uses a "first-come, first-served" model. Only

a single registration for a domain name can exist in the server at a time.

A Launch Application represents the intent to register a domain name during a launch phase when the server accepts multiple applications for a domain name and the server later selects one of the applications to allocate as a registration. Many Launch Applications for a domain name can exist in the server at a time.

The XML namespace prefix "launch" is used for the namespace "urn:ietf:params:xml:ns:launch-1.0", but implementations MUST NOT depend on it and instead employ a proper namespace-aware XML parser and serializer to interpret and output the XML documents.

The XML namespace prefix "smd" is used for the [RFC7848] namespace "urn:ietf:params:xml:ns:signedMark-1.0", but implementations MUST NOT depend on it and instead employ a proper namespace-aware XML parser and serializer to interpret and output the XML documents.

The XML namespace prefix "mark" is used for the [RFC7848] namespace "urn:ietf:params:xml:ns:mark-1.0", but implementations MUST NOT depend on it and instead employ a proper namespace-aware XML parser and serializer to interpret and output the XML documents.

2. Object Attributes

This extension adds additional elements to the EPP domain name mapping [RFC5731]. Only those new elements are described here.

2.1. Application Identifier

Servers MAY allow multiple applications, referred to as a Launch Application, of the same domain name during its launch phase operations. Upon receiving a valid <domain:create> command to create a Launch Application, the server MUST create an application object corresponding to the request, assign an application identifier for the Launch Application, set the [RFC5731] pendingCreate status, and return the application identifier to the client with the <launch:applicationID> element. In order to facilitate correlation, all subsequent launch operations on the Launch Application MUST be qualified by the previously assigned application identifier using the <launch:applicationID> element.

2.2. Validator Identifier

The Validator Identifier is the identifier unique to the server, for a Trademark Validator that validates marks and has a repository of validated marks. The OPTIONAL "validatorID" attribute is used to

define the Validator Identifier of the Trademark Validator. Registries MAY support more than one Third Party Trademark Validator. The unique set of Validator Identifier values supported by the server is up to server policy. The Internet Corporation for Assigned Names and Numbers (ICANN) Trademark Clearinghouse (TMCH) is the default Trademark Validator and is reserved the Validator Identifier of "tmch". If the ICANN TMCH is not used or multiple Trademark Validators are used, the Validator Identifier MUST be defined using the "validatorID" attribute.

The Validator Identifier MAY be related to one or more issuer identifiers of the <mark:id> element and the <smd:id> element defined in [RFC7848]. Both the Validator Identifier and the Issuer Identifier used MUST be unique in the server. If the ICANN TMCH is not used or multiple Trademark Validators are used, the server MUST define the list of supported validator identifiers and MUST make this information available to clients using a mutually acceptable, out-of-band mechanism.

The Validator Identifier may define a non-Trademark Validator that supports a form of claims, where claims and a Validator Identifier can be used for purposes beyond trademarks.

2.3. Launch Phases

The server MAY support multiple launch phases sequentially or simultaneously. The <launch:phase> element MUST be included by the client to define the target launch phase of the command. The server SHOULD validate the phase and MAY validate the sub-phase of the <launch:phase> element against the active phase and OPTIONAL sub-phase of the server, and return an EPP error result code of 2306 if there is a mismatch.

The following launch phase values are defined:

- sunrise: The phase during which trademark holders can submit registrations or applications with trademark information that can be validated by the server.
- landrush: A post-Sunrise phase when non-trademark holders are allowed to register domain names with steps taken to address a large volume of initial registrations.
- claims: The phase, as defined in the Section 2.3.1, in which a Claims Notice must be displayed to a prospective registrant of a domain name that matches trademarks.
- open: A phase that is also referred to as "steady state". Servers may require additional trademark protection during this phase.
- custom: A custom server launch phase that is defined using the "name" attribute.

For extensibility, the <launch:phase> element includes an OPTIONAL "name" attribute that can define a sub-phase, or the full name of the phase when the <launch:phase> element has the "custom" value. For example, the "claims" launch phase could have two sub-phases that include "landrush" and "open".

Launch phases MAY overlap to support the "claims" launch phase, defined in the Section 2.3.1, and to support a traditional "landrush" launch phase. The overlap of the "claims" and "landrush" launch phases SHOULD be handled by setting "claims" as the <launch:phase> value and setting "landrush" as the sub-phase with the "name" attribute. For example, the <launch:phase> element should be <launch:phase name="landrush">claims</launch:phase>.

2.3.1. Trademark Claims Phase

The Trademark Claims Phase is when a Claims Notice must be displayed to a prospective registrant of a domain name that matches trademarks. See [I-D.ietf-regext-tmch-func-spec] for additional details of trademark claims handling. The source of the trademarks is a Trademark Validator and the source of the Claims Notice information is a Claim Notice Information Service (CNIS), which may be directly linked to a Trademark Validator. The client interfaces with the server to determine if a trademark exists for a domain name, interfaces with a CNIS to get the Claims Notice information, and interfaces with the server to pass the Claims Notice acceptance information in a create command. This document supports the Trademark Claims Phase in two ways including:

Claims Check Form: Is defined in Section 3.1.1 and is used to determine whether or not there are any matching trademarks for a domain name. If there is at least one matching trademark that exists for the domain name, a claims key is returned. The mapping of domain names and the claims keys is based on an out-of-band interface between the server and the Trademark Validator. The CNIS associated with the claims key Validator Identifier (Section 2.2) MUST accept the claims key as the basis for retrieving the claims information.

Claims Create Form: Is defined in Section 3.3.2 and is used to pass the Claims Notice acceptance information in a create command. The notice identifier (<launch:noticeID>) format, validation rules, and server processing is up to the interface between the server and the Trademark Validator. The CNIS associated with the Validator Identifier (Section 2.2) MUST generate a notice identifier compliant with the <launch:noticeID> element.

The following shows the Trademark Claims Phase registration flow:

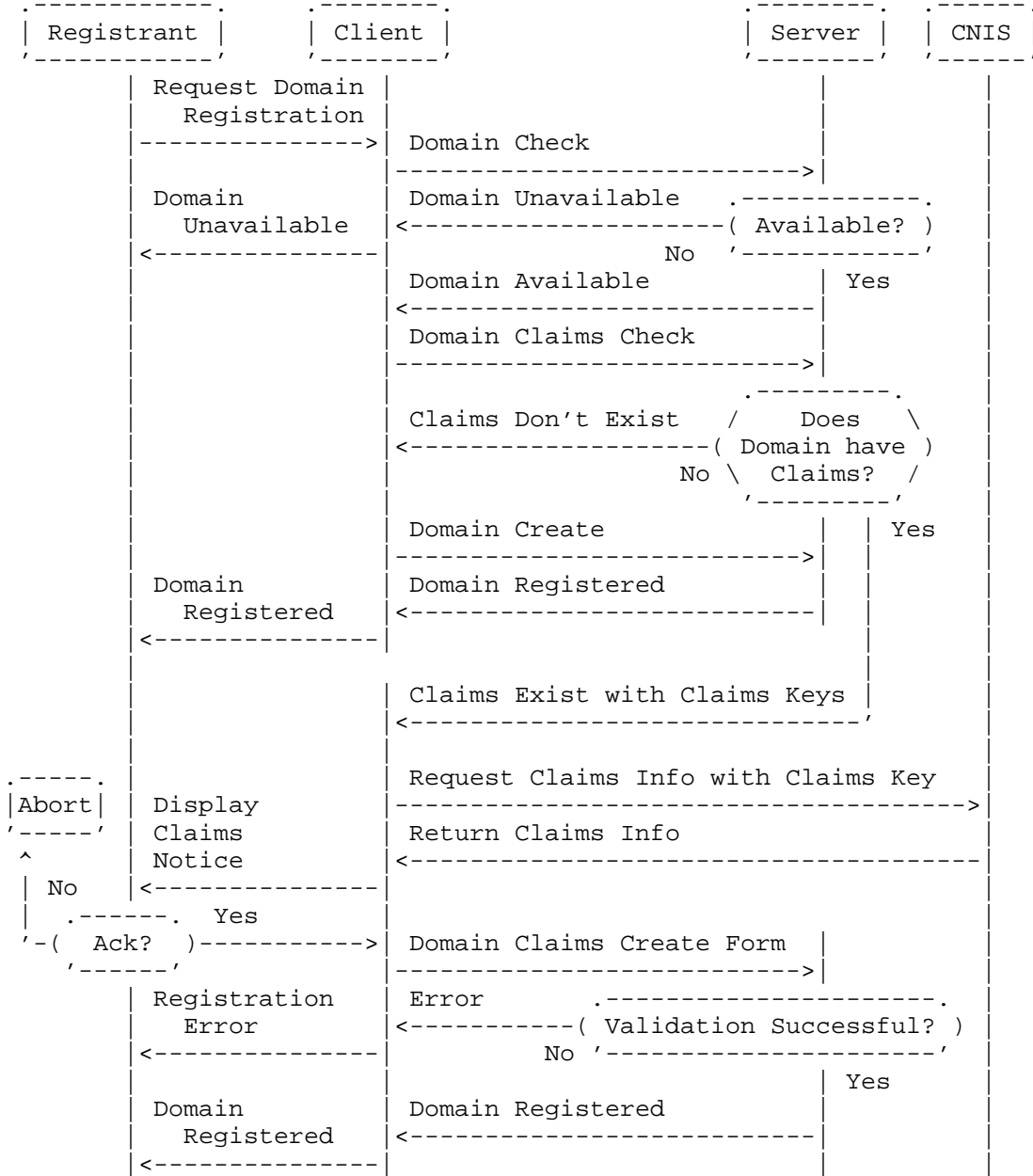


Figure 1

2.4. Status Values

A Launch Application or Launch Registration object MAY have a launch status value. The <launch:status> element is used to convey the launch status pertaining to the object, beyond what is specified in the object mapping. A Launch Application or Launch Registration MUST set the [RFC5731] "pendingCreate" status if a launch status is supported and the launch status is not one of the final statuses ("allocated" and "rejected").

The following status values are defined using the required "s" attribute:

- pendingValidation: The initial state of a newly-created application or registration object. The application or registration requires validation, but the validation process has not yet completed.
- validated: The application or registration meets relevant registry rules.
- invalid: The application or registration does not validate according to registry rules. Server policies permitting, it may transition back into "pendingValidation" for revalidation, after modifications are made to ostensibly correct attributes that caused the validation failure.
- pendingAllocation: The allocation of the application or registration is pending based on the results of some out-of-band process (for example, an auction).
- allocated: The object corresponding to the application or registration has been provisioned. This is a possible end state of an application or registration object.
- rejected: The application or registration object was not provisioned. This is a possible end state of an application or registration object.
- custom: A custom status that is defined using the "name" attribute.

Each status value MAY be accompanied by a string of human-readable text that describes the rationale for the status applied to the object. The OPTIONAL "lang" attribute, as defined in [RFC5646], MAY be present to identify the language if the negotiated value is something other than the default value of "en" (English).

For extensibility the <launch:status> element includes an OPTIONAL "name" attribute that can define a sub-status or the full name of the status when the status value is "custom". The server SHOULD use one of the non-"custom" status values.

Status values MAY be skipped. For example, an application or registration MAY immediately start at the "allocated" status or an application or registration MAY skip the "pendingAllocation" status.

If the launch phase does not require validation of a request, an application or registration MAY immediately skip to "pendingAllocation".

2.4.1. State Transition

The transitions between the states is a matter of server policy.
 This diagram defines one possible set of permitted transitions.

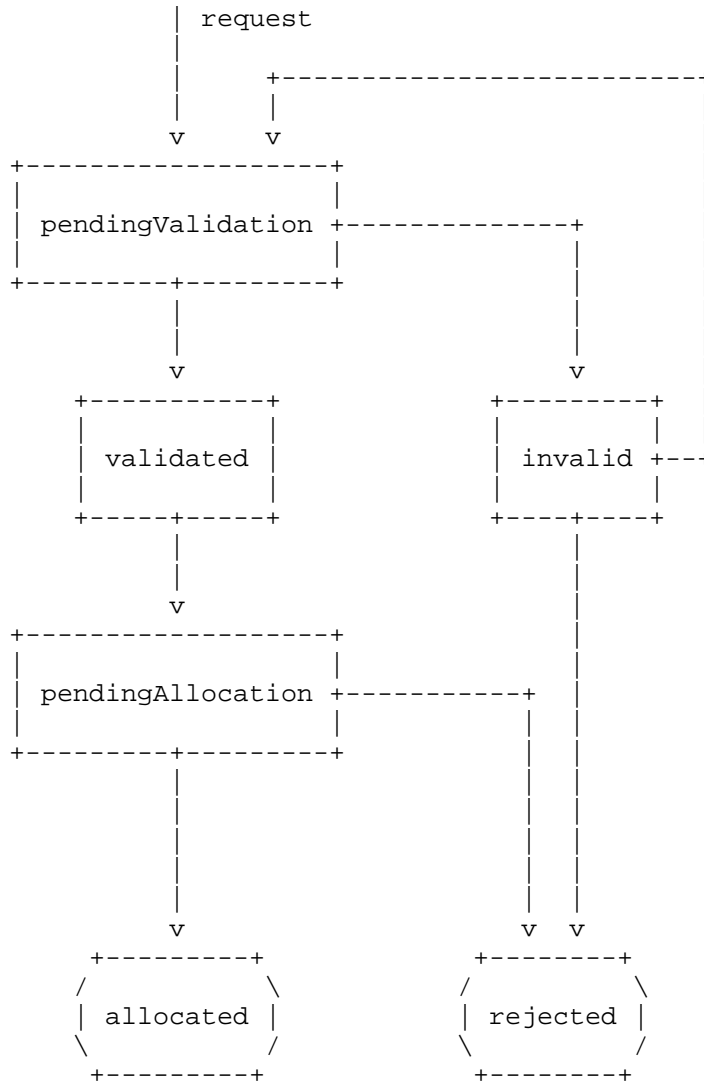


Figure 2

2.5. Poll Messaging

A Launch Application MUST be handled as an EPP domain name object as specified in RFC 5731 [RFC5731], with the "pendingCreate" status and with the launch status values defined in Section 2.4. A Launch Registration MUST be handled as an EPP domain name object as specified in RFC 5731 [RFC5731], with the "pendingCreate" status and with the launch status values defined in Section 2.4. As a Launch Application or Launch Registration transitions between the status values defined in Section 2.4, the server SHOULD insert poll messages, per [RFC5730], for the applicable intermediate statuses, including the "pendingValidation", "validated", "pendingAllocation", and "invalid" statuses, using the <domain:infData> element with the <launch:infData> extension. The <domain:infData> element MAY contain non-mandatory information, like contact and name server information. Also, further extensions that would normally be included in the response of a <domain:info> command, per [RFC5731], MAY be included. For the final statuses, including the "allocated" and "rejected" statuses, the server MUST insert a <domain:panData> poll message, per [RFC5731], with the <launch:infData> extension.

The following is an example poll message for a Launch Application that has transitioned to the "pendingAllocation" state.

```
S:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
S:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
S:  <response>
S:    <result code="1301">
S:      <msg>Command completed successfully; ack to dequeue</msg>
S:    </result>
S:    <msgQ count="5" id="12345">
S:      <qDate>2013-04-04T22:01:00.0Z</qDate>
S:      <msg>Application pendingAllocation.</msg>
S:    </msgQ>
S:    <resData>
S:      <domain:infData
S:        xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
S:        <domain:name>domain.example</domain:name>
S:        ...
S:      </domain:infData>
S:    </resData>
S:    <extension>
S:      <launch:infData
S:        xmlns:launch="urn:ietf:params:xml:ns:launch-1.0">
S:        <launch:phase>sunrise</launch:phase>
S:        <launch:applicationID>abc123</launch:applicationID>
S:        <launch:status s="pendingAllocation"/>
S:      </launch:infData>
S:    </extension>
S:    <trID>
S:      <clTRID>ABC-12345</clTRID>
S:      <svTRID>54322-XYZ</svTRID>
S:    </trID>
S:  </response>
S:</epp>
```

The following is an example <domain:panData> poll message for an "allocated" Launch Application.

```
S:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
S:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
S:  <response>
S:    <result code="1301">
S:      <msg>Command completed successfully; ack to dequeue</msg>
S:    </result>
S:    <msgQ count="5" id="12345">
S:      <qDate>2013-04-04T22:01:00.0Z</qDate>
S:      <msg>Application successfully allocated.</msg>
S:    </msgQ>
S:    <resData>
S:      <domain:panData
S:        xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
S:        <domain:name paResult="1">domain.example</domain:name>
S:        <domain:paTRID>
S:          <clTRID>ABC-12345</clTRID>
S:          <svTRID>54321-XYZ</svTRID>
S:        </domain:paTRID>
S:        <domain:paDate>2013-04-04T22:00:00.0Z</domain:paDate>
S:      </domain:panData>
S:    </resData>
S:    <extension>
S:      <launch:infData
S:        xmlns:launch="urn:ietf:params:xml:ns:launch-1.0">
S:        <launch:phase>sunrise</launch:phase>
S:        <launch:applicationID>abc123</launch:applicationID>
S:        <launch:status s="allocated"/>
S:      </launch:infData>
S:    </extension>
S:    <trID>
S:      <clTRID>BCD-23456</clTRID>
S:      <svTRID>65432-WXY</svTRID>
S:    </trID>
S:  </response>
S:</epp>
```

The following is an example <domain:panData> poll message for an "allocated" Launch Registration.

```
S:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
S:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
S:  <response>
S:    <result code="1301">
S:      <msg>Command completed successfully; ack to dequeue</msg>
S:    </result>
S:    <msgQ count="5" id="12345">
S:      <qDate>2013-04-04T22:01:00.0Z</qDate>
S:      <msg>Registration successfully allocated.</msg>
S:    </msgQ>
S:    <resData>
S:      <domain:panData
S:        xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
S:        <domain:name paResult="1">domain.example</domain:name>
S:        <domain:paTRID>
S:          <clTRID>ABC-12345</clTRID>
S:          <svTRID>54321-XYZ</svTRID>
S:        </domain:paTRID>
S:        <domain:paDate>2013-04-04T22:00:00.0Z</domain:paDate>
S:      </domain:panData>
S:    </resData>
S:    <extension>
S:      <launch:infData
S:        xmlns:launch="urn:ietf:params:xml:ns:launch-1.0">
S:        <launch:phase>sunrise</launch:phase>
S:        <launch:status s="allocated"/>
S:      </launch:infData>
S:    </extension>
S:    <trID>
S:      <clTRID>BCD-23456</clTRID>
S:      <svTRID>65432-WXY</svTRID>
S:    </trID>
S:  </response>
S:</epp>
```

2.6. Mark Validation Models

A server MUST support at least one of the following models for validating trademark information:

- code: Use of a mark code by itself to validate that the mark matches the domain name. This model is supported using the <launch:codeMark> element with just the <launch:code> element.
- mark: The mark information is passed without any other validation element. The server will use some custom form of validation to

validate that the mark information is authentic. This model is supported using the <launch:codeMark> element with just the <mark:mark> (Section 2.6.2) element.

code with mark: A code is used along with the mark information by the server to validate the mark utilizing an external party. The code represents some form of secret that matches the mark information passed. This model is supported using the <launch:codeMark> element that contains both the <launch:code> and the <mark:mark> (Section 2.6.2) elements.

signed mark: The mark information is digitally signed as described in the Digital Signature (Section 2.6.3) section. The digital signature can be directly validated by the server using the public key of the external party that created the signed mark using its private key. This model is supported using the <smd:signedMark> (Section 2.6.3.1) and <smd:encodedSignedMark> (Section 2.6.3.2) elements.

More than one <launch:codeMark>, <smd:signedMark> (Section 2.6.3.1), or <smd:encodedSignedMark> (Section 2.6.3.2) element MAY be specified. The maximum number of marks per domain name is up to server policy.

2.6.1. <launch:codeMark> element

The <launch:codeMark> element is used by the "code", "mark", and "code with mark" validation models, has the following child elements:

<launch:code>: OPTIONAL mark code used to validate the <mark:mark> (Section 2.6.2) information. The mark code is be a mark-specific secret that the server can verify against a third party. The OPTIONAL "validatorID" attribute is the Validator Identifier (Section 2.2) whose value indicates which Trademark Validator that the code originated from, with no default value.

<mark:mark>: OPTIONAL mark information with child elements defined in the Mark (Section 2.6.2) section.

The following is an example <launch:codeMark> element with both a <launch:code> and <mark:mark> (Section 2.6.2) element.

```
<launch:codeMark>
  <launch:code validatorID="sample">
    49FD46E6C4B45C55D4AC</launch:code>
  <mark:mark xmlns:mark="urn:ietf:params:xml:ns:mark-1.0">
    ...
  </mark:mark>
</launch:codeMark>
```

2.6.2. <mark:mark> element

A <mark:mark> element describes an applicant's prior right to a given domain name that is used with the "mark", "mark with code", and the "signed mark" validation models. The <mark:mark> element is defined in [RFC7848]. A new mark format can be supported by creating a new XML schema for the mark that has an element that substitutes for the <mark:abstractMark> element from [RFC7848].

2.6.3. Digital Signature

Digital signatures MAY be used by the server to validate the mark information, when using the "signed mark" validation model with the <smd:signedMark> (Section 2.6.3.1) element and the <smd:encodedSignedMark> (Section 2.6.3.2) element. When using digital signatures the server MUST validate the digital signature.

2.6.3.1. <smd:signedMark> element

The <smd:signedMark> element contains the digitally signed mark information. The <smd:signedMark> element is defined in [RFC7848]. A new signed mark format can be supported by creating a new XML schema for the signed mark that has an element that substitutes for the <smd:abstractSignedMark> element from [RFC7848].

2.6.3.2. <smd:encodedSignedMark> element

The <smd:encodedSignedMark> element contains an encoded form of the digitally signed <smd:signedMark> (Section 2.6.3.1) element. The <smd:encodedSignedMark> element is defined in [RFC7848]. A new encoded signed mark format can be supported by creating a new XML schema for the encoded signed mark that has an element that substitutes for the <smd:encodedSignedMark> element from [RFC7848].

3. EPP Command Mapping

A detailed description of the EPP syntax and semantics can be found in the EPP core protocol specification [RFC5730]. The command mappings described here are specifically for use in the Launch Phase Extension.

This mapping is designed to be flexible, requiring only a minimum set of required elements.

While it is meant to serve several use cases, it does not prescribe any interpretation by the client or server. Such processing is typically highly policy-dependent and therefore specific to implementations.

Operations on application objects are done via one or more of the existing EPP verbs defined in the EPP domain name mapping [RFC5731]. Registries MAY choose to support a subset of the operations.

3.1. EPP <check> Command

There are three forms of the extension to the EPP <check> command: the Claims Check Form (Section 3.1.1), the Availability Check Form (Section 3.1.2), and the Trademark Check Form (Section 3.1.3). The <launch:check> element "type" attribute defines the form, with the value of "claims" for the Claims Check Form (Section 3.1.1), with the value of "avail" for the Availability Check Form (Section 3.1.2), and with the value of "trademark" for the Trademark Check Form (Section 3.1.3). The default value of the "type" attribute is "claims". The forms supported by the server is determined by server policy. The server MUST return an EPP error result code of 2307 if it receives a check form that is not supported.

3.1.1. Claims Check Form

The Claims Check Form defines a new command called the Claims Check Command that is used to determine whether or not there are any matching trademarks, in the specified launch phase, for each domain name passed in the command, that requires the use of the "Claims Create Form" on a Domain Create Command. The availability check information defined in the EPP domain name mapping [RFC5731] MUST NOT be returned for the Claims Check Command. This form is the default form and MAY be explicitly identified by setting the <launch:check> "type" attribute to "claims".

Instead of returning whether the domain name is available, the Claims Check Command will return whether or not at least one matching trademark exists for the domain name, that requires the use of the "Claims Create Form" on a Domain Create Command. If there is at least one matching trademark that exists for the domain name, a <launch:claimKey> element is returned. The client MAY then use the value of the <launch:claimKey> element to obtain information needed to generate the Trademark Claims Notice from Trademark Validator based on the Validator Identifier (Section 2.2). The unique notice identifier of the Trademark Claims Notice MUST be passed in the <launch:noticeID> element of the extension to the Create Command (Section 3.3).

The <domain:name> elements in the EPP <check> command of EPP domain name mapping [RFC5731] define the domain names to check for matching trademarks. The <launch:check> element contains the following child elements:

<launch:phase>: Contains the value of the active launch phase of the server. The server SHOULD validate the value according to Section 2.3.

Example Claims Check command using the <check> domain command and the <launch:check> extension with the "type" explicitly set to "claims", to determine if "domain1.example", "domain2.example", and "domain3.example" require claims notices during the "claims" launch phase:

```
C:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
C:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
C:  <command>
C:    <check>
C:      <domain:check
C:        xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
C:          <domain:name>domain1.example</domain:name>
C:          <domain:name>domain2.example</domain:name>
C:          <domain:name>domain3.example</domain:name>
C:        </domain:check>
C:      </check>
C:    <extension>
C:      <launch:check
C:        xmlns:launch="urn:ietf:params:xml:ns:launch-1.0"
C:        type="claims">
C:          <launch:phase>claims</launch:phase>
C:        </launch:check>
C:      </extension>
C:    <clTRID>ABC-12345</clTRID>
C:  </command>
C:</epp>
```

If the <check> command has been processed successfully, the EPP <response> MUST contain an <extension> <launch:chkData> element that identifies the launch namespace. The <launch:chkData> element contains the following child elements:

<launch:phase>: The phase that mirrors the <launch:phase> element included in the <launch:check>.

<launch:cd>: One or more <launch:cd> elements that contain the following child elements:

<launch:name>: Contains the fully qualified name of the queried domain name. This element MUST contain an "exists" attribute whose value indicates if a matching trademark exists for the domain name that requires the use of the "Claims Create Form" on a Domain Create Command. A value of "1" (or "true") means

that a matching trademark does exist and that the "Claims Create Form" is required on a Domain Create Command. A value of "0" (or "false") means that a matching trademark does not exist or that the "Claims Create Form" is NOT required on a Domain Create Command.

<launch:claimKey>: Zero or more OPTIONAL claim keys that MAY be passed to a third-party Trademark Validator such as the ICANN Trademark Clearinghouse (TMCH) for querying the information needed to generate a Trademark Claims Notice. The <launch:claimKey> is used as the key for the query in place of the domain name to securely query the service without using a well-known value like a domain name. The OPTIONAL "validatorID" attribute is the Validator Identifier (Section 2.2) whose value indicates which Trademark Validator to query for the Claims Notice information, with the default being the ICANN TMCH. The "validatorID" attribute MAY reference a non-trademark claims clearinghouse identifier to support other forms of claims notices.

Example Claims Check response when a claims notice is not required for the domain name domain1.example, a claims notice is required for the domain name domain2.example in the "tmch", and a claims notice is required for the domain name domain3.example in the "tmch" and "custom-tmch", for the "claims" launch phase:

```
S:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
S:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
S:  <response>
S:    <result code="1000">
S:      <msg>Command completed successfully</msg>
S:    </result>
S:    <extension>
S:      <launch:chkData
S:        xmlns:launch="urn:ietf:params:xml:ns:launch-1.0">
S:        <launch:phase>claims</launch:phase>
S:        <launch:cd>
S:          <launch:name exists="0">domain1.example</launch:name>
S:        </launch:cd>
S:        <launch:cd>
S:          <launch:name exists="1">domain2.example</launch:name>
S:          <launch:claimKey validatorID="tmch">
S:            2013041500/2/6/9/rJlNrDO92vDsAzf7EQzgjX4R000000001
S:          </launch:claimKey>
S:        </launch:cd>
S:        <launch:cd>
S:          <launch:name exists="1">domain3.example</launch:name>
S:          <launch:claimKey validatorID="tmch">
S:            2013041500/2/6/9/rJlNrDO92vDsAzf7EQzgjX4R000000001
S:          </launch:claimKey>
S:          <launch:claimKey validatorID="custom-tmch">
S:            20140423200/1/2/3/rJlNr2vDsAzasdff7EasdfgjX4R000000002
S:          </launch:claimKey>
S:        </launch:cd>
S:      </launch:chkData>
S:    </extension>
S:    <trID>
S:      <clTRID>ABC-12345</clTRID>
S:      <svTRID>54321-XYZ</svTRID>
S:    </trID>
S:  </response>
S:</epp>
```

3.1.2. Availability Check Form

The Availability Check Form defines additional elements to extend the EPP <check> command described in the EPP domain name mapping [RFC5731]. No additional elements are defined for the EPP <check>

response. This form MUST be identified by setting the <launch:check> "type" attribute to "avail".

The EPP <check> command is used to determine if an object can be provisioned within a repository. Domain names may be made available only in unique launch phases, whilst remaining unavailable for concurrent launch phases. In addition to the elements expressed in the <domain:check>, the command is extended with the <launch:check> element that contains the following child elements:

<launch:phase>: The launch phase to which domain name availability should be determined. The server SHOULD validate the value and return an EPP error result code of 2306 if it is invalid.

Example Availability Check Form command using the <check> domain command and the <launch:check> extension with the "type" set to "avail", to determine the availability of two domain names in the "idn-release" custom launch phase:

```
C:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
C:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
C:  <command>
C:    <check>
C:      <domain:check
C:        xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
C:          <domain:name>domain1.example</domain:name>
C:          <domain:name>domain2.example</domain:name>
C:        </domain:check>
C:      </check>
C:    <extension>
C:      <launch:check
C:        xmlns:launch="urn:ietf:params:xml:ns:launch-1.0"
C:        type="avail">
C:        <launch:phase name="idn-release">custom</launch:phase>
C:      </launch:check>
C:    </extension>
C:    <clTRID>ABC-12345</clTRID>
C:  </command>
C:</epp>
```

The Availability Check Form does not define any extension to the response of an <check> domain command. After processing the command, the server replies with a standard EPP response as defined in the EPP domain name mapping [RFC5731].

3.1.3. Trademark Check Form

The Trademark Check Form defines a new command called the Trademark Check Command that is used to determine whether or not there are any matching trademarks for each domain name passed in the command, independent of the active launch phase of the server and whether the "Claims Create Form" is required on a Domain Create Command. The availability check information defined in the EPP domain name mapping [RFC5731] MUST NOT be returned for the Trademark Check Command. This form MUST be identified by setting the <launch:check> "type" attribute to "trademark".

Instead of returning whether the domain name is available, the Trademark Check Command will return whether or not at least one matching trademark exists for the domain name. If there is at least one matching trademark that exists for the domain name, a <launch:claimKey> element is returned. The client MAY then use the value of the <launch:claimKey> element to obtain Trademark Claims Notice information from Trademark Validator based on the Validator Identifier (Section 2.2).

The <domain:name> elements in the EPP <check> command of EPP domain name mapping [RFC5731] define the domain names to check for matching trademarks. The <launch:check> element does not contain any child elements with the "Trademark Check Form":

Example Trademark Check command using the <check> domain command and the <launch:check> extension with the "type" set to "trademark", to determine if "domain1.example", "domain2.example", and "domain3.example" have any matching trademarks:

```
C:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
C:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
C:  <command>
C:    <check>
C:      <domain:check
C:        xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
C:          <domain:name>domain1.example</domain:name>
C:          <domain:name>domain2.example</domain:name>
C:          <domain:name>domain3.example</domain:name>
C:        </domain:check>
C:      </check>
C:    <extension>
C:      <launch:check
C:        xmlns:launch="urn:ietf:params:xml:ns:launch-1.0"
C:        type="trademark"/>
C:      </extension>
C:    <clTRID>ABC-12345</clTRID>
C:  </command>
C:</epp>
```

If the <check> command has been processed successfully, the EPP <response> MUST contain an <extension> <launch:chkData> element that identifies the launch namespace. The <launch:chkData> element contains the following child elements:

<launch:cd>: One or more <launch:cd> elements that contain the following child elements:

<launch:name>: Contains the fully qualified name of the queried domain name. This element MUST contain an "exists" attribute whose value indicates if a matching trademark exists for the domain name. A value of "1" (or "true") means that a matching trademark does exist. A value of "0" (or "false") means that a matching trademark does not exist.

<launch:claimKey>: Zero or more OPTIONAL claim keys that MAY be passed to a third-party Trademark Validator such as the ICANN Trademark Clearinghouse (TMCH) for querying the information needed to generate a Trademark Claims Notice. The <launch:claimKey> is used as the key for the query in place of the domain name to securely query the service without using a well-known value like a domain name. The OPTIONAL "validatorID" attribute is the Validator Identifier

(Section 2.2) whose value indicates which Trademark Validator to query for the Claims Notice information, with the default being the ICANN TMCH. The "validatorID" attribute MAY reference a non-trademark claims clearinghouse identifier to support other forms of claims notices.

Example Trademark Check response when no matching trademarks are found for the domain name domain1.example, matching trademarks are found for the domain name domain2.example in the "tmch", matching trademarks are found for domain name domain3.example in the "tmch" and "custom-tmch", for the "claims" launch phase:

```
S:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
S:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
S:  <response>
S:    <result code="1000">
S:      <msg>Command completed successfully</msg>
S:    </result>
S:    <extension>
S:      <launch:chkData
S:        xmlns:launch="urn:ietf:params:xml:ns:launch-1.0">
S:        <launch:cd>
S:          <launch:name exists="0">domain1.example</launch:name>
S:        </launch:cd>
S:        <launch:cd>
S:          <launch:name exists="1">domain2.example</launch:name>
S:          <launch:claimKey validatorID="tmch">
S:            2013041500/2/6/9/rJ1NrDO92vDsAzf7EQzgjX4R000000001
S:          </launch:claimKey>
S:        </launch:cd>
S:        <launch:cd>
S:          <launch:name exists="1">domain3.example</launch:name>
S:          <launch:claimKey validatorID="tmch">
S:            2013041500/2/6/9/rJ1NrDO92vDsAzf7EQzgjX4R000000001
S:          </launch:claimKey>
S:          <launch:claimKey validatorID="custom-tmch">
S:            20140423200/1/2/3/rJ1Nr2vDsAzasdff7EasdfgjX4R000000002
S:          </launch:claimKey>
S:        </launch:cd>
S:      </launch:chkData>
S:    </extension>
S:    <trID>
S:      <clTRID>ABC-12345</clTRID>
S:      <svTRID>54321-XYZ</svTRID>
S:    </trID>
S:  </response>
S:</epp>
```

3.2. EPP <info> Command

This extension defines additional elements to extend the EPP <info> command and response to be used in conjunction with the EPP domain name mapping [RFC5731].

The EPP <info> command is used to retrieve information for a launch phase registration or application. The Application Identifier (Section 2.1) returned in the <launch:creData> element of the create response (Section 3.3) can be used for retrieving information for a Launch Application. A <launch:info> element is sent along with the regular <info> domain command. The <launch:info> element includes an OPTIONAL "includeMark" boolean attribute, with a default value of "false", to indicate whether or not to include the mark in the response. The <launch:info> element contains the following child elements:

<launch:phase>: The phase during which the application or registration was submitted or is associated with. Server policy defines the phases that are supported. The server SHOULD validate the value and return an EPP error result code of 2306 if it is invalid.

<launch:applicationID>: OPTIONAL application identifier of the Launch Application.

Example <info> domain command with the <launch:info> extension to retrieve information for the sunrise application for domain.example and application identifier "abc123":

```
C:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
C:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
C:  <command>
C:    <info>
C:      <domain:info
C:        xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
C:          <domain:name>domain.example</domain:name>
C:        </domain:info>
C:      </info>
C:    <extension>
C:      <launch:info
C:        xmlns:launch="urn:ietf:params:xml:ns:launch-1.0">
C:          includeMark="true">
C:            <launch:phase>sunrise</launch:phase>
C:            <launch:applicationID>abc123</launch:applicationID>
C:          </launch:info>
C:        </extension>
C:      <clTRID>ABC-12345</clTRID>
C:    </command>
C:</epp>
```

Example <info> domain command with the <launch:info> extension to retrieve information for the sunrise registration for domain.example:

```
C:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
C:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
C:  <command>
C:    <info>
C:      <domain:info
C:        xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
C:          <domain:name>domain.example</domain:name>
C:        </domain:info>
C:      </info>
C:    <extension>
C:      <launch:info
C:        xmlns:launch="urn:ietf:params:xml:ns:launch-1.0">
C:          <launch:phase>sunrise</launch:phase>
C:        </launch:info>
C:      </extension>
C:      <clTRID>ABC-12345</clTRID>
C:    </command>
C:</epp>
```

If the query was successful, the server replies with a <launch:infData> element along with the regular EPP <resData>. The <launch:infData> contains the following child elements:

- <launch:phase>: The phase during which the application was submitted, or is associated with, that matches the associated <info> command <launch:phase>.
- <launch:applicationID>: OPTIONAL Application Identifier of the Launch Application.
- <launch:status>: OPTIONAL status of the Launch Application using one of the supported status values (Section 2.4).
- <mark:mark>: Zero or more <mark:mark> (Section 2.6.2) elements only if the "includeMark" attribute is "true" in the command.

Example <info> domain response using the <launch:infData> extension with the mark information:

```
S:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
S:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
S:  <response>
S:    <result code="1000">
S:      <msg>Command completed successfully</msg>
S:    </result>
S:    <resData>
S:      <domain:infData
S:        xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
S:        <domain:name>domain.example</domain:name>
S:        <domain:roid>EXAMPLE1-REP</domain:roid>
S:        <domain:status s="pendingCreate"/>
S:        <domain:registrant>jd1234</domain:registrant>
S:        <domain:contact type="admin">sh8013</domain:contact>
S:        <domain:contact type="tech">sh8013</domain:contact>
S:        <domain:clID>ClientX</domain:clID>
S:        <domain:crID>ClientY</domain:crID>
S:        <domain:crDate>2012-04-03T22:00:00.0Z</domain:crDate>
S:        <domain:authInfo>
S:          <domain:pw>2fooBAR</domain:pw>
S:        </domain:authInfo>
S:      </domain:infData>
S:    </resData>
S:    <extension>
S:      <launch:infData
S:        xmlns:launch="urn:ietf:params:xml:ns:launch-1.0">
S:        <launch:phase>sunrise</launch:phase>
S:        <launch:applicationID>abc123</launch:applicationID>
S:        <launch:status s="pendingValidation"/>
S:        <mark:mark
S:          xmlns:mark="urn:ietf:params:xml:ns:mark-1.0">
S:          ...
S:        </mark:mark>
S:      </launch:infData>
S:    </extension>
S:    <trID>
S:      <clTRID>ABC-12345</clTRID>
S:      <svTRID>54321-XYZ</svTRID>
S:    </trID>
S:  </response>
S:</epp>
```

3.3. EPP <create> Command

There are four forms of the extension to the EPP <create> command that include the Sunrise Create Form (Section 3.3.1), the Claims Create Form (Section 3.3.2), the General Create Form (Section 3.3.3), and the Mixed Create Form (Section 3.3.4). The form is dependent on the supported launch phases (Section 2.3) as defined below.

sunrise: The EPP <create> command with the "sunrise" launch phase is used to submit a registration with trademark information that can be verified by the server with the <domain:name> value. The Sunrise Create Form (Section 3.3.1) is used for the "sunrise" launch phase.

landrush: The EPP <create> command with the "landrush" launch phase MAY use the General Create Form (Section 3.3.3) to explicitly specify the phase and optionally define the expected type of object to create.

claims: The EPP <create> command with the "claims" launch phase is used to pass the information associated with the presentation and acceptance of the Claims Notice. The Claims Create Form (Section 3.3.2) is used and the General Create Form (Section 3.3.3) MAY be used for the "claims" launch phase.

open: The EPP <create> command with the "open" launch phase is undefined but the form supported is up to server policy. Use of the Claims Create Form (Section 3.3.2) MAY be used to pass the information associated with the presentation and acceptance of the Claims Notice if required for the domain name.

custom: The EPP <create> command with the "custom" launch phase is undefined but the form supported is up to server policy.

3.3.1. Sunrise Create Form

The Sunrise Create Form of the extension to the EPP domain name mapping [RFC5731] includes the verifiable trademark information that the server uses to match against the domain name to authorize the domain create. A server MUST support one of four models in Claim Validation Models (Section 2.6) to verify the trademark information passed by the client.

A <launch:create> element is sent along with the regular <create> domain command. The <launch:create> element has an OPTIONAL "type" attribute that defines the expected type of object ("application" or "registration") to create. The server SHOULD validate the "type" attribute, when passed, against the type of object that will be created, and return an EPP error result code of 2306 if the type is incorrect. The <launch:create> element contains the following child elements:

<launch:phase>: The identifier for the launch phase. The server SHOULD validate the value according to Section 2.3.
<launch:codeMark> or <smd:signedMark> or <smd:encodedSignedMark>:

<launch:codeMark>: Zero or more <launch:codeMark> elements. The <launch:codeMark> child elements are defined in the <launch:codeMark> element (Section 2.6.1) section.
<smd:signedMark>: Zero or more <smd:signedMark> elements. The <smd:signedMark> child elements are defined in the <smd:signedMark> element (Section 2.6.3.1) section.
<smd:encodedSignedMark>: Zero or more <smd:encodedSignedMark> elements. The <smd:encodedSignedMark> child elements are defined in the <smd:encodedSignedMark> element (Section 2.6.3.2) section.

The following is an example <create> domain command using the <launch:create> extension, following the "code" validation model, with multiple sunrise codes:

```
C:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
C:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
C:  <command>
C:    <create>
C:      <domain:create>
C:        xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
C:          <domain:name>domain.example</domain:name>
C:          <domain:registrant>jd1234</domain:registrant>
C:          <domain:contact type="admin">sh8013</domain:contact>
C:          <domain:contact type="tech">sh8013</domain:contact>
C:          <domain:authInfo>
C:            <domain:pw>2fooBAR</domain:pw>
C:          </domain:authInfo>
C:        </domain:create>
C:      </create>
C:    <extension>
C:      <launch:create>
C:        xmlns:launch="urn:ietf:params:xml:ns:launch-1.0">
C:          <launch:phase>sunrise</launch:phase>
C:          <launch:codeMark>
C:            <launch:code validatorID="sample1">
C:              49FD46E6C4B45C55D4AC</launch:code>
C:            </launch:codeMark>
C:          <launch:codeMark>
C:            <launch:code>49FD46E6C4B45C55D4AD</launch:code>
C:          </launch:codeMark>
C:          <launch:codeMark>
C:            <launch:code validatorID="sample2">
C:              49FD46E6C4B45C55D4AE</launch:code>
C:            </launch:codeMark>
C:          </launch:create>
C:        </extension>
C:      <clTRID>ABC-12345</clTRID>
C:    </command>
C:</epp>
```

The following is an example <create> domain command using the <launch:create> extension, following the "mark" validation model, with the mark information:

```
C:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
C:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
C:  <command>
C:    <create>
C:      <domain:create
C:        xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
C:        <domain:name>domainone.example</domain:name>
C:        <domain:registrant>jd1234</domain:registrant>
C:        <domain:contact type="admin">sh8013</domain:contact>
C:        <domain:contact type="tech">sh8013</domain:contact>
C:        <domain:authInfo>
C:          <domain:pw>2fooBAR</domain:pw>
C:        </domain:authInfo>
C:      </domain:create>
C:    </create>
C:  <extension>
C:    <launch:create
C:      xmlns:launch="urn:ietf:params:xml:ns:launch-1.0">
C:      <launch:phase>sunrise</launch:phase>
C:      <launch:codeMark>
C:        <mark:mark
C:          xmlns:mark="urn:ietf:params:xml:ns:mark-1.0">
C:            ...
C:          </mark:mark>
C:        </launch:codeMark>
C:      </launch:create>
C:    </extension>
C:  <clTRID>ABC-12345</clTRID>
C: </command>
C:</epp>
```

The following is an example <create> domain command using the <launch:create> extension, following the "code with mark" validation model, with a code and mark information:

```
C:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
C:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
C:  <command>
C:    <create>
C:      <domain:create>
C:        xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
C:          <domain:name>domain.example</domain:name>
C:          <domain:registrant>jd1234</domain:registrant>
C:          <domain:contact type="admin">sh8013</domain:contact>
C:          <domain:contact type="tech">sh8013</domain:contact>
C:          <domain:authInfo>
C:            <domain:pw>2fooBAR</domain:pw>
C:          </domain:authInfo>
C:        </domain:create>
C:      </create>
C:    <extension>
C:      <launch:create>
C:        xmlns:launch="urn:ietf:params:xml:ns:launch-1.0">
C:          <launch:phase>sunrise</launch:phase>
C:          <launch:codeMark>
C:            <launch:code validatorID="sample">
C:              49FD46E6C4B45C55D4AC</launch:code>
C:            <mark:mark>
C:              xmlns:mark="urn:ietf:params:xml:ns:mark-1.0">
C:                ...
C:            </mark:mark>
C:          </launch:codeMark>
C:        </launch:create>
C:      </extension>
C:    <clTRID>ABC-12345</clTRID>
C:  </command>
C:</epp>
```

The following is an example <create> domain command using the <launch:create> extension, following the "signed mark" validation model, with the signed mark information for a sunrise application:

```
C:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
C:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
C:  <command>
C:    <create>
C:      <domain:create>
C:        xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
C:          <domain:name>domainone.example</domain:name>
C:          <domain:registrant>jd1234</domain:registrant>
C:          <domain:contact type="admin">sh8013</domain:contact>
C:          <domain:contact type="tech">sh8013</domain:contact>
C:          <domain:authInfo>
C:            <domain:pw>2fooBAR</domain:pw>
C:          </domain:authInfo>
C:        </domain:create>
C:      </create>
C:    <extension>
C:      <launch:create>
C:        xmlns:launch="urn:ietf:params:xml:ns:launch-1.0"
C:        type="application">
C:          <launch:phase>sunrise</launch:phase>
C:          <smd:signedMark id="signedMark"
C:            xmlns:smd="urn:ietf:params:xml:ns:signedMark-1.0">
C:            ...
C:          </smd:signedMark>
C:        </launch:create>
C:      </extension>
C:    <clTRID>ABC-12345</clTRID>
C:  </command>
C:</epp>
```

The following is an example <create> domain command using the <launch:create> extension, following the "signed mark" validation model, with the base64 encoded signed mark information:

```
C:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
C:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
C:  <command>
C:    <create>
C:      <domain:create
C:        xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
C:        <domain:name>domainone.example</domain:name>
C:        <domain:registrant>jdl1234</domain:registrant>
C:        <domain:contact type="admin">sh8013</domain:contact>
C:        <domain:contact type="tech">sh8013</domain:contact>
C:        <domain:authInfo>
C:          <domain:pw>2fooBAR</domain:pw>
C:        </domain:authInfo>
C:      </domain:create>
C:    </create>
C:    <extension>
C:      <launch:create
C:        xmlns:launch="urn:ietf:params:xml:ns:launch-1.0">
C:        <launch:phase>sunrise</launch:phase>
C:        <smd:encodedSignedMark
C:          xmlns:smd="urn:ietf:params:xml:ns:signedMark-1.0">
C:          ...
C:        </smd:encodedSignedMark>
C:      </launch:create>
C:    </extension>
C:    <clTRID>ABC-12345</clTRID>
C:  </command>
C:</epp>
```

3.3.2. Claims Create Form

The Claims Create Form of the extension to the EPP domain name mapping [RFC5731] includes the information related to the registrant's acceptance of the Claims Notice.

A <launch:create> element is sent along with the regular <create> domain command. The <launch:create> element has an OPTIONAL "type" attribute that defines the expected type of object ("application" or "registration") to create. The server SHOULD validate the "type" attribute, when passed, against the type of object that will be created, and return an EPP error result code of 2306 if the type is incorrect. The <launch:create> element contains the following child elements:

<launch:phase>: Contains the value of the active launch phase of the server. The server SHOULD validate the value according to Section 2.3.

<launch:notice>: One or more <launch:notice> elements that contain the following child elements:

<launch:noticeID>: Unique notice identifier for the Claims Notice. The <launch:noticeID> element has an OPTIONAL "validatorID" attribute is the Validator Identifier (Section 2.2) whose value indicates which Trademark Validator is the source of the claims notice, with the default being the ICANN TMCH.

<launch:notAfter>: Expiry of the claims notice.

<launch:acceptedDate>: Contains the date and time that the claims notice was accepted.

The following is an example <create> domain command using the <launch:create> extension with the <launch:notice> information for the "tmch" and the "custom-tmch" validators, for the "claims" launch phase:

```
C:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
C:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
C:  <command>
C:    <create>
C:      <domain:create>
C:        xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
C:          <domain:name>domain.example</domain:name>
C:          <domain:registrar>jdl234</domain:registrar>
C:          <domain:contact type="admin">sh8013</domain:contact>
C:          <domain:contact type="tech">sh8013</domain:contact>
C:          <domain:authInfo>
C:            <domain:pw>2fooBAR</domain:pw>
C:          </domain:authInfo>
C:        </domain:create>
C:      </create>
C:    <extension>
C:      <launch:create>
C:        xmlns:launch="urn:ietf:params:xml:ns:launch-1.0">
C:          <launch:phase>claims</launch:phase>
C:          <launch:notice>
C:            <launch:noticeID validatorID="tmch">
C:              370d0b7c9223372036854775807</launch:noticeID>
C:            <launch:notAfter>2014-06-19T10:00:00.0Z
C:            </launch:notAfter>
C:            <launch:acceptedDate>2014-06-19T09:00:00.0Z
C:            </launch:acceptedDate>
C:          </launch:notice>
C:          <launch:notice>
C:            <launch:noticeID validatorID="custom-tmch">
C:              470d0b7c9223654313275808</launch:noticeID>
C:            <launch:notAfter>2014-06-19T10:00:00.0Z
C:            </launch:notAfter>
C:            <launch:acceptedDate>2014-06-19T09:00:30.0Z
C:            </launch:acceptedDate>
C:          </launch:notice>
C:        </launch:create>
C:      </extension>
C:    <clTRID>ABC-12345</clTRID>
C:  </command>
C:</epp>
```

3.3.3. General Create Form

The General Create Form of the extension to the EPP domain name mapping [RFC5731] includes the launch phase and optionally the object type to create. The OPTIONAL "type" attribute defines the expected type of object ("application" or "registration") to create. The server SHOULD validate the "type" attribute, when passed, against the type of object that will be created, and return an EPP error result code of 2306 if the type is incorrect.

A <launch:create> element is sent along with the regular <create> domain command. The <launch:create> element contains the following child elements:

<launch:phase>: Contains the value of the active launch phase of the server. The server SHOULD validate the value according to Section 2.3.

The following is an example <create> domain command using the <launch:create> extension for a "landrush" launch phase application:

```
C:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
C:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
C:  <command>
C:    <create>
C:      <domain:create
C:        xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
C:        <domain:name>domain.example</domain:name>
C:        <domain:registrant>jd1234</domain:registrant>
C:        <domain:contact type="admin">sh8013</domain:contact>
C:        <domain:contact type="tech">sh8013</domain:contact>
C:        <domain:authInfo>
C:          <domain:pw>2fooBAR</domain:pw>
C:        </domain:authInfo>
C:      </domain:create>
C:    </create>
C:    <extension>
C:      <launch:create
C:        xmlns:launch="urn:ietf:params:xml:ns:launch-1.0"
C:        type="application">
C:        <launch:phase>landrush</launch:phase>
C:      </launch:create>
C:    </extension>
C:    <clTRID>ABC-12345</clTRID>
C:  </command>
C:</epp>
```


3.3.4. Mixed Create Form

The Mixed Create Form supports a mix of the create forms, where for example the Sunrise Create Form (Section 3.3.1) and the Claims Create Form (Section 3.3.2) MAY be supported in a single command by including both the verified trademark information and the information related to the registrant's acceptance of the Claims Notice. The server MAY support the Mixed Create Form. The "custom" launch phase SHOULD be used when using the Mixed Create Form.

The following is an example <create> domain command using the <launch:create> extension, with using a mix of the Sunrise Create Form (Section 3.3.1) and the Claims Create Form (Section 3.3.2) by including both a mark and a notice:

```
C:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
C:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
C:  <command>
C:    <create>
C:      <domain:create
C:        xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
C:        <domain:name>domainone.example</domain:name>
C:        <domain:registrant>jdl234</domain:registrant>
C:        <domain:contact type="admin">sh8013</domain:contact>
C:        <domain:contact type="tech">sh8013</domain:contact>
C:        <domain:authInfo>
C:          <domain:pw>2fooBAR</domain:pw>
C:        </domain:authInfo>
C:      </domain:create>
C:    </create>
C:  <extension>
C:    <launch:create
C:      xmlns:launch="urn:ietf:params:xml:ns:launch-1.0"
C:      type="application">
C:      <launch:phase name="non-tmch-sunrise">custom</launch:phase>
C:      <launch:codeMark>
C:        <mark:mark
C:          xmlns:mark="urn:ietf:params:xml:ns:mark-1.0">
C:            ...
C:          </mark:mark>
C:        </launch:codeMark>
C:      <launch:notice>
C:        <launch:noticeID validatorID="tmch">
C:          49FD46E6C4B45C55D4AC
C:        </launch:noticeID>
C:        <launch:notAfter>2012-06-19T10:00:10.0Z
C:        </launch:notAfter>
C:        <launch:acceptedDate>2012-06-19T09:01:30.0Z
C:        </launch:acceptedDate>
C:      </launch:notice>
C:    </launch:create>
C:  </extension>
C:  <clTRID>ABC-12345</clTRID>
C: </command>
C:</epp>
```

3.3.5. Create Response

If the create was successful, the server MAY add a <launch:creData> element along to the regular EPP <resData> to indicate the server generated Application Identifier (Section 2.1), when multiple applications of a given domain name are supported; otherwise no extension is included with the regular EPP <resData>. The <launch:creData> element contains the following child elements:

<launch:phase>: The phase of the application that mirrors the <launch:phase> element included in the <launch:create>.
 <launch:applicationID>: The application identifier of the application.

An example response when multiple overlapping applications are supported by the server:

```
S:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
S:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
S:  <response>
S:    <result code="1001">
S:      <msg>Command completed successfully; action pending</msg>
S:    </result>
S:    <resData>
S:      <domain:creData
S:        xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
S:        <domain:name>domain.example</domain:name>
S:        <domain:crDate>2010-08-10T15:38:26.623854Z</domain:crDate>
S:      </domain:creData>
S:    </resData>
S:    <extension>
S:      <launch:creData
S:        xmlns:launch="urn:ietf:params:xml:ns:launch-1.0">
S:        <launch:phase>sunrise</launch:phase>
S:        <launch:applicationID>2393-9323-E08C-03B1
S:        </launch:applicationID>
S:      </launch:creData>
S:    </extension>
S:    <trID>
S:      <clTRID>ABC-12345</clTRID>
S:      <svTRID>54321-XYZ</svTRID>
S:    </trID>
S:  </response>
S:</epp>
```

3.4. EPP <update> Command

This extension defines additional elements to extend the EPP <update> command to be used in conjunction with the domain name mapping.

An EPP <update> command with the extension sent to a server that does not support launch applications will fail. A server that does not support launch applications during its launch phase **MUST** return an EPP error result code of 2102 when receiving an EPP <update> command with the extension.

Registry policies permitting, clients may update an application object by submitting an EPP <update> command along with a <launch:update> element to indicate the application object to be updated. The <launch:update> element contains the following child elements:

<launch:phase>: The phase during which the application was submitted or is associated with. The server **SHOULD** validate the value and return an EPP error result code of 2306 if it is invalid.

<launch:applicationID>: The application identifier for which the client wishes to update.

The following is an example <update> domain command with the <launch:update> extension to add and remove a name server of a sunrise application with the application identifier "abc123":

```
C:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
C:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
C:  <command>
C:    <update>
C:      <domain:update
C:        xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
C:        <domain:name>domain.example</domain:name>
C:        <domain:add>
C:          <domain:ns>
C:            <domain:hostObj>ns2.domain.example</domain:hostObj>
C:          </domain:ns>
C:        </domain:add>
C:        <domain:rem>
C:          <domain:ns>
C:            <domain:hostObj>ns1.domain.example</domain:hostObj>
C:          </domain:ns>
C:        </domain:rem>
C:      </domain:update>
C:    </update>
C:    <extension>
C:      <launch:update
C:        xmlns:launch="urn:ietf:params:xml:ns:launch-1.0">
C:        <launch:phase>sunrise</launch:phase>
C:        <launch:applicationID>abc123</launch:applicationID>
C:      </launch:update>
C:    </extension>
C:    <clTRID>ABC-12345</clTRID>
C:  </command>
C:</epp>
```

This extension does not define any extension to the response of an <update> domain command. After processing the command, the server replies with a standard EPP response as defined in the EPP domain name mapping [RFC5731].

3.5. EPP <delete> Command

This extension defines additional elements to extend the EPP <delete> command to be used in conjunction with the domain name mapping.

A client MUST NOT pass the extension on an EPP <delete> command to a server that does not support launch applications. A server that does not support launch applications during its launch phase MUST return

an EPP error result code of 2102 when receiving an EPP <delete> command with the extension.

Registry policies permitting, clients MAY withdraw an application by submitting an EPP <delete> command along with a <launch:delete> element to indicate the application object to be deleted. The <launch:delete> element contains the following child elements:

<launch:phase>: The phase during which the application was submitted or is associated with. The server SHOULD validate the value and return an EPP error result code of 2306 if it is invalid.

<launch:applicationID>: The application identifier for which the client wishes to delete.

The following is an example <delete> domain command with the <launch:delete> extension:

```
C:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
C:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
C:  <command>
C:    <delete>
C:      <domain:delete
C:        xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
C:          <domain:name>domain.example</domain:name>
C:        </domain:delete>
C:      </delete>
C:    <extension>
C:      <launch:delete
C:        xmlns:launch="urn:ietf:params:xml:ns:launch-1.0">
C:          <launch:phase>sunrise</launch:phase>
C:          <launch:applicationID>abc123</launch:applicationID>
C:        </launch:delete>
C:      </extension>
C:    <clTRID>ABC-12345</clTRID>
C:  </command>
C:</epp>
```

This extension does not define any extension to the response of a <delete> domain command. After processing the command, the server replies with a standard EPP response as defined in the EPP domain name mapping [RFC5731].

3.6. EPP <renew> Command

This extension does not define any extension to the EPP <renew> command or response described in the EPP domain name mapping [RFC5731].

3.7. EPP <transfer> Command

This extension does not define any extension to the EPP <transfer> command or response described in the EPP domain name mapping [RFC5731].

4. Formal Syntax

One schema is presented here that is the EPP Launch Phase Mapping schema.

The formal syntax presented here is a complete schema representation of the object mapping suitable for automated validation of EPP XML instances. The BEGIN and END tags are not part of the schema; they are used to note the beginning and ending of the schema for URI registration purposes.

4.1. Launch Schema

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```
BEGIN
<?xml version="1.0" encoding="UTF-8"?>
<schema
  targetNamespace="urn:ietf:params:xml:ns:launch-1.0"
  xmlns:launch="urn:ietf:params:xml:ns:launch-1.0"
  xmlns:eppcom="urn:ietf:params:xml:ns:eppcom-1.0"
  xmlns:mark="urn:ietf:params:xml:ns:mark-1.0"
  xmlns:smd="urn:ietf:params:xml:ns:signedMark-1.0"
  xmlns="http://www.w3.org/2001/XMLSchema"
  elementFormDefault="qualified"
>

  <!-- Import common element types. -->
  <import namespace="urn:ietf:params:xml:ns:eppcom-1.0"/>
  <import namespace="urn:ietf:params:xml:ns:mark-1.0"/>
  <import namespace="urn:ietf:params:xml:ns:signedMark-1.0"/>

  <annotation>
    <documentation>
      Extensible Provisioning Protocol v1.0
      domain name
      extension schema
      for the launch phase processing.
    </documentation>
  </annotation>

  <!-- Child elements found in EPP commands -->
  <element
    name="check"
    type="launch:checkType"/>
  <element
    name="info"
    type="launch:infoType"/>
  <element
    name="create"
    type="launch:createType"/>
  <element
    name="update"
    type="launch:idContainerType"/>
  <element
    name="delete"
    type="launch:idContainerType"/>

  <!-- Common container of id (identifier) element -->
  <complexType name="idContainerType">
    <sequence>
      <element
        name="phase"

```



```
        type="launch:phaseType"/>
    <element
      name="applicationID"
      type="launch:applicationIDType"/>
  </sequence>
</complexType>

<!-- Definition for application identifier -->
<simpleType name="applicationIDType">
  <restriction base="token"/>
</simpleType>

<!-- Definition for launch phase. Name is an
optional attribute used to extend the phase type.
For example, when using the phase type value
of "custom", the name can be used to specify the
custom phase. -->
<complexType name="phaseType">
  <simpleContent>
    <extension base="launch:phaseTypeValue">
      <attribute
        name="name"
        type="token"/>
    </extension>
  </simpleContent>
</complexType>

<!-- Enumeration of launch phase values -->
<simpleType name="phaseTypeValue">
  <restriction base="token">
    <enumeration value="sunrise"/>
    <enumeration value="landrush"/>
    <enumeration value="claims"/>
    <enumeration value="open"/>
    <enumeration value="custom"/>
  </restriction>
</simpleType>

<!-- Definition for the sunrise code -->
<simpleType name="codeValue">
  <restriction base="token">
    <minLength value="1"/>
  </restriction>
</simpleType>

<complexType name="codeType">
  <simpleContent>
```

```
        <extension base="launch:codeValue">
          <attribute
            name="validatorID"
            type="launch:validatorIDType"
            use="optional"/>
        </extension>
      </simpleContent>
    </complexType>

    <!-- Definition for the notice identifier -->
    <simpleType name="noticeIDValue">
      <restriction base="token">
        <minLength value="1"/>
      </restriction>
    </simpleType>

    <complexType name="noticeIDType">
      <simpleContent>
        <extension base="launch:noticeIDValue">
          <attribute
            name="validatorID"
            type="launch:validatorIDType"
            use="optional"/>
        </extension>
      </simpleContent>
    </complexType>

    <!-- Definition for the validator identifier -->
    <simpleType name="validatorIDType">
      <restriction base="token">
        <minLength value="1"/>
      </restriction>
    </simpleType>

    <!-- Possible status values for sunrise application -->
    <simpleType name="statusValueType">
      <restriction base="token">
        <enumeration value="pendingValidation"/>
        <enumeration value="validated"/>
        <enumeration value="invalid"/>
        <enumeration value="pendingAllocation"/>
        <enumeration value="allocated"/>
        <enumeration value="rejected"/>
        <enumeration value="custom"/>
      </restriction>
    </simpleType>

    <!-- Status type definition -->
```

```
<complexType name="statusType">
  <simpleContent>
    <extension base="normalizedString">
      <attribute
        name="s"
        type="launch:statusValueType"
        use="required"/>
      <attribute
        name="lang"
        type="language"
        default="en"/>
      <attribute
        name="name"
        type="token"/>
    </extension>
  </simpleContent>
</complexType>

<!-- codeMark Type that contains an optional
      code with mark information -->
<complexType name="codeMarkType">
  <sequence>
    <element
      name="code"
      type="launch:codeType"
      minOccurs="0"/>
    <element
      ref="mark:abstractMark"
      minOccurs="0"/>
  </sequence>
</complexType>

<!-- Child elements for the create command -->
<complexType name="createType">
  <sequence>
    <element
      name="phase"
      type="launch:phaseType"/>
    <choice minOccurs="0">
      <element
        name="codeMark"
        type="launch:codeMarkType"
        maxOccurs="unbounded"/>
      <element
        ref="smd:abstractSignedMark"
        maxOccurs="unbounded"/>
      <element
        ref="smd:encodedSignedMark"
```

```
        maxOccurs="unbounded"/>
    </choice>
    <element
        name="notice"
        type="launch:createNoticeType"
        minOccurs="0"
        maxOccurs="unbounded"/>
</sequence>
<attribute
    name="type"
    type="launch:objectType"/>
</complexType>

<!-- Type of launch object -->
<simpleType name="objectType">
    <restriction base="token">
        <enumeration value="application"/>
        <enumeration value="registration"/>
    </restriction>
</simpleType>

<!-- Child elements of the create notice element -->
<complexType name="createNoticeType">
    <sequence>
        <element
            name="noticeID"
            type="launch:noticeIDType"/>
        <element
            name="notAfter"
            type="dateTime"/>
        <element
            name="acceptedDate"
            type="dateTime"/>
    </sequence>
</complexType>

<!-- Child elements of check (Claims Check Command) -->
<complexType name="checkType">
    <sequence>
        <element
            name="phase"
            type="launch:phaseType"
            minOccurs="0"/>
    </sequence>
    <attribute
        name="type"
```

```
        type="launch:checkFormType"
        default="claims"/>
</complexType>

<!-- Type of check form (Claims Check or Availability Check) -->
<simpleType name="checkFormType">
  <restriction base="token">
    <enumeration value="claims"/>
    <enumeration value="avail"/>
    <enumeration value="trademark"/>
  </restriction>
</simpleType>

<!-- Child elements of info command -->
<complexType name="infoType">
  <sequence>
    <element
      name="phase"
      type="launch:phaseType"/>
    <element
      name="applicationID"
      type="launch:applicationIDType"
      minOccurs="0"/>
  </sequence>
  <attribute
    name="includeMark"
    type="boolean"
    default="false"/>
</complexType>

<!-- Child response elements. -->
<element
  name="chkData"
  type="launch:chkDataType"/>
<element
  name="creData"
  type="launch:idContainerType"/>
<element
  name="infData"
  type="launch:infDataType"/>

<!-- <check> response elements. -->
<complexType name="chkDataType">
  <sequence>
    <element
      name="phase"
```

```
        type="launch:phaseType"
        minOccurs="0"/>
    <element
        name="cd"
        type="launch:cdType"
        maxOccurs="unbounded"/>
    </sequence>
</complexType>

<complexType name="cdType">
    <sequence>
        <element
            name="name"
            type="launch:cdNameType"/>
        <element
            name="claimKey"
            type="launch:claimKeyType"
            minOccurs="0"
            maxOccurs="unbounded"/>
    </sequence>
</complexType>

<complexType name="cdNameType">
    <simpleContent>
        <extension base="eppcom:labelType">
            <attribute
                name="exists"
                type="boolean"
                use="required"/>
        </extension>
    </simpleContent>
</complexType>

<complexType name="claimKeyType">
    <simpleContent>
        <extension base="token">
            <attribute
                name="validatorID"
                type="launch:validatorIDType"
                use="optional"/>
        </extension>
    </simpleContent>
</complexType>

<!-- <info> response elements -->
<complexType name="infDataType">
    <sequence>
        <element
```

```
        name="phase"
        type="launch:phaseType"/>
<element
  name="applicationID"
  type="launch:applicationIDType"
  minOccurs="0"/>
<element
  name="status"
  type="launch:statusType"
  minOccurs="0"/>
<element
  ref="mark:abstractMark"
  minOccurs="0"
  maxOccurs="unbounded"/>
</sequence>
</complexType>

</schema>
END
```

5. IANA Considerations

5.1. XML Namespace

This document uses URNs to describe XML namespaces and XML schemas conforming to a registry mechanism described in [RFC3688].

Registration request for the launch namespace:

```
URI: urn:ietf:params:xml:ns:launch-1.0
Registrant Contact: IESG
XML: None. Namespace URIs do not represent an XML specification.
```

Registration request for the launch XML schema:

```
URI: urn:ietf:params:xml:schema:launch-1.0
Registrant Contact: IESG
XML: See the "Formal Syntax" section of this document.
```

5.2. EPP Extension Registry

The EPP extension described in this document should be registered by the IANA in the EPP Extension Registry described in [RFC7451]. The details of the registration are as follows:

```
Name of Extension: "Launch Phase Mapping for the Extensible
Provisioning Protocol (EPP)"
```

Document status: Standards Track

Reference: (insert reference to RFC version of this document)

Registrant Name and Email Address: IESG, <iesg@ietf.org>

TLDs: Any

IPR Disclosure: None

Status: Active

Notes: None

6. Implementation Status

Note to RFC Editor: Please remove this section and the reference to RFC 7942 [RFC7942] before publication.

This section records the status of known implementations of the protocol defined by this specification at the time of posting of this Internet-Draft, and is based on a proposal described in RFC 7942 [RFC7942]. The description of implementations in this section is intended to assist the IETF in its decision processes in progressing drafts to RFCs. Please note that the listing of any individual implementation here does not imply endorsement by the IETF. Furthermore, no effort has been spent to verify the information presented here that was supplied by IETF contributors. This is not intended as, and must not be construed to be, a catalog of available implementations or their features. Readers are advised to note that other implementations may exist.

According to RFC 7942 [RFC7942], "this will allow reviewers and working groups to assign due consideration to documents that have the benefit of running code, which may serve as evidence of valuable experimentation and feedback that have made the implemented protocols more mature. It is up to the individual working groups to use this information as they see fit".

6.1. Verisign EPP SDK

Organization: Verisign Inc.

Name: Verisign EPP SDK

Description: The Verisign EPP SDK includes both a full client implementation and a full server stub implementation of draft-ietf-regext-launchphase.

Level of maturity: Production

Coverage: All aspects of the protocol are implemented.

Licensing: GNU Lesser General Public License

Contact: jgould@verisign.com

URL: http://www.verisigninc.com/en_US/channel-resources/domain-registry-products/epp-sdks

6.2. Verisign Consolidated Top Level Domain (CTLD) SRS

Organization: Verisign Inc.

Name: Verisign Consolidated Top Level Domain (CTLD) Shared Registry System (SRS)

Description: The Verisign Consolidated Top Level Domain (CTLD) Shared Registry System (SRS) implements the server-side of draft-ietf-regext-launchphase for a variety of Top Level Domains (TLD's).

Level of maturity: Production

Coverage: The "signed mark" Mark Validation Model, the Claims Check Form for the EPP <check> Command, the Sunrise and Claims Forms for the EPP <create> Command of Launch Registrations and Launch Applications. For Launch Applications the Poll Messaging, the EPP <info> Command, the EPP <update> Command, and the EPP <delete> Command is covered.

Licensing: Proprietary

Contact: jgould@verisign.com

6.3. Verisign .COM / .NET SRS

Organization: Verisign Inc.

Name: Verisign .COM / .NET Shared Registry System (SRS)

Description: The Verisign Shared Registry System (SRS) for .COM, .NET and other IDN TLD's implements the server-side of draft-ietf-regext-launchphase.

Level of maturity: Operational Test Environment (OTE)

Coverage: The "signed mark" Mark Validation Model, the Claims Check Form for the EPP <check> Command, the Sunrise and Claims Forms for the EPP <create> Command of Launch Registrations.

Licensing: Proprietary

Contact: jgould@verisign.com

6.4. REngin v3.7

Organization: Domain Name Services (Pty) Ltd

Name: REngin v3.7

Description: Server side implementation only

Level of maturity: Production

Coverage: All features from version 12 have been implemented

Licensing: Proprietary Licensing with Maintenance Contracts

Contact: info@dnservices.co.za

URL: <https://www.registry.net.za> and soon <http://dnservices.co.za>

6.5. RegistryEngine EPP Service

Organization: CentralNic

Name: RegistryEngine EPP Service

Description: Generic high-volume EPP service for gTLDs, ccTLDs and SLDs

Level of maturity: Deployed in CentralNic's production environment as well as two other gTLD registry systems, and two ccTLD registry systems.

Coverage: Majority of elements including TMCH sunrise, landrush and TM claims as well as sunrise applications validated using codes.

Licensing: Proprietary In-House software

Contact: epp@centralnic.com

URL: <https://www.centralnic.com>

6.6. Neustar EPP SDK

Organization: Neustar

Name: Neustar EPP SDK

Description: The Neustar EPP SDK includes client implementation of draft-ietf-regext-launchphase in both Java and C++.

Level of maturity: Production

Coverage: All aspects of the protocol are implemented.

Licensing: GNU Lesser General Public License

Contact: trung.tran@neustar.biz

6.7. gTLD Shared Registry System

Organization: Stichting Internet Domeinnaamregistratie Nederland (SIDN)

Name: gTLD Shared Registry System

Description: The gTLD SRS implements the server side of the draft-ietf-regext-launchphase.

Level of maturity: (soon) Production

Coverage: The following parts of the draft are supported:

- Signed mark validation model using Digital Signature (Section 2.6.3)
- Claims Check Form (Section 3.1.1)
- Sunrise Create Form (Section 3.3.1)
- Claims Create Form (Section 3.3.2)

The parts of the document not described here are not implemented.

Licensing: Proprietary

Contact: rik.ribbers@sidn.nl

7. Security Considerations

The mapping extensions described in this document do not provide any security services beyond those described by EPP [RFC5730], the EPP domain name mapping [RFC5731], and protocol layers used by EPP. The

security considerations described in these other specifications apply to this specification as well.

Updates to, and deletion of an application object MUST be restricted to clients authorized to perform the said operation on the object.

Information contained within an application, or even the mere fact that an application exists may be confidential. Any attempt to operate on an application object by an unauthorized client MUST be rejected with an EPP 2201 (authorization error) return code. Server policy may allow <info> operation with filtered output by clients other than the sponsoring client, in which case the <domain:infData> and <launch:infData> response SHOULD be filtered to include only fields that are publicly accessible.

8. Acknowledgements

The authors wish to acknowledge the efforts of the leading participants of the Community TMCH Model that led to many of the changes to this document, which include Chris Wright, Jeff Neuman, Jeff Eckhaus, and Will Shorter.

Special suggestions that have been incorporated into this document were provided by Harald Alvestrand, Ben Campbell, Spencer Dawkins, Jothan Frakes, Keith Gaughan, Seth Goldman, Scott Hollenbeck, Michael Holloway, Jan Jansen, Rubens Kuhl, Mirja Kuhlewind, Warren Kumari, Ben Levac, Gustavo Lozano, Klaus Malorny, Alexander Mayrhofer, Alexey Melnikov, Patrick Mevzek, James Mitchell, Francisco Obispo, Mike O'Connell, Eric Rescoria, Bernhard Reutner-Fischer, Sabrina Tanamal, Trung Tran, Ulrich Wisser and Sharon Wodjenski.

Some of the description of the Trademark Claims Phase was based on the work done by Gustavo Lozano in the ICANN TMCH functional specifications.

9. References

9.1. Normative References

- [RFC2119] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", BCP 14, RFC 2119, DOI 10.17487/RFC2119, March 1997, <<https://www.rfc-editor.org/info/rfc2119>>.
- [RFC3688] Mealling, M., "The IETF XML Registry", BCP 81, RFC 3688, DOI 10.17487/RFC3688, January 2004, <<https://www.rfc-editor.org/info/rfc3688>>.

- [RFC5646] Phillips, A., Ed. and M. Davis, Ed., "Tags for Identifying Languages", BCP 47, RFC 5646, DOI 10.17487/RFC5646, September 2009, <<https://www.rfc-editor.org/info/rfc5646>>.
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- [RFC5731] Hollenbeck, S., "Extensible Provisioning Protocol (EPP) Domain Name Mapping", STD 69, RFC 5731, DOI 10.17487/RFC5731, August 2009, <<https://www.rfc-editor.org/info/rfc5731>>.
- [RFC7848] Lozano, G., "Mark and Signed Mark Objects Mapping", RFC 7848, DOI 10.17487/RFC7848, June 2016, <<https://www.rfc-editor.org/info/rfc7848>>.
- [RFC7942] Sheffer, Y. and A. Farrel, "Improving Awareness of Running Code: The Implementation Status Section", BCP 205, RFC 7942, DOI 10.17487/RFC7942, July 2016, <<https://www.rfc-editor.org/info/rfc7942>>.

9.2. Informative References

- [I-D.ietf-regext-tmch-func-spec]
Lozano, G., "ICANN TMCH functional specifications", draft-ietf-regext-tmch-func-spec-03 (work in progress), July 2017.
- [RFC7451] Hollenbeck, S., "Extension Registry for the Extensible Provisioning Protocol", RFC 7451, DOI 10.17487/RFC7451, February 2015, <<https://www.rfc-editor.org/info/rfc7451>>.

Appendix A. Change History

A.1. Change from 00 to 01

1. Changed to use camel case for the XML elements.
2. Replaced "cancelled" status to "rejected" status.
3. Added the child elements of the <claim> element.
4. Removed the XML schema and replaced with "[TBD]".

A.2. Change from 01 to 02

1. Added support for both the ICANN and ARI/Neustar TMCH models.
2. Changed the namespace URI and prefix to use "launch" instead of "launchphase".
3. Added definition of multiple claim validation models.

4. Added the <launch:signedClaim> and <launch:signedNotice> elements.
5. Added support for Claims Info Command

A.3. Change from 02 to 03

1. Removed XSI namespace per Keith Gaughan's suggestion on the provreg list.
2. Added extensibility to the launch:status element and added the pendingAuction status per Trung Tran's feedback on the provreg list.
3. Added support for the Claims Check Command, updated the location and contents of the signedNotice, and replaced most references of Claim to Mark based on the work being done on the ARI/Neustar launch model.

A.4. Change from 03 to 04

1. Removed references to the ICANN model.
2. Removed support for the Claims Info Command.
3. Removed use of the signedClaim.
4. Revised the method for referring to the signedClaim from the XML Signature using the IDREF URI.
5. Split the launch-1.0.xsd into three XML schemas including launch-1.0.xsd, signeMark-1.0.xsd, and mark-1.0.xsd.
6. Split the "claims" launch phase to the "claims1" and "claims2" launch phases.
7. Added support for the encodedSignedMark with base64 encoded signedMark.
8. Changed the elements in the createNoticeType to include the noticeID, timestamp, and the source elements.
9. Added the class and effectiveDate elements to mark.

A.5. Change from 04 to 05

1. Removed reference to <smd:zone> in the <smd:signedMark> example.
2. Incorporated feedback from Bernhard Reutner-Fischer on the provreg mail list.
3. Added missing launch XML prefix to applicationIDType reference in the idContainerType of the Launch Schema.
4. Added missing description of the <mark:pc> element in the <mark:addr> element.
5. Updated note on replication of the EPP contact mapping elements in the Mark Contact section.

A.6. Change from 05 to 06

1. Removed the definition of the mark-1.0 and signedMark-1.0 and replaced with reference to draft-lozano-smd, that contains the definition for the mark, signed marked, and encoded signed mark.
2. Split the <launch:timestamp> into <launch:generatedDate> and <launch:acceptedDate> based on feedback from Trung Tran.
3. Added the "includeMark" optional attribute to the <launch:info> element to enable the client to request whether or not to include the mark in the info response.
4. Fixed state diagram to remove redundant transition from "invalid" to "rejected"; thanks Klaus Malorny.

A.7. Change from 06 to 07

1. Proof-read grammar and spelling.
2. Changed "pendingAuction" status to "pendingAllocation", changed "pending" to "pendingValidation" status, per proposal from Trung Tran and seconded by Rubens Kuhl.
3. Added text related to the use of RFC 5731 pendingCreate to the Application Identifier section.
4. Added the Poll Messaging section to define the use of poll messaging for intermediate state transitions and pending action poll messaging for final state transitions.

A.8. Change from 07 to 08

1. Added support for use of the launch statuses and poll messaging for Launch Registrations based on feedback from Sharon Wodjenski and Trung Tran.
2. Incorporated changes based on updates or clarifications in draft-lozano-tmch-func-spec-01, which include:
 1. Removed the unused <launch:generatedDate> element.
 2. Removed the <launch:source> element.
 3. Added the <launch:notAfter> element based on the required <tmNotice:notAfter> element.

A.9. Change from 08 to 09

1. Made <choice> element optional in <launch:create> to allow passing just the <launch:phase> in <launch:create> per request from Ben Levac.
2. Added optional "type" attribute in <launch:create> to enable the client to explicitly define the desired type of object (application or registration) to create to all forms of the create extension.

3. Added text that the server SHOULD validate the <launch:phase> element in the Launch Phases section.
4. Add the "General Create Form" to the create command extension to support the request from Ben Levac.
5. Updated the text for the Poll Messaging section based on feedback from Klaus Malorny.
6. Replaced the "claims1" and "claims2" phases with the "claims" phase based on discussion on the provreg list.
7. Added support for a mixed create model (Sunrise Create Model and Claims Create Model), where a trademark (encoded signed mark, etc.) and notice can be passed, based on a request from James Mitchell.
8. Added text for the handling of the overlapping "claims" and "landrush" launch phases.
9. Added support for two check forms (claims check form and availability check form) based on a request from James Mitchell. The availability check form was based on the text in draft-rbp-application-epp-mapping.

A.10. Change from 09 to 10

1. Changed noticeIDType from base64Binary to token to be compatible with draft-lozano-tmch-func-spec-05.
2. Changed codeType from base64Binary to token to be more generic.
3. Updated based on feedback from Alexander Mayrhofer, which include:
 1. Changed "extension to the domain name extension" to "extension to the domain name mapping".
 2. Changed use of 2004 return code to 2306 return code when phase passed mismatches active phase and sub-phase.
 3. Changed description of "allocated" and "rejected" statuses.
 4. Moved sentence on a synchronous <domain:create> command without the use of an intermediate application, then an Application Identifier MAY not be needed to the Application Identifier section.
 5. Restructured the Mark Validation Models section to include the "<launch:codeMark> element" sub-section, the "<mark:mark> element" sub-section, and the Digital Signature sub-section.
 6. Changed "Registries may" to "Registries MAY".
 7. Changed "extensed" to "extended" in "Availability Check Form" section.
 8. Broke the mix of create forms in the "EPP <create> Command" section to a fourth "Mixed Create Form" with its own sub-section.
 9. Removed "displayed or" from "displayed or accepted" in the <launch:acceptedDate> description.

10. Replaced "given domain name is supported" with "given domain name are supported" in the "Create Response" section.
 11. Changed the reference of 2303 (object does not exist) in the "Security Considerations" section to 2201 (authorization error).
 12. Added arrow from "invalid" status to "pendingValidation" status and "pendingAllocation" status to "rejected" status in the State Transition Diagram.
4. Added the "C:" and "S:" example prefixes and related text in the "Conventions Used in This Document" section.
- A.11. Change from 10 to 11
1. Moved the claims check response <launch:chkData> element under the <extension> element instead of the <resData> element based on the request from Francisco Obispo.
- A.12. Change from 11 to 12
1. Added support for multiple validator identifiers for claims notices and marks based on a request and text provided by Mike O'Connell.
 2. Removed domain:exDate element from example in section 3.3.5 based on a request from Seth Goldman on the provreg list.
 3. Added clarifying text for clients not passing the launch extension on update and delete commands to servers that do not support launch applications based on a request from Sharon Wodjenski on the provreg list.
- A.13. Change from 12 to EPPEXT 00
1. Changed to eppext working group draft by changing draft-tan-epp-launchphase to draft-ietf-eppext-launchphase and by changing references of draft-lozano-tmch-smd to draft-ietf-eppext-tmch-smd.
- A.14. Change EPPEXT 00 to EPPEXT 01
1. Removed text associated with support for the combining of status values based on feedback from Patrick Mevzek on the provreg mailing list, discussion on the eppext mailing list, and discussion at the eppext IETF meeting on March 6, 2014.
- A.15. Change EPPEXT 01 to EPPEXT 02
1. Changed the <launch:claim> element to be zero or more elements and the <launch:notice> element to be one or more elements in the

Claims Create Form. These changes were needed to be able to support more than one concurrent claims services.

A.16. Change EPPEXT 02 to EPPEXT 03

1. Added the "Implementation Status" section based on an action item from the eppext IETF-91 meeting.
2. Moved Section 7 "IANA Considerations" and Section 9 "Security Considerations" before Section 5 "Acknowledgements". Moved "Change Log" Section to end.
3. Updated the text for the Claims Check Form and the Claims Create Form to support checking for the need of the claims notice and passing the claims notice outside of the "claims" phase.
4. Added the new Trademark Check Form to support determining whether or not a trademark exists that matches the domain name independent of whether a claims notice is required on create. This was based on a request from Trung Tran and a discussion on the eppext mailing list.

A.17. Change EPPEXT 03 to EPPEXT 04

1. Amended XML Namespace section of IANA Considerations, added EPP Extension Registry section.

A.18. Change EPPEXT 04 to EPPEXT 05

1. Added a missing comma to the descripton of the <launch:phase> element, based on feedback from Keith Gaughan on the eppext mailing list.
2. Added the SIDN implementation status information.
3. Fixed a few indentation issues in the samples.

A.19. Change EPPEXT 05 to EPPEXT 06

1. Removed duplicate "TMCH Functional Specification" URIs based on feedback from Scott Hollenbeck on the eppext mailing list.
2. Changed references of example?.tld to domain?.example to be consistent with RFC 6761 based on feedback from Scott Hollenbeck on the eppext mailing list.
3. A template was added to section 5 to register the XML schema in addition to the namespace based on feedback from Scott Hollenbeck on the eppext mailing list.

A.20. Change EPPEXT 06 to EPPEXT 07

1. Changed reference of lozano-tmch-func-spec to ietf-eppext-tmch-func-spec.

- A.21. Change from EPPEXT 07 to REGEXT 00
1. Changed to regex working group draft by changing draft-ietf-eppext-launchphase to draft-ietf-regex-launchphase and by changing references of draft-ietf-eppext-tmch-func-spec to draft-ietf-regex-tmch-func-spec.
- A.22. Change from REGEXT 00 to REGEXT 01
1. Fixed reference of Claims Check Command to Trademark Check Command in the Trademark Check Form section.
 2. Replaced reference of draft-ietf-eppext-tmch-smd to RFC 7848.
- A.23. Change from REGEXT 01 to REGEXT 02
1. Removed the reference to ietf-regex-tmch-func-spec and briefly described the trademark claims phase that is relevant to draft-ietf-regex-launchphase.
- A.24. Change from REGEXT 02 to REGEXT 03
1. Ping update.
- A.25. Change from REGEXT 03 to REGEXT 04
1. Updates based on feedback from Scott Hollenbeck that include:
 1. Nit on reference to RFC 7848 in section 1.
 2. Added reference to <domain:create> for the request to create a Launch Application in section 2.1.
 3. Removed the second paragraph of section 2.1 describing the option of creating an application identifier for a Launch Registration.
 4. Provided clarification in section 2.2 on the responsibility of the server to ensure that the supported validator identifiers are unique.
 5. Updated the text in section 2.5 referencing the domain name object in RFC 5731.
 6. Updated the copyright to 2017 in section 4.1.
- A.26. Change from REGEXT 04 to REGEXT 05
1. Updates based on feedback from Ulrich Wisser that include:
 1. Updated reference to obsoleted RFC 6982 with RFC 7942.
 2. Moved RFC 7451 reference from normative to informative.

A.27. Change from REGEXT 05 to REGEXT 06

1. Updates based on feedback from Adam Roach that include:
 1. Added an informative reference to draft-ietf-regext-tmch-func-spec in section 2.3.1 "Trademark Claims Phase".
 2. Added formal definition of a Launch Registration and Launch Application to section 1.1.
 3. Updated the description of the Validator Identifier to indicate that the uniqueness is based on server policy.
 4. Updated "Does Domain have Claims?" "No" and "Yes" branch labels in Figure 1.
 5. Updated the description of the <launch:phase> element in the commands to explicitly specify the return of a 2306 EPP error result when invalid or referring to section 2.3 for validation.
 6. Fixed indentation of the <launch:applicationID> and <launch:status> elements in the section 2.5 examples.
 7. Updated the description of the <mark:mark> element in the info response.
 8. Added returning an EPP error result code of 2306 if the "type" attribute is incorrect in section 3.3.1, 3.3.2, and 3.3.3.
 9. Made small change in the description of the Create Response in section 3.3.5.
 10. Updated the Registrant Contact in section 7 to the IESG.

A.28. Change from REGEXT 06 to REGEXT 07

1. Updates based on feedback from Mirja Kuhlewind that include:
 1. In the Security Considerations section, change must to MUST in "Updates to, and deletion of an application object MUST be restricted to clients authorized to perform the said operation on the object".
2. Updates based on feedback from Warren Kumari that include:
 1. Removed the comma from "The Validator Identifier is the identifier, that is unique..." not needed due to change from Harald Alvestrand's feedback.
3. Updates based on feedback from Alexey Melnikov that include:
 1. Added a Normative Reference to RFC 5646 for the "language" attribute.
 2. Replace identifier with identifier".
 3. Remove "for" in "Enumeration of for launch phase values"
4. Updates based on feedback from Harald Alvestrand that include:

1. Removed the references to the unused "launch-1.0", "signedMark-1.0", and "mark-1.0" abbreviations and revised the XML namespace prefix definitions for "launch", "smd", and "mark".
 2. Replace "that is unique to the server" to "unique to the server" in the Validator Identifier section.
 3. Replaced ", including the "allocated" and "rejected" statuses" with "("allocated" and "rejected")" in the Status Values section.
 4. Replaced "Is a possible end state" with "This is a possible end state" in the definition of the "allocated" and "rejected" statuses in the Status Values section.
 5. Add the preamble "The transitions between the states is a matter of server policy. This diagram defines one possible set of permitted transitions." to the State Transition diagram.
 6. Split the first sentence of the Poll Messaging section into two sentences, one for the Launch Application and one for the Launch Registration.
 7. Remove "either" and replace "or" with an "and" in the first sentence of the Digital Signature section for clarity and to be more consistent with the description of the "signed mark" validation model.
5. Updates based on feedback from Ben Campbell that include:
1. Replacement of "that" with "which" in the first sentence of the Validator Identifier section not needed due change from Harald Alvestrand's feedback.
 2. Avoid using RFC 2119 in the Launch Phases definitions, which resulted in change MAY to may in the definition of the "open" phase and MUST to must in the definition of the "claims" phase.
 3. Change "SHOULD" to "should" in the sentence "For example, the <launch:phase> element SHOULD be <launch:phase name="landrush">claims</launch:phase>".
 4. Change "MUST" to "must" in the sentence "The Trademark Claims Phase is when a Claims Notice MUST be displayed to a prospective registrant of a domain name that matches trademarks".
 5. Change "MAY" to "may" in the sentence "Claim Notice Information Service (CNIS), which MAY be directly linked to a Trademark Validator.", where MAY can be lowercase may".
 6. Remove "that" from the sentence "The <launch:codeMark> element that is used by the "code", "mark", and "code with mark" validation models, has the following child elements".
 7. Added the consistent use of colons ":" at the end of the hangText labels to address adding whitespace between hanging indent list entries.

8. Revised the first sentence, of the second paragraph, of the "EPP <update> Command" section, to read "An EPP <update> command with the extension sent to a server that does not support launch applications will fail."
 9. Revised the "The server SHOULD NOT use the "custom" status value" to "The server SHOULD use one of the non-"custom" status values" in the Status Values section.
 10. Revised "Both the Validator Identifier and the Issuer Identifier used MUST be unique" to "Both the Validator Identifier and the Issuer Identifier used MUST be unique in the server" in the Validator Identifier section.
 11. Revised "The Validator Identifier MAY define a non-Trademark Validator that supports a form of claims" to "The Validator Identifier may define a non-Trademark Validator that supports a form of claims, where claims and a Validator Identifier can be used for purposes beyond trademarks" in the Validator Identifier section.
6. Updates based on feedback from Eric Rescoria that include:
1. Replaced the duplicate Claims Check Form and Claims Create Form in the list of the two ways the document supports the Trademark Claims Phase, to refer to the section by number instead of by name.
 2. Added "The use of "..." is used as shorthand for elements defined outside this document" added to the "In examples,..." paragraph of the Conventions Used in This Document section.
 3. Added "When using digital signatures the server MUST validate the digital signature" to the Digital Signature section.
 4. Removed "post-launch" to the description of the "open" phase in the Launch Phases section.
 5. Add the sentences "Multiple launch phases and multiple models are supported to enable the launch of a domain name registry. What is supported and what is validated is up to server policy. Communication of the server policy is typically performed using an out-of-band mechanism that is not specified in this document." to the second paragraph of the Introduction section.
7. Updates based on feedback from Spencer Dawkins that include:
1. Replace "during their initial launch" with "as they begin operation" in the Introduction section.
8. Updates based on feedback from Sabrina Tanamal that include:
1. Pretty print the XML schema to address inconsistent indenting.

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Internet Engineering Task Force
Internet-Draft
Intended status: Informational
Expires: April 29, 2017

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Extensible Provisioning Protocol (EPP) China Name Verification Mapping
draft-ietf-regext-nv-mapping-00

Abstract

This document describes an Extensible Provisioning Protocol (EPP) for the provisioning and management of Name Verification (NV) stored in a shared central repository in China. Specified in XML, the mapping defines EPP command syntax and semantics as applied to name verification.

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1. Introduction

When creating a domain name which will be stored in a shared central repository, some registry administrative organizations require the verification of the domain name and the real name based on legal or policy requirements.

The domain name verification, means to verify the domain label is in compliance with laws, rules and regulations. The real name verification, means to verify that the registrant really exists and is authorized to register a domain name.

The verification of this document meets the requirements in China, but MAY be applicable outside of China.

In order to meet above requirements of the domain name registration, this document describes the Extensible Provisioning Protocol (EPP) Name Verification (NV) Mapping. This document is specified using the Extensible Markup Language (XML) 1.0 as described in [W3C.REC-xml-20040204] and XML Schema notation as described in [W3C.REC-xmlschema-1-20041028] and [W3C.REC-xmlschema-2-20041028].

The EPP core protocol specification [RFC5730] provides a complete description of EPP command and response structures. A thorough understanding of the base protocol specification is necessary to understand the mapping described in this document.

2. Terminology

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [RFC2119].

"nv-1.0" in this document is used as an abbreviation for urn:ietf:params:xml:ns:nv-1.0. The XML namespace prefix "nv" is used, but implementations MUST NOT depend on it and instead employ a proper namespace-aware XML parser and serializer to interpret and output the XML documents.

In examples, "C:" represents lines sent by a protocol client and "S:" represents lines returned by a protocol server. Indentation and white space in examples are provided only to illustrate element relationships and are not a REQUIRED feature of this specification.

XML is case sensitive. Unless stated otherwise, XML specifications and examples provided in this document MUST be interpreted in the character case presented to develop a conforming implementation.

3. Definitions and Object Attributes

3.1. Definitions

The following definitions are used in this document:

- o Domain Name Verification(DNV), represents the verification of the domain's label is in compliance with laws, rules and regulations.
- o Real Name Verification(RNV), represents the verification of the registrant(real name) is in compliance with laws, rules and regulations.
- o Name Verification(NV), represents DNV, RNV or both of them.
- o Verification Service Provider(VSP), collects the proof of materials for Name Verification(NV) and performs the verification.
- o Verification Code, which is described in [I-D.gould-eppext-verificationcode], is a formatted token, referred to as the Verification Code Token, that is digitally signed by a Verification Service Provider (VSP) using XML Signature in "W3C.CR-xmlsig-core2-20120124".
- o Signed Code, which is described in [I-D.gould-eppext-verificationcode], is the XML Signature format of the Verification Code.
- o Encoded Signed Code, which is described in [I-D.gould-eppext-verificationcode], is the "base64" encoded XML Signature format of the Verification Code.
- o Prohibited Name(PN), is a domain label that is prohibited from registration.
- o Restricted Name(RN), is a domain label that is restricted from registration. Additional information is needed during Domain Name Verification(DNV) to authorize the registration of a Restricted Name.

3.2. Object Attributes

An EPP NV object has attributes and associated values that can be viewed and modified by the sponsoring client or the server. This section describes each attribute type in detail. The formal syntax for the attribute values described here can be found in the "Formal Syntax" section of this document and in the appropriate normative references.

- o Status Values. A NV object MUST always have one associated status value. The Status value can be set only by the server. The status value MAY be accompanied by a string of human-readable text that describes the rationale for the status applied to the object. The status of an object MAY change as a result of an action performed by a server operator. Status Value Descriptions:
 - * pendingCompliant. The object verification is not complete and is pending completion. Please refer to Section 4.3 for details on handling offline review of NV objects with the pendingComplaint status.
 - * compliant. The object is in compliance with the policy.
 - * nonCompliant. The object is not in compliance with the policy.
- o Dates and Times. Date and time attribute values MUST be represented in Universal Coordinated Time (UTC) using the Gregorian calendar. The extended date-time form using upper case "T" and "Z" characters defined in [W3C.REC-xmlschema-2-20041028] MUST be used to represent date-time values, as XML Schema does not support truncated date-time forms or lower case "T" and "Z" characters.
- o Authorization Information. Authorization information is associated with NV objects to facilitate query operations. Authorization information is assigned when a NV object is created, and it might be updated in the future. This specification describes password-based authorization information, though other mechanisms are possible.

3.3. Name Verification Proofs

When performing name verification, some Verification Service Providers(VSP) MAY need to collect the proof of materials to verify the real name of a registrant. The proof of materials is defined with the following enumerated values:

- o "poc" for Proof of Citizen(POC). The POC represents the citizen's identification card(ID) material.
- o "poe" for Proof of Enterprise(POE). The POE represents the Organization Code Certificate(OCC) or Business License(BL) material.
- o "poot" for Proof of Other Types(POOT). The POOT represents other certificate materials except the POC and POE.

4. EPP Command Mapping

A detailed description of the EPP syntax and semantics can be found in the EPP core protocol specification [RFC5730]. The command mappings described here are specifically for use in provisioning and managing NV via EPP.

4.1. EPP Query Commands

EPP provides three commands to retrieve NV information: <check> determine if an object is known to the server, <info> to retrieve detailed information associated with an object, and <transfer> to retrieve object transfer status information.

4.1.1. EPP <check> Command

The EPP <check> command is used to determine if the domain's label can be used to create a DNV object. It provides a hint that allows a client to anticipate the success or failure of creating a DNV object using the <create> command.

In addition to the standard EPP command elements, the <check> command MUST contain a <nv:check> element that identifies the nv namespace. The <nv:check> element contains the following child elements:

- o One or more <nv:name> elements that contain the domain labels to be queried.

Example <check> command:

```
C:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
C:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
C:  <command>
C:    <check>
C:      <nv:check xmlns:nv="urn:ietf:params:xml:ns:nv-1.0">
C:        <nv:name>example1</nv:name>
C:        <nv:name>example2</nv:name>
C:        <nv:name>example3</nv:name>
C:      </nv:check>
C:    </check>
C:    <clTRID>ABC-12345</clTRID>
C:  </command>
C:</epp>
```

When a <check> command has been processed successfully, the EPP <resData> element MUST contain a child <nv:chkData> element that identifies the NV namespace. The <nv:chkData> element contains one or more <nv:cd>elements that contain the following child elements:

- o A <nv:name> element that contains the queried domain label. This element MUST contain an "avail" attribute whose value indicates object availability (can it be created or not) at the moment the <check> command was completed. A value of "1" or "true" means that the object can be created. A value of "0" or "false" means that the object can not be created. This element SHOULD contain a "restricted" attribute whose value indicates this name is a RN or not, with a default value of "0". A value of "1" or "true" means that the object is a RN Name. A value of "0" or "false" means that the object is not restricted.
- o An OPTIONAL <nv:reason> element that MAY be provided when an object cannot be created. If present, this element contains server-specific text to help explain why the object cannot be created. This text MUST be represented in the response language previously negotiated with the client; an OPTIONAL "lang" attribute MAY be present to identify the language if the negotiated value is something other than the default value of "en" (English).

Example <check> response:

```
S:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
S:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
S:  <response>
S:    <result code="1000">
S:      <msg>Command completed successfully</msg>
S:    </result>
S:    <resData>
S:      <nv:chkData
S:        xmlns:nv="urn:ietf:params:xml:ns:nv-1.0">
S:        <nv:cd>
S:          <nv:name avail="1">example1</nv:name>
S:        </nv:cd>
S:        <nv:cd>
S:          <nv:name avail="0">example2</nv:name>
S:          <nv:reason>In Prohibited Lists.</nv:reason>
S:        </nv:cd>
S:        <nv:cd>
S:          <nv:name avail="0" restricted="1">example3</nv:name>
S:        </nv:cd>
S:      </nv:chkData>
S:    </resData>
S:    <trID>
S:      <clTRID>ABC-12345</clTRID>
S:      <svTRID>54322-XYZ</svTRID>
S:    </trID>
S:  </response>
S:</epp>
```

An EPP error response MUST be returned if a <check> command cannot be processed for any reason.

4.1.2. EPP <info> Command

The EPP <info> command is used to retrieve information associated with a NV object. The response to this command MAY vary depending on the identity of the querying client, and server policy towards unauthorized clients. If the querying client is the sponsoring client, all available information MUST be returned. If the querying client is not the sponsoring client but the client provides valid authorization information, all available information MUST be returned. If the querying client is not the sponsoring client and the client does not provide valid authorization information, server policy determines which OPTIONAL elements are returned.

In addition to the standard EPP command elements, the <info> command MUST contain a <nv:info> element that identifies the NV namespace. The <nv:info> element contains the following child elements:

- o A <nv:code> element that contains the Verification Code Token value. An "type" attribute MUST be used to identify the type of the query (Signed Code or Input Data). If the type is "signedCode", the successful response of the server MUST be the Signed Code of the verification code. If the type is "input", the successful response of the server MUST be the verification input data and the verification status.
- o An OPTIONAL <nv:authInfo> element that contains authorization information associated with the NV object. If this element is not provided or if the authorization information is invalid, server policy determines if the command is rejected or if response information will be returned to the client.

Example <info> command to query the signed code:

```
C:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
C:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
C:  <command>
C:    <info>
C:      <nv:info xmlns:nv="urn:ietf:params:xml:ns:nv-1.0"
C:        type="signedCode">
C:          <nv:code>abc-123</nv:code>
C:        </nv:info>
C:      </info>
C:    <clTRID>ABC-12345</clTRID>
C:  </command>
C:</epp>
```

Example <info> command to query the input data:

```
C:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
C:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
C:  <command>
C:    <info>
C:      <nv:info xmlns:nv="urn:ietf:params:xml:ns:nv-1.0"
C:        type="input">
C:          <nv:code>abc-123</nv:code>
C:        </nv:info>
C:      </info>
C:    <clTRID>ABC-12345</clTRID>
C:  </command>
C:</epp>
```

Example <info> command with authorization information:

```
C:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
C:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
C:  <command>
C:    <info>
C:      <nv:info xmlns:nv="urn:ietf:params:xml:ns:nv-1.0"
C:        type="signedCode">
C:          <nv:code>abc-123</nv:code>
C:          <nv:authInfo>
C:            <nv:pw>2fooBAR</nv:pw>
C:          </nv:authInfo>
C:        </nv:info>
C:      </info>
C:    <clTRID>ABC-12345</clTRID>
C:  </command>
C:</epp>
```

When an <info> command has been processed successfully, the EPP <resData> element MUST contain a child <nv:infData> element that identifies the nv namespace. The <nv:infData> element has two forms based on the query type provided in the command: the Signed Code Form and the Input Form. The child element of the <nv:infData> element is defined for each form.

The Signed Code Form is returned when the command "type" attribute is set to "signedCode". The <nv:signedCode> element is used for the Signed Code Form that contains the following child elements:

- o A <nv:code> element that contains the Verification Code Token value of the signed code with the "type" attribute to indicate the type of NV object. The "type" attribute value of "domain" indicates a DNV object and "real-name" indicates a RNV object.
- o An OPTIONAL <nv:status> element that contains the current status using the status values defined in Section 3.2.
- o A <nv:encodedSignedCode> element include:
 - * A <verificationCode:code> element that is a "base64" encoded form of the digitally signed <verificationCode:signedCode> as defined in [I-D.gould-eppext-verificationcode].

Example <info> response of a Signed Code:

```
S:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
S:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
S:  <response>
S:    <result code="1000">
S:      <msg>Command completed successfully</msg>
S:    </result>
S:    <resData>
S:      <nv:infData xmlns:nv="urn:ietf:params:xml:ns:nv-1.0">
S:        <nv:signedCode>
S:          <nv:code type="domain">abc-123</nv:code>
S:          <nv:status s="compliant"/>
S:          <nv:authInfo>
S:            <nv:pw>2fooBAR</nv:pw>
S:          </nv:authInfo>
S:          <nv:encodedSignedCode>
S:ICAgICAgPHZlcmhmaWNhdGlvbkNvZGU6c2lnbmVhZG9kZQogICAgICAgIHhtbG5z
S:OnZlcmhmaWNhdGlvbkNvZGU9CiAgICAgICAgICAidXJuOmlldGY6cGFyYW1zOnht
S:bDpuczp2ZXJpZmljYXRpb25Db2RlLlEuMCIKICAgICAgICAgIGlkPSJzaWduZWRD
S:b2RlIj4KICAgCQk8dmVyaWZpY2F0aW9uQ29kZTpjb2RlPjEtYWJjMTIzPC92ZXJp
S:ZmljYXRpb25Db2RlOmNvZGU+CiAgPFNpZ25hdHVyZSB4bWxucz0iaHR0cDovL3d3
```

S:dy53My5vcmcvMjAwMC8wOS94bWxkc2lnIyI+CiAgIDxTaWduZWRJbMzVpGogICAg
S:PENhbm9uaWNhbG16YXRpb25NZXRob2QKIEFsZ29yaXRobT0iaHR0cDovL3d3dy53
S:My5vcmcvMjAwMS8xMC94bWwtZXhjlWmXNG4jIi8+CiAgICA8U2lnbmF0dXJlTWV0
S:aG9kCiBBbGdvcml0aG09Imh0dHA6Ly93d3cudzMub3JnLzIwMDEvMDQveG1sZHNp
S:Zy1tb3JlI3JzYS1zaGEyNTYiLz4KICAgIDxSZWZlcmVuY2UgVVJJPSIjc2lnbmVk
S:Q29kZSI+CiAgICAgPFRyYW5zM9ybXN+CiAgICAgIDxUcmFuc2Zvcml0KIEFsZ29y
S:aXRobT0iaHR0cDovL3d3dy53My5vcmcvMjAwMC8wOS94bWxkc2lnI2VudmVsb3B1
S:ZC1zaWduYXR1cmUiLz4KICAgICA8L1RyYW5zM9ybXN+CiAgICAgPERpZ2Vzde1l
S:dGhvZAogQWxb3JpdGhtPSJodHRwOi8vd3d3LnczLm9yZy8yMDAxLzA0L3htbGVu
S:YyNzaGEyNTYiLz4KIDxEaWdlc3RlYXN1ZT53Z3lXM25aUG9FZnBwdGxoUklMS25P
S:UW5iZHRVnkFyTtdTaHJBZkhREZnPTwvRGlzXN0VmFsdWU+CiAgICA8L1JlZmV5
S:ZW5jZT4KICAgPC9TaWduZWRJbMzVpGogICAgU2lnbmF0dXJlVmFsdWU+CiBqTXU0
S:UGZ5UUpSkjGMEdXU0VQRkNKam15d0NFcVIyaDRMRctnZTYUSTKbmlLRkZDdUNa
S:Uy8zU0xLQXgwTDF3CiBRREZPMmUwWTY5azJHNY9MR0UzNlgzdK9mbG9iRk0xb0d3
S:amE4K0dNvNjhb3RvNXhBZDQvQUY3ZUhl1a2dBeW1ECiBvOXRveG9hMmgweVY0QTRQ
S:bVh6c1U2UzgzWHRDY1VfK1MvV003Mm55bjQ3em9VQ3p6UeUeIwkJSeWVXZWhWrlEr
S:CbqWVJNSUFNek01N0hIUUErNmVhWGVmUnZ0UEVUZ1VPNGFWSVZTdWdJNE9VQVpa
S:d2JZY1pyQzZ3T2FRcXfXQVppCiAzMGFQT0JZYkF2SE1TbVdTUytoRmtic2hvbUpm
S:SHhiOTdURDJncmZTnJRSXpxWGs3V2JIV3kyU1lkQStzSS9aCiBpcEpzWE5hNm9z
S:VFV3MUN6QTdqZndBPT0KICAgPC9TaWduYXR1cmVWYXN1ZT4KICAgPETleUluZm8+
S:CiAgICA8WUwOURhdGE+CiAgICA8WUwOUNlcnRpZmljYXRlPgogTUlJRvNUQ0NB
S:ekdnQXdkJkFnSUJBakFOQmdrcWhraUc5dzBCQVZzRkFEQmlNUNXN3Q1FZRFZRUUdF
S:d0pWVXpFTaogTUFRrR0ExVUVDQk1DUTBFEZEQVNCZ05WQkFjVEMweHZjeUJCYmlk
S:bGJHVnpNkU13RVFZRFZRUUtFd3BKUTBGTWogVGlCVVRVTklNUN3R1FZRFZRUURF
S:eEpKUTBGT1RpQ1VUVU5JSUZSRlUxUWdrMEV3SGhjtK1UTXdNake0TURBdwogTURB
S:dldoY05NVGd3TWpBM01qTTFPVFU1V2pCc01Rc3dDUVlEVlFRR0V3SlZVekVMTUFR
S:R0ExVUVDQk1DUTBFEaogRkrBU0JnTlZCQWNUQzB4dmN5QkJibWRsYkdWek1SY3dG
S:UVlEVlFRS0V3NVdZV3hwWkdGMGIzSWdWRTFEU0RfAaogTUI4R0ExVUVBe1ZVm1G
S:c2FXUmhkRz15SUZSt1EwZ2dWRVZUVkNCRFJWS1VNSU1CSWpBTkna3Foa2lHOXcw
S:QgogQVFFRkFBT0NBUTHBTU1JQkNnS0NBuUVBby9jd3ZYaGJWWwwUkRXV3ZveWVa
S:cEVUv1pWVmNNQ292VvZOZy9zdWogV2ludU1nRVdnV1FGcnoweEEwNHBFaFhDRlZ2
S:NGV2Y1VwZwtKNWJ1cVUxZ21ReU9zQ0tRbGhPSFRkUGp2a0M1dQogcERxYTUxRmxr
S:MFRNYU1rSVFqcZdhVUtDbUE0Ukc0dFRUR0svRwPsmW14OC9EMGD1WVZSbGR5MV1Q
S:ck1QK291NwogNWJpVm5Jb3MrSGlmcKf0ckl2NHFFcXdmTDRGVFpBVXBhQ2EyQmln
S:WGZ5MkNTU1FieEQ1T3IxZ2NTYTN2dXJoNogc1BNQ054cWFYbU1YbVFPcFMRHVF
S:QnFNTTh0BGRhTjdSWW9qVUvLckdWc05rNwK5eTIvN3Nqbjf6eXlVUGY3dgogTDRH
S:Z0RZcWhKWvdWNjFEblhneC9KZDZDV3h2c25ERjZzY3NjUXpVVEVsk2h5d01EQVFB
S:Qm80SC9NSUg4TUF3RwogQTFVZEV3RUIvd1FDTUFBd0hRWURWUjBPQkJZRUZQWkVj
S:SVFjRC9CaJJRnovTEVSDw8yQURKdmlNSUdNQmdOVgogSFNNRwdZUXdnWUdBrk8w
S:LzdrRWgzRnVFS1MrUS9rWUhhRC9XNndpaG9XYWtareJpTVFzd0NRWURWUVFHRXdk
S:VgogVXpFTE1Ba0dBMVVFQ0JNQ1EwRXhGREFTQmdOVkjbY1RDMHh2Y3lCQmJtZGxi
S:R1Z6TVJND0VRWURWUVFLRXdwSgogUTBGT1RpQ1VUVU5JTVJzd0dRWURWUVFERXhK
S:SlEwRk9UaUJVVVFVOSU1GUkZVMVFnUTBHQ0FRRXdeZ1lEVlIwUAogQVFILOJBUURB
S:Z2VBTUM0R0ExVVRId1FuTUNvd0k2QWhvQitHSFdoMGRIQTZMeTlqY213dWFXThhi
S:bTR1YjNkbgogTDNSdFkyZ3VZM0pzTUEwR0NTcUdTSWIzRFFFQkN3VUFBNE1CQVFC
S:MnFteTd1aSS0M2N1YktVS3dXUHJ6ejl5LwogSWtyTWVKR0tqbzQwbis5dWVrYXcz
S:REolRXFPt2YvcVo0cGpCRCsrblI2QkpdYjZOUXVRS3dub0F6NwXFNFNzdQogeTUR
S:aTkzblQzSGZ5VmM0Z05NSW9IbTFQUze5BdDeQktyYndiekFlYS8waktXVnpydm1W

```

S:N1RCZmp4RDNBW8xUgogYlU1ZEJyNklqYmRMRmxuTzV4MEcwbXJHN3g1T1VQdXVy
S:aWh5aVVSceZEcHdIOEtBSDF3TWNDcFhHWEZSdEdLawogd3lkZ3lWWUF0eTdvdGts
S:L3ozYlprQ1ZUMzRnUHZGNzBzUjYrUXhVeTh1MEx6RjVBL2JlWWFacHhTWUczMWFt
S:TAogQWRYaXRUV0ZpcGFJR2VhOWxFR0ZNMEw5K0JnN1h6Tm40blZMWG9reUVCM2Jn
S:UzRzY0c2UXpuWDIzRkdrCiAgIDwvWDUwOUNlcnRpZmljYXRlPgogICA8L1g1MD1E
S:YXRhPgogICA8L0tleUluZm8+CiAgPC9TaWduYXR1cmU+CgkJPC92ZXJpZmljYXRp
S:b25Db2RlOnNpZ25lZENvZGU+Cg==
S:      </nv:encodedSignedCode>
S:      </nv:signedCode>
S:      </nv:infData>
S:    </resData>
S:    <trID>
S:      <clTRID>ABC-12345</clTRID>
S:      <svTRID>54322-XYZ</svTRID>
S:    </trID>
S:  </response>
S:</epp>

```

The Input Code Form is returned when the command "type" attribute is set to "input". The `<nv:input>` element is used for the Input Form and contains a choice of two different child elements dependent on the type of NV object that matches the `<nv:code>` in the command. The `<nv:dnv>` child element is used for a DNV object and the `<nv:rnv>` child element is used for a RNV object.

The `<nv:dnv>` element is used for a DNV object and contains the following child elements:

- o A `<nv:name>` element that contains the label of the domain.
- o An OPTIONAL `<nv:rnvCode>` element containing the Verification Code Token value of a RNV object used for verification of a Restricted Name.

Example <info> response of a DNV:

```
S:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
S:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
S:  <response>
S:    <result code="1000">
S:      <msg>Command completed successfully</msg>
S:    </result>
S:    <resData>
S:      <nv:infData xmlns:nv="urn:ietf:params:xml:ns:nv-1.0">
S:        <nv:input>
S:          <nv:dnv>
S:            <nv:name>example</nv:name>
S:          </nv:dnv>
S:          <nv:authInfo>
S:            <nv:pw>2fooBAR</nv:pw>
S:          </nv:authInfo>
S:        </nv:input>
S:      </nv:infData>
S:    </resData>
S:    <trID>
S:      <clTRID>ABC-12345</clTRID>
S:      <svTRID>54322-XYZ</svTRID>
S:    </trID>
S:  </response>
S:</epp>
```

The <nv:rnv> element is used for a RNV object. The "role" attribute MUST be used to identify the role of the RNV object with the possible values of "person" or "org".

The <nv:rnv> element contains the following child elements:

- o A <nv:name> element that contains the full name of the contact.
- o A <nv:num> element that contains the citizen or the organization ID of the contact.
- o A <nv:proofType> element that contains the proof material type of the contact based on the enumerated values defined in Name Verification Proofs (Section 3.3).
- o Zero or more <nv:document> elements that contains the following child elements:
 - * A <nv:fileType> element contains the type of the file.

- * A `<nv:fileContent>` element contains the "base64" encoded content of the file.

Example `<info>` response of a RNV person:

```
S:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
S:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
S:  <response>
S:    <result code="1000">
S:      <msg>Command completed successfully</msg>
S:    </result>
S:    <resData>
S:      <nv:infData xmlns:nv="urn:ietf:params:xml:ns:nv-1.0">
S:        <nv:input>
S:          <nv:rnv role="person">
S:            <nv:name>John Xie</nv:name>
S:            <nv:num>1234567890</nv:num>
S:            <nv:proofType>poc</nv:proofType>
S:            <nv:document>
S:              <nv:fileType>jpg</nv:fileType>
S:              <nv:fileContent>EABQRAQAAAAAAAAAAAAAAAAAAAAAD
S:            </nv:fileContent>
S:            </nv:document>
S:          </nv:rnv>
S:          <nv:authInfo>
S:            <nv:pw>2fooBAR</nv:pw>
S:          </nv:authInfo>
S:        </nv:input>
S:      </nv:infData>
S:    </resData>
S:    <trID>
S:      <clTRID>ABC-12345</clTRID>
S:      <svTRID>54322-XYZ</svTRID>
S:    </trID>
S:  </response>
S:</epp>
```

Example `<info>` response of a RNV organization:

```
S:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
S:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
S:  <response>
S:    <result code="1000">
S:      <msg>Command completed successfully</msg>
S:    </result>
S:    <resData>
S:      <nv:infData xmlns:nv="urn:ietf:params:xml:ns:nv-1.0">
```

```

S:      <nv:input>
S:      <nv:rnv role="org">
S:      <nv:name>John Xie</nv:name>
S:      <nv:num>1234567890</nv:num>
S:      <nv:proofType>poe</nv:proofType>
S:      <nv:document>
S:      <nv:fileType>jpg</nv:fileType>
S:      <nv:fileContent>EABQRAQAAAAAAAAAAAAAAAAAAAAAD
S:      </nv:fileContent>
S:      </nv:document>
S:      </nv:rnv>
S:      <nv:authInfo>
S:      <nv:pw>2fooBAR</nv:pw>
S:      </nv:authInfo>
S:      </nv:input>
S:      </nv:infData>
S:      </resData>
S:      <trID>
S:      <clTRID>ABC-12345</clTRID>
S:      <svTRID>54322-XYZ</svTRID>
S:      </trID>
S:      </response>
S: </epp>

```

A server with a different information-return policy MAY provide less information in a response for an unauthorized client.

An EPP error response MUST be returned if an <info> command cannot be processed for any reason.

4.1.3. EPP <transfer> Command

Transfer semantics do not apply to Name Verification (NV) objects, so there is no mapping defined for the EPP <transfer> command.

4.2. EPP Transform Commands

EPP provides five commands to transform NV objects: <create> to create an instance of an object, <delete> to delete an instance of an object, <renew> to extend the validity period of an object, <transfer> to manage object sponsorship changes, and <update> to change information associated with an object.

4.2.1. EPP <create> Command

The EPP <create> command provides a transform operation that allows a client to create an NV object. In addition to the standard EPP command elements, the <create> command MUST contain a <nv:create>

element that identifies the NV namespace. The <nv:create> elements contains a choice of two different child elements dependent on the type of NV object to create. The <nv:dnv> child element is used to create a DNV object and the <nv:rnv> child element is used to create a RNV object. AN <nv:authInfo> element contains authorization information to be associated with the NV object.

- o The <nv:dnv> element is used for a DNV object and contains the following child elements:
 - * A <nv:name> element that contains the label of the domain.
 - * An OPTIONAL <nv:rnvCode> element containing the Verification Code Token value of a RNV object used for verification of a Restricted Name.
- o The <nv:rnv> element is used for a RNV object. The "role" attribute MUST be used to identify the role of the RNV object with the possible values of "person" or "org". The <nv:rnv> element contains the following child elements:
 - * A <nv:name> element that contains the full name of the contact.
 - * A <nv:num> element that contains the citizen or the organization ID of the contact.
 - * A <nv:proofType> element that contains the proof material type of the contact based on the enumerated values defined in Name Verification Proofs (Section 3.3).
 - * Zero or more <nv:document> elements that contains the following child elements:
 - + A <nv:fileType> element contains the type of the file.
 - + A <nv:fileContent> element contains the "base64" encoded content of the file.

Example <create> command for a DNV object:

```
C:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
C:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
C:  <command>
C:    <create>
C:      <nv:create xmlns:nv="urn:ietf:params:xml:ns:nv-1.0">
C:        <nv:dnv>
C:          <nv:name>example</nv:name>
C:        </nv:dnv>
```



```

C:      <nv:authInfo>
C:      <nv:pw>2fooBAR</nv:pw>
C:      </nv:authInfo>
C:      </nv:create>
C:      </create>
C:      <clTRID>ABC-12345</clTRID>
C:      </command>
C: </epp>

```

Example <create> command for a RNV person object:

```

C:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
C:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
C:  <command>
C:    <create>
C:      <nv:create xmlns:nv="urn:ietf:params:xml:ns:nv-1.0">
C:        <nv:rnv role="person">
C:          <nv:name>John Xie</nv:name>
C:          <nv:num>1234567890</nv:num>
C:          <nv:proofType>poe</nv:proofType>
C:          <nv:document>
C:            <nv:fileType>jpg</nv:fileType>
C:            <nv:fileContent>EABQRAQAAAAAAAAAAAAAAAAAAAAAD
C:            </nv:fileContent>
C:          </nv:document>
C:        </nv:rnv>
C:      <nv:authInfo>
C:        <nv:pw>2fooBAR</nv:pw>
C:      </nv:authInfo>
C:    </nv:create>
C:  </create>
C:  <clTRID>ABC-12345</clTRID>
C: </command>
C:</epp>

```

Example <create> command for an RNV organization:

```

C:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
C:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
C:  <command>
C:    <create>
C:      <nv:create xmlns:nv="urn:ietf:params:xml:ns:nv-1.0">
C:        <nv:rnv role="org">
C:          <nv:name>John Xie</nv:name>
C:          <nv:num>1234567890</nv:num>
C:          <nv:proofType>poe</nv:proofType>
C:          <nv:document>
C:            <nv:fileType>jpg</nv:fileType>

```

```

C:      <nv:fileContent>EABQRAQAAAAAAAAAAAAAAAAAAAAAD
C:      </nv:fileContent>
C:      </nv:document>
C:      </nv:rnv>
C:      <nv:authInfo>
C:      <nv:pw>2fooBAR</nv:pw>
C:      </nv:authInfo>
C:      </nv:create>
C:      </create>
C:      <clTRID>ABC-12345</clTRID>
C:      </command>
C: </epp>

```

When a `<create>` command has been processed successfully, the EPP `<resData>` element MUST contain a child `<nv:creData>` element that identifies the nv namespace. `<nv:creData>` element contains the either a `<nv:success>` element on success or a `<nv:failed>` element on failure.

- o The `<nv:success>` element contains the following child elements:
 - * A `<nv:code>` element that contains the id of the verification code with the required "type" attribute that defines the type of the verification code.
 - * A `<nv:status>` element that contains the current status using the status values defined in Section 3.2.
 - * A `<nv:crDate>` element that contains the date and time of nv object creation.
 - * A `<nv:encodedSignedCode>` element include:
 - + A `<verificationCode:code>` element that is a "base64" encoded form of the digitally signed `<verificationCode:signedCode>` as defined in [I-D.gould-eppext-verificationcode].
- o The `<nv:failed>` element contains the following child elements:
 - * A `<nv:status>` element that contains the current status using the status values defined in Section 3.2.
 - * A `<nv:msg>` element containing a human-readable description of the reason of the failure. The language of the response is identified via an OPTIONAL "lang" attribute. If not specified, the default attribute value MUST be "en" (English).

Example `<create>` response of success:


```

S:cEVUVlpwVmNNQ292VVZOzy9zdwogV2ludUlnRVdnVlFGcnoweEEwNHBFaFhDRlZ2
S:NGV2YlVwZwtKNWJlcvUxZ21ReU9zQ0tRbGhPSFRkUGp2a0MldQogcERxYTUxRmxr
S:MFRNYU1rSVFqczdhVUtDbUE0Ukc0dFRUR0svRWpSMWl40C9EMGdIWVZSbGR5MVLQ
S:cklQK291NwogNWJPVm5Jb3MrSGlmcKF0ckl2NHFFcXdMTDRGVFPBVXBhQ2EyQmln
S:WGZ5MkNTU1FieEQ1T3IxZ2NTYTN2dXJoNQogc1BNQ054cWFYbUlYbVFPcFMrRHVF
S:QnFNtTh0bGRhTjdsWW9qVUVLckdWc05rNwK5eTivN3Nqbjf6eXlVUGY3dgogTDRH
S:Z0RZcWhKWvdWNjFEblhneC9KZDZDV3h2c25ERjZzY3NjUXpVVEVsK2h5d0lEQVFB
S:Qm80SC9NSUG4TUF3RwogQTFVZEV3RUIvd1FDTUFbd0hRWURWUjBpQkJZRuzQWkvj
S:SVFjRC9CaJJRnovTEVSdW8yQURKdmlNSUdNQmdOVgogSFNNRwdZUXdnWUdBRk8w
S:LzdrRWgzRnVFS1MrUS9rWUhhRC9XNndpaG9XYWtareJpTVFzd0NRWURWUVFHRXdk
S:VgogVXpFTE1Ba0dBMVVFQ0JNQ1EwRXhGREFTQmdOVkjbY1RDMHh2Y3lCQmJtZGxi
S:RlZ6TVJNd0VRWURWUVFLRXdwSgogUTBGT1RpQlVUVU5JTVJzd0dRWURWUVFERXhK
S:SlEwRk9UaUJVVVFVOSUlGUkZVMVFuUTBHQ0FRRXdeZl1lEVlIwUAogQVFILOJBUURB
S:Z2VBTUM0R0ExVWRId1FuTUNvd0k2QWhvQitHSFdoMGRIQTZMeTlqY2l3dWFXTmhi
S:bTRlYjNkBgogTDNSdFkyZ3VZM0pzTUEwR0NTcUdTSWIzRFFFQkN3VUFBNElCQVFC
S:MnFTeTdlaSs0M2NlYktVS3dXUHJ6ejl5LwogSWtyTWVKR0tqbzQwbis5dWVrYXcz
S:REolRXFpT2YvcVo0cGpCRCsrblI2QkpDYjZOUXVRS3dub0F6NWxFNFnzdQogeTUr
S:aTkzblQzSGZ5VmM0Z05NSW9IbTFQUze5bDdeEQktyYndiekFlYS8waktXVnpydm1W
S:NlRCZmp4RDNBuW8xUgogYlU1ZEJyNklqYmRMRmxuTzV4MEcwbXJHN3g1T1VQdXVy
S:aWh5aVVSceZEchdIOEtBSDF3TWNDcFhHWEZSdEdLawogd3lkZ3lWWUF0eTdvdtGts
S:L3ozYlprQ1ZUMzRnUHZNzBzUjYrUXhVeThlMEx6RjVBL2JlWWFacHhTWUczMWFt
S:TAogQWRYaXRUV0ZpcGFJR2VhOWxFR0ZNMew5K0JnN1h6Tm40blZMWG9reUVCM2Jn
S:UzRzY0c2UXpuWDIzRkdrCiAgIDwvWUwOUNlcnRpZmljYXRlPgogICA8L1g1MDlE
S:YXRhPgogICA8L0tleUluZm8+CjAgPC9TaWduYXRlcmU+CgkJPc92ZXJpZmljYXRp
S:b25Db2RlOnNpZ25lZENvZGU+Cg==
S:      </nv:encodedSignedCode>
S:      </nv:success>
S:      </nv:creData>
S:    </resData>
S:    <trID>
S:      <clTRID>ABC-12345</clTRID>
S:      <svTRID>54321-XYZ</svTRID>
S:    </trID>
S:  </response>
S:</epp>

```

Example <create> response of failed:

```

S:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
S:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
S:  <response>
S:    <result code="1000">
S:      <msg>Command completed successfully</msg>
S:    </result>
S:    <resData>
S:      <nv:creData xmlns:nv="urn:ietf:params:xml:ns:nv-1.0">
S:        <nv:failed>
S:          <nv:status s="nonCompliant"/>

```

```
S:      <nv:msg lang="en">
S:      The name of the object is not correct.
S:      </nv:msg>
S:      </nv:failed>
S:      </nv:creData>
S:      </resData>
S:      <trID>
S:      <clTRID>ABC-12345</clTRID>
S:      <svTRID>54321-XYZ</svTRID>
S:      </trID>
S:      </response>
S: </epp>
```

An EPP error response MUST be returned if a <create> command cannot be processed for any reason.

4.2.2. EPP <delete> Command

Delete semantics do not apply to Name Verification (NV) objects, so there is no mapping defined for the EPP <delete> command.

4.2.3. EPP <renew> Command

Renew semantics do not apply to Name Verification (NV) objects, so there is no mapping defined for the EPP <renew> command.

4.2.4. EPP <transfer> Command

Transfer semantics do not apply to Name Verification (NV) objects, so there is no mapping defined for the EPP <transfer> command.

4.2.5. EPP <update> Command

The EPP <update> command provides a transform operation that allows a client to modify the attributes of a NV object. In addition to the standard EPP command elements, the <update> command MUST contain a <nv:update> element that identifies the NV namespace. The <nv:update> element contains the following child elements:

- o A <nv:code> element that contains the code of the a NV object to be updated.
- o A <nv:chg> element that contains object attribute values to be changed.

A <nv:chg> element contains the following child elements:

- o A <nv:authInfo> element that contains authorization information associated with the NV object. This mapping includes a password-based authentication mechanism, but the schema allows new mechanisms to be defined in new schemas.

Example <update> command:

```
C:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
C:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
C:  <command>
C:    <update>
C:      <nv:update xmlns:nv="urn:ietf:params:xml:ns:nv-1.0">
C:        <nv:code>abc-123</nv:code>
C:        <nv:chg>
C:          <nv:authInfo>
C:            <nv:pw>2BARfoo</nv:pw>
C:          </nv:authInfo>
C:        </nv:chg>
C:      </nv:update>
C:    </update>
C:  <clTRID>ABC-12345</clTRID>
C: </command>
C:</epp>
```

When an <update> command has been processed successfully, a server MUST respond with an EPP response with no <resData> element.

Example <update> response:

```
S:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
S:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
S:  <response>
S:    <result code="1000">
S:      <msg>Command completed successfully</msg>
S:    </result>
S:    <trID>
S:      <clTRID>ABC-12345</clTRID>
S:      <svTRID>54321-XYZ</svTRID>
S:    </trID>
S:  </response>
S:</epp>
```

An EPP error response MUST be returned if an <update> command cannot be processed for any reason.

4.3. Offline Review of Requested Actions

Commands are processed by a server in the order they are received from a client. Though an immediate response confirming receipt and processing of the command is produced by the server, a server operator MAY perform an offline review of requested transform commands before completing the requested action. In such situations, the response from the server MUST clearly note that the transform command has been received and processed but that the requested action is pending. The status of the corresponding object MUST clearly reflect processing of the pending action. The server MUST notify the client when offline processing of the action has been completed.

Examples describing a <create> command that requires offline review are included here. Note the result code and message returned in response to the <create> command.

```
S:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
S:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
S:  <response>
S:    <result code="1001">
S:      <msg>Command completed successfully; action pending</msg>
S:    </result>
S:    <resData>
S:      <nv:creData
S:        xmlns:nv="urn:ietf:params:xml:ns:nv-1.0">
S:        <nv:pending>
S:          <nv:code type="domain">abc-123</nv:code>
S:          <nv:status s="pendingCompliant"/>
S:          <nv:crDate>2015-09-03T22:00:00.0Z</nv:crDate>
S:        </nv:pending>
S:      </nv:creData>
S:    </resData>
S:    <trID>
S:      <clTRID>ABC-12345</clTRID>
S:      <svTRID>54321-XYZ</svTRID>
S:    </trID>
S:  </response>
S:</epp>
```

The status of the NV object after returning this response MUST include "pendingCompliant". The server operator reviews the request offline, and informs the client of the outcome of the review either by queuing a service message for retrieval via the <poll> command or by using an out-of-band mechanism to inform the client of the outcome of the review.

The service message MUST contain text that describes the notification in the child <msg> element of the response <msgQ> element. In addition, the EPP <resData> element MUST contain a child <nv:panData> element that identifies the NV namespace. The <nv:panData> element contains the following child elements:

A <nv:code> element that contains the id of the verification code with the required "type" attribute that defines the type of the verification code.

A <nv:paStatus> element that contains the current status descriptors associated with the NV.

A <nv:msg> element containing a human-readable description of the result. The language of the response is identified via an OPTIONAL "lang" attribute. If not specified, the default attribute value MUST be "en" (English).

A <nv:paDate> element that contains the date and time describing when review of the requested action was completed.

Example "review completed" service message:

```
S:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
S:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
S:  <response>
S:    <result code="1301">
S:      <msg>Command completed successfully; ack to dequeue</msg>
S:    </result>
S:    <msgQ count="5" id="12345">
S:      <qDate>2015-09-04T22:01:00.0Z</qDate>
S:      <msg>Pending action completed successfully.</msg>
S:    </msgQ>
S:    <resData>
S:      <nv:panData
S:        xmlns:nv="urn:ietf:params:xml:ns:nv-1.0">
S:        <nv:code type="domain">abc-123</nv:code>
S:        <nv:paStatus s="compliant"/>
S:        <nv:msg>The object has passed verification,
S:          signed code was generated.</nv:msg>
S:        <nv:paDate>2015-09-04T22:00:00.0Z</nv:paDate>
S:      </nv:panData>
S:    </resData>
S:    <trID>
S:      <clTRID>BCD-23456</clTRID>
S:      <svTRID>65432-WXY</svTRID>
S:    </trID>
S:  </response>
S:</epp>
```

5. Formal Syntax

An EPP object NV mapping is specified in XML Schema notation. The formal syntax presented here is a complete schema representation of the object mapping suitable for automated validation of EPP XML instances. The BEGIN and END tags are not part of the schema; they are used to note the beginning and ending of the schema for URI registration purposes.

```
BEGIN
<?xml version="1.0" encoding="UTF-8"?>

<schema targetNamespace="urn:ietf:params:xml:ns:nv-1.0"
  xmlns:nv="urn:ietf:params:xml:ns:nv-1.0"
  xmlns:epp="urn:ietf:params:xml:ns:epp-1.0"
  xmlns:eppcom="urn:ietf:params:xml:ns:eppcom-1.0"
  xmlns:verificationCode=
    "urn:ietf:params:xml:ns:verificationCode-1.0"
  xmlns="http://www.w3.org/2001/XMLSchema"
```

```
    elementFormDefault="qualified">

<annotation>
  <documentation>
    Extensible Provisioning Protocol v1.0
    Name Verification provisioning schema.
  </documentation>
</annotation>

<!--
Import common element types.
-->
<import namespace="urn:ietf:params:xml:ns:eppcom-1.0"/>
<import namespace="urn:ietf:params:xml:ns:epp-1.0"/>
<import namespace=
  "urn:ietf:params:xml:ns:verificationCode-1.0"/>

<!--
Child elements found in EPP commands.
-->
<element name="check" type="nv:mNameType"/>
<element name="create" type="nv:createType"/>
<element name="info" type="nv:infoType"/>
<element name="update" type="nv:updateType"/>

<!--
Child element of <check> command.
-->
<complexType name="mNameType">
  <sequence>
    <element name="name" type="eppcom:labelType"
      maxOccurs="unbounded"/>
  </sequence>
</complexType>

<!--
Child elements of the <create> command.
-->
<complexType name="createType">
  <sequence>
    <choice>
      <element name="dnv" type="nv:dnvType"/>
      <element name="rnv" type="nv:rnvType"/>
    </choice>
    <element name="authInfo" type="nv:authInfoChgType"/>
  </sequence>
</complexType>
```

```
<complexType name="dnvType">
  <sequence>
    <element name="name" type="eppcom:labelType"/>
    <element name="rnvCode" type="token"
      minOccurs="0"/>
  </sequence>
</complexType>

<complexType name="rnvType">
  <sequence>
    <element name="name" type="nv:nameType"/>
    <element name="num" type="nv:nameType"/>
    <element name="proofType" type="nv:proofEumType"/>
    <element name="document" type="nv:documentType"
      minOccurs="0" maxOccurs="unbounded"/>
  </sequence>
  <attribute name="role" type="nv:roleType"
    default="person"/>
</complexType>

<simpleType name="proofEumType">
  <restriction base="token">
    <enumeration value="poc"/>
    <enumeration value="poe"/>
    <enumeration value="poot"/>
  </restriction>
</simpleType>

<simpleType name="roleType">
  <restriction base="token">
    <enumeration value="person"/>
    <enumeration value="org"/>
  </restriction>
</simpleType>

<simpleType name="nameType">
  <restriction base="token">
    <minLength value="1"/>
    <maxLength value="255"/>
  </restriction>
</simpleType>

<complexType name="documentType">
  <sequence>
    <element name="fileType" type="nv:fileType"/>
    <element name="fileContent" type="base64Binary"/>
  </sequence>
</complexType>
```

```
<simpleType name="fileType">
  <restriction base="token">
    <enumeration value="pdf"/>
    <enumeration value="jpg"/>
  </restriction>
</simpleType>

<!--
Child elements of the <info> command.
-->
<complexType name="infoType">
  <sequence>
    <element name="code" type="token"/>
    <element name="authInfo" type="nv:authInfoChgType"
      minOccurs="0"/>
  </sequence>
  <attribute name="type" type="nv:infoFormType"
    default="signedCode"/>
</complexType>

<simpleType name="infoFormType">
  <restriction base="token">
    <enumeration value="input"/>
    <enumeration value="signedCode"/>
  </restriction>
</simpleType>

<!--
Child elements of the <update> command.
-->
<complexType name="updateType">
  <sequence>
    <element name="code" type="token"/>
    <element name="chg" type="nv:chgType"/>
  </sequence>
</complexType>

<!--
Data elements that can be changed.
-->
<complexType name="chgType">
  <sequence>
    <element name="authInfo" type="nv:authInfoChgType"/>
  </sequence>
</complexType>
```

```
<!--
Data elements of authInfoChgType.
-->
<complexType name="authInfoChgType">
  <choice>
    <element name="pw" type="eppcom:pwAuthInfoType"/>
    <element name="ext" type="eppcom:extAuthInfoType"/>
  </choice>
</complexType>

<!--
Child response elements.
-->
<element name="chkData" type="nv:chkDataType"/>
<element name="creData" type="nv:creDataType"/>
<element name="panData" type="nv:panDataType"/>
<element name="infData" type="nv:infDataType"/>

<!--
<check> response elements.
-->
<complexType name="chkDataType">
  <sequence>
    <element name="cd" type="nv:checkType"
      maxOccurs="unbounded"/>
  </sequence>
</complexType>

<complexType name="checkType">
  <sequence>
    <element name="name" type="nv:checkNameType"/>
    <element name="reason" type="eppcom:reasonType"
      minOccurs="0"/>
  </sequence>
</complexType>

<complexType name="checkNameType">
  <simpleContent>
    <extension base="eppcom:labelType">
      <attribute name="avail" type="boolean"
        use="required"/>
      <attribute name="restricted" type="boolean"
        default="0"/>
    </extension>
  </simpleContent>
</complexType>

<!--
```

```
<create> response elements.
-->
<complexType name="creDataType">
  <choice>
    <element name="success" type="nv:successType"/>
    <element name="failed" type="nv:failedType"/>
    <element name="pending" type="nv:pendingType"/>
  </choice>
</complexType>

<complexType name="successType">
  <sequence>
    <element name="code" type="nv:verificationCodeType"/>
    <element name="status" type="nv:statusType"/>
    <element name="crDate" type="dateTime"/>
    <element name="encodedSignedCode"
      type="verificationCode:encodedSignedCodeType"/>
  </sequence>
</complexType>

<complexType name="failedType">
  <sequence>
    <element name="status" type="nv:statusType"/>
    <element name="msg" type="nv:msgType"/>
  </sequence>
</complexType>

<complexType name="pendingType">
  <sequence>
    <element name="code" type="nv:verificationCodeType"/>
    <element name="status" type="nv:statusType"/>
    <element name="crDate" type="dateTime"/>
  </sequence>
</complexType>

<!--
Pending action notification response elements.
-->
<complexType name="panDataType">
  <sequence>
    <element name="code" type="nv:verificationCodeType"/>
    <element name="paStatus" type="nv:statusType"/>
    <element name="msg" type="nv:msgType"/>
    <element name="paDate" type="dateTime"/>
  </sequence>
</complexType>

<!--
```

```
<info> response elements.
-->
<complexType name="infDataType">
  <sequence>
    <choice>
      <element name="signedCode" type="nv:signedCodeType"/>
      <element name="input" type="nv:createType"/>
    </choice>
  </sequence>
</complexType>

<complexType name="signedCodeType">
  <sequence>
    <element name="code" type="nv:verificationCodeType"/>
    <element name="status" type="nv:statusType"
      minOccurs="0"/>
    <element name="authInfo" type="nv:authInfoChgType"/>
    <element name="encodedSignedCode"
      type="verificationCode:encodedSignedCodeType"/>
  </sequence>
</complexType>

<complexType name="verificationCodeType">
  <simpleContent>
    <extension base="token">
      <attribute name="type" type="token"
        use="required"/>
    </extension>
  </simpleContent>
</complexType>

<!--
Status is a combination of attributes and an optional
human-readable message that may be expressed in languages other
than English.
-->
<complexType name="statusType">
  <simpleContent>
    <extension base="normalizedString">
      <attribute name="s" type="nv:statusValueType"
        use="required"/>
      <attribute name="lang" type="language"
        default="en"/>
    </extension>
  </simpleContent>
</complexType>

<complexType name="msgType">
```

```
<simpleContent>
  <extension base="normalizedString">
    <attribute name="lang" type="language"
      default="en"/>
  </extension>
</simpleContent>
</complexType>

<simpleType name="statusValueType">
  <restriction base="token">
    <enumeration value="compliant"/>
    <enumeration value="nonCompliant"/>
    <enumeration value="pendingCompliant"/>
  </restriction>
</simpleType>

<!--
End of schema.
-->
</schema>
  END
```

6. Internationalization Considerations

EPP is represented in XML, which provides native support for encoding information using the Unicode character set and its more compact representations including UTF-8. Conformant XML processors recognize both UTF-8 and UTF-16. Though XML includes provisions to identify and use other character encodings through use of an "encoding" attribute in an <?xml?> declaration, use of UTF-8 is RECOMMENDED.

As an extension of the EPP, the elements, element content described in this document MUST inherit the internationalization conventions used to represent higher-layer domain and core protocol structures present in an XML instance that includes this extension.

7. IANA Considerations

7.1. XML Namespace

This document uses URNs to describe XML namespaces and XML schemas conforming to a registry mechanism described in [RFC3688]. IANA is requested to assign the following two URI.

Registration request for the NV namespace:

- o URI: urn:ietf:params:xml:ns:nv-1.0

- o Registrant Contact: See the "Author's Address" section of this document.
- o XML: None. Namespace URI does not represent an XML specification.

Registration request for the NV XML schema:

- o URI: urn:ietf:params:xml:schema:nv-1.0
- o Registrant Contact: See the "Author's Address" section of this document.
- o XML: See the "Formal Syntax" section of this document.

7.2. EPP Extension Registry

The EPP extension described in this document should be registered by the IANA in the EPP Extension Registry described in [RFC7451]. The details of the registration are as follows:

Name of Extension: Extensible Provisioning Protocol (EPP) China Name Verification Mapping

Document status: Informational

Reference: (insert reference to RFC version of this document)

Registrant Name and Email Address: IESG, <iesg@ietf.org>

TLDs: Any

IPR Disclosure: None

Status: Active

Notes: None

8. Security considerations

Verification Code Tokens are digitally signed using XML Signature technology. The security considerations described in Section 12 of the W3C XML Signature Syntax and Processing Candidate Recommendation [W3C.CR-xmlsig-core2-20120124] apply to this specification as well. The object mapping described in this document does not provide any other security services or introduce any additional considerations beyond those described by [RFC5730] or those caused by the protocol layers used by EPP.

9. Acknowledgements

The authors especially thank the author of [RFC5730].

Useful comments and contributions were made by TBD.

10. Change History

RFC Editor: Please remove this section.

10.1. draft-xie-epext-nv-mapping-00: Version 00

- o First draft.

10.2. Change from 00 to 01

1. Made the <nv:code> element of the panDataType and pendingType require the "type" attribute in the XML schema.
2. Fixed the XML schema to include the <nv:rncCode> OPTIONAL element.
3. Added the support for the OPTIONAL "lang" attribute for the <nv:msg> element of the <nv:failed> and <nv:panData> elements.

10.3. Change from 01 to 02

1. Ping update.

10.4. Change from EPPEXT 02 to REGEXT 00

1. Changed to regext working group draft by changing draft-xie-epext-nv-mapping-02 to draft-ietf-regext-nv-mapping-00.

11. Normative References

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- [W3C.REC-xmlschema-2-20041028]
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Authors' Addresses

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Internet Engineering Task Force
Internet-Draft
Intended status: Standards Track
Expires: June 10, 2017

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Extensible Provisioning Protocol (EPP) Reseller Mapping
draft-ietf-regext-reseller-01

Abstract

This document describes an Extensible Provisioning Protocol (EPP) mapping for provisioning and management of reseller object stored in a shared central repository. Specified in Extensible Markup Language (XML), this extended mapping is applied to provide additional features required for the provisioning of resellers.

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1. Introduction

Domain resellers are the individuals or companies that act as agents for domain name registrars. A domain name registrar is a direct customer of the domain name registry, is represented as the sponsoring client to the server in [RFC5730], and may have several resellers to help them sell domain names to end users.

This document describes an extension mapping for version 1.0 of the Extensible Provisioning Protocol (EPP) [RFC5730]. This EPP mapping specifies the reseller object mapping.

This document is specified using the XML 1.0 as described in [W3C.REC-xml-20040204] and XML Schema notation as described in [W3C.REC-xmlschema-1-20041028] and [W3C.REC-xmlschema-2-20041028].

2. Conventions Used in This Document

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [RFC2119].

In examples, "C:" represents lines sent by a protocol client and "S:" represents lines returned by a protocol server. Indentation and white space in examples are provided only to illustrate element relationships and are not a REQUIRED feature of this specification.

XML is case sensitive. Unless stated otherwise, XML specifications and examples provided in this document MUST be interpreted in the character case presented to develop a conforming implementation.

"reseller-1.0" in is used as an abbreviation for "urn:ietf:params:xml:ns:reseller-1.0". The XML namespace prefix "reseller" is used, but implementations MUST NOT depend on it and instead employ a proper namespace-aware XML parser and serializer to interpret and output the XML documents.

3. Object Attributes

An EPP reseller object has attributes and associated values that can be viewed and modified by the sponsoring client or the server. This section describes each attribute type in detail. The formal syntax for the attribute values described here can be found in the "Formal Syntax" section of this document and in the appropriate normative references.

3.1. Reseller Identifier

Reseller identifier provides the ID of the reseller of a sponsoring registrar. Its corresponding element is <reseller:id> defined in this document. All reseller objects are identified by a server-unique identifier.

3.2. Contact and Client Identifiers

All EPP contacts are identified by a server-unique identifier. Contact identifiers are character strings with a specific minimum length, a specified maximum length, and a specified format. Contact identifiers use the "clIDType" client identifier syntax described in [RFC5730].

3.3. Reseller State

A reseller object MUST always have at least one associated state value. Valid values include "ok", "readonly" and "terminated".

State Value Descriptions:

- o ok: the normal status value for the reseller object.
- o readonly: transform commands submitted with the reseller identifier in the reseller extension would not be allowed.
- o terminated: query and transform commands submitted with the reseller identifier in the reseller extension would not be allowed.

3.4. Parent Identifier

There can be more than one layer of resellers. The parent identifier, as defined with the <reseller:parentId> element, represents the parent reseller identifier in a child reseller. The parent identifier is not defined for the top level reseller, namely the registrar of the registry. An N-tier reseller has a parent reseller and at least one child reseller. A reseller customer has a parent reseller and no child resellers.

Loops SHOULD be prohibited. If reseller A has B as parent identifier, reseller B must not have reseller A as parent identifier.

3.5. URL

The URL represents the reseller web home page, as defined with the `<reseller:url>` element.

3.6. Disclosure of Data Elements and Attributes

This document supports the same disclosure features described in Section 2.9 of with the use of the `<reseller:disclose>` element. [RFC5733].

The `<reseller:disclose>` element MUST contain at least one of the following child elements:

```
<reseller:name type="int"/>
<reseller:name type="loc"/>
<reseller:addr type="int"/>
<reseller:addr type="loc"/>
<reseller:voice/>
<reseller:fax/>
<reseller:email/>
<reseller:url/>
<reseller:contact/>
```

4. EPP Command Mapping

A detailed description of the EPP syntax and semantics can be found in the EPP core protocol specification [RFC5730]. The command mappings described here are specifically for use in provisioning and managing reseller information via EPP.

4.1. EPP Query Commands

EPP provides two commands to retrieve domain information: `<check>` to determine if a reseller object can be provisioned within a repository, and `<info>` to retrieve detailed information associated with a reseller object. This document does not define a mapping for the EPP `<transfer>` command.

4.1.1.1. EPP <check> Command

The EPP <check> command is used to determine if an object can be provisioned within a repository. It provides a hint that allows a client to anticipate the success or failure of provisioning an object using the <create> command, as object-provisioning requirements are ultimately a matter of server policy.

In addition to the standard EPP command elements, the <check> command MUST contain a <reseller:check> element that identifies the reseller namespace. The <reseller:check> element contains the following child elements:

- o One or more <reseller:id> elements that contain the server-unique identifier of the reseller objects to be queried.

Example <check> command:

```
C:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
C:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
C:  <command>
C:    <check>
C:      <reseller:check
C:        xmlns:reseller="urn:ietf:params:xml:ns:reseller-1.0">
C:          <reseller:id>res1523</reseller:id>
C:          <reseller:id>re1523</reseller:id>
C:          <reseller:id>1523res</reseller:id>
C:        </reseller:check>
C:      </check>
C:    <clTRID>ABC-12345</clTRID>
C:  </command>
C:</epp>
```

When a <check> command has been processed successfully, the EPP <resData> element MUST contain a child <reseller:chkData> element that identifies the reseller namespace. The <reseller:chkData> element contains one or more <reseller:cd> elements that contain the following child elements:

- o A <reseller:id> element that identifies the queried object. This element MUST contain an "avail" attribute whose value indicates object availability (can it be provisioned or not) at the moment the <check> command was completed. A value of "1" or "true" means that the object can be provisioned. A value of "0" or "false" means that the object cannot be provisioned.

- o An OPTIONAL <reseller:reason> element that MAY be provided when an object cannot be provisioned. If present, this element contains server-specific text to help explain why the object cannot be provisioned. This text MUST be represented in the response language previously negotiated with the client; an OPTIONAL "lang" attribute MAY be present to identify the language if the negotiated value is something other than the default value of "en" (English).

Example <check> response:

```
S:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
S:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
S:  <response>
S:    <result code="1000">
S:      <msg>Command completed successfully</msg>
S:    </result>
S:    <resData>
S:      <reseller:chkData
S:        xmlns:reseller="urn:ietf:params:xml:ns:reseller-1.0">
S:        <reseller:cd>
S:          <reseller:id avail="1">res1523</reseller:id>
S:        </reseller:cd>
S:        <reseller:cd>
S:          <reseller:id avail="0">re1523</reseller:id>
S:          <reseller:reason>In use</reseller:reason>
S:        </reseller:cd>
S:        <reseller:cd>
S:          <reseller:id avail="1">1523res</reseller:id>
S:        </reseller:cd>
S:      </reseller:chkData>
S:    </resData>
S:    <trID>
S:      <clTRID>ABC-12345</clTRID>
S:      <svTRID>54322-XYZ</svTRID>
S:    </trID>
S:  </response>
S:</epp>
```

An EPP error response MUST be returned if a <check> command cannot be processed for any reason.

4.1.2. EPP <info> Command

The EPP <info> command is used to retrieve information associated with a reseller object. In addition to the standard EPP command elements, the <info> command MUST contain a <reseller:info> element

that identifies the reseller namespace. The <reseller:info> element contains the following child elements:

- o A <reseller:id> element that contains the server-unique identifier of the reseller object to be queried.

Example <info> command:

```
C:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
C:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
C:  <command>
C:    <info>
C:      <reseller:info
C:        xmlns:reseller="urn:ietf:params:xml:ns:reseller-1.0">
C:          <reseller:id>res1523</reseller:id>
C:        </reseller:info>
C:      </info>
C:    <clTRID>ABC-12345</clTRID>
C:  </command>
C:</epp>
```

When an <info> command has been processed successfully, the EPP <resData> element MUST contain a child <reseller:infData> element that identifies the reseller namespace. The <reseller:infData> element contains the following child elements:

- o A <reseller:id> element that contains the server-unique identifier of the reseller object, as defined in Section 3.1.
- o A <reseller:roid> element that contains the Repository Object Identifier assigned to the reseller object when the object was created.
- o A <reseller:state> element that contains the operational state of the reseller, as defined in Section 3.3.
- o An OPTIONAL <reseller:parentId> element that contains the identifier of the parent object, as defined in Section 3.4.
- o One or two <reseller:postalInfo> elements that contain postal-address information. Two elements are provided so that address information can be provided in both internationalized and localized forms; a "type" attribute is used to identify the two forms. If an internationalized form (type="int") is provided, element content MUST be represented in a subset of UTF-8 that can be represented in the 7-bit US-ASCII character set. If a localized form (type="loc") is provided, element content MAY be

represented in unrestricted UTF-8. The <reseller:postalInfo> element contains the following child elements:

- * A <reseller:name> element that contains the name of the reseller, which SHOULD be the name of the organization.
- * A <reseller:addr> element that contains address information associated with the reseller. A <reseller:addr> element contains the following child elements:
 - + One, two, or three OPTIONAL <reseller:street> elements that contain the reseller's street address.
 - + A <reseller:city> element that contains the reseller's city.
 - + An OPTIONAL <reseller:sp> element that contains the reseller's state or province.
 - + An OPTIONAL <reseller:pc> element that contains the reseller's postal code.
 - + A <reseller:cc> element that contains the reseller's country code.
- o An OPTIONAL <reseller:voice> element that contains the reseller's voice telephone number.
- o An OPTIONAL <reseller:fax> element that contains the reseller's facsimile telephone number.
- o A <reseller:email> element that contains the reseller's email address.
- o A <reseller:url> element that contains the URL to the website of the reseller.
- o Zero or more OPTIONAL <reseller:contact> elements that contain identifiers for the contact objects to be associated with the reseller object. Contact object identifiers MUST be known to the server before the contact object can be associated with the reseller object. An attribute "type" associated with <reseller:contact> is used to represent contact types. The type values include admin, tech and billing.
- o A <reseller:clID> element that contains the identifier of the sponsoring client, who is the domain name registrar.

- o A <reseller:crID> element that contains the identifier of the client that created the reseller object.
- o A <reseller:crDate> element that contains the date and time of reseller-object creation.
- o A <reseller:upID> element that contains the identifier of the client that last updated the reseller object. This element MUST NOT be present if the reseller has never been modified.
- o A <reseller:upDate> element that contains the date and time of the most recent reseller-object modification. This element MUST NOT be present if the reseller object has never been modified.
- o An OPTIONAL <reseller:disclose> element that identifies elements that require exceptional server-operator handling to allow or restrict disclosure to third parties. See Section 3.6 for a description of the child elements contained within the <reseller:disclose> element.

Example <info> response for the sponsoring client:

```
S:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
S:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
S:  <response>
S:    <result code="1000">
S:      <msg>Command completed successfully</msg>
S:    </result>
S:    <resData>
S:      <reseller:infData
S:        xmlns:reseller="urn:ietf:params:xml:ns:reseller-1.0">
S:        <reseller:id>res1523</reseller:id>
S:        <reseller:roid>res1523-REP</reseller:roid>
S:        <reseller:state>ok</reseller:state>
S:        <reseller:parentId>1523res</reseller:parentId>
S:        <reseller:postalInfo type="int">
S:          <reseller:name>Example Reseller Inc.</reseller:name>
S:          <reseller:addr>
S:            <reseller:street>123 Example Dr.</reseller:street>
S:            <reseller:street>Suite 100</reseller:street>
S:            <reseller:city>Dulles</reseller:city>
S:            <reseller:sp>VA</reseller:sp>
S:            <reseller:pc>20166-6503</reseller:pc>
S:            <reseller:cc>US</reseller:cc>
S:          </reseller:addr>
S:        </reseller:postalInfo>
S:        <reseller:voice x="1234">+1.7035555555</reseller:voice>
S:        <reseller:fax>+1.7035555556</reseller:fax>
S:        <reseller:email>contact@reseller.example</reseller:email>
S:        <reseller:url>http://reseller.example</reseller:url>
S:        <reseller:contact type="admin">sh8013</reseller:contact>
S:        <reseller:contact type="billing">sh8013</reseller:contact>
S:        <reseller:clID>ClientY</reseller:clID>
S:        <reseller:crID>ClientX</reseller:crID>
S:        <reseller:crDate>1999-04-03T22:00:00.0Z</reseller:crDate>
S:        <reseller:upID>ClientX</reseller:upID>
S:        <reseller:upDate>1999-12-03T09:00:00.0Z</reseller:upDate>
S:        <reseller:disclose flag="0">
S:          <reseller:voice/>
S:          <reseller:email/>
S:        </reseller:disclose>
S:      </reseller:infData>
S:    </resData>
S:    <trID>
S:      <clTRID>ABC-12345</clTRID>
S:      <svTRID>54322-XYZ</svTRID>
S:    </trID>
S:  </response>
S:</epp>
```

Example <info> for the non-sponsoring client, according to the disclosure policy:

```
S:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
S:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
S:  <response>
S:    <result code="1000">
S:      <msg>Command completed successfully</msg>
S:    </result>
S:    <resData>
S:      <reseller:infData
S:        xmlns:reseller="urn:ietf:params:xml:ns:reseller-1.0">
S:        <reseller:id>res1523</reseller:id>
S:        <reseller:roid>res1523-REP</reseller:roid>
S:        <reseller:state>ok</reseller:state>
S:        <reseller:parentId>1523res</reseller:parentId>
S:        <reseller:postalInfo type="int">
S:          <reseller:name>Example Reseller Inc.</reseller:name>
S:          <reseller:addr>
S:            <reseller:street>123 Example Dr.</reseller:street>
S:            <reseller:street>Suite 100</reseller:street>
S:            <reseller:city>Dulles</reseller:city>
S:            <reseller:sp>VA</reseller:sp>
S:            <reseller:pc>20166-6503</reseller:pc>
S:            <reseller:cc>US</reseller:cc>
S:          </reseller:addr>
S:        </reseller:postalInfo>
S:        <reseller:fax>+1.7035555556</reseller:fax>
S:        <reseller:url>http://reseller.example</reseller:url>
S:        <reseller:clID>ClientY</reseller:clID>
S:        <reseller:crID>ClientX</reseller:crID>
S:        <reseller:crDate>1999-04-03T22:00:00.0Z</reseller:crDate>
S:        <reseller:upID>ClientX</reseller:upID>
S:        <reseller:upDate>1999-12-03T09:00:00.0Z</reseller:upDate>
S:      </reseller:infData>
S:    </resData>
S:    <trID>
S:      <clTRID>ABC-12345</clTRID>
S:      <svTRID>54322-XYZ</svTRID>
S:    </trID>
S:  </response>
S:</epp>
```

An EPP error response MUST be returned if an <info> command cannot be processed for any reason.

4.1.3. EPP <transfer> Command

The transfer semantics does not apply to reseller object. No EPP <transfer> command is defined in this document.

4.2. EPP Transform Commands

EPP provides four commands to transform reseller-object information: <create> to create an instance of a reseller object, <delete> to delete an instance of a reseller object, <transfer> to manage reseller-object sponsorship changes, and <update> to change information associated with a reseller object. This document does not define a mapping for the EPP <transfer> and <renew> command.

Transform commands are typically processed and completed in real time. Server operators MAY receive and process transform commands but defer completing the requested action if human or third-party review is required before the requested action can be completed. In such situations, the server MUST return a 1001 response code to the client to note that the command has been received and processed but that the requested action is pending. The server MUST also manage the status of the object that is the subject of the command to reflect the initiation and completion of the requested action. Once the action has been completed, all clients involved in the transaction MUST be notified using a service message that the action has been completed and that the status of the object has changed. Other notification methods MAY be used in addition to the required service message.

4.2.1. EPP <create> Command

The EPP <create> command provides a transform operation that allows a client to create a reseller object. In addition to the standard EPP command elements, the <create> command MUST contain a <reseller:create> element that identifies the reseller namespace. The <reseller:create> element contains the following child elements:

- o A <reseller:id> element that contains the desired server-unique identifier for the reseller to be created, as defined in Section 3.1.
- o A <reseller:state> element that contains the operational status of the reseller, as defined in Section 3.3.
- o An OPTIONAL <reseller:parentId> element that contains the identifier of the parent object, as defined in Section 3.4.

- o One or two <reseller:postalInfo> elements that contain postal-address information. Two elements are provided so that address information can be provided in both internationalized and localized forms; a "type" attribute is used to identify the two forms. If an internationalized form (type="int") is provided, element content MUST be represented in a subset of UTF-8 that can be represented in the 7-bit US-ASCII character set. If a localized form (type="loc") is provided, element content MAY be represented in unrestricted UTF-8. The <reseller:postalInfo> element contains the following child elements:
 - * A <reseller:name> element that contains the name of the reseller, which SHOULD be the name of the organization.
 - * A <reseller:addr> element that contains address information associated with the reseller. A <reseller:addr> element contains the following child elements:
 - + One, two, or three OPTIONAL <reseller:street> elements that contain the reseller's street address.
 - + A <reseller:city> element that contains the reseller's city.
 - + An OPTIONAL <reseller:sp> element that contains the reseller's state or province.
 - + An OPTIONAL <reseller:pc> element that contains the reseller's postal code.
 - + A <reseller:cc> element that contains the reseller's country code.
- o An OPTIONAL <reseller:voice> element that contains the reseller's voice telephone number.
- o An OPTIONAL <reseller:fax> element that contains the reseller's facsimile telephone number.
- o A <reseller:email> element that contains the reseller's email address.
- o A <reseller:url> element that contains the URL to the website of the reseller.
- o Zero or more OPTIONAL <reseller:contact> elements that contain identifiers for the human or organizational social information objects associated with the reseller object.

- o An OPTIONAL <reseller:disclose> element that identifies elements that require exceptional server-operator handling to allow or restrict disclosure to third parties. See Section 3.6 for a description of the child elements contained within the <reseller:disclose> element.

Example <create> command:

```
C:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
C:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
C:  <command>
C:    <create>
C:      <reseller:create
C:        xmlns:reseller="urn:ietf:params:xml:ns:reseller-1.0">
C:          <reseller:id>res1523</reseller:id>
C:          <reseller:state>ok</reseller:state>
C:          <reseller:parentId>1523res</reseller:parentId>
C:          <reseller:postalInfo type="int">
C:            <reseller:name>Example Reseller Inc.</reseller:name>
C:            <reseller:addr>
C:              <reseller:street>123 Example Dr.</reseller:street>
C:              <reseller:street>Suite 100</reseller:street>
C:              <reseller:city>Dulles</reseller:city>
C:              <reseller:sp>VA</reseller:sp>
C:              <reseller:pc>20166-6503</reseller:pc>
C:              <reseller:cc>US</reseller:cc>
C:            </reseller:addr>
C:          </reseller:postalInfo>
C:          <reseller:voice x="1234">+1.7035555555</reseller:voice>
C:          <reseller:fax>+1.7035555556</reseller:fax>
C:          <reseller:email>contact@reseller.example</reseller:email>
C:          <reseller:url>http://reseller.example</reseller:url>
C:          <reseller:contact type="admin">sh8013</reseller:contact>
C:          <reseller:contact type="billing">sh8013</reseller:contact>
C:          <reseller:disclose flag="0">
C:            <reseller:voice/>
C:            <reseller:email/>
C:          </reseller:disclose>
C:        </reseller:create>
C:      </create>
C:    <clTRID>ABC-12345</clTRID>
C:  </command>
C:</epp>
```

When a <create> command has been processed successfully, the EPP <resData> element MUST contain a child <reseller:creData> element

that identifies the reseller namespace. The `<reseller:creData>` element contains the following child elements:

- o A `<reseller:id>` element that contains the server-unique identifier for the created reseller, as defined in Section 3.1.
- o A `<reseller:crDate>` element that contains the date and time of reseller-object creation.

Example `<create>` response:

```
S:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
S:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
S:  <response>
S:    <result code="1000">
S:      <msg>Command completed successfully</msg>
S:    </result>
S:    <resData>
S:      <reseller:creData
S:        xmlns:reseller="urn:ietf:params:xml:ns:reseller-1.0">
S:        <reseller:id>res1523</reseller:id>
S:        <reseller:crDate>1999-04-03T22:00:00.0Z</reseller:crDate>
S:      </reseller:creData>
S:    </resData>
S:    <trID>
S:      <clTRID>ABC-12345</clTRID>
S:      <svTRID>54321-XYZ</svTRID>
S:    </trID>
S:  </response>
S:</epp>
```

An EPP error response MUST be returned if a `<create>` command cannot be processed for any reason.

4.2.2. EPP `<delete>` Command

The EPP `<delete>` command provides a transform operation that allows a client to delete a reseller object. In addition to the standard EPP command elements, the `<delete>` command MUST contain a `<reseller:delete>` element that identifies the reseller namespace. The `<reseller:delete>` element MUST contain the following child element:

- o A `<reseller:id>` element that contains the server-unique identifier of the reseller object, as defined in Section 3.1, to be deleted.

A reseller object SHOULD NOT be deleted if it is associated with other known objects. An associated reseller SHOULD NOT be deleted until associations with other known objects have been broken. A server SHOULD notify clients that object relationships exist by sending a 2305 error response code when a <delete> command is attempted and fails due to existing object relationships.

Example <delete> command:

```
C:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
C:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
C:  <command>
C:    <delete>
C:      <reseller:delete
C:        xmlns:reseller="urn:ietf:params:xml:ns:reseller-1.0">
C:          <reseller:id>res1523</reseller:id>
C:        </reseller:delete>
C:      </delete>
C:    <clTRID>ABC-12345</clTRID>
C:  </command>
C:</epp>
```

When a <delete> command has been processed successfully, a server MUST respond with an EPP response with no <resData> element.

Example <delete> response:

```
S:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
S:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
S:  <response>
S:    <result code="1000">
S:      <msg>Command completed successfully</msg>
S:    </result>
S:    <trID>
S:      <clTRID>ABC-12345</clTRID>
S:      <svTRID>54321-XYZ</svTRID>
S:    </trID>
S:  </response>
S:</epp>
```

An EPP error response MUST be returned if a <delete> command cannot be processed for any reason.

4.2.3. EPP <renew> Command

Renewal semantics do not apply to reseller objects, so there is no mapping defined for the EPP <renew> command.

4.2.4. EPP <transfer> Command

Transfer semantics do not apply to reseller objects, so there is no mapping defined for the EPP <transfer> command.

4.2.5. EPP <update> Command

The EPP <update> command provides a transform operation that allows a client to modify the attributes of a reseller object. In addition to the standard EPP command elements, the <update> command MUST contain a <reseller:update> element that identifies the reseller namespace. The <reseller:update> element contains the following child elements:

- o A <reseller:id> element that contains the server-unique identifier of the reseller object to be updated, as defined in Section 3.1.
- o An OPTIONAL <reseller:add> element that contains attribute values to be added to the object.
- o An OPTIONAL <reseller:rem> element that contains attribute values to be removed from the object.
- o An OPTIONAL <reseller:chg> element that contains attribute values to be changed.

At least one <reseller:add>, <reseller:rem> or <reseller:rem> element MUST be provided if the command is not being extended. All of these elements MAY be omitted if an <update> extension is present. The <reseller:add> and <reseller:rem> elements contain the following child element:

- o Zero or more <reseller:contact> elements that contain the identifiers for contact objects to be associated with or removed from the reseller object. Contact object identifiers MUST be known to the server before the contact object can be associated with the reseller object.

A <reseller:chg> element contains the following OPTIONAL child elements. At least one child element MUST be present:

- o A <reseller:state> element that contains the operational status of the reseller.

- o A <reseller:parentId> element that contains the identifier of the parent object.
- o One or two <reseller:postalInfo> elements that contain postal-address information. Two elements are provided so that address information can be provided in both internationalized and localized forms; a "type" attribute is used to identify the two forms. If an internationalized form (type="int") is provided, element content MUST be represented in a subset of UTF-8 that can be represented in the 7-bit US-ASCII character set. If a localized form (type="loc") is provided, element content MAY be represented in unrestricted UTF-8. The <reseller:postalInfo> element contains the following child elements:
 - * A <reseller:name> element that contains the name of the reseller, which SHOULD be the name of the organization.
 - * A <reseller:addr> element that contains address information associated with the reseller. A <reseller:addr> element contains the following child elements:
 - + One, two, or three OPTIONAL <reseller:street> elements that contain the reseller's street address.
 - + A <reseller:city> element that contains the reseller's city.
 - + An OPTIONAL <reseller:sp> element that contains the reseller's state or province.
 - + An OPTIONAL <reseller:pc> element that contains the reseller's postal code.
 - + A <reseller:cc> element that contains the reseller's country code.
- o An <reseller:voice> element that contains the reseller's voice telephone number.
- o An <reseller:fax> element that contains the reseller's facsimile telephone number.
- o A <reseller:email> element that contains the reseller's email address.
- o A <reseller:url> element that contains the URL to the website of the reseller.

- o An <reseller:disclose> element that identifies elements that require exceptional server-operator handling to allow or restrict disclosure to third parties. See Section 2.9 in [RFC5733] for a description of the child elements contained within the <reseller:disclose> element.

Example <update> command:

```
C:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
C:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
C:  <command>
C:    <update>
C:      <reseller:update
C:        xmlns:reseller="urn:ietf:params:xml:ns:reseller-1.0">
C:        <reseller:id>res1523</reseller:id>
C:        <reseller:add>
C:          <reseller:contact type="tech">sh8013</reseller:contact>
C:        </reseller:add>
C:        <reseller:chg>
C:          <reseller:state>readonly</reseller:state>
C:          <reseller:postalInfo type="int">
C:            <reseller:addr>
C:              <reseller:street>124 Example Dr.</reseller:street>
C:              <reseller:street>Suite 200</reseller:street>
C:              <reseller:city>Dulles</reseller:city>
C:              <reseller:sp>VA</reseller:sp>
C:              <reseller:pc>20166-6503</reseller:pc>
C:              <reseller:cc>US</reseller:cc>
C:            </reseller:addr>
C:          </reseller:postalInfo>
C:          <reseller:voice>+1.7034444444</reseller:voice>
C:          <reseller:fax/>
C:          <reseller:disclose flag="1">
C:            <reseller:voice/>
C:            <reseller:email/>
C:          </reseller:disclose>
C:        </reseller:chg>
C:      </reseller:update>
C:    </update>
C:    <clTRID>ABC-12345</clTRID>
C:  </command>
C:</epp>
```

When an <update> command has been processed successfully, a server MUST respond with an EPP response with no <resData> element.

Example <update> response:


```

S:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
S:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
S:  <response>
S:    <result code="1000">
S:      <msg>Command completed successfully</msg>
S:    </result>
S:    <trID>
S:      <clTRID>ABC-12345</clTRID>
S:      <svTRID>54321-XYZ</svTRID>
S:    </trID>
S:  </response>
S:</epp>

```

An EPP error response MUST be returned if an <update> command cannot be processed for any reason.

5. Formal Syntax

An EPP object mapping is specified in XML Schema notation. The formal syntax presented here is a complete schema representation of the object mapping suitable for automated validation of EPP XML instances. The BEGIN and END tags are not part of the schema; they are used to note the beginning and ending of the schema for URI registration purposes.

```

BEGIN
<?xml version="1.0" encoding="UTF-8"?>

<schema targetNamespace="urn:ietf:params:xml:ns:reseller-1.0"
  xmlns:reseller="urn:ietf:params:xml:ns:reseller-1.0"
  xmlns:epp="urn:ietf:params:xml:ns:epp-1.0"
  xmlns:eppcom="urn:ietf:params:xml:ns:eppcom-1.0"
  xmlns:contact="urn:ietf:params:xml:ns:contact-1.0"
  xmlns="http://www.w3.org/2001/XMLSchema"
  elementFormDefault="qualified">

  <!--
  Import common element types.
  -->
  <import namespace="urn:ietf:params:xml:ns:eppcom-1.0"/>
  <import namespace="urn:ietf:params:xml:ns:epp-1.0"/>
  <import namespace="urn:ietf:params:xml:ns:contact-1.0"/>
  <import namespace="urn:ietf:params:xml:ns:domain-1.0"/>

  <annotation>
    <documentation>
      Extensible Provisioning Protocol v1.0

```

```
        reseller provisioning schema.
    </documentation>
</annotation>

<!--
Child elements found in EPP commands.
-->
<element name="create" type="reseller:createType"/>
<element name="delete" type="reseller:sIDType"/>
<element name="update" type="reseller:updateType"/>
<element name="check" type="reseller:mIDType"/>
<element name="info" type="reseller:infoType"/>

<!--
Utility types.
-->
<simpleType name="stateType">
  <restriction base="token">
    <enumeration value="ok"/>
    <enumeration value="readonly"/>
    <enumeration value="terminated"/>
  </restriction>
</simpleType>

<complexType name="postalInfoType">
  <sequence>
    <element name="name" type="contact:postalLineType"/>
    <element name="addr" type="contact:addrType"/>
  </sequence>
  <attribute name="type" type="contact:postalInfoEnumType"
    use="required"/>
</complexType>

<complexType name="discloseType">
  <sequence>
    <element name="name" type="contact:intLocType"
      minOccurs="0" maxOccurs="2"/>
    <element name="addr" type="contact:intLocType"
      minOccurs="0" maxOccurs="2"/>
    <element name="voice" minOccurs="0"/>
    <element name="fax" minOccurs="0"/>
    <element name="email" minOccurs="0"/>
    <element name="url" minOccurs="0"/>
    <element name="contact" minOccurs="0"/>
  </sequence>
  <attribute name="flag" type="boolean" use="required"/>
</complexType>
```

```
<!--
Child elements of the <create> command.
-->
<complexType name="createType">
  <sequence>
    <element name="id" type="eppcom:clIDType"/>
    <element name="state" type="reseller:stateType"
      minOccurs="0"/>
    <element name="parentId" type="eppcom:clIDType"
      minOccurs="0"/>
    <element name="postalInfo" type="reseller:postalInfoType"
      maxOccurs="2"/>
    <element name="voice" type="contact:e164Type"
      minOccurs="0"/>
    <element name="fax" type="contact:e164Type"
      minOccurs="0"/>
    <element name="email" type="eppcom:minTokenType"/>
    <element name="url" type="anyURI"
      minOccurs="0"/>
    <element name="contact" type="domain:contactType"
      minOccurs="0" maxOccurs="3"/>
    <element name="disclose" type="reseller:discloseType"
      minOccurs="0"/>
  </sequence>
</complexType>

<!--
Child element of commands that require only an identifier.
-->
<complexType name="sIDType">
  <sequence>
    <element name="id" type="eppcom:clIDType"/>
  </sequence>
</complexType>

<!--
Child element of commands that accept multiple identifiers.
-->
<complexType name="mIDType">
  <sequence>
    <element name="id" type="eppcom:clIDType"
      maxOccurs="unbounded"/>
  </sequence>
</complexType>

<!--
Child elements of the <info> commands.
-->
```

```
<complexType name="infoType">
  <sequence>
    <element name="id" type="eppcom:clIDType"/>
  </sequence>
</complexType>

<!--
Child elements of the <update> command.
-->
<complexType name="updateType">
  <sequence>
    <element name="id" type="eppcom:clIDType"/>
    <element name="add" type="reseller:addRemType"
      minOccurs="0"/>
    <element name="rem" type="reseller:addRemType"
      minOccurs="0"/>
    <element name="chg" type="reseller:chgType"
      minOccurs="0"/>
  </sequence>
</complexType>

<!--
Data elements that can be added or removed.
-->
<complexType name="addRemType">
  <sequence>
    <element name="contact" type="domain:contactType"
      minOccurs="0"/>
  </sequence>
</complexType>

<!--
Data elements that can be changed.
-->
<complexType name="chgType">
  <sequence>
    <element name="state" type="reseller:stateType"
      minOccurs="0"/>
    <element name="parentId" type="eppcom:clIDType"
      minOccurs="0"/>
    <element name="postalInfo" type="reseller:chgPostalInfoType"
      minOccurs="0" maxOccurs="2"/>
    <element name="voice" type="contact:e164Type"
      minOccurs="0"/>
    <element name="fax" type="contact:e164Type"
      minOccurs="0"/>
    <element name="email" type="eppcom:minTokenType"
      minOccurs="0"/>
  </sequence>
</complexType>
```

```

        <element name="url" type="anyURI"
            minOccurs="0"/>
        <element name="disclose" type="reseller:discloseType"
            minOccurs="0"/>
    </sequence>
</complexType>

<complexType name="chgPostalInfoType">
    <sequence>
        <element name="name" type="contact:postalLineType"
            minOccurs="0"/>
        <element name="addr" type="contact:addrType"
            minOccurs="0"/>
    </sequence>
    <attribute name="type" type="contact:postalInfoEnumType"
        use="required"/>
</complexType>

<!--
Child response elements.
-->
<element name="chkData" type="contact:chkDataType"/>
<element name="creData" type="contact:creDataType"/>
<element name="infData" type="reseller:infDataType"/>

<!--
<info> response elements.
-->
<complexType name="infDataType">
    <sequence>
        <element name="id" type="eppcom:clIDType"/>
        <element name="roid" type="eppcom:roidType"/>
        <element name="state" type="reseller:stateType"/>
        <element name="parentId" type="eppcom:clIDType"
            minOccurs="0"/>
        <element name="postalInfo" type="reseller:postalInfoType"
            maxOccurs="2"/>
        <element name="voice" type="contact:e164Type"
            minOccurs="0"/>
        <element name="fax" type="contact:e164Type"
            minOccurs="0"/>
        <element name="email" type="eppcom:minTokenType"/>
        <element name="url" type="anyURI"
            minOccurs="0"/>
        <element name="contact" type="domain:contactType"
            minOccurs="0" maxOccurs="3"/>
        <element name="clID" type="eppcom:clIDType"/>
        <element name="crID" type="eppcom:clIDType"/>
    </sequence>
</complexType>

```

```
<element name="crDate" type="dateTime"/>
<element name="upID" type="eppcom:clIDType"
  minOccurs="0"/>
<element name="upDate" type="dateTime"
  minOccurs="0"/>
<element name="disclose" type="reseller:discloseType"
  minOccurs="0"/>
</sequence>
</complexType>

<!--
End of schema.
-->
</schema>
END
```

6. Internationalization Considerations

EPP is represented in XML, which provides native support for encoding information using the Unicode character set and its more compact representations including UTF-8. Conformant XML processors recognize both UTF-8 and UTF-16. Though XML includes provisions to identify and use other character encodings through use of an "encoding" attribute in an `<?xml?>` declaration, use of UTF-8 is RECOMMENDED.

As an extension of the EPP reseller object mapping, the elements and element content described in this document MUST inherit the internationalization conventions used to represent higher-layer domain and core protocol structures present in an XML instance that includes this extension.

7. IANA Considerations

7.1. XML Namespace

This document uses URNs to describe XML namespaces and XML schemas conforming to a registry mechanism described in [RFC3688]. IANA is requested to assign the following URI.

Registration request for the reseller namespace:

- o URI: urn:ietf:params:xml:ns:reseller-1.0
- o Registrant Contact: See the "Author's Address" section of this document.
- o XML: See the "Formal Syntax" section of this document.

7.2. EPP Extension Registry

The EPP extension described in this document should be registered by the IANA in the EPP Extension Registry described in [RFC7451]. The details of the registration are as follows:

Name of Extension: Domain Reseller Object Extension

Document status: Standards Track

Reference: (insert reference to RFC version of this document)

Registrant Name and Email Address: See the "Author's Address" section of this document.

TLDs: any

IPR Disclosure: none

Status: active

Notes: none

8. Security Considerations

Authorization information described in [RFC5733] is not supported in this document. If the querying client is not the sponsoring registrar of the reseller, not all the object information is accessible. The disclose element defined in [RFC5733] is used to allow or restrict disclosure of object elements to third parties. Other mechanism, such as defining a registry customized authorization information list according to their local policies and regulations, is also possible.

9. Acknowledgement

The authors would like to thank Rik Ribbers, Marc Groeneweg and Patrick Mevzek for their careful review and valuable comments.

10. Normative References

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Appendix A. Change Log

Initial -00: Individual document submitted.

-01:

- * Updated abstract text.
- * Added sentences to avoid loop of parent identifiers in section 3.4.
- * Revised typos in section 3.6.

- * Added explanation of contact type attribute in section 4.1.2.
- * Updated <info> responses.
- * Deleted description of <transfer> command in section 4.1 and 4.2.
- * Deleted whoisInfo disclose type in XML schema.
- * Deleted maxOccurs of addRemType.
- * Deleted extra "OPTIONAL" in section 4.2.5.
- * Updated typos in <update> response.

-02:

- * Changed author information.
- * Updated url definition.
- * Updated XML schema.

-03:

- * Changed author information.
- * Updated section 3.1.
- * Refactored the XSD file. Added <chgPostalInfoType> element.
- * Added acknowledgement.

WG document-00: WG document submitted

WG document-01: Keep document alive for further discussion.
Reseller object or entity object with multiple roles?

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Internet Engineering Task Force
Internet-Draft
Intended status: Standards Track
Expires: June 10, 2017

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December 7, 2016

Reseller Extension for the Extensible Provisioning Protocol (EPP)
draft-ietf-regext-reseller-ext-01

Abstract

This mapping, an extension to EPP object mappings like the EPP domain name mapping [RFC5731], to support assigning a reseller to any existing object (domain, host, contact) as well as any future objects. Specified in Extensible Markup Language (XML), this extended mapping is applied to provide additional features required for the provisioning of resellers.

Status of This Memo

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1. Introduction

Domain resellers are the individuals or companies act as agents for ICANN accredited registrars. A domain name registrar may have several resellers to help them sell domain names to end users.

Generally speaking, resellers provide domain registration information via registrar's EPP client without reseller information. On one hand, registrars are concerned about how to identify resellers. On the other hand, end users would also be confused by the WHOIS service without corresponding reseller information. This requirement imposes a challenge for the domain registries since there is no definition of resellers in the existing EPP domain name mapping. Out of band method could solve this problem but may increase extra cost.

In order to facilitate provisioning and management of reseller information in a shared central repository, this document proposes a reseller extension of [RFC5731], [RFC5732] and [RFC5733]. The examples provided in this document are used for the domain object for illustration purposes. The host and contact object could be extended in the same way with the domain object.

A reseller mapping object defined in [ID.draft-ietf-regext-reseller] SHOULD be created first. The reseller information specified in this document SHOULD reference the existing reseller identifier and reseller name.

This document is specified using the XML 1.0 as described in [W3C.REC-xml-20040204] and XML Schema notation as described in [W3C.REC-xmlschema-1-20041028] and [W3C.REC-xmlschema-2-20041028].

2. Conventions Used in This Document

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [RFC2119].

In examples, "C:" represents lines sent by a protocol client and "S:" represents lines returned by a protocol server. Indentation and white space in examples are provided only to illustrate element relationships and are not a REQUIRED feature of this specification.

XML is case sensitive. Unless stated otherwise, XML specifications and examples provided in this document MUST be interpreted in the character case presented to develop a conforming implementation.

resellerext-1.0 in this document is used as an abbreviation for urn:ietf:params:xml:ns:resellerext-1.0.

3. Object Attributes

This extension adds additional elements to the EPP domain name mapping [RFC5731]. Only the new elements are described here.

3.1. Reseller Identifier

Reseller identifier provides the ID of the reseller of a sponsoring registrar. Its corresponding element is <resellerext:id> which refers to the <reseller:id> element defined in [ID.draft-ietf-regext-reseller]. All reseller objects are identified by a server-unique identifier

3.2. Reseller Name

Reseller name provides the name of the reseller of a sponsoring registrar. Its corresponding element is <resellerext:name> which refers to the <reseller:name> element defined in [ID.draft-ietf-regext-reseller].

4. EPP Command Mapping

A detailed description of the EPP syntax and semantics can be found in the EPP core protocol specification [RFC5730]. The command mappings described here are specifically for use in provisioning and managing reseller information via EPP.

4.1. EPP Query Commands

EPP provides three commands to retrieve domain information: <check> to determine if a domain object can be provisioned within a repository, <info> to retrieve detailed information associated with a domain object, and <transfer> to retrieve domain-object transfer status information.

4.1.1. EPP <check> Command

This extension does not add any elements to the EPP <check> command or <check> response described in the EPP domain name mapping [RFC5731], host mapping [RFC5732] and contact mapping [RFC5733].

4.1.2. EPP <info> Command

This extension does not add any element to the EPP <info> command described in the EPP domain name mapping [RFC5731], host mapping [RFC5732] and contact mapping [RFC5733]. However, additional elements are defined for the <info> response.

Example <info> command:

```
C:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
C:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
C:  <command>
C:    <info>
C:      <domain:info xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
C:        <domain:name>example.com</domain:name>
C:        <domain:authInfo>
C:          <domain:pw>fooBAR</domain:pw>
C:        </domain:authInfo>
C:      </domain:info>
C:    </info>
C:    <clTRID>ngcl-mIFICBNP</clTRID>
C:  </command>
C:</epp>
```

When an <info> command has been processed successfully, the EPP <resData> element MUST contain child elements as described in the EPP domain mapping [RFC5731], host mapping [RFC5732] and contact mapping [RFC5733]. In addition, the EPP <extension> element SHOULD contain a child <resellerext:infData> element that identifies the extension namespace if the domain object has data associated with this extension and based on its service policy. The <resellerext:infData> element contains the following child elements:

- o A <resellerext:id> element that contains the identifier of the reseller of a sponsoring registrar.

Example <info> response for an authorized client:

```
S:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
S:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
S:  <response>
S:    <result code="1000">
S:      <msg lang="en-US">Command completed successfully</msg>
S:    </result>
S:    <resData>
S:      <domain:infData xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
S:        <domain:name>example.com</domain:name>
S:        <domain:roid>EXAMPLE1-REP</domain:roid>
S:        <domain:status s="ok"/>
S:        <domain:registrant>jd1234</domain:registrant>
S:        <domain:contact type="admin">sh8013</domain:contact>
S:        <domain:contact type="billing">sh8013</domain:contact>
S:        <domain:contact type="tech">sh8013</domain:contact>
S:        <domain:ns>
S:          <domain:hostObj>ns1.example.com</domain:hostObj>
S:        </domain:ns>
S:        <domain:clID>ClientX</domain:clID>
S:        <domain:crID>ClientY</domain:crID>
S:        <domain:crDate>2015-02-06T04:01:21.0Z</domain:crDate>
S:        <domain:exDate>2018-02-06T04:01:21.0Z</domain:exDate>
S:        <domain:authInfo>
S:          <domain:pw>2fooBAR</domain:pw>
S:        </domain:authInfo>
S:      </domain:infData>
S:    </resData>
S:    <extension>
S:      <rgp:infData xmlns:rgp="urn:ietf:params:xml:ns:rgp-1.0">
S:        <rgp:rgpStatus s="addPeriod"/>
S:      </rgp:infData>
S:      <resellerext:infData xmlns:resellerext="urn:ietf:params:xml:ns:resellere
xt-1.0">
S:        <resellerext:id>myreseller</resellerext:id>
S:      </resellerext:infData>
S:    </extension>
S:    <trID>
S:      <clTRID>ngcl-IvJjzMZc</clTRID>
S:      <svTRID>test142AWQONJZ</svTRID>
S:    </trID>
S:  </response>
S:</epp>
```

<info> response for the unauthorized client has not been changed, see [RFC5731], [RFC5732] and [RFC5733]for detail.

An EPP error response MUST be returned if an <info> command cannot be processed for any reason.

4.1.3. EPP <transfer> Command

This extension does not add any elements to the EPP <transfer> command or <transfer> response described in the EPP domain name mapping [RFC5731], host mapping [RFC5732] and contact mapping [RFC5733].

4.2. EPP Transform Commands

EPP provides five commands to transform domain objects: <create> to create an instance of a domain object, <delete> to delete an instance of a domain object, <renew> to extend the validity period of a domain object, <transfer> to manage domain object sponsorship changes, and <update> to change information associated with a domain object.

4.2.1. EPP <create> Command

This extension defines additional elements for the EPP <create> command described in the EPP domain mapping [RFC5731], host mapping [RFC5732] and contact mapping [RFC5733]. No additional elements are defined for the EPP <create> response.

The EPP <create> command provides a transform operation that allows a client to create a domain object. In addition to the EPP command elements described in the EPP domain mapping [RFC5731], host mapping [RFC5732] and contact mapping [RFC5733], the command MUST contain an <extension> element, and the <extension> element MUST contain a child <resellerext:create> element that identifies the extension namespace if the client wants to associate data defined in this extension to the domain object. The <resellerext:create> element contains the following child elements:

- o A <resellerext:id> element that contains the identifier of the reseller of a sponsoring registrar.

Example <create> Command:

```
C:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
C:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
C:  <command>
C:    <create>
C:      <domain:create xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
C:        <domain:name>example.com</domain:name>
C:        <domain:period unit="y">3</domain:period>
C:        <domain:ns>
C:          <domain:hostObj>nsl.example.com</domain:hostObj>
C:        </domain:ns>
C:        <domain:registrant>jd1234</domain:registrant>
C:        <domain:contact type="tech">sh8013</domain:contact>
C:        <domain:contact type="billing">sh8013</domain:contact>
C:        <domain:contact type="admin">sh8013</domain:contact>
C:        <domain:authInfo>
C:          <domain:pw roid="dddd-dddd">fooBAR</domain:pw>
C:        </domain:authInfo>
C:      </domain:create>
C:    </create>
C:    <extension>
C:      <resellerext:create xmlns:resellerext="urn:ietf:params:xml:ns:resellerext-1.0">
C:        <resellerext:id>myreseller</resellerext:id>
C:      </resellerext:create>
C:    </extension>
C:    <clTRID>ABC-12345</clTRID>
C:  </command>
C:</epp>
```

When a <create> command has been processed successfully, the EPP response is as described in the EPP domain mapping [RFC5731], host mapping [RFC5732] and contact mapping [RFC5733].

An EPP error response MUST be returned if a <create> command cannot be processed for any reason.

4.2.2. EPP <delete> Command

This extension does not add any elements to the EPP <delete> command or <delete> response described in the EPP domain mapping [RFC5731], host mapping [RFC5732] and contact mapping [RFC5733].

4.2.3. EPP <renew> Command

This extension does not add any elements to the EPP <renew> command or <renew> response described in the EPP domain mapping [RFC5731], host mapping [RFC5732] and contact mapping [RFC5733].

4.2.4. EPP <transfer> Command

This extension does not add any elements to the EPP <transfer> command or <transfer> response described in the EPP domain mapping [RFC5731], host mapping [RFC5732] and contact mapping [RFC5733], but after a successful transfer of an object with an assigned reseller, the server SHOULD clear the assigned reseller value.

4.2.5. EPP <update> Command

This extension defines additional elements for the EPP <update> command described in the EPP domain mapping [RFC5731], host mapping [RFC5732] and contact mapping [RFC5733]. No additional elements are defined for the EPP <update> response.

The EPP <update> command provides a transform operation that allows a client to modify the attributes of a domain object. In addition to the EPP command elements described in the EPP domain mapping, the command MUST contain an <extension> element, and the <extension> element MUST contain a child <resellerext:update> element that identifies the extension namespace if the client wants to update the domain object with data defined in this extension. The <resellerext:update> element contains the following child elements:

- o An OPTIONAL <resellerext:add> element that contains attribute values to be added to the object.
- o An OPTIONAL <resellerext:rem> element that contains attribute values to be removed from the object.
- o An OPTIONAL <resellerext:chg> element that contains attribute values to be changed.

At least one and only one <resellerext:add>, <resellerext:rem> or <resellerext:rem> element MUST be provided. The <resellerext:add>, <resellerext:rem> and <resellerext:rem> elements contain the following child element:

- o A <resellerext:id> element that contains the identifier of the reseller of a sponsoring registrar.

Example <update> command, adding a reseller:

```
C:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
C:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
C:  <command>
C:    <update>
C:      <domain:update xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
C:        <domain:name>example.com</domain:name>
C:      </domain:update>
C:    </update>
C:    <extension>
C:      <resellerext:update xmlns:resellerext="urn:ietf:params:xml:ns:resellerext-1.0">
C:        <resellerext:add>
C:          <resellerext:id>myreseller</resellerext:id>
C:        </resellerext:add>
C:      </resellerext:update>
C:    </extension>
C:    <clTRID>ABC-12345</clTRID>
C:  </command>
C:</epp>
```

Example <update> command, removing a reseller:

```
C:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
C:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
C:  <command>
C:    <update>
C:      <domain:update xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
C:        <domain:name>example.com</domain:name>
C:      </domain:update>
C:    </update>
C:    <extension>
C:      <resellerext:update xmlns:resellerext="urn:ietf:params:xml:ns:resellerext-1.0">
C:        <resellerext:rem>
C:          <resellerext:id>myreseller</resellerext:id>
C:        </resellerext:rem>
C:      </resellerext:update>
C:    </extension>
C:    <clTRID>ABC-12345</clTRID>
C:  </command>
C:</epp>
```

Example <update> command, updating reseller identifier:

```
C:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
C:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
C:  <command>
C:    <update>
C:      <domain:update xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
C:        <domain:name>example.com</domain:name>
C:      </domain:update>
C:    </update>
C:  <extension>
C:    <resellerext:update xmlns:resellerext="urn:ietf:params:xml:ns:resellerext-1.0">
C:      <resellerext:chg>
C:        <resellerext:id>myreseller</resellerext:id>
C:      </resellerext:chg>
C:    </resellerext:update>
C:  </extension>
C:  <clTRID>ABC-12345</clTRID>
C: </command>
C:</epp>
```

When an extended `<update>` command has been processed successfully, the EPP response is as described in the EPP domain name mapping [RFC5731], host mapping [RFC5732] and contact mapping [RFC5733].

5. Formal Syntax

An EPP object mapping is specified in XML Schema notation. The formal syntax presented here is a complete schema representation of the object mapping suitable for automated validation of EPP XML instances. The BEGIN and END tags are not part of the schema; they are used to note the beginning and ending of the schema for URI registration purposes.

BEGIN

```
<?xml version="1.0" encoding="UTF-8"?>

<schema targetNamespace="urn:ietf:params:xml:ns:resellerext-1.0"
  xmlns:resellerext="urn:ietf:params:xml:ns:resellerext-1.0"
  xmlns:epp="urn:ietf:params:xml:ns:epp-1.0"
  xmlns:eppcom="urn:ietf:params:xml:ns:eppcom-1.0"
  xmlns="http://www.w3.org/2001/XMLSchema"
  elementFormDefault="qualified">

<!--
Import common element types.
-->
```

```
<import namespace="urn:ietf:params:xml:ns:eppcom-1.0"
  schemaLocation="eppcom-1.0.xsd"/>
<import namespace="urn:ietf:params:xml:ns:epp-1.0"
  schemaLocation="epp-1.0.xsd"/>

<annotation>
  <documentation>
    Extensible Provisioning Protocol v1.0
    Domain Reseller Extension Schema v1.0
  </documentation>
</annotation>

<!-- Child elements found in EPP commands. -->
<element name="create" type="resellerext:createType"/>
<element name="update" type="resellerext:updateType"/>

<!-- Child elements of the <resellerext:create> command
All elements must be present at time of creation
-->
<complexType name="createType">
  <sequence>
    <!-- agent identifier that sells the domain, e.g. registrar, reseller -->
    <element name="id" type="eppcom:clIDType"/>
  </sequence>
</complexType>

<!--
Child elements of <resellerext:update> command
-->

<complexType name="updateType">
  <sequence>
    <element name="add" type="resellerext:addRemChgType" minOccurs="0"/>
    <element name="rem" type="resellerext:addRemChgType" minOccurs="0"/>
    <element name="chg" type="resellerext:addRemChgType" minOccurs="0"/>
  </sequence>
</complexType>

<complexType name="addRemChgType">
  <sequence>
    <!-- agent identifier that sells the domain, e.g. registrar, reseller -->
    <element name="id" type="eppcom:clIDType" minOccurs="0"/>
  </sequence>
</complexType>

<!-- Child response element -->

<element name="infData" type="resellerext:infDataType"/>
```

```
<!-- <resellerext:infData> response elements -->

<complexType name="infDataType">
  <sequence>
    <!-- agent identifier that sells the domain, e.g. registrar, reseller -->
    <element name="id" type="eppcom:clIDType" minOccurs="0"/>
  </sequence>
</complexType>

<!-- End of schema. -->
</schema>
END
```

6. Internationalization Considerations

EPP is represented in XML, which provides native support for encoding information using the Unicode character set and its more compact representations including UTF-8. Conformant XML processors recognize both UTF-8 and UTF-16. Though XML includes provisions to identify and use other character encodings through use of an "encoding" attribute in an `<?xml?>` declaration, use of UTF-8 is RECOMMENDED.

As an extension of the EPP domain name mapping, the elements, element content described in this document MUST inherit the internationalization conventions used to represent higher-layer domain and core protocol structures present in an XML instance that includes this extension.

7. IANA Considerations

7.1. XML Namespace

This document uses URNs to describe XML namespaces and XML schemas conforming to a registry mechanism described in [RFC3688]. IANA is requested to assign the following URI.

Registration request for the reseller namespace:

- o URI: urn:ietf:params:xml:ns:reseller-1.0
- o Registrant Contact: See the "Author's Address" section of this document.
- o XML: See the "Formal Syntax" section of this document.

7.2. EPP Extension Registry

The EPP extension described in this document should be registered by the IANA in the EPP Extension Registry described in [RFC7451]. The details of the registration are as follows:

Name of Extension: Domain Reseller Extension

Document status: Standards Track

Reference: (insert reference to RFC version of this document)

Registrant Name and Email Address: See the "Author's Address" section of this document.

TLDs: any

IPR Disclosure: none

Status: active

Notes: none

8. Security Considerations

The object mapping extension described in this document does not provide any other security services or introduce any additional considerations beyond those described by [RFC5730], [RFC5731], [RFC5732] and [RFC5733] or those caused by the protocol layers used by EPP.

9. Acknowledgement

The authors would like to thank Rik Ribbers, Marc Groeneweg and Patrick Mevzek for their careful review and valuable comments.

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10.1. Normative References

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10.2. Informative References

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Appendix A. Change Log

Initial -00: Individual document submitted.

-01:

- * Updated abstract and introduction.
- * Revised typos in info response.
- * Added explanations on how to process reseller extension after successful transfer operation.
- * Modified <update> explanation.
- * Deleted reseller name element in <create> and <update> commands.
- * Removed some inaccurate comments from xml schema.
- * Modified the element name of reseller id and reseller name.

-02:

- * Changed author information.
- * Updated xml typos <reseller:infData> to <resellerext:infData> in <info> response.

-03:

- * Changed author information.
- * Updated section 3.1.
- * Removed reseller name element in <info> response.
- * Added acknowledgement.
- * Revised the typo "resellerr" to "resellerext".

WG document-00: WG document submitted

WG document-01: Keep document alive for further discussion. The requirement of reseller information is clear for both registrar and registry. What we should reach a consensus is whether the extension should support only a name or ID and name.

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Internet Engineering Task Force
Internet-Draft
Intended status: Informational
Expires: May 23, 2021

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ICANN
Nov 19, 2020

ICANN TMCH functional specifications
draft-ietf-regext-tmch-func-spec-09

Abstract

This document describes the requirements, the architecture and the interfaces between the ICANN Trademark Clearinghouse (TMCH) and Domain Name Registries as well as between the ICANN TMCH and Domain Name Registrars for the provisioning and management of domain names during Sunrise and Trademark Claims Periods.

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1. Introduction

Domain Name Registries (DNRs) may operate in special modes for certain periods of time enabling trademark holders to protect their rights during the introduction of a Top Level Domain (TLD).

Along with the introduction of new generic TLDs (gTLD), two special modes came into effect:

- o Sunrise Period, the Sunrise Period allows trademark holders an advance opportunity to register domain names corresponding to their marks before names are generally available to the public.
- o Trademark Claims Period, the Trademark Claims Period follows the Sunrise Period and runs for at least the first 90 days of an initial operating period of general registration. During the Trademark Claims Period, anyone attempting to register a domain name matching a mark that is recorded in the ICANN Trademark Clearinghouse (TMCH) will receive a notification displaying the relevant mark information.

This document describes the requirements, the architecture and the interfaces between the ICANN TMCH and Domain Name Registries (called Registries in the rest of the document) as well as between the ICANN TMCH and Domain Name Registrars (called Registrars in the rest of the document) for the provisioning and management of domain names during the Sunrise and Trademark Claims Periods.

For any date and/or time indications, Coordinated Universal Time (UTC) applies.

2. Terminology

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in BCP 14 [RFC2119] [RFC8174] when, and only when, they appear in all capitals, as shown here.

XML is case sensitive. Unless stated otherwise, XML specifications and examples provided in this document MUST be interpreted in the character case presented in order to develop a conforming implementation.

"tmNotice-1.0" is used as an abbreviation for "urn:ietf:params:xml:ns:tmNotice-1.0". The XML namespace prefix "tmNotice" is used, but implementations MUST NOT depend on it and instead employ a proper namespace-aware XML parser and serializer to interpret and output the XML documents.

Extensible Markup Language (XML) 1.0 as described in [W3C.REC-xml-20081126] and XML Schema notation as described in [W3C.REC-xmlschema-1-20041028] and [W3C.REC-xmlschema-2-20041028] are used in this specification.

3. Glossary

In the following section, the most common terms are briefly explained:

- o Backend Registry Operator: Entity that manages (a part of) the technical infrastructure for a Registry Operator. The Registry Operator may also be the Backend Registry Operator.
- o CA: Certificate Authority, see [RFC5280].
- o CNIS, Claims Notice Information Service: This service provides Trademark Claims Notices (TCN) to Registrars.
- o CRC32, Cyclic Redundancy Check: algorithm used in the ISO 3309 standard and in section 8.1.1.6.2 of ITU-T recommendation V.42.
- o CRL: Certificate Revocation List, see [RFC5280].
- o CSV: Comma-Separated Values, see [RFC4180]
- o Date and time, datetime: Date and time are specified following the standard "Date and Time on the Internet specification", see [RFC3339].
- o DN, Domain Name, domain name: see definition of Domain name in [RFC8499].
- o DNROID, DN Repository Object Identifier: an identifier assigned by the Registry to each DN object that unequivocally identifies said DN object. For example, if a new DN object is created for a name that existed in the past, the DN objects will have different DNROIDs.
- o DNL, Domain Name Label, the DNL is an A-label or NR-LDH label (see [RFC5890]).
- o DNL List: A list of DNLs that are covered by a PRM.
- o DNS: Domain Name System, see [RFC8499].
- o Effective allocation: A DN is considered effectively allocated when the DN object for the DN has been created in the SRS of the Registry and has been assigned to the effective user. A DN object in status "pendingCreate" or any other status that precedes the first time a DN is assigned to an end-user is not considered an effective allocation. A DN object created internally by the

Registry for subsequent delegation to another Registrant is not considered an effective allocation.

- o EPP: The Extensible Provisioning Protocol, see definition of EPP in [RFC8499].
- o FQDN: Fully-Qualified Domain Name, see definition of FQDN in [RFC8499].
- o HTTP: Hypertext Transfer Protocol, see [RFC7230] and [RFC7231].
- o HTTPS: HTTP over TLS (Transport Layer Security), [RFC2818].
- o IDN: Internationalized Domain Name, see definition of IDN in [RFC8499].
- o Lookup Key: A random string of up to 51 chars from the set [a-zA-Z0-9/] to be used as the lookup key by Registrars to obtain the TCN using the CNIS. Lookup Keys are unique and are related to one DNL only.
- o LORDN, List of Registered Domain Names: This is the list of effectively allocated DNS matching a DNL of a PRM. Registries will upload this list to the TMDB (during the NORDN process).
- o Matching Rules: Some trademarks entitled to inclusion in the TMDB include characters that are impermissible in the domain name system (DNS) as a DNL. The TMV changes (using the ICANN TMCH Matching Rules [MatchingRules]) certain DNS-impermissible characters in a trademark into DNS-permissible equivalent characters
- o NORDN, Notification of Registered Domain Names: The process by which Registries upload their recent LORDN to the TMDB.
- o PGP: Pretty Good Privacy, see [RFC4880]
- o PKI: Public Key Infrastructure, see [RFC5280].
- o PRM, Pre-registered mark: Mark that has been pre-registered with the ICANN TMCH.
- o QLP Period, Qualified Launch Program Period: During this optional period, a special process applies to DNS matching the Sunrise List (SURL) and/or the DNL List, to ensure that TMHs are informed of a DN matching their PRM.
- o Registrant: see definition of Registrant in [RFC8499].

- o Registrar, Domain Name Registrar: see definition of Registrar in [RFC8499].
- o Registry, Domain Name Registry, Registry Operator: see definition of Registry in [RFC8499]. A Registry Operator is the contracting party with ICANN for the TLD.
- o SMD, Signed Mark Data: A cryptographically signed token issued by the TMV to the TMH to be used in the Sunrise Period to apply for a DN that matches a DNL of a PRM; see also [RFC7848]. An SMD generated by an ICANN-approved trademark validator (TMV) contains both the signed token and the TMV's PKIX certificate.
- o SMD File: A file containing the SMD (see above) and some human readable data. The latter is usually ignored in the processing of the SMD File. See also Section 6.4.
- o SMD Revocation List: The SMD Revocation List is used by Registries (and optionally by Registrars) during the Sunrise Period to ensure that an SMD is still valid (i.e. not revoked). The SMD Revocation List has a similar function as CRLs used in PKI.
- o SRS: Shared Registration System, see also [ICANN-GTLD-AGB-20120604].
- o SURL, Sunrise List: The list of DNLs that are covered by a PRM and eligible for Sunrise.
- o Sunrise Period: During this period DNs matching a DNL of a PRM can be exclusively obtained by the respective TMHs. For DNs matching a PRM, a special process applies to ensure that TMHs are informed on the effective allocation of a DN matching their PRM.
- o TLD: Top-Level Domain Name, see definition of TLD in [RFC8499].
- o ICANN TMCH: a central repository for information to be authenticated, stored, and disseminated, pertaining to the rights of TMHs. The ICANN TMCH is split into two functions TMV and TMDB (see below). There could be several entities performing the TMV function, but only one entity performing the TMDB function.
- o ICANN TMCH-CA: The Certificate Authority (CA) for the ICANN TMCH. This CA is operated by ICANN. The public key for this CA is the trust anchor used to validate the identity of each TMV.
- o TMDB, Trademark Clearinghouse Database: Serves as a database of the ICANN TMCH to provide information to the gTLD Registries and Registrars to support Sunrise or Trademark Claims services. There

is only one TMDB in the ICANN TMCH that concentrates the information about the "verified" Trademark records from the TMVs.

- o TMH, Trademark Holder: The person or organization owning rights on a mark.
- o TMV, Trademark Validator, Trademark validation organization: An entity authorized by ICANN to authenticate and validate registrations in the TMDB ensuring the marks qualify as registered or are court-validated marks or marks that are protected by statute or treaty. This entity would also be asked to ensure that proof of use of marks is provided, which can be demonstrated by furnishing a signed declaration and one specimen of current use.
- o Trademark, mark: Marks are used to claim exclusive properties of products or services. A mark is typically a name, word, phrase, logo, symbol, design, image, or a combination of these elements. For the scope of this document only textual marks are relevant.
- o Trademark Claims, Claims: Provides information to enhance the understanding of the Trademark rights being claimed by the TMH.
- o TCN, Trademark Claims Notice, Claims Notice, Trademark Notice: A Trademark Claims Notice consist of one or more Trademark Claims and are provided to prospective Registrants of DNS.
- o TCNID, Trademark Claims Notice Identifier: An element of the Trademark Claims Notice (see above), identifying said TCN. The Trademark Claims Notice Identifier is specified in the element <tmNotice:id>.
- o Trademark Claims Period: During this period, a special process applies to DNS matching the DNL List, to ensure that TMHs are informed of a DN matching their PRM. For DNS matching the DNL List, Registrars show a TCN to prospective Registrants that has to be acknowledged before effective allocation of the DN.
- o UTC: Coordinated Universal Time, as maintained by the Bureau International des Poids et Mesures (BIPM); see also [RFC3339].

4. Architecture

4.1. Sunrise Period

Architecture of the Sunrise Period

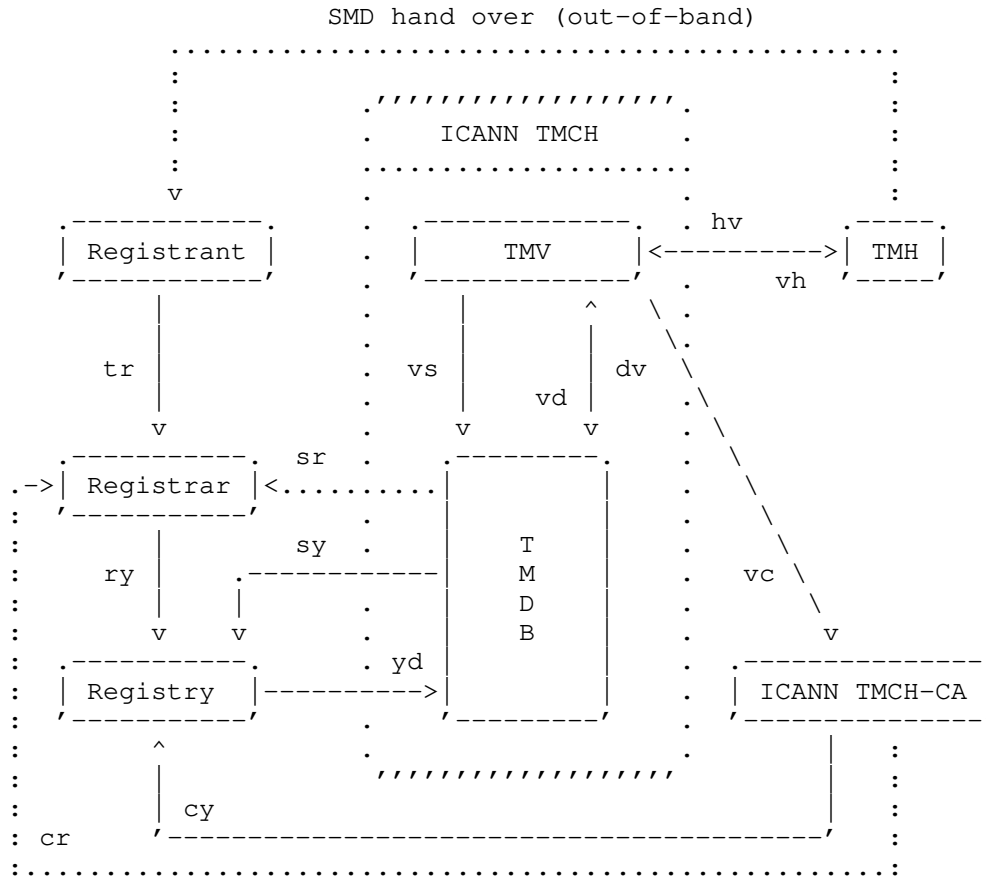


Figure 1

4.2. Trademark Claims Period

Architecture of the Trademark Claims Period

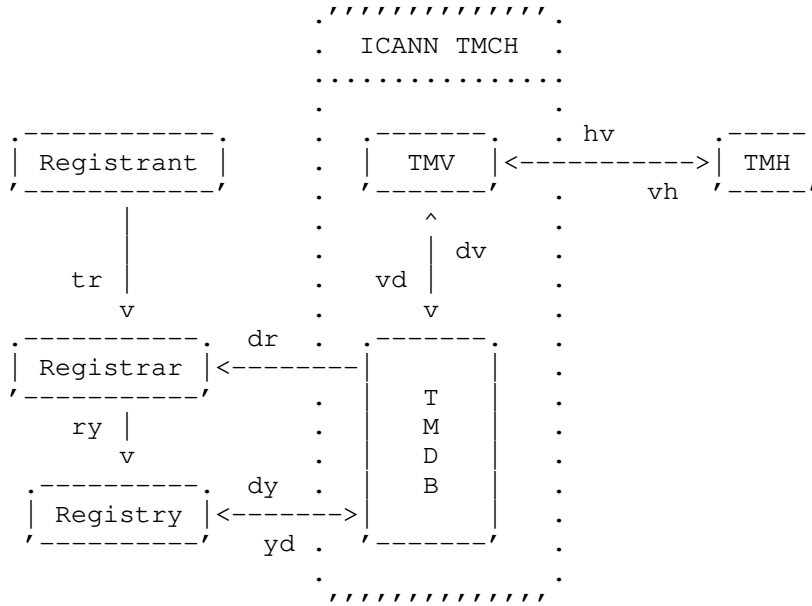


Figure 2

4.3. Interfaces

In the sub-sections below follows a short description of each interface to provide an overview of the architecture. More detailed descriptions of the relevant interfaces follow further below (Section 5).

4.3.1. hv

The TMH registers a mark with a TMV via the hv interface.

After the successful registration of the mark, the TMV makes available a SMD File (see also Section 6.4) to the TMH to be used during the Sunrise Period.

The specifics of the hv interface are beyond the scope of this document.

4.3.2. vd

After successful mark registration, the TMV ensures the TMDB inserts the corresponding DNLs and mark information into the database via the vd interface.

The specifics of the vd interface are beyond the scope of this document.

4.3.3. dy

During the Trademark Claims Period the Registry fetches the latest DNL List from the TMDB via the dy interface at regular intervals. The protocol used on the dy interface is HTTPS.

Not relevant during the Sunrise Period.

4.3.4. tr

The Registrant communicates with the Registrar via the tr interface.

The specifics of the tr interface are beyond the scope of this document.

4.3.5. ry

The Registrar communicate with the Registry via the ry interface. The ry interfaces is typically implemented in EPP.

4.3.6. dr

During the Trademark Claims Period, the Registrar fetches the TCN from the TMDB (to be displayed to the Registrant via the tr interface) via the dr interface. The protocol used for fetching the TCN is HTTPS.

Not relevant during the Sunrise Period.

4.3.7. yd

During the Sunrise Period the Registry notifies the TMDB via the yd interface of all DNS effectively allocated.

During the Trademark Claims Period, the Registry notifies the TMDB via the yd interface of all DNS effectively allocated that matched an entry in the Registry previously downloaded DNL List during the creation of the DN.

The protocol used on the yd interface is HTTPS.

4.3.8. dv

The TMDB notifies via the dv interface to the TMV of all DNSs effectively allocated that match a mark registered by that TMV.

The specifics of the dv interface are beyond the scope of this document.

4.3.9. vh

The TMV notifies the TMH via the vh interface after a DN has been effectively allocated that matches a PRM of this TMH.

The specifics of the vh interface are beyond the scope of this document.

4.3.10. vs

The TMV requests to add a revoked SMD to the SMD Revocation List at the TMDB.

The specifics of the vs interface are beyond the scope of this document.

Not relevant during the Trademark Claims Period.

4.3.11. sy

During the Sunrise Period the Registry fetches the most recent SMD Revocation List from the TMDB via the sy interface in regular intervals. The protocol used on the sy interface is HTTPS.

Not relevant during the Trademark Claims Period.

4.3.12. sr

During the Sunrise Period the Registrar may fetch the most recent SMD Revocation List from the TMDB via the sr interface. The protocol used on the sr interface is the same as on the sy interface (s. above), i.e. HTTPS.

Not relevant during the Trademark Claims Period.

4.3.13. vc

The TMV registers its public key, and requests to revoke an existing key, with the ICANN TMCH-CA over the vc interface.

The specifics of the vc interface are beyond the scope of this document, but it involves personal communication between the operators of the TMV and the operators of the ICANN TMCH-CA.

Not relevant during the Trademark Claims Period.

4.3.14. cy

During the Sunrise Period the Registry fetches the most recent TMV CRL file from the ICANN TMCH-CA via the cy interface at regular intervals. The TMV CRL is used for validation of TMV certificates. The protocol used on the cy interface is HTTPS.

Not relevant during the Trademark Claims Period.

4.3.15. cr

During the Sunrise Period the Registrar optionally fetches the most recent TMV CRL file from the ICANN TMCH-CA via the cr interface at regular intervals. The TMV CRL is used for validation of TMV certificates. The protocol used on the cr interface is HTTPS.

Not relevant during the Trademark Claims Period.

5. Process Descriptions

5.1. Bootstrapping

5.1.1. Bootstrapping for Registries

5.1.1.1. Credentials

Each Registry Operator will receive authentication credentials from the TMDB to be used:

- o During the Sunrise Period to fetch the SMD Revocation List from the TMDB via the sy interface (Section 4.3.11).
- o During the Trademark Claims Period to fetch the DNL List from the TMDB via the dy interface (Section 4.3.3).
- o During the NORDN process to notify the LORDN to the TMDB via the yd interface (Section 4.3.7).

Note: credentials are created per TLD and provided to the Registry Operator.

5.1.1.2. IP Addresses for Access Control

Each Registry Operator MUST provide to the TMDB all IP addresses that will be used to:

- o Fetch the SMD Revocation List via the sy interface (Section 4.3.11).
- o Fetch the DNL List from the TMDB via the dy interface (Section 4.3.3).
- o Upload the LORDN to the TMDB via the yd interface (Section 4.3.7).

This access restriction MAY be applied by the TMDB in addition to HTTP Basic access authentication (see [RFC7235]). For credentials to be used, see Section 5.1.1.1.

The TMDB MAY limit the number of IP addresses to be accepted per Registry Operator.

5.1.1.3. ICANN TMCH Trust Anchor

Each Registry Operator MUST fetch the PKIX certificate ([RFC5280]) of the ICANN TMCH-CA (Trust Anchor) from < <https://ca.icann.org/tmch.crt> > to be used:

- o During the Sunrise Period to validate the TMV certificates and the TMV CRL.

5.1.1.4. TMDB PGP Key

The TMDB MUST provide each Registry Operator with the public portion of the PGP Key used by TMDB, to be used:

- o During the Sunrise Period to perform integrity checking of the SMD Revocation List fetched from the TMDB via the sy interface (Section 4.3.11).
- o During the Trademark Claims Period to perform integrity checking of the DNL List fetched from the TMDB via the dy interface (Section 4.3.3).

5.1.2. Bootstrapping for Registrars

5.1.2.1. Credentials

Each ICANN-accredited Registrar will receive authentication credentials from the TMDB to be used:

- o During the Sunrise Period to (optionally) fetch the SMD Revocation List from the TMDB via the sr interface (Section 4.3.12).
- o During the Trademark Claims Period to fetch TCNs from the TMDB via the dr interface (Section 4.3.6).

5.1.2.2. IP Addresses for Access Control

Each Registrar MUST provide to the TMDB all IP addresses, which will be used to:

- o Fetch the SMD Revocation List via the sr interface (Section 4.3.12).
- o Fetch TCNs via the dr interface (Section 4.3.6).

This access restriction MAY be applied by the TMDB in addition to HTTP Basic access authentication (for credentials to be used, see Section 5.1.2.1).

The TMDB MAY limit the number of IP addresses to be accepted per Registrar.

5.1.2.3. ICANN TMCH Trust Anchor

Registrars MAY fetch the PKIX certificate of the ICANN TMCH-CA (Trust Anchor) from < <https://ca.icann.org/tmch.crt> > to be used:

- o During the Sunrise Period to (optionally) validate the TMV certificates and TMV CRL.

5.1.2.4. TMDB PGP Key

Registrars MUST receive the public portion of the PGP Key used by TMDB from the TMDB administrator to be used:

- o During the Sunrise Period to (optionally) perform integrity checking of the SMD Revocation List fetched from the TMDB via the sr interface (Section 4.3.12).

5.2. Sunrise Period

5.2.1. Domain Name registration

Domain Name registration during the Sunrise Period

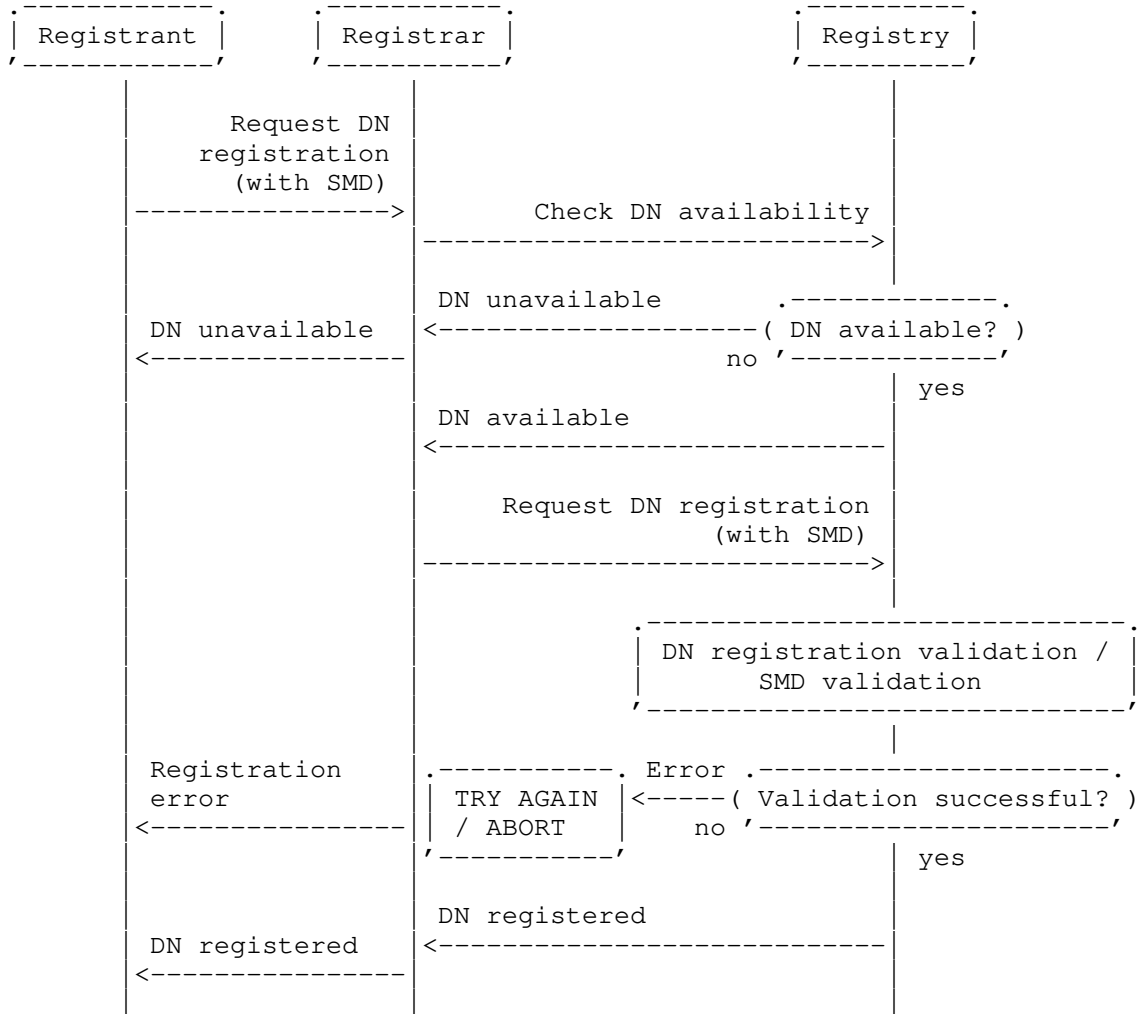


Figure 3

Note: the figure depicted above represents a synchronous DN registration workflow (usually called first come first served).

5.2.2. Sunrise Domain Name registration by Registries

Registries MUST perform a minimum set of checks for verifying each DN registration during the Sunrise Period upon reception of a registration request over the ry interface (Section 4.3.5). If any of these checks fails the Registry MUST abort the registration. Each of these checks MUST be performed before the DN is effectively allocated.

In case of asynchronous registrations (e.g. auctions), the minimum set of checks MAY be performed when creating the intermediate object (e.g. a DN application) used for DN registration. If the minimum set of checks is performed when creating the intermediate object (e.g. a DN application) a Registry MAY effectively allocate the DN without performing the minimum set of checks again.

Performing the minimum set of checks Registries MUST verify that:

1. An SMD has been received from the Registrar along with the DN registration.
2. The certificate of the TMV has been correctly signed by the ICANN TMCH-CA. (The certificate of the TMV is contained within the SMD.)
3. The datetime when the validation is done is within the validity period of the TMV certificate.
4. The certificate of the TMV is not listed in the TMV CRL file specified in the CRL distribution point of the TMV certificate.
5. The signature of the SMD (signed with the TMV certificate) is valid.
6. The datetime when the validation is done is within the validity period of the SMD based on <smd:notBefore> and <smd:notAfter> elements.
7. The SMD has not been revoked, i.e., is not contained in the SMD Revocation List.
8. The leftmost DNL of the DN being effectively allocated matches one of the labels (<mark:label>) elements in the SMD. For example, if the DN "xn--mgbachtv.xn--mgbh0fb" is being effectively allocated, the leftmost DNL would be "xn--mgbachtv".

These procedure apply to all DN effective allocations at the second level as well as to all other levels subordinate to the TLD that the Registry accepts registrations for.

5.2.3. TMDB Sunrise Services for Registries

5.2.3.1. SMD Revocation List

A new SMD Revocation List MUST be published by the TMDB twice a day, by 00:00:00 and 12:00:00 UTC.

Registries MUST refresh the latest version of the SMD Revocation List at least once every 24 hours.

Note: the SMD Revocation List will be the same regardless of the TLD. If a Backend Registry Operator manages the infrastructure of several TLDs, the Backend Registry Operator could refresh the SMD Revocation List once every 24 hours, the SMD Revocation List could be used for all the TLDs managed by the Backend Registry Operator.

Update of the SMD Revocation List

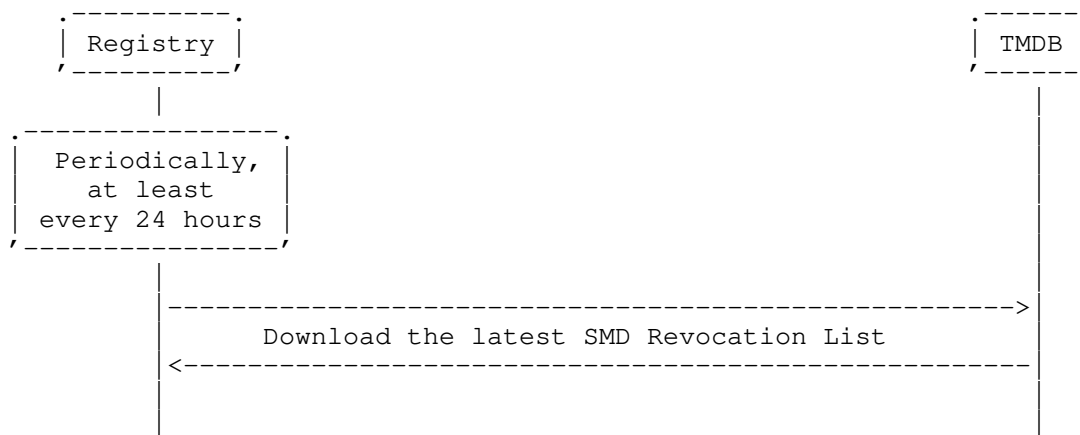


Figure 4

5.2.3.2. TMV Certificate Revocation List (CRL)

Registries MUST refresh their local copy of the TMV CRL file at least every 24 hours using the CRL distribution point specified in the TMV certificate.

Operationally, the TMV CRL file and CRL distribution point is the same for all TMVs and (at publication of this document) located at < <http://crl.icann.org/tmch.crl> >.

Note: the TMV CRL file will be the same regardless of the TLD. If a Backend Registry Operator manages the infrastructure of several TLDs, the Backend Registry Operator could refresh the TMV CRL file once every 24 hours, the TMV CRL file could be used for all the TLDs managed by the Backend Registry Operator.

Update of the TMV CRL file

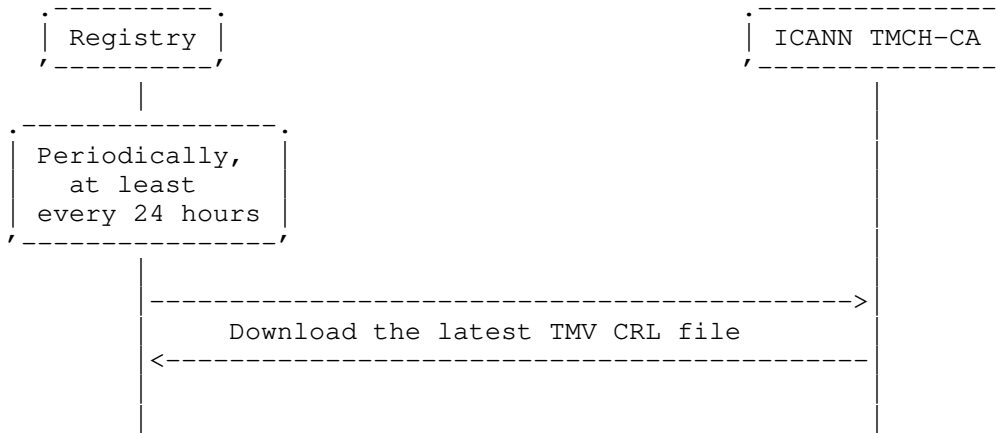


Figure 5

5.2.3.3. Notice of Registered Domain Names (NORN)

The Registry MUST send a LORDN file containing DNSs effectively allocated to the TMDB (over the yd interface, Section 4.3.7).

The effective allocation of a DN MUST be reported by the Registry to the TMDB within 26 hours of the effective allocation of such DN.

The Registry MUST create and upload a LORDN file in case there are effective allocations in the SRS, that have not been successfully reported to the TMDB in a previous LORDN file.

Based on the timers used by TMVs and the TMDB, the RECOMMENDED maximum frequency to upload LORDN files from the Registries to the TMDB is every 3 hours.

It is RECOMMENDED that Registries try to upload at least two LORDN files per day to the TMDB with enough time in between, in order to have time to fix problems reported in the LORDN file.

The Registry SHOULD upload a LORDN file only when the previous LORDN file has been processed by the TMDB and the related LORDN Log file has been downloaded and processed by the Registry.

The Registry MUST upload LORDN files for DNSs effectively allocated during the Sunrise or Trademark Claims Period (same applies to DNSs effectively allocated using applications created during the Sunrise or Trademark Claims Period in case of using asynchronous registrations).

The yd interface (Section 4.3.7) MUST support at least one (1) and MAY support up to ten (10) concurrent connections from each IP address registered by a Registry Operator to access the service.

The TMDB MUST process each uploaded LORDN file and make the related log file available for Registry download within 30 minutes of the finalization of the upload.

Notification of Registered Domain Name

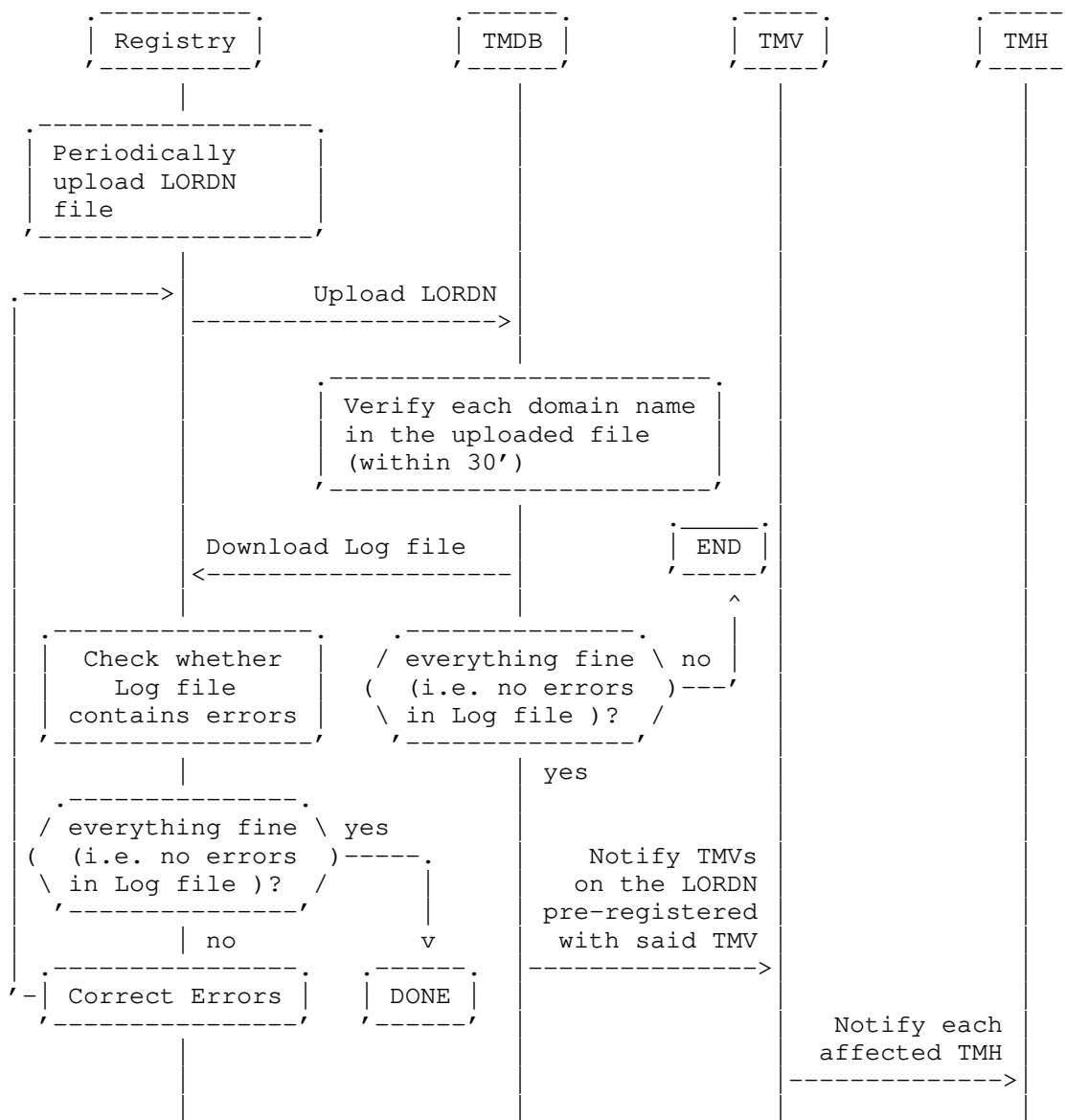


Figure 6

The format used for the LORDN is described in Section 6.3

5.2.4. Sunrise Domain Name registration by Registrars

Registrars MAY choose to perform the checks for verifying DN registrations as performed by the Registries (see Section 5.2.2) before sending the command to register a DN.

5.2.5. TMDB Sunrise Services for Registrars

The processes described in Section 5.2.3.1 and Section 5.2.3.2 are also available for Registrars to optionally validate the SMDs received.

5.3. Trademark Claims Period

5.3.1. Domain Registration

Domain Name registration during the Trademark Claims Period

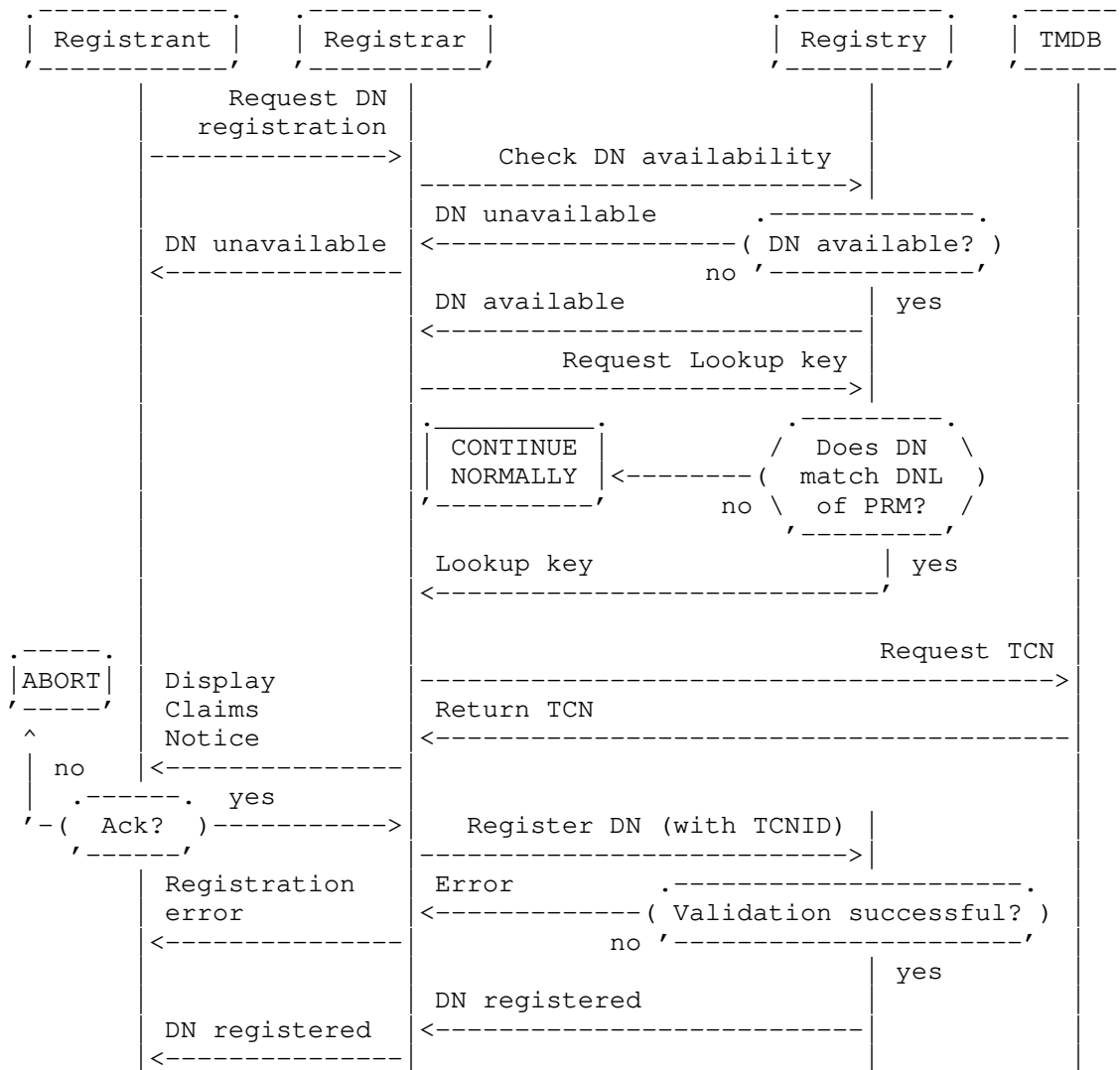


Figure 7

Note: the figure depicted above represents a synchronous DN registration workflow (usually called first come first served).

5.3.2. Trademark Claims Domain Name registration by Registries

During the Trademark Claims Period, Registries perform two main functions:

- o Registries MUST provide Registrars (over the ry interface, Section 4.3.5) the Lookup Key used to retrieve the TCNs for DNs that match the DNL List.
- o Registries MUST provide the Lookup Key only when queried about a specific DN.
- o For each DN matching a DNL of a PRM, Registries MUST perform a minimum set of checks for verifying DN registrations during the Trademark Claims Period upon reception of a registration request over the ry interface (Section 4.3.5). If any of these checks fails the Registry MUST abort the registration. Each of these checks MUST be performed before the DN is effectively allocated.
- o In case of asynchronous registrations (e.g. auctions), the minimum set of checks MAY be performed when creating the intermediate object (e.g. a DN application) used for DN effective allocation. If the minimum set of checks is performed when creating the intermediate object (e.g. a DN application) a Registry MAY effectively allocate the DN without performing the minimum set of checks again.
- o Performing the minimum set of checks Registries MUST verify that:
 1. The TCNID (<tmNotice:id>), expiration datetime (<tmNotice:notAfter>) and acceptance datetime of the TCN, have been received from the Registrar along with the DN registration.

If the three elements mentioned above are not provided by the Registrar for a DN matching a DNL of a PRM, but the DNL was inserted (or re-inserted) for the first time into DNL List less than 24 hours ago, the registration MAY continue without this data and the tests listed below are not required to be performed.

2. The TCN has not expired (according to the expiration datetime sent by the Registrar).

3. The acceptance datetime is within the window of time defined by ICANN policy. In the gTLD round of 2012, Registrars verified that the acceptance datetime was less than or equal to 48 hours in the past, as there were no defined ICANN policies at that time. Implementers should be aware that ICANN policy may define this value in the future.
4. Using the leftmost DNL of the DN being effectively allocated, the expiration datetime provided by the Registrar, and the TMDB Notice Identifier extracted from the TCNID provided by the Registrar compute the TCN Checksum. Verify that the computed TCN Checksum match the TCN Checksum present in the TCNID. For example, if the DN "xn--mgbachtv.xn--mgbh0fb" is being effectively allocated, the leftmost DNL would be "xn--mgbachtv".

These procedures apply to all DN registrations at the second level as well as to all other levels subordinate to the TLD that the Registry accepts registrations for.

5.3.3. TMDB Trademark Claims Services for Registries

5.3.3.1. Domain Name Label (DNL) List

A new DNL List MUST be published by the TMDB twice a day, by 00:00:00 and 12:00:00 UTC.

Registries MUST refresh the latest version of the DNL List at least once every 24 hours.

Update of the DNL List

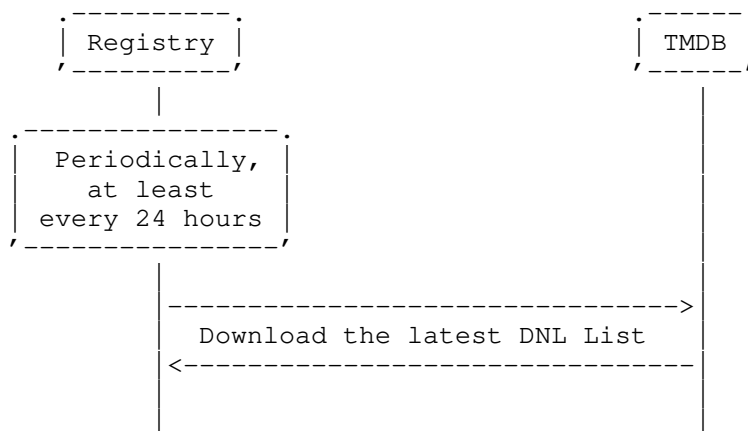


Figure 8

Note: the DNL List will be the same regardless of the TLD. If a Backend Registry Operator manages the infrastructure of several TLDs, the Backend Registry Operator could refresh the DNL List once every 24 hours, the DNL List could be used for all the TLDs managed by the Backend Registry Operator.

5.3.3.2. Notice of Registered Domain Names (NORN)

The NORN process during the Trademark Claims Period is almost the same as during Sunrise Period as defined in Section 5.2.3.3 with the difference that only registrations subject to a Trademark Claim (i.e., at registration time the name appeared in the current DNL List downloaded by the Registry Operator) are included in the LORDN.

5.3.4. Trademark Claims Domain Name registration by Registrars

For each DN matching a DNL of a PRM, Registrars MUST perform the following steps:

1. Use the Lookup Key received from the Registry to obtain the TCN from the TMDB using the dr interface (Section 4.3.6) Registrars MUST only query for the Lookup Key of a DN that is available for registration.
2. Present the TCN to the Registrant as described in Exhibit A, [RPM-Requirements].

3. Ask Registrant for acknowledgement, i.e. the Registrant MUST consent with the TCN, before any further processing. (The transmission of a TCNID to the Registry over the ry interface, Section 4.3.5 implies that the Registrant has expressed his/her consent with the TCN.)
4. Perform the minimum set of checks for verifying DN registrations. If any of these checks fails the Registrar MUST abort the DN registration. Each of these checks MUST be performed before the registration is sent to the Registry. Performing the minimum set of checks Registrars MUST verify that:
 1. The datetime when the validation is done is within the TCN validity based on the <tmNotice:notBefore> and <tmNotice:notAfter> elements.
 2. The leftmost DNL of the DN being effectively allocated matches the label (<tmNotice:label>) element in the TCN. For example, if the DN "xn--mgbachtv.xn--mgbh0fb" is being effectively allocated, the leftmost DNL would be "xn--mgbachtv".
 3. The Registrant has acknowledged (expressed his/her consent with) the TCN.
5. Record the date and time when the registrant acknowledged the TCN.
6. Send the registration to the Registry (ry interface, Section 4.3.5) and include the following information:
 - * TCNID (<tmNotice:id>)
 - * Expiration date of the TCN (<tmNotice:notAfter>)
 - * Acceptance datetime of the TCN.

Currently TCNs are generated twice a day by the TMDB. The expiration date (<tmNotice:notAfter>) of each TCN MUST be set to a value defined by ICANN policy. In the gTLD round of 2012, the TMDB set the expiration value to 48 hours in to the future as there were no defined ICANN policies at that time. Implementers should be aware that ICANN policy may define this value in the future.

Registrars SHOULD implement a cache of TCNs to minimize the number of queries sent to the TMDB. A cached TCN MUST be removed from the cache after the expiration date of the TCN as defined by <tmNotice:notAfter>.

The TMDB MAY implement rate-limiting as one of the protection mechanisms to mitigate the risk of performance degradation.

5.3.5. TMDB Trademark Claims Services for Registrars

5.3.5.1. Claims Notice Information Service (CNIS)

The TCNs are provided by the TMDB online and are fetched by the Registrar via the dr interface (Section 4.3.6).

To get access to the TCNs, the Registrar needs the credentials provided by the TMDB (Section 5.1.2.1) and the Lookup Key received from the Registry via the ry interface (Section 4.3.5). The dr interface (Section 4.3.6) uses HTTPS with Basic access authentication.

The dr interface (Section 4.3.6) MAY support up to ten (10) concurrent connections from each Registrar.

The URL of the dr interface (Section 4.3.6) is:

```
< https://<tmdb-domain-name>/cnis/<lookupkey>.xml >
```

Note that the "lookupkey" may contain SLASH characters ("/"). The SLASH character is part of the URL path and MUST NOT be escaped when requesting the TCN.

The TLS certificate (HTTPS) used on the dr interface (Section 4.3.6) MUST be signed by a well-know public CA. Registrars MUST perform the Certification Path Validation described in Section 6 of [RFC5280]. Registrars will be authenticated in the dr interface using HTTP Basic access authentication. The dr (Section 4.3.6) interface MUST support HTTPS keep-alive and MUST maintain the connection for up to 30 minutes.

5.4. Qualified Launch Program (QLP) Period

5.4.1. Domain Registration

During the OPTIONAL (see [QLP-Addendum]) Qualified Launch Program (QLP) Period effective allocations of DNS to third parties could require that Registries and Registrars provide Sunrise and/or Trademark Claims services. If required, Registries and Registrars MUST provide Sunrise and/or Trademark Claims services as described in Section 5.2 and Section 5.3.

The effective allocation scenarios are:

- o If the leftmost DNL of the DN being effectively allocated (QLP Name in this section) matches a DNL in the SURL, and an SMD is provided, then Registries MUST provide Sunrise Services (see Section 5.2) and the DN MUST be reported in a Sunrise LORDN file during the QLP Period. For example, if the DN "xn--mgbachtv.xn--mgbh0fb" is being effectively allocated, the leftmost DNL would be "xn--mgbachtv".
- o If the QLP Name matches a DNL in the SURL but does not match a DNL in the DNL List, and an SMD is NOT provided (see section 2.2 of [QLP-Addendum]), then the DN MUST be reported in a Sunrise LORDN file using the special SMD-id "99999-99999" during the QLP Period.
- o If the QLP Name matches a DNL in the SURL and also matches a DNL in the DNL List, and an SMD is NOT provided (see section 2.2 of [QLP-Addendum]), then Registries MUST provide Trademark Claims services (see Section 5.3) and the DN MUST be reported in a Trademark Claims LORDN file during the QLP Period.
- o If the QLP Name matches a DNL in the DNL List but does not match a DNL in the SURL, then Registries MUST provide Trademark Claims services (see Section 5.2) and the DN MUST be reported in a Trademark Claims LORDN file during the QLP Period.

The following table lists all the effective allocation scenarios during a QLP Period:

QLP Name match in the SURL	QLP Name match in the DNL List	SMD was provided by the potential Registrant	Registry MUST provide Sunrise or Trademark Claims Services	Registry MUST report DN registration in <type> LORDN file
Y	Y	Y	Sunrise	Sunrise
Y	N	Y	Sunrise	Sunrise
N	Y	--	Trademark Claims	Trademark Claims
N	N	--	--	--
Y	Y	N (see section 2.2 of [QLP-Addendum])	Trademark Claims	Trademark Claims
Y	N	N (see section 2.2 of [QLP-Addendum])	--	Sunrise (using special SMD-id)

QLP Effective Allocation Scenarios

The TMDB MUST provide the following services to Registries during a QLP Period:

- o SMD Revocation List (see Section 5.2.3.1)
- o NORN (see Section 5.2.3.3)
- o DNL List (see Section 5.3.3.1)
- o Sunrise List (SURL) (see Section 5.4.2.1)

The TMDB MUST provide the following services to Registrars during a QLP Period:

- o SMD Revocation List (see Section 5.2.3.1)

- o CNIS (see Section 5.3.5.1)

5.4.2. TMDB QLP Services for Registries

5.4.2.1. Sunrise List (SURL)

A new Sunrise List (SURL) MUST be published by the TMDB twice a day, by 00:00:00 and 12:00:00 UTC.

Registries offering the OPTIONAL QLP Period MUST refresh the latest version of the SURL at least once every 24 hours.

Update of the SURL

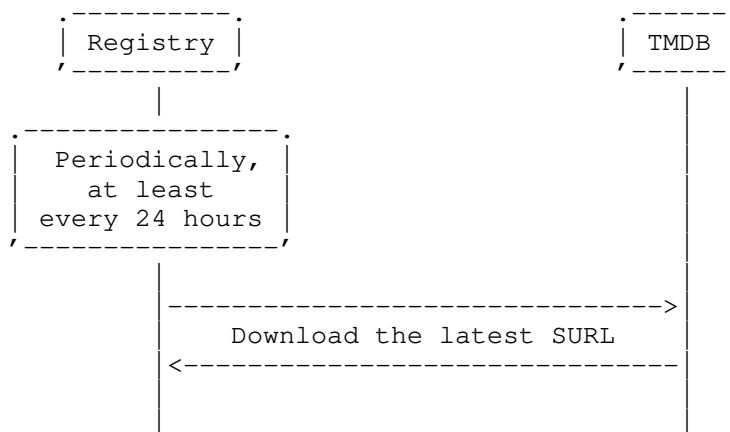


Figure 9

Note: the SURL will be the same regardless of the TLD. If a Backend Registry Operator manages the infrastructure of several TLDs, the Backend Registry Operator could refresh the SURL once every 24 hours, the SURL could be used for all the TLDs managed by the Backend Registry Operator.

6. Data Format Descriptions

6.1. Domain Name Label (DNL) List

This section defines the format of the list containing every Domain Name Label (DNL) that matches a Pre-Registered Mark (PRM). The list is maintained by the TMDB and downloaded by Registries in regular intervals (see Section 5.3.3.1). The Registries use the DNL List

during the Trademark Claims Period to check whether a requested DN matches a DNL of a PRM.

The DNL List contains all the DNLs covered by a PRM present in the TMDB at the datetime it is generated.

The DNL List is contained in a CSV formatted file that has the following structure:

- o first line: <version>,<DNL List creation datetime>

Where:

- + <version>, version of the file, this field MUST be 1.
- + <DNL List creation datetime>, date and time in UTC that the DNL List was created.

- o second line: a header line as specified in [RFC4180]

With the header names as follows:

DNL,lookup-key,insertion-datetime

- o One or more lines with: <DNL>,<lookup key>,<DNL insertion datetime>

Where:

- + <DNL>, a Domain Name Label covered by a PRM.
- + <lookup key>, lookup key that the Registry MUST provide to the Registrar. The lookup key has the following format: <YYYY><MM><DD><vv>/<X>/<X>/<X>/<Random bits><Sequential number>, where:
 - YYYY: year that the TCN was generated.
 - MM: zero-padded month that the TCN was generated.
 - DD: zero-padded day that the TCN was generated.
 - vv: version of the TCN, possible values are 00 and 01.
 - X: one hex character. This is the first, second and third hex character of encoding the <Random bits> in base16 as specified in [RFC4648].

- Random bits: 144 random bits encoded in base64url as specified in [RFC4648].
 - Sequential number: zero-padded natural number in the range 0000000001 to 2147483647.
- + <DNL insertion datetime>, datetime in UTC that the DNL was first inserted into the DNL List. The possible two values of time for inserting a DNL to the DNL List are 00:00:00 and 12:00:00 UTC.

Example of a DNL List

```
1,2012-08-16T00:00:00.0Z
DNL,lookup-key,insertion-datetime
example,2013041500/2/6/9/rJ1NrDO92vDsAzf7EQzgjX4R0000000001,\
  2010-07-14T00:00:00.0Z
another-example,2013041500/6/A/5/a1JAqG2vI2BmCv5PfUvuDkf40000000002,\
  2012-08-16T00:00:00.0Z
anotherexample,2013041500/A/C/7/rHdC4wnrWRvPY6nneCVtQhFj0000000003,\
  2011-08-16T12:00:00.0Z
```

Figure 10

To provide authentication and integrity protection, the DNL List will be PGP [RFC4880] signed by the TMDB (see also Section 5.1.1.4). The PGP signature of the DNL List can be found in the similar URI but with extension .sig as shown below.

The URL of the dy interface (Section 4.3.3) is:

- o < https://<tmdb-domain-name>/dnl/dnl-latest.csv >
- o < https://<tmdb-domain-name>/dnl/dnl-latest.sig >

6.2. SMD Revocation List

This section defines the format of the list of SMDs that have been revoked. The list is maintained by the TMDB and downloaded by Registries (and optionally by Registrars) in regular intervals (see Section 5.2.3.1). The SMD Revocation List is used during the Sunrise Period to validate SMDs received. The SMD Revocation List has a similar function as CRLs used in PKI [RFC5280].

The SMD Revocation List contains all the revoked SMDs present in the TMDB at the datetime it is generated.

The SMD Revocation List is contained in a CSV formatted file that has the following structure:

- o first line: <version>,<SMD Revocation List creation datetime>

Where:

- + <version>, version of the file, this field MUST be 1.
- + <SMD Revocation List creation datetime>, datetime in UTC that the SMD Revocation List was created.

- o second line: a header line as specified in [RFC4180]

With the header names as follows:

smd-id,insertion-datetime

- o One or more lines with: <smd-id>,<revoked SMD datetime>

Where:

- + <smd-id>, identifier of the SMD that was revoked.
- + <revoked SMD datetime>, revocation datetime in UTC of the SMD. The possible two values of time for inserting an SMD to the SMD Revocation List are 00:00:00 and 12:00:00 UTC.

To provide integrity protection, the SMD Revocation List is PGP signed by the TMDB (see also Section 5.1.1.4). The SMD Revocation List is provided by the TMDB with extension .csv. The PGP signature of the SMD Revocation List can be found in the similar URI but with extension .sig as shown below.

The URL of the sr interface (Section 4.3.12) and sy interface (Section 4.3.11) is:

- o < https://<tmdb-domain-name>/smdrl/smdrl-latest.csv >
- o < https://<tmdb-domain-name>/smdrl/smdrl-latest.sig >

Example of an SMD Revocation List

```
1,2012-08-16T00:00:00.0Z  
smd-id,insertion-datetime  
2-2,2012-08-15T00:00:00.0Z  
3-2,2012-08-15T00:00:00.0Z  
1-2,2012-08-15T00:00:00.0Z
```

Figure 11

6.3. List of Registered Domain Names (LORDN) file

This section defines the format of the List of Registered Domain Names (LORDN), which is maintained by each Registry and uploaded at least daily to the TMDB. Every time a DN matching a DNL of a PRM said DN is added to the LORDN along with further information related to its registration.

The URIs of the yd interface (Section 4.3.7) used to upload the LORDN file is:

- o Sunrise LORDN file:

```
< https://<tmdb-domain-name>/LORDN/<TLD>/sunrise >
```

- o Trademark Claims LORDN file:

```
< https://<tmdb-domain-name>/LORDN/<TLD>/claims >
```

During a QLP Period, Registries MAY be required to upload Sunrise or Trademark Claims LORDN files. The URIs of the yd interface used to upload LORDN files during a QLP Period is:

- o Sunrise LORDN file (during QLP Period):

```
< https://<tmdb-domain-name>/LORDN/<TLD>/sunrise/qlp >
```

- o Trademark Claims LORDN file (during a QLP Period):

```
< https://<tmdb-domain-name>/LORDN/<TLD>/claims/qlp >
```

The yd interface (Section 4.3.7) returns the following HTTP status codes after a HTTP POST request method is received:

- o The interface provides a HTTP/202 status code if the interface was able to receive the LORDN file and the syntax of the LORDN file is correct.

The interface provides the LORDN Transaction Identifier in the HTTP Entity-body that would be used by the Registry to download the LORDN Log file. The LORDN Transaction Identifier is a natural number zero-padded in the range 00000000000000000001 to 9223372036854775807.

The TMDB uses the <LORDN creation datetime> element of the LORDN file as a unique client-side identifier. If a LORDN file with the same <LORDN creation datetime> of a previously sent LORDN file is received by the TMDB, the LORDN Transaction Identifier of the previously sent LORDN file MUST be provided to the Registry. The TMDB MUST ignore the DN Lines present in the LORDN file if a LORDN file with the same <LORDN creation datetime> was previously sent.

The HTTP Location header field contains the URI where the LORDN Log file could be retrieved later, for example:

202 Accepted

Location: https://<tmdb-domain-name>/LORDN/example/sunrise/00000000000000000001/result

- o The interface provides a HTTP/400 if the request is incorrect or the syntax of the LORDN file is incorrect. The TMDB MUST return a human readable message in the HTTP Entity-body regarding the incorrect syntax of the LORDN file.
- o The interface provides a HTTP/401 status code if the credentials provided does not authorize the Registry Operator to upload a LORDN file.
- o The TMDB MUST return a HTTP/404 status code when trying to upload a LORDN file using the https://<tmdb-domain-name>/LORDN/<TLD>/sunrise/qlp or https://<tmdb-domain-name>/LORDN/<TLD>/claims/qlp interface outside of a QLP Period plus 26 hours.
- o The interface provides a HTTP/500 status code if the system is experiencing a general failure.

For example, to upload the Sunrise LORDN file for TLD "example", the URI would be:

< https://<tmdb-domain-name>/LORDN/example/sunrise >

The LORDN is contained in a CSV formatted file that has the following structure:

o For Sunrise Period:

* first line: <version>,<LORDN creation datetime>,<Number of DN Lines>

Where:

- <version>, version of the file, this field MUST be 1.
- <LORDN creation datetime>, date and time in UTC that the LORDN was created.
- <Number of DN Lines>, number of DN Lines present in the LORDN file.

* second line: a header line as specified in [RFC4180]

With the header names as follows:

roid, domain-name, SMD-id, registrar-id, registration-datetime, application-datetime

* One or more lines with: <roid>,<DN registered>,<SMD-id>,<IANA Registrar id>,<datetime of registration>,<datetime of application creation>

Where:

- <roid>, DN Repository Object Identifier (DNROID) in the SRS.
- <DN registered>, DN that was effectively allocated. For IDNs, the A-label form is used.
- <SMD-id>, SMD ID used for registration.
- <IANA Registrar ID>, IANA Registrar ID.
- <datetime of registration>, date and time in UTC that the domain was effectively allocated.
- OPTIONAL <datetime of application creation>, date and time in UTC that the application was created. The

<datetime of application creation> MUST be provided in case of a DN effective allocation based on an asynchronous registration (e.g., when using auctions).

Example of a Sunrise LORDN file

```
1,2012-08-16T00:00:00.0Z,3
roid, domain-name, SMD-id, registrar-id, registration-datetime, \
  application-datetime
SH8013-REP, example1.gtld, 1-2, 9999, 2012-08-15T13:20:00.0Z, \
  2012-07-15T00:50:00.0Z
EK77-REP, example2.gtld, 2-2, 9999, 2012-08-15T14:00:03.0Z
HB800-REP, example3.gtld, 3-2, 9999, 2012-08-15T15:40:00.0Z
```

Figure 12

o For Trademark Claims Period:

* first line: <version>, <LORDN creation datetime>, <Number of DN Lines>

Where:

- <version>, version of the file, this field MUST be 1.
- <LORDN creation datetime>, date and time in UTC that the LORDN was created.
- <Number of DN Lines>, number of DN Lines present in the LORDN file.

* second line: a header line as specified in [RFC4180]

With the header names as follows:

```
roid, domain-name, notice-id, registrar-id, registration-
datetime, ack-datetime, application-datetime
```

* One or more lines with: <roid>, <DN registered>, <TCNID>, <IANA Registrar id>, <datetime of registration>, <datetime of acceptance of the TCN>, <datetime of application creation>

Where:

- <roid>, DN Repository Object Identifier (DNROID) in the SRS.

- <DN registered>, DN that was effectively allocated. For IDNs, the A-label form is used.
- <TCNID>, Trademark Claims Notice Identifier as specified in <tmNotice:id>.
- <IANA Registrar ID>, IANA Registrar ID.
- <datetime of registration>, date and time in UTC that the domain was effectively allocated.
- <datetime of acceptance of the TCN>, date and time in UTC that the TCN was acknowledged.
- OPTIONAL <datetime of application creation>, date and time in UTC that the application was created. The <datetime of application creation> MUST be provided in case of a DN effective allocation based on an asynchronous registration (e.g., when using auctions).

For a DN matching a DNL of a PRM at the moment of registration, created without the TCNID, expiration datetime and acceptance datetime, because DNL was inserted (or re-inserted) for the first time into DNL List less than 24 hours ago, the string "recent-dnl-insertion" MAY be specified in <TCNID> and <datetime of acceptance of the TCN>.

Example of a Trademark Claims LORDN file

```
1,2012-08-16T00:00:00.0Z,3
roid, domain-name, notice-id, registrar-id, registration-datetime, \
  ack-datetime, application-datetime
SH8013-REP, example1.gtld, a76716ed9223352036854775808, \
  9999, 2012-08-15T14:20:00.0Z, 2012-08-15T13:20:00.0Z
EK77-REP, example2.gtld, a7b786ed9223372036856775808, \
  9999, 2012-08-15T11:20:00.0Z, 2012-08-15T11:19:00.0Z
HB800-REP, example3.gtld, recent-dnl-insertion, \
  9999, 2012-08-15T13:20:00.0Z, recent-dnl-insertion
```

Figure 13

6.3.1. LORDN Log file

After reception of the LORDN file, the TMDB verifies its content for syntactical and semantical correctness. The output of the LORDN file verification is retrieved using the yd interface (Section 4.3.7).

The URI of the yd interface (Section 4.3.7) used to retrieve the LORDN Log file is:

- o Sunrise LORDN Log file:

```
< https://<tmdb-domain-name>/LORDN/<TLD>/sunrise/<lordn-transaction-identifier>/result >
```

- o Trademark Claims LORDN Log file:

```
< https://<tmdb-domain-name>/LORDN/<TLD>/claims/<lordn-transaction-identifier>/result >
```

A Registry Operator MUST NOT send more than one request per minute per TLD to download a LORDN Log file.

The yd interface (Section 4.3.7) returns the following HTTP status codes after a HTTP GET request method is received:

- o The interface provides a HTTP/200 status code if the interface was able to provide the LORDN Log file. The LORDN Log file is contained in the HTTP Entity-body.
- o The interface provides a HTTP/204 status code if the LORDN Transaction Identifier is correct, but the server has not finalized processing the LORDN file.
- o The interface provides a HTTP/400 status code if the request is incorrect.
- o The interface provides a HTTP/401 status code if the credentials provided does not authorize the Registry Operator to download the LORDN Log file.
- o The interface provides a HTTP/404 status code if the LORDN Transaction Identifier is incorrect.
- o The interface provides a HTTP/500 status code if the system is experiencing a general failure.

For example, to obtain the LORDN Log file in case of a Sunrise LORDN file with LORDN Transaction Identifier 00000000000000000001 and TLD "example" the URI would be:

```
< https://<tmdb-domain-  
name>/LORDN/example/sunrise/00000000000000000001/result >
```

The LORDN Log file is contained in a CSV formatted file that has the following structure:

- o first line: <version>,<LORDN Log creation datetime>,<LORDN file creation datetime>,<LORDN Log Identifier>,<Status flag>,<Warning flag>,<Number of DN Lines>

Where:

- + <version>, version of the file, this field MUST be 1.
- + <LORDN Log creation datetime>, date and time in UTC that the LORDN Log was created.
- + <LORDN file creation datetime>, date and time in UTC of creation for the LORDN file that this log file is referring to.
- + <LORDN Log Identifier>, unique identifier of the LORDN Log provided by the TMDB. This identifier could be used by the Registry Operator to unequivocally identify the LORDN Log. The identifier will be a string of a maximum LENGTH of 60 characters from the Base 64 alphabet.
- + <Status flag>, whether the LORDN file has been accepted for processing by the TMDB. Possible values are "accepted" or "rejected".
- + <Warning flag>, whether the LORDN Log has any warning result codes. Possible values are "no-warnings" or "warnings-present".
- + <Number of DN Lines>, number of DNS effective allocations processed in the LORDN file.

A Registry Operator is not required to process a LORDN Log with a <Status flag>="accepted" and <Warning flag>="no-warnings".

- o second line: a header line as specified in [RFC4180]

With the header names as follows:

- ```
roid,result-code
```
- o One or more lines with: <roid>,<result code>
- Where:
- + <roid>, DN Repository Object Identifier (DNROID) in the SRS.
  - + <result code>, result code as described in Section 6.3.1.1.

Example of a LORDN Log file

```
1,2012-08-16T02:15:00.0Z,2012-08-16T00:00:00.0Z,\
 0000000000000478Nzs+3VMkR8ckuUynOLmyeqTmZQSbzDuf/R50n2n5QX4=,\
 accepted,no-warnings,1
roid,result-code
SH8013-REP,2000
```

Figure 14

#### 6.3.1.1. LORDN Log Result Codes

In Figure 15 the classes of result codes (rc) are listed. Those classes in square brackets are not used at this time, but may come into use at some later stage. The first two digits of a result code denote the result code class, which defines the outcome at the TMDB:

- o ok: Success, DN Line accepted by the TMDB.
- o warn: a warning is issued, DN Line accepted by the TMDB.
- o err: an error is issued, LORDN file rejected by the TMDB.

In case that after processing a DN Line, the error result code is 45xx or 46xx for that DN Line, the LORDN file MUST be rejected by the TMDB. If the LORDN file is rejected, DN Lines that are syntactically valid will be reported with a 2001 result code. A 2001 result code means that the DN Line is syntactically valid, however the DN Line was not processed because the LORDN file was rejected. All DNS reported in a rejected LORDN file MUST be reported again by the Registry because none of the DN Lines present in the LORDN file have been processed by the TMDB.

## LORDN Log Result Code Classes

| code | Class                      | outcome |
|------|----------------------------|---------|
| ---- | -----                      | -----   |
| 20xx | Success                    | ok      |
| 35xx | [ DN Line syntax warning ] | warn    |
| 36xx | DN Line semantic warning   | warn    |
| 45xx | DN Line syntax error       | err     |
| 46xx | DN Line semantic error     | err     |

Figure 15

In the following, the LORDN Log result codes used by the TMDB are described:

## LORDN Log result Codes

| rc   | Short Description                           | Long Description                                                                                                |
|------|---------------------------------------------|-----------------------------------------------------------------------------------------------------------------|
| ---- | -----                                       | -----                                                                                                           |
| 2000 | OK                                          | DN Line successfully processed.                                                                                 |
| 2001 | OK but not processed                        | DN Line is syntactically correct but was not processed because the LORDN file was rejected.                     |
| 3601 | TCN Acceptance Date after Registration Date | TCN Acceptance Date in DN Line is newer than the Registration Date.                                             |
| 3602 | Duplicate DN Line                           | This DN Line is an exact duplicate of another DN Line in same file, DN Line ignored.                            |
| 3603 | DNROID Notified Earlier                     | Same DNROID has been notified earlier, DN Line ignored.                                                         |
| 3604 | TCN Checksum invalid                        | Based on the DN effectively allocated, the TCNID and the expiration date of the linked TCN, the TCN Checksum is |

invalid.

- 3605 TCN Expired  
The TCN was already expired (based on the <tmNotice:notAfter> field of the TCN) at the datetime of acknowledgement.
- 3606 Wrong TCNID used  
The TCNID used for the registration does not match the related DN.
- 3609 Invalid SMD used  
The SMD used for registration was not valid at the moment of registration based on the <smd:notBefore> and <smd:notAfter> elements.  
In case of an asynchronous registration, this refer to the <datetime of application creation>.
- 3610 DN reported outside of the time window  
The DN was reported outside of the required 26 hours reporting window.
- 3611 DN does not match the labels in SMD  
The DN does not match the labels included in the SMD.
- 3612 SMDID does not exist  
The SMDID has never existed in the central repository.
- 3613 SMD was revoked when used  
The SMD used for registration was revoked more than 24 hours ago of the <datetime of registration>.  
In case of an asynchronous registration, the <datetime of application creation> is used when validating the DN Line.
- 3614 TCNID does not exist  
The TCNID has never existed in the central repository.
- 3615 Recent-dnl-insertion outside of the time window  
The DN registration is reported as a recent-dnl-insertion, but the (re) insertion into the DNL occurred more than 24 hours ago.
- 3616 Registration Date of DN in Claims before the end of Sunrise Period  
The registration date of the DN is before the end of the Sunrise Period and the DN was reported in a Trademark Claims LORDN file.
- 3617 Registrar has not been approved by the TMDB



- Registrar ID in DN Line has not completed Trademark Claims integration testing with the TMDB.
- 3618 Registration Date of DN in QLP LORDN file out of the QLP Period  
The registration date of the DN in a QLP LORDN file is outside of the QLP Period.
- 3619 TCN was not valid  
The TCN was not valid (based on the <tmNotice:notBefore> field of the TCN) at the datetime of acknowledgement.
- 4501 Syntax Error in DN Line  
Syntax Error in DN Line.
- 4601 Invalid TLD used  
The TLD in the DN Line does not match what is expected for this LORDN.
- 4602 Registrar ID Invalid  
Registrar ID in DN Line is not a valid ICANN-Accredited Registrar.
- 4603 Registration Date in the future  
The <datetime of registration> in the DN Line is in the future.
- 4606 TLD not in Sunrise or Trademark Claims Period  
The <datetime of registration> was reported when the TLD was not in Sunrise or Trademark Claims Periods.  
In case of an asynchronous registration, the <datetime of application creation> is used when validating the DN Line.
- 4607 Application Date in the future  
The <datetime of application creation> in the DN Line is in the future.
- 4608 Application Date is later than Registration Date  
The <datetime of application creation> in the DN Line is later than the <datetime of registration>.
- 4609 TCNID wrong syntax  
The syntax of the TCNID is invalid.
- 4610 TCN Acceptance Date is in the future  
The <datetime of acceptance of the TCN> is in the future.
- 4611 Label has never existed in the TMDB

The label in the registered DN has never existed in the TMDB.

Figure 16

#### 6.4. Signed Mark Data (SMD) File

This section defines the format of the Signed Mark Data (SMD) File. After a successful registration of a mark, the TMV returns an SMD File to the TMH. The SMD File can then be used for registration of one or more DNS covered by the PRM during the Sunrise Period of a TLD.

Two encapsulation boundaries are defined for delimiting the encapsulated base64 encoded SMD: i.e. "-----BEGIN ENCODED SMD-----" and "-----END ENCODED SMD-----". Only data inside the encapsulation boundaries MUST be used by Registries and Registrars for validation purposes, i.e. any data outside these boundaries as well as the boundaries themselves MUST be ignored for validation purposes.

The structure of the SMD File is as follows, all the elements are REQUIRED, and MUST appear in the specified order.

1. Marks: <marks>
2. smdID: <SMD-ID>
3. U-labels: <comma separated list of U-label or NR-LDH labels (see [RFC5890])>
4. notBefore: <begin validity>
5. notAfter: <end validity>
6. -----BEGIN ENCODED SMD-----
7. <encoded SMD (see [RFC7848])>
8. -----END ENCODED SMD-----

Example of an SMD File:

```
Marks: Example One
smdID: 1-2
U-labels: example-one, exampleone
notBefore: 2011-08-16 09:00
notAfter: 2012-08-16 09:00
-----BEGIN ENCODED SMD-----
PD94bWwgdmVyc2lvcj0iMS4wIiBlbmNvZGluZz0iVVRGLTgiPz4KPHNtZDpzaWdu
ZWRNYXJrIHhtbG5zOnNtZD0idXJuOmlldGY6cGFyYW1zOnhtbDpuczpzaWduZWRN
... (base64 data elided for brevity) ...
dXJlPgo8L3NtZDpzaWduZWRNYXJrPgo=
-----END ENCODED SMD-----
```

Figure 17

#### 6.5. Trademark Claims Notice (TCN)

The TMDB MUST provide the TCN to Registrars in XML format as specified below.

An enclosing element `<tmNotice:notice>` that describes the Trademark Notice to a given label.

The child elements of the `<tmNotice:notice>` element include:

- o A `<tmNotice:id>` element that contains the unique identifier of the Trademark Notice. This element contains the the TCNID.

The TCNID is a string concatenation of a TCN Checksum and the TMDB Notice Identifier. The first 8 characters of the TCNID is a TCN Checksum. The rest is the TMDB Notice Identifier, which is a zero-padded natural number in the range of 00000000000000000001 to 9223372036854775807.

Example of a TCNID:

```
370d0b7c9223372036854775807.
```

Where:

```
+ TCN Checksum=370d0b7c
```

```
+ TMDB Notice Identifier=9223372036854775807
```

The TCN Checksum is a 8 characters long Base16 encoded output of computing the CRC32 of the string concatenation of: label + unix\_timestamp(<tmNotice:notAfter>) + TMDB Notice Identifier

TMDB MUST use the Unix time conversion of the <tmNotice:notAfter> in UTC to calculate the TCN Checksum. Unix time is defined as the number of seconds that have elapsed since 1970-01-01T00:00:00Z not counting leap seconds. For example, the conversion to Unix time of 2010-08-16T09:00:00.0Z is shown:

```
unix_time(2010-08-16T09:00:00.0Z)=1281949200
```

The TMDB uses the <tmNotice:label> and <tmNotice:notAfter> elements from the TCN along with the TMDB Notice Identifier to compute the TCN Checksum.

A Registry MUST use the leftmost DNL of the DN being effectively allocated, the expiration datetime of the TCN (provided by the Registrar) and the TMDB Notice Identifier extracted from the TCNID (provided by the Registrar) to compute the TCN Checksum. For example, if the DN "xn--mgbachtv.xn--mgbh0fb" is being effectively allocated, the leftmost DNL would be "xn--mgbachtv".

Example of computation of the TCN Checksum:

```
CRC32(example-one12819492009223372036854775807)=370d0b7c
```

- o A <tmNotice:notBefore> element that contains the start of the validity date and time of the TCN.
- o A <tmNotice:notAfter> element that contains the expiration date and time of the TCN.
- o A <tmNotice:label> element that contains the DNL covered by a PRM.
- o One or more <tmNotice:claim> elements that contain the Trademark Claim. The <tmNotice:claim> element contains the following child elements:
  - \* A <tmNotice:markName> element that contains the mark text string.
  - \* One or more <tmNotice:holder> elements that contains the information of the holder of the mark. An "entitlement" attribute is used to identify the entitlement of the holder,

possible values are: owner, assignee or licensee. The child elements of <tmNotice:holder> include:

- + An OPTIONAL <tmNotice:name> element that contains the name of the holder. A <tmNotice:name> MUST be specified if <tmNotice:org> is not specified.
- + An OPTIONAL <tmNotice:org> element that contains the name of the organization holder of the mark. A <tmNotice:org> MUST be specified if <tmNotice:name> is not specified.
- + A <tmNotice:addr> element that contains the address information of the holder of a mark. A <tmNotice:addr> contains the following child elements:
  - One, two or three OPTIONAL <tmNotice:street> elements that contains the organization's street address.
  - A <tmNotice:city> element that contains the organization's city.
  - An OPTIONAL <tmNotice:sp> element that contains the organization's state or province.
  - An OPTIONAL <tmNotice:pc> element that contains the organization's postal code.
  - A <tmNotice:cc> element that contains the organization's country code. This a two-character code from [ISO3166-2].
- + An OPTIONAL <tmNotice:voice> element that contains the organization's voice telephone number.
- + An OPTIONAL <tmNotice:fax> element that contains the organization's facsimile telephone number.
- + An OPTIONAL <tmNotice:email> element that contains the email address of the holder.
- \* Zero or more OPTIONAL <tmNotice:contact> elements that contains the information of the representative of the mark registration. A "type" attribute is used to identify the type of contact, possible values are: owner, agent or thirdparty. The child elements of <tmNotice:contact> include:
  - + A <tmNotice:name> element that contains name of the responsible person.

- + An OPTIONAL <tmNotice:org> element that contains the name of the organization of the contact.
- + A <tmNotice:addr> element that contains the address information of the contact. A <tmNotice:addr> contains the following child elements:
  - One, two or three OPTIONAL <tmNotice:street> elements that contains the contact's street address.
  - A <tmNotice:city> element that contains the contact's city.
  - An OPTIONAL <tmNotice:sp> element that contains the contact's state or province.
  - An OPTIONAL <tmNotice:pc> element that contains the contact's postal code.
  - A <tmNotice:cc> element that contains the contact's country code. This a two-character code from [ISO3166-2].
- + A <tmNotice:voice> element that contains the contact's voice telephone number.
- + An OPTIONAL <tmNotice:fax> element that contains the contact's facsimile telephone number.
- + A <tmNotice:email> element that contains the contact's email address.
- \* A <tmNotice:jurDesc> element that contains the name (in English) of the jurisdiction where the mark is protected. A jurCC attribute contains the two-character code of the jurisdiction where the mark was registered. This is a two-character code from [WIPO.ST3].
- \* Zero or more OPTIONAL <tmNotice:classDesc> element that contains the description (in English) of the Nice Classification as defined in [WIPO-NICE-CLASSES]. A classNum attribute contains the class number.
- \* A <tmNotice:goodsAndServices> element that contains the full description of the goods and services mentioned in the mark registration document.

- \* An OPTIONAL `<tmNotice:notExactMatch>` element signals that the claim notice was added to the TCN based on other rule (e.g. [Claims50] ) than exact match (defined in [MatchingRules]). The `<tmNotice:notExactMatch>` contains one or more:
  - + An OPTIONAL `<tmNotice:udrp>` element that signals that the claim notice was added because of a previously abused name included in an UDRP case. The `<tmNotice:udrp>` contains:
    - A `<tmNotice:caseNo>` element that contains the UDRP case number used to validate the previously abused name.
    - A `<tmNotice:udrpProvider>` element that contains the name of the UDRP provider.
  - + An OPTIONAL `<tmNotice:court>` element that signals that the claim notice was added because of a previously abused name included in a court's resolution. The `<tmNotice:court>` contains:
    - A `<tmNotice:refNum>` element that contains the reference number of the court's resolution used to validate the previously abused name.
    - A `<tmNotice:cc>` element that contains the two-character code from [ISO3166-2] of the jurisdiction of the court.
    - A `<tmNotice:courtName>` element that contains the name of the court.

Example of a `<tmNotice:notice>` object:

```
<?xml version="1.0" encoding="UTF-8"?>
<tmNotice:notice xmlns:tmNotice="urn:ietf:params:xml:ns:tmNotice-1.0">
 <tmNotice:id>370d0b7c9223372036854775807</tmNotice:id>
 <tmNotice:notBefore>2010-08-14T09:00:00.0Z</tmNotice:notBefore>
 <tmNotice:notAfter>2010-08-16T09:00:00.0Z</tmNotice:notAfter>
 <tmNotice:label>example-one</tmNotice:label>
 <tmNotice:claim>
 <tmNotice:markName>Example One</tmNotice:markName>
 <tmNotice:holder entitlement="owner">
 <tmNotice:org>Example Inc.</tmNotice:org>
 <tmNotice:addr>
 <tmNotice:street>123 Example Dr.</tmNotice:street>
 <tmNotice:street>Suite 100</tmNotice:street>
 <tmNotice:city>Reston</tmNotice:city>
 <tmNotice:sp>VA</tmNotice:sp>
 </tmNotice:addr>
 </tmNotice:holder>
 </tmNotice:claim>
</tmNotice:notice>
```

```
<tmNotice:pc>20190</tmNotice:pc>
<tmNotice:cc>US</tmNotice:cc>
</tmNotice:addr>
</tmNotice:holder>
<tmNotice:contact type="owner">
 <tmNotice:name>Joe Doe</tmNotice:name>
 <tmNotice:org>Example Inc.</tmNotice:org>
 <tmNotice:addr>
 <tmNotice:street>123 Example Dr.</tmNotice:street>
 <tmNotice:street>Suite 100</tmNotice:street>
 <tmNotice:city>Reston</tmNotice:city>
 <tmNotice:sp>VA</tmNotice:sp>
 <tmNotice:pc>20190</tmNotice:pc>
 <tmNotice:cc>US</tmNotice:cc>
 </tmNotice:addr>
 <tmNotice:voice x="4321">+1.7035555555</tmNotice:voice>
 <tmNotice:email>jdoe@example.com</tmNotice:email>
</tmNotice:contact>
<tmNotice:jurDesc jurCC="US">USA</tmNotice:jurDesc>
<tmNotice:classDesc classNum="35">
 Advertising; business management; business administration.
</tmNotice:classDesc>
<tmNotice:classDesc classNum="36">
 Insurance; financial affairs; monetary affairs; real estate.
</tmNotice:classDesc>
<tmNotice:goodsAndServices>
 Bardus populorum circumdabit se cum captiosus populum.
 Smert populorum circumdabit se cum captiosus populum.
</tmNotice:goodsAndServices>
</tmNotice:claim>
<tmNotice:claim>
 <tmNotice:markName>Example-One</tmNotice:markName>
 <tmNotice:holder entitlement="owner">
 <tmNotice:org>Example S.A. de C.V.</tmNotice:org>
 <tmNotice:addr>
 <tmNotice:street>Calle conocida #343</tmNotice:street>
 <tmNotice:city>Conocida</tmNotice:city>
 <tmNotice:sp>SP</tmNotice:sp>
 <tmNotice:pc>82140</tmNotice:pc>
 <tmNotice:cc>BR</tmNotice:cc>
 </tmNotice:addr>
 </tmNotice:holder>
 <tmNotice:jurDesc jurCC="BR">BRAZIL</tmNotice:jurDesc>
 <tmNotice:goodsAndServices>
 Bardus populorum circumdabit se cum captiosus populum.
 Smert populorum circumdabit se cum captiosus populum.
 </tmNotice:goodsAndServices>
</tmNotice:claim>
```



```
<tmNotice:claim>
 <tmNotice:markName>One</tmNotice:markName>
 <tmNotice:holder entitlement="owner">
 <tmNotice:org>One Corporation</tmNotice:org>
 <tmNotice:addr>
 <tmNotice:street>Otra calle</tmNotice:street>
 <tmNotice:city>Otra ciudad</tmNotice:city>
 <tmNotice:sp>OT</tmNotice:sp>
 <tmNotice:pc>383742</tmNotice:pc>
 <tmNotice:cc>CR</tmNotice:cc>
 </tmNotice:addr>
 </tmNotice:holder>
 <tmNotice:jurDesc jurCC="CR">COSTA RICA</tmNotice:jurDesc>
 <tmNotice:goodsAndServices>
 Bardus populorum circumdabit se cum captiosus populum.
 Smert populorum circumdabit se cum captiosus populum.
 </tmNotice:goodsAndServices>
 <tmNotice:notExactMatch>
 <tmNotice:court>
 <tmNotice:refNum>234235</tmNotice:refNum>
 <tmNotice:cc>CR</tmNotice:cc>
 <tmNotice:courtName>Supreme Court of Spain</tmNotice:courtName>
 </tmNotice:court>
 </tmNotice:notExactMatch>
</tmNotice:claim>
<tmNotice:claim>
 <tmNotice:markName>One Inc</tmNotice:markName>
 <tmNotice:holder entitlement="owner">
 <tmNotice:org>One SA de CV</tmNotice:org>
 <tmNotice:addr>
 <tmNotice:street>La calle</tmNotice:street>
 <tmNotice:city>La ciudad</tmNotice:city>
 <tmNotice:sp>CD</tmNotice:sp>
 <tmNotice:pc>34323</tmNotice:pc>
 <tmNotice:cc>AR</tmNotice:cc>
 </tmNotice:addr>
 </tmNotice:holder>
 <tmNotice:jurDesc jurCC="AR">ARGENTINA</tmNotice:jurDesc>
 <tmNotice:goodsAndServices>
 Bardus populorum circumdabit se cum captiosus populum.
 Smert populorum circumdabit se cum captiosus populum.
 </tmNotice:goodsAndServices>
 <tmNotice:notExactMatch>
 <tmNotice:udrp>
 <tmNotice:caseNo>D2003-0499</tmNotice:caseNo>
 <tmNotice:udrpProvider>WIPO</tmNotice:udrpProvider>
 </tmNotice:udrp>
 </tmNotice:notExactMatch>
```

```
</tmNotice:claim>
</tmNotice:notice>
```

For the formal syntax of the TCN please refer to Section 7.1.

## 6.6. Sunrise List (SURL)

This section defines the format of the list containing every Domain Name Label (DNL) that matches a PRM eligible for Sunrise. The list is maintained by the TMDB and downloaded by Registries in regular intervals (see Section 5.4.2.1). The Registries use the Sunrise List during the Qualified Launch Program Period to check whether a requested DN matches a DNL of a PRM eligible for Sunrise.

The Sunrise List contains all the DNLs covered by a PRM eligible for Sunrise present in the TMDB at the datetime it is generated.

The Sunrise List is contained in a CSV formatted file that has the following structure:

- o first line: <version>,<Sunrise List creation datetime>

Where:

- + <version>, version of the file, this field MUST be 1.
- + <Sunrise List creation datetime>, date and time in UTC that the Sunrise List was created.

- o second line: a header line as specified in [RFC4180]

With the header names as follows:

DNL,insertion-datetime

- o One or more lines with: <DNL>,<DNL insertion datetime>

Where:

- + <DNL>, a Domain Name Label covered by a PRM eligible for Sunrise.
- + <DNL insertion datetime>, datetime in UTC that the DNL was first inserted into the Sunrise List. The possible two values of time for inserting a DNL to the Sunrise List are 00:00:00 and 12:00:00 UTC.

Example of a SURL

```
1,2012-08-16T00:00:00.OZ
DNL,insertion-datetime
example,2010-07-14T00:00:00.OZ
another-example,2012-08-16T00:00:00.OZ
anotherexample,2011-08-16T12:00:00.OZ
```

Figure 18

To provide authentication and integrity protection, the Sunrise List will be PGP signed by the TMDB (see also Section 5.1.1.4). The PGP signature of the Sunrise List can be found in the similar URI but with extension .sig as shown below.

The URL of the dy interface (Section 4.3.3) is:

- o < https://<tmdb-domain-name>/dnl/surl-latest.csv >
- o < https://<tmdb-domain-name>/dnl/surl-latest.sig >

## 7. Formal Syntax

### 7.1. Trademark Claims Notice (TCN)

The schema presented here is for a Trademark Claims Notice.

The BEGIN and END tags are not part of the schema; they are used to note the beginning and ending of the schema for URI registration purposes.

```
<CODE BEGINS>
<?xml version="1.0" encoding="UTF-8"?>
<schema targetNamespace="urn:ietf:params:xml:ns:tmNotice-1.0"
 xmlns:tmNotice="urn:ietf:params:xml:ns:tmNotice-1.0"
 xmlns:mark="urn:ietf:params:xml:ns:mark-1.0"
 xmlns="http://www.w3.org/2001/XMLSchema"
 elementFormDefault="qualified">
 <annotation>
 <documentation>
 Schema for representing a Trademark Claim Notice.
 </documentation>
 </annotation>
 <import namespace="urn:ietf:params:xml:ns:mark-1.0"/>
```

```
<element name="notice" type="tmNotice:noticeType"/>
<complexType name="holderType">
 <sequence>
 <element name="name" type="token" minOccurs="0"/>
 <element name="org" type="token" minOccurs="0"/>
 <element name="addr" type="tmNotice:addrType"/>
 <element name="voice" type="mark:e164Type" minOccurs="0"/>
 <element name="fax" type="mark:e164Type" minOccurs="0"/>
 <element name="email" type="mark:minTokenType" minOccurs="0"/>
 </sequence>
 <attribute name="entitlement" type="mark:entitlementType"/>
</complexType>
<complexType name="noticeType">
 <sequence>
 <element name="id" type="tmNotice:idType"/>
 <element name="notBefore" type="dateTime"/>
 <element name="notAfter" type="dateTime"/>
 <element name="label" type="mark:labelType"/>
 <element name="claim" type="tmNotice:claimType" minOccurs="0"
 maxOccurs="unbounded"/>
 </sequence>
</complexType>
<complexType name="claimType">
 <sequence>
 <element name="markName" type="token"/>
 <element name="holder" type="tmNotice:holderType"
 maxOccurs="unbounded"/>
 <element name="contact" type="tmNotice:contactType" minOccurs="0"
 maxOccurs="unbounded"/>
 <element name="jurDesc" type="tmNotice:jurDescType"/>
 <element name="classDesc" type="tmNotice:classDescType"
 minOccurs="0" maxOccurs="unbounded"/>
 <element name="goodsAndServices" type="token"/>
 <element name="notExactMatch" type="tmNotice:noExactMatchType"
 minOccurs="0"/>
 </sequence>
</complexType>
<complexType name="jurDescType">
 <simpleContent>
 <extension base="token">
 <attribute name="jurCC" type="mark:ccType" use="required"/>
 </extension>
 </simpleContent>
</complexType>
<complexType name="classDescType">
 <simpleContent>
 <extension base="token">
 <attribute name="classNum" type="integer" use="required"/>
 </extension>
 </simpleContent>
</complexType>
```

```
 </extension>
 </simpleContent>
</complexType>
<complexType name="noExactMatchType">
 <choice maxOccurs="unbounded">
 <element name="udrp" type="tmNotice:udrpType"/>
 <element name="court" type="tmNotice:courtType"/>
 </choice>
</complexType>
<complexType name="udrpType">
 <sequence>
 <element name="caseNo" type="token"/>
 <element name="udrpProvider" type="token"/>
 </sequence>
</complexType>
<complexType name="courtType">
 <sequence>
 <element name="refNum" type="token"/>
 <element name="cc" type="mark:ccType"/>
 <element name="region" type="token" minOccurs="0"
 maxOccurs="unbounded"/>
 <element name="courtName" type="token"/>
 </sequence>
</complexType>
<complexType name="addrType">
 <sequence>
 <element name="street" type="token" minOccurs="1" maxOccurs="3"/>
 <element name="city" type="token"/>
 <element name="sp" type="token" minOccurs="0"/>
 <element name="pc" type="mark:pcType" minOccurs="0"/>
 <element name="cc" type="mark:ccType"/>
 </sequence>
</complexType>
<complexType name="contactType">
 <sequence>
 <element name="name" type="token"/>
 <element name="org" type="token" minOccurs="0"/>
 <element name="addr" type="tmNotice:addrType"/>
 <element name="voice" type="mark:e164Type"/>
 <element name="fax" type="mark:e164Type" minOccurs="0"/>
 <element name="email" type="mark:minTokenType"/>
 </sequence>
 <attribute name="type" type="mark:contactTypeType"/>
</complexType>
<simpleType name="idType">
 <restriction base="token">
 <pattern value="[a-fA-F0-9]{8}\d{1,19}"/>
 </restriction>
</simpleType>
```

```
</simpleType>
</schema>
<CODE ENDS>
```

## 8. Acknowledgements

This specification is a collaborative effort from several participants in the ICANN community. Bernie Hoeneisen participated as co-author until version 02 providing invaluable support for this document. This specification is based on a model spearheaded by: Chris Wright, Jeff Neuman, Jeff Eckhaus and Will Shorter. The author would also like to thank the thoughtful feedback provided by many in the tmch-tech mailing list, but particularly the extensive help provided by James Gould, James Mitchell and Francisco Arias. This document includes feedback received from the following individuals: Paul Hoffman.

## 9. Change History

[[RFC Editor: Please remove this section.]]

### 9.1. Version 04

1. Ping update.

### 9.2. Version 05

1. Ping update.

### 9.3. Version 06

1. Updated the terminology text to reflect the text in RFC8174.
2. Updated the reference of RFC7719 to RFC8499.
3. Updated the matching rules document reference to link to the latest version.

### 9.4. Version 07

1. Changes based on the feedback provided here:  
<https://mailarchive.ietf.org/arch/msg/regext/xcZPOAajlUJzgPgZBuqlIWRcFZg/>
2. Changes based on the feedback provided here:  
[https://mailarchive.ietf.org/arch/msg/regext/MdOhSomd6\\_djLcthfW5mxWZkbWY](https://mailarchive.ietf.org/arch/msg/regext/MdOhSomd6_djLcthfW5mxWZkbWY)

### 9.5. Version 08

1. Fixed issues detected by idnits tool.

### 9.6. Version 09

1. Ping update.

## 10. IANA Considerations

This document uses URNs to describe XML namespaces and XML schemas conforming to a registry mechanism described in [RFC3688]. Two URI assignments have been registered by the IANA.

Registration request for the Trademark Claims Notice namespace:

URI: urn:ietf:params:xml:ns:tmNotice-1.0

Registrant Contact: IETF <regext@ietf.org>

XML: None. Namespace URIs do not represent an XML specification.

Registration request for the Trademark Claims Notice XML schema:

URI: urn:ietf:params:xml:schema:tmNotice-1.0

Registrant Contact: IETF <regext@ietf.org>

XML: See Section 7.1 of this document.

## 11. Security Considerations

This specification uses HTTP Basic Authentication to provide a simple application-layer authentication service. HTTPS is used in all interfaces in order to protect against most common attacks. In addition, the client identifier is tied to a set of IP addresses that are allowed to connect to the interfaces described in this document, providing an extra security measure.

The TMDB MUST provide credentials to the appropriate Registries and Registrars.

The TMDB MUST require the use of strong passwords by Registries and Registrars.

The TMDB, Registries and Registrars MUST use the best practices described in RFC 7525 or its successors.

## 12. References

### 12.1. Normative References

#### [Claims50]

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Network Working Group  
Internet-Draft  
Intended status: Standards Track  
Expires: July 14, 2019

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January 10, 2019

Verification Code Extension for the Extensible Provisioning Protocol  
(EPP)  
draft-ietf-regext-verificationcode-06

Abstract

This document describes an Extensible Provisioning Protocol (EPP) extension for including a verification code for marking the data for a transform command as being verified by a 3rd party, which is referred to as the Verification Service Provider (VSP). The verification code is digitally signed by the VSP using XML Signature and is "base64" encoded. The XML Signature includes the VSP signer certificate, so the server can verify that the verification code originated from the VSP.

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1. Introduction

This document describes an extension mapping for version 1.0 of the Extensible Provisioning Protocol (EPP) [RFC5730]. This mapping, an extension to EPP object mappings like the EPP domain name mapping [RFC5731], EPP host mapping [RFC5732], and EPP contact mapping [RFC5733], can be used to pass a verification code to one of the EPP transform commands. The domain name object is used for examples in the document. The verification code is signed using XML Signature [W3C.CR-xmldsig-core2-20120124] and is "base64" encoded. The "base64" encoded text of the verification code MUST conform to [RFC2045]. The verification code demonstrates that verification was done by a Verification Service Provider (VSP).

The Verification Service Provider (VSP) is a certified party to verify that data is in compliance with the policies of a locality. A locality MAY require the client to have data verified in accordance with local regulations or laws utilizing data sources not available to the server. The VSP has access to the local data sources and is authorized to verify the data. Examples include verifying that the domain name is not prohibited and verifying that the domain name registrant is a valid individual, organization, or business in the locality. The data verified, and the objects and operations that require the verification code to be passed to the server, is up to the policies of the locality. The verification code represents a marker that the verification was completed. The signer certificate and the digital signature of the verification code MUST be verified by the server.

1.1. Conventions Used in This Document

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in BCP 14 [RFC2119] [RFC8174] when, and only when, they appear in all capitals, as shown here.

XML is case sensitive. Unless stated otherwise, XML specifications and examples provided in this document MUST be interpreted in the character case presented in order to develop a conforming implementation.

In examples, "C:" represents lines sent by a protocol client and "S:" represents lines returned by a protocol server. Indentation and

white space in examples are provided only to illustrate element relationships and are not a REQUIRED feature of this protocol.

"verificationCode-1.0" is used as an abbreviation for "urn:ietf:params:xml:ns:verificationCode-1.0". The XML namespace prefix "verificationCode" is used, but implementations MUST NOT depend on it and instead employ a proper namespace-aware XML parser and serializer to interpret and output the XML documents.

## 2. Object Attributes

This extension adds additional elements to EPP object mappings like the EPP domain name mapping [RFC5731], EPP host mapping [RFC5732], and EPP contact mapping [RFC5733]. Only those new elements are described here.

### 2.1. Verification Code

The Verification Code is a formatted token, referred to as the Verification Code Token, that is digitally signed by a Verification Service Provider (VSP) using XML Signature [W3C.CR-xmlsig-core2-20120124], using the process described in Section 2.1.1, and is then "base64" encoded, as defined in Section 2.1.2. The Verification Code Token syntax is specified using Augmented Backus-Naur Form (ABNF) grammar [RFC5234] as follows:

Verification Code Token ABNF

```
token = vsp-id "-" verification-id ; Verification Code Token
vsp-id = 1*DIGIT ; VSP Identifier
verification-id = 1*(DIGIT / ALPHA) ; Verification Identifier
```

For a VSP given VSP Identifier "1" and with a Verification Identifier of "abc123", the resulting Verification Code Token is "1-abc123". The Verification Identifier MUST be unique within a VSP and the VSP Identifier MUST be unique across supporting VSP's, so the Verification Code Token MUST be unique to an individual verification. The VSP Identifiers MAY require registration within an IANA registry.

#### 2.1.1. Signed Code

The <verificationCode:signedCode> is the fragment of XML that is digitally signed using XML Signature [W3C.CR-xmlsig-core2-20120124]. The <verificationCode:signedCode> element includes a required "id" attribute of type XSD ID for use with an IDREF URI from the Signature element. The certificate of the issuer MUST be included with the Signature so it can be chained with the issuer's certificate by the validating client.

The `<verificationCode:signedCode>` element includes a REQUIRED "type" attribute for use in defining the type of the signed code. It is up to the VSP and the server to define the valid values for the "type" attribute. Examples of possible "type" attribute values include "domain" for verification of the domain name, "registrant" for verification of the registrant contact, or "domain-registrant" for verification of both the domain name and the registrant. The typed signed code is used to indicate the verifications that are done by the VSP. The "type" attribute values MAY require registration within an IANA registry.

A `<verificationCode:signedCode>` element substitutes for the `<verificationCode:abstractSignedCode>` abstract element to define a concrete definition of a signed code. The `<verificationCode:abstractSignedCode>` element can be replaced by other signed code definitions using the XML schema substitution groups feature.

The child elements of the `<verificationCode:signedCode>` element include:

`<verificationCode:code>` Contains the Verification Code Token as defined by the ABNF in Section 2.1.  
`<Signature>` XML Signature [W3C.CR-xmlsig-core2-20120124] for the `<verificationCode:signedCode>`. Use of a namespace prefix, like "dsig", is recommended for the XML Signature [W3C.CR-xmlsig-core2-20120124] elements.

Example of a "domain" typed signed code using the `<verificationCode:signedCode>` element and XML Signature [W3C.CR-xmlsig-core2-20120124]:

```
<verificationCode:signedCode
 xmlns:verificationCode=
 "urn:ietf:params:xml:ns:verificationCode-1.0"
 id="signedCode">
 <verificationCode:code type="domain">1-abc111
</verificationCode:code>
 <Signature xmlns="http://www.w3.org/2000/09/xmlsig#">
 <SignedInfo>
 <CanonicalizationMethod
Algorithm="http://www.w3.org/2001/10/xml-exc-c14n#" />
 <SignatureMethod
Algorithm="http://www.w3.org/2001/04/xmlsig-more#rsa-sha256" />
 <Reference URI="#signedCode">
 <Transforms>
 <Transform
Algorithm="http://www.w3.org/2000/09/xmlsig#enveloped-signature" />
```



```

 </Transforms>
 <DigestMethod
Algorithm="http://www.w3.org/2001/04/xmlenc#sha256"/>
<DigestValue>wgyW3nZPoEfpptlhRILKnOQnbdU6ArM7ShrAfHgDFg=
</DigestValue>
 </Reference>
 </SignedInfo>
 <SignatureValue>
jMu4PfyQGgiJBF0GWSEPFJCjmywCEqR2h4LD+ge6XQ+JnmKFFCuCZS/3SLKAx0L1w
QDFO2e0Y69k2G7/LGE37X3vOflobFM1oGwja8+GMVraoto5xAd4/AF7eHukgAymD
o9toxo2h0yV4A4PmXzsU6S86XtCcUE+S/WM72nyn47zoUCzzPKHZBRYeWehVFQ+
jYRMIAMzM57HHQA+6eaXefRvtPETgUO4aVIVSugc4OUAZZwbYcZrC6wOaQqqqAZi
30aPOBYbAvHMSmWSS+hFkbshomJfHxb97TD2grlYnrQIzqXk7WbHWy2SYda+sI/Z
ipJsXNa6ostUw1CzA7jfwA==
 </SignatureValue>
 <KeyInfo>
 <X509Data>
 <X509Certificate>
MI IESTCCAzGgAwIBAgIBAgjANBgkqhkiG9w0BAQsFADBIMQswCQYDVQQGEwJVUzEL
MAkGA1UECBMCQ0ExFDASBgNVBACTC0xvcyBBbmdlbGVzMRRMwEQYDVQQKEwppJQFO
TiBUTUNIMRswGQYDVQQDEExJJQ0FOTiBUTUNIFIREFU1QgQ0EwHhcNMTMwMjA4MDAw
MDAwWhcNMTMwMjA4MDAwMjM0OTU5WjBsmQswCQYDVQQGEwJVUzELMAkGA1UECBMCQ0Ex
FDASBgNVBACTC0xvcyBBbmdlbGVzMRRMwEQYDVQQKEw5WYWxpZGF0b3IgaVE1DSDEh
MB8GA1UEAxMYVmFsaWRhdG9yIFRnQ0ggVEVTVCBDRVJUMIIBIjANBgkqhkiG9w0BAQ
AQEFAAOCAQ8AMIIBCgKCAQEAo/cwvXhbVY10RDWWvoveZpETVZVVCmCovUVNg/sw
WinuMgEWgVQFrz0xA04pEhXCFVv4evbUpekJ5buqU1gmQy0sCKQlhOHTdPjvkC5u
pDqa51Flk0TMAmkiQjs7aUKCmA4RG4tTTGK/EjR1ix8/D0gHYVRldy1YPrMP+ou7
5bOVnIos+HifraTrIv4qEqwLL4FTZAUpaCa2BmgXfy2CSRQbxD5Or1gcSa3vurh5
sPMCNxqaXmIXmQipS+DuEBqMM8tldaN7RYojUEKrgVsnk5i9y2/7sjnlzzyUPf7v
L4GgDYqhJYWV61DnXgx/Jd6CWxvsndf6scscQzUTE1+hywIDAQABO4H/MIH8MAwG
A1UdEwEB/wQCMAAwHQYDVR0OBBYEFpZEcIQcD/Bj2IFz/LEruo2ADJviMIGMBgNV
HSMEGyQwGyGAFO0/7kEh3FuEKS+Q/kYHaD/W6wihoWakZDBIMQswCQYDVQQGEwJV
UzELMAkGA1UECBMCQ0ExFDASBgNVBACTC0xvcyBBbmdlbGVzMRRMwEQYDVQQKEwpp
Q0FOTiBUTUNIMRswGQYDVQQDEExJJQ0FOTiBUTUNIFIREFU1QgQ0GCAQEwDgYDVR0P
AQH/BAQDAgeAMC4GA1UdHwQnMCUwI6AhoB+GHWh0dHA6Ly9jcmwuaWNhbm4ub3Jn
L3RtY2guY3JsMA0GCSqGSIb3DQEBCwUAA4IBAQB2qSy7ui+43cebKUKwWPrrzz9y/
IkrMeJGKjo40n+9uekaw3DJ5EqiOf/qZ4pjBD++oR6BJCb6NQuQKwnoAz51E4Ssu
y5+i93oT3HfyVc4gNMIoHm1PS1917DBKrbwbzAea/0jKWVzrvmV7TBfjxD3AQo1R
bU5dBr6IjbdLFlnO5x0G0mrG7x5OUPuurihyiURpFDpWH8KAH1wMcCpXGXFrtGKk
wydgyVYAty7otkl/z3bZkCVT34gPvF70sR6+QxUy8u0LzF5A/beYaZpxSYG31amL
AdXitTWFipaIGea91EGFM0L9+Bg7XzNn4nVLXokyEB3bgS4scG6QznX23FGk
 </X509Certificate>
 </X509Data>
 </KeyInfo>
 </Signature>
</verificationCode:signedCode>

```



```

SHhiOTdURDJncmxZTnJRSXpxWGs3V2JIV3kyU1lkQStzSS9aCiBpcEpzWE5hNm9z
VFV3MUN6QTdqZndBPT0KICAgPC9TaWduYXR1cmVWYX1ZT4KICAgPETleUluZm8+
CiAgICA8WUwOURhdGE+CiAgICA8WUwOUNlcnRpZmljYXR1PgogTUlJRVNUQ0NB
ekdnQXdJQkFnSUJBakFOQmdrcWhraUc5dzBCQVFzRkFEQmlNUNXN3Q1FZRFZRUUdF
d0pWVXpFTAAogTUFrR0ExVUVDQk1DUTBFeEZEQVNCZ05WQkFjVEMweHZjeUJCYm1k
bGJHVnpNUk13RVFZRFZRUUtFd3BKUTBGTWogVG1CVVRVTklNUN3R1FZRFZRUURF
eEpKUTBGT1RpQlVUVU5JSUZSR1UxUWdRMEV3SGhjTklUTXdNakE0TURBdwogTURB
dlDoY05NVGd3TWpBM01qTTFPFVU1V2pCc01Rc3dDUVlEVlFRR0V3S1ZVekVMTUFr
R0ExVUVDQk1DUTBFeAogRkRBU0JnTlZCQWNUQzB4dmN5QkJibWRsYkdWek1SY3dG
UVlEVlFRS0V3NVdZV3hwWkdGMGIzSWdWRTFEU0RfAaogTUI4R0ExVUVBeE1ZVmlG
c2FXUmhkRz15SUZST1EwZ2dWRVZUVkNCRFJWS1VNSU1CSWpBTKJna3Foa2lHOXcw
QgogQVFRkFBT0NBUThtBTU1JQkNnS0NBuUVBby9jd3ZYaGJWWwwUkRXV3ZveWVa
cEVUV1pWVmNNQ292VVZOZy9zdWogV2ludU1nRVdnVlFGcnoweEEwNHBFaFhDR1Z2
NGV2Y1VwZwtKNWJ1cVUxZ21ReU9zQ0tRbGhPSFRkUGp2a0M1dQogcERxYTUxRmxr
MFRNYU1rSVFqcZdhVUtdbUE0Ukc0dFRUR0svRwPsmWl4OC9EMGdIWVZSbGR5Mv1Q
ck1QK291NwogNWJpVm5Jb3MrSGlmcKf0ck12NHFFcXdMTDRGVFPBVXBhQ2EyQm1n
WGZ5MkNTU1FieEQ1T3IxZ2NTYTN2dXJONQogc1BNQ054cWFYbUlYbVFPcFMrRHVF
QnFNTTh0bGRhtjdSWW9qVUVLckdWc05rNwK5eTivN3NqbJf6eX1VUGY3dgogTDRH
Z0RZcWhKWvdWNjFEBlhneC9KZDZDV3h2c25ERjZzY3NjUXpVVEVsK2h5d01EQVFB
Qm80SC9NSUG4TUF3RwogQTFVZEV3RUIvd1FDTUFbd0hRWURWUjBPQkJZRUZQWkvj
SVFjRC9CaJJRnovTEVSDw8yQURKdmlNSUdNQmdOVgogSFNNRwdZUXdnWUdBRk8w
LzdrRWgzRnVFS1MrUS9rWUhhRC9XNndpaG9XYWtareJpTVFzd0NRWURWUWVHRXDK
VgogVXpFTE1Ba0dBmVVFQ0JNQ1EwRXhgREFTQmdOVkjbY1RDMHh2Y3lCQmJtZGxi
R1Z6TVJNd0VRWURWUWVFLRXdwSgogUTBGT1RpQlVUVU5JTVJzd0dRWURWUWVFERXhK
S1EwRk9UaUJVVVFOSU1GUkZVMVFnUTBHQ0FRRXdEZ11EV1IwUAogQVFIL0JBUURB
Z2VBTUM0R0ExVWRId1FuTUNvd0k2QWhvQitHSFdoMGRIQTZMeTlqY213dWFXTmhi
bTR1YjNkBgogTDNSdFkyZ3VZM0pzTUEwR0NTcUdTSWIzRFFFQkN3VUFBNELCQVFC
MnFTEtd1aSS0M2N1YktVS3dXUHJ6ejl5LwogSWtyTWVKR0tqbzQwbis5dWVrYXcz
REolRXFpT2YvcVo0cGpCRCSrb1I2QkpDYjZOUXVRS3dub0F6NWxFNFNzdQogeTUR
aTkzb1QzSGZ5VmM0Z05NSW9IbTFQUZe5bDdEQktyYndiekFlYS8waktXVnpydm1W
N1RCZmp4RDNBWU8xUgogY1U1ZEJyNklqYmRMRmxuTzV4MEcwbXJHN3g1T1VQdXVy
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L3ozYlprQ1ZUMzRnUHZNzBzUjYrUXhVeTh1MEX6RjVBL2JlWWFACHhTWUcZMWFt
TAogQWRYaXRUV0ZpcGFJR2VhOWxFR0ZNMEw5K0JnN1h6Tm40blZMWG9reUVCm2Jn
UzRzY0c2UXpuWDIzRkdrCiAgIDwvWUwOUNlcnRpZmljYXR1PgogICA8L1glMD1E
YXRhPgogICA8L0tleUluZm8+CiAgPC9TaWduYXR1cmU+CgkJPc92ZXJpZmljYXRp
b25Db2RlOnNpZ25lZENvZGU+Cg==
</verificationCode:code>
</verificationCode:encodedSignedCode>

```

Example `<verificationCode:encodedSignedCode>` element that contains two `<verificationCode:code>` elements ; .

```

<?xml version="1.0" encoding="UTF-8" standalone="no"?>
<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
 <command>
 <create>
 <domain:create

```



c2FXUmhkRz15SUZST1EwZ2dWRVZUVkNCRFJWS1VNSU1CSWpBTkJna3Foa21lHOXcw  
 QgogQVFFRkFBT0NBUThBTU1JQkNnS0NBuUVBby9jd3ZYaGJWWwwUkRXV3ZveWVa  
 cEVUV1pWVmnNQ292VVZOZy9zdwoGv21udU1nRVdnVlFGcnoweEEwNHBFaFhDRlZ2  
 NGV2Y1VwZwtKNWJ1cVUXZ21ReU9zQ0tRbGhPSFRkUGp2a0M1dQogcERxYTUxRmxr  
 MFRNYU1rSVFqczdhVUtDbUE0Ukc0dFRUR0svRWpSMWl4OC9EMGdIWVZSbGR5MVlQ  
 ck1QK291NwogNWJPVm5Jb3MrSGlmcKf0ckl2NHFFcXdMTDRGVFpBVXBhQ2EYQm1n  
 WGZ5MkNTU1FieEQ1T3IxZ2NTYTN2dXJoNQogc1BNQ054cWFYbU1YbVFpcFMrRHVF  
 QnFNTTh0bGRhTjdsWW9qVUVLckdWc05rNwK5eTivN3NqbJf6eXlVUGY3dgogTDRH  
 ZORZcWhKWvdWNjFEB1hneC9KZDZDV3h2c25ERjZzY3NjUXpVVEVsK2h5d01EQVFB  
 Qm80SC9NSUG4TUF3RwogQTFVZEV3RUIvd1FDTUFbd0hRWURWUjBPQkJZRuzQWkvj  
 SVFjRC9CaJJRNovTEVsdW8yQURKdmlNSUdNQmdOVgogSFNNRwdZUXdnWUdBRk8w  
 LzdrRWgzRnVFS1MrUS9rWUhhRC9XNndpaG9XYWtaREJpTVFzd0NRWURWUVFHRXdk  
 VgogVXpFTE1Ba0dBmVVFQ0JNQ1EwRXhGREFTQmdOVk1JBY1RDMHh2Y3lCQmJtZGxi  
 R1Z6TVJNd0VRWURWUVFLRXdwSgogUTBGT1RpQlVUVU5JTVJzd0dRWURWUVFERXhK  
 SlEwRk9UaUJVVfVOSU1GUkZVMVfnUTBHQ0FRRXdEZ11EV1IwUAogQVFILOJBUURB  
 Z2VBTUM0R0ExVWRId1FuTUNvd0k2QWhvQitHSFdoMGRIQTZMeTlqY213dWFXtmhi  
 bTR1YjNkbgogTDNSdFkyZ3VZM0pzTUEwR0NTcUdTSWIzRFFFQkN3VUFBNELCQVFC  
 MnFteTdlasS0M2N1YktVS3dXUHJ6ejl5LwogSWtyTWVKR0tqbzQwbis5dWVrYXcz  
 REo1RXFPt2YvcVo0cGpCRCsrb1I2QkpDYjZOUXVRS3dub0F6NWxFNFNzdQogeTUR  
 aTkzb1QzSGZ5VmM0Z05NSW9IbTFQUZe5bDdEQktyYndiekFlYS8waktXVnpydm1W  
 N1RCZmp4RDNBUW8xUgogY1U1ZEJyNklqYmRmRmxuTzV4MEcwbXJHN3g1T1VQdXVy  
 aWh5aVVSceZEchdIOEtBSDF3TWNDcFhHWEZSdEdLawogd3lkZ31WWUF0eTdvdGts  
 L3ozYlprQ1ZUMzRnUHZGNzBzUjYrUXhVeTh1MEX6RjVBL2JlWWFACHHtWUcZMWFt  
 TAogQWRyYaXRUV0ZpcGFJR2VhOWxFR0ZNMew5K0JnN1h6Tm40b1ZMWG9reUVCM2Jn  
 UzRzY0c2UXpuWDIzRkdrCiAgIDwvWDUwOUN1cnRpZmljYXR1PgogICAgL1g1MD1E  
 YXRhPgogICAgL0tleUluZm8+CiAgPC9TaWduYXR1cmU+CgkJPc92ZXJpZmljYXRp  
 b25Db2RlOnNpZ251ZENvZGU+Cg==

</verificationCode:code>

<verificationCode:code>

PD94bWwgdmVyc2lvcj0iMS4wIiBlbmNvZGluz0iVVRGLTgiPz48dmVyaWZpY2F0  
 aW9uQ29kZTpzaWduZWRDb2RlIHhtbG5zOnZlcmlmaWNhdG1vbknvZGU9InVybJpp  
 ZXRMOnBhcmFtcz4bWw6bnM6dmVyaWZpY2F0aW9uQ29kZS0xLjAiIGlkPSJzaWdu  
 ZWRDb2RlIiB0eXB1PSJyZWdpc3RyYW50Ij48dmVyaWZpY2F0aW9uQ29kZTpjb2Rl  
 PjEteYWJmJiYPC92ZXJpZmljYXRpb25Db2RlOmNvZGU+PGRzaWc6U2l1bnmF0dXJl  
 IHhtbG5zOmRzaWc6Imh0dHA6Ly93d3cudzMub3JnLzIwMDAvMDkveG1sZHNpZyMi  
 Pjxkc2lnOlNpZ251ZEluZm8+PGRzaWc6Q2Fub25pY2FsaXphdG1vbklldGhvZCBB  
 bGdvcml0aG09Imh0dHA6Ly93d3cudzMub3JnLzIwMDAvMDkveG1sZHNpZyNyc2Et  
 c2hhMSIvPjxkc2lnOlJlZmVyaW5jZSBVUkk9IiNzaWduZWRDb2RlIj48ZHNpZzpU  
 cmFuc2ZvcmlzPjxkc2lnOlRyYW5zZm9ybSBbbGdvcml0aG09Imh0dHA6Ly93d3cu  
 dzMub3JnLzIwMDAvMDkveG1sZHNpZyN1bnZlbnG9wZWQtc2lnbnmF0dXJlIi8+PC9k  
 c2lnOlRyYW5zZm9ybXM+PGRzaWc6RGlNzXN0TWV0aG9kIEFsZ29yaXRobT0iaHR0  
 cDovL3d3dy53My5vcmevMjAwMS8wNzY1bWw1bWJjc2hhMjU2Ii8+PGRzaWc6RGlN  
 ZXN0VmFsdWU+SFg2TU1WUWdnSStzNG9tT3haYjBGTW1VS1BRdk15WmUybDVEdeHh  
 QlZMND08L2RzaWc6RGlNzXN0VmFsdWU+PC9kc2lnOlJlZmVyaW5jZT48L2RzaWc6  
 U2l1bnmVksW5mbz48ZHNpZzpTaWduYXR1cmVWYXx1ZT5VOUhPNV1YVWE0ZUsyYXRz  
 U1RuQk1DU3dXM0dWUzZnUETkaDBZTlZicERud1d4b1BtY1R2YkVsNDE4NF1KZ3Uw

```

WXB3RkROMmZLY3JVCk1YV0hncE56K0ooycTh6MWpTcVJMUEw0UmpnRwW0eGhiOXl5
cExOZC8xQXJXRv1hWWZEdUc1S3FYV05MRG5YVzJoQkEzK0R5Wk82MFQKcTVPd0R5
ZVFSVlNPVWNXVE9FOTJsSlZ4M014Q1V6d1hoL0ZOSTlPbGtXK0ZPNVZNNtZlTmZq
UEhkU1JVdjdZqZrM0NnWmFaSWFXNqp2RmJnTmJodFJV0hsSVhnYVNGWDgvcFdV
RXFIY0dLTUxnRU1nbHBnQ3RtOf1IcXVqb0tXUk0yUDNiK2h3ZTRsU0hSWVRjK0pB
eEluClU4RDC1WnliWThnSWFuZUprS2dwVTk2T0tJTGQ5L010UVhaeHZnPT08L2Rz
aWc6U2lnbmF0dXJlVmFsdWU+PGRzaWc6S2V5SW5mbz48ZHNPZzpYNTA5RGF0YT48
ZHNPZzpYNTA5Q2VydGlmaWNhdGU+TU1JRGlUQ0NBbkdnQXdJQkFnSUVmcXE2SFRB
TkJna3Foa2lHOXcwQkFRc0ZBREIXTVJBd0RnWURWUvFHRXdkVmJtdHVim2R1TVJB
dwpEZ11EVlFRSUV3ZFZibXR1YjNkdU1SQXdEZ11EVlFRSEV3ZFZibXR1YjNkdU1S
QXdEZ11EVlFRS0V3ZFZibXR1YjNkdU1SQXdEZ11EClZRuuXfd2RWYm10dWIzZHVN
Umt3RndZRFZRUURFeEiYwLhKcFptbGpZWFJwYjI1RGlyUmXNQjRYRFRFMU1EWXhO
VEl4TURBeU1sb1gKRFRNMU1EWXhNREl4TURBeU1sb3dkVEVRTUE0R0ExVUVCaE1I
Vlc1cmJtOTNiakVRTUE0R0ExVUVDQk1IVlc1cmJtOTNiakVRTUE0RwpBMVVFQnhN
SFZXNXJibTkzYmpFUU1BNEdBmVVFQ2hNSFZXNXJibTkzYmpFUU1BNEdBmVVFQ3hN
SFZXNXJibTkzYmpFWk1CY0dBmVVFckF4TVFkbVZ5YVdacFkyRjBhVz11UTI5a1pU
Q0NBu013RFFZSktvWklodmNOQVFFQkJRQURnZ0VQQURDQ0FRb0NnZ0VCQUpjY2pY
cmsKUWFJL2lHUEZ3WmVITjFnRFVhcTltVnJmQis2eWR5Qmdoc2FHVFZoaERIOFNO
TmtPamxIMkxQCQ3J3TjhjVjhQZ1BPOXRwbG9rR2F5UwpxNktFaHZtTk03b1dsZk5L
SkdSdGNidGMzTnJuYzhiUUJacU1xcFo0U1NRTmH5QWh6Ri85UmErd3Rfc0JWeGF3
VDc1L2J0SDZ1YytmClJ0de5FcmhJdVlJUmn0WTZIRmRaR3B1S3cxYn1YK0RsNkJP
L3ZLdnQ4ND11Y1R3aEzIcDUwWgh2NFVTL0Z5aWVLaGs3dDdHRnJGRlQKL2NCTGsy
WmxFallLcF1EU2dlc2lseFg2QkptZVdCbXZLQz1TL2pBZDhNwmRHVUg2aHNHRXB1
U1BmZkZQV3FWcXl6V0p5bG91OXF4ZQpnUTZjOFo2SVpXZkUzakxSOUVySDhzOTFD
MmlpTFZrQ0F3RUFBYU1oTUI4d0hRWURWUjBPQkJZRUZlY0JLdk03dmk3dUZNTUx5
ZE43CmVGXF2YzVVTUEwR0NTcUdTSWlZrFFFQkN3VUFBNELCQVFBVjB2cm1rSWRB
d2l4THZ0NUx5eXpTNFdtU1d0dVlWl2JQMVg3NzVMRmYKSWH3a2xOMENIdk5rYXlK
Tms2Tnp0eD1Sc1AwNWZndkxrZER1N0V5cnRzY3I1ZVdETG1WmGtKMWE1N1Z4bnJh
aEdLTm2Wit1Ui9pSApMatJXb3liWEpFT2N0NwtJSjFzL05CeUURdkdGdjFoTmJz
dVVVUEVCYwVtaWpYUFROOWxxZE9uM1FIbktobXhsalczYS9KbmhtT20vCkrWYTE0
NDJXTVVUS1UyVf1WVldtdUs2NFkwQXFrn2F1dzkvVzIzZEcrT2xhOW9VYnBrSXJr
dDRDN3hRa0d5SXN2eUo3bi91OFhBRDIKbno1T1cvek5GWnlrZDAzT2N3M240NkZx
c1IwVD1BbFBewHqXUjlmMjZMd11xdjk3dWtVNEcrMVRJNHOrV0F2TctVRk9FVnNu
PC9kc2lnOlglMD1DZXJ0aWZpY2F0ZT48L2RzaWc6WDUwOURhdGE+PC9kc2lnOkt1
eUluZm8+PC9kc2lnOlNpZ25hdHVyZT48L3Zlcm1maWNhdGlvbknVZGU6c2lnbmVk
Q29kZT4=

```

```

</verificationCode:code>
</verificationCode:encodedSignedCode>
</extension>
<c1TRID>ABC-12345</c1TRID>
</command>
</epp>

```

### 2.2. Verification Profile

A Verification Profile defines the set of verification code types, the commands that the verification code types are required, supported, or not supported, and the grace period by which the

verification code types MUST be set. It is up to server policy what action to take if the verification code type is not set by the grace period. A server MAY support many verification profiles, each with a unique name and a unique verification policy that is implemented by the server. Each client MAY have zero or more server assigned verification profiles that will enforce the required verification policies. Most likely a client will be assigned zero or one server assigned verification profile, but overlapping profiles is possible. Overlapping verification profiles MUST be treated as a logical "and" of the policies by the server. If no verification profile is assigned to the client, no additional verification is required by the client.

### 3. EPP Command Mapping

A detailed description of the EPP syntax and semantics can be found in the EPP core protocol specification [RFC5730].

#### 3.1. EPP Query Commands

EPP provides three commands to retrieve object information: <check> to determine if an object is known to the server, <info> to retrieve detailed information associated with an object, and <transfer> to retrieve object transfer status information.

##### 3.1.1. EPP <check> Command

This extension does not add any elements to the EPP <check> command or <check> response described in the [RFC5730].

##### 3.1.2. EPP <info> Command

This extension defines additional elements to extend the EPP <info> command of an object mapping like the EPP domain name mapping [RFC5731], EPP host mapping [RFC5732], and EPP contact mapping [RFC5733].

The EPP <info> command is used to retrieve the verification information. The verification information is based on the verification profile, as defined in Section 2.2, set in the server for the client. The <verificationCode:info> element is an empty element that indicates that the client requests the verification information. The OPTIONAL "profile" attribute can be used by the client to explicitly specify a verification profile, as defined in Section 2.2, to base the verification information on. It is up to server policy on the set of verification profiles that the client is allowed to explicitly specify, and if the client is not allowed, the server MUST return the 2201 error response.

Example <info> domain command with the <verificationCode:info> extension to retrieve the verification information for the domain "domain.example", using the profiles associated with the client:

```
C:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
C:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
C: <command>
C: <info>
C: <domain:info
C: xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
C: <domain:name>domain.example</domain:name>
C: </domain:info>
C: </info>
C: <extension>
C: <verificationCode:info
C: xmlns:verificationCode=
C: "urn:ietf:params:xml:ns:verificationCode-1.0"/>
C: </extension>
C: <clTRID>ABC-12345</clTRID>
C: </command>
C:</epp>
```

Example <info> domain command with the <verificationCode:info> extension to retrieve the verification information for the domain "domain.example", using the profiles associated with the client and with the authorization information to retrieve the verification codes from the non-sponsoring client:

```
C:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
C:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
C: <command>
C: <info>
C: <domain:info
C: xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
C: <domain:name>domain.example</domain:name>
C: <domain:authInfo>
C: <domain:pw>2fooBAR</domain:pw>
C: </domain:authInfo>
C: </domain:info>
C: </info>
C: <extension>
C: <verificationCode:info
C: xmlns:verificationCode=
C: "urn:ietf:params:xml:ns:verificationCode-1.0"/>
C: </extension>
C: <clTRID>ABC-12345</clTRID>
C: </command>
C:</epp>
```



Example `<info>` domain command with the `<verificationCode:info>` extension to retrieve the verification information for the domain "domain.example", using the the "sample" profile:

```
C:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
C:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
C: <command>
C: <info>
C: <domain:info
C: xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
C: <domain:name>domain.example</domain:name>
C: </domain:info>
C: </info>
C: <extension>
C: <verificationCode:info
C: xmlns:verificationCode=
C: "urn:ietf:params:xml:ns:verificationCode-1.0"
C: profile="sample"/>
C: </extension>
C: <clTRID>ABC-12345</clTRID>
C: </command>
C:</epp>
```

If the query was successful, the server replies with a `<verificationCode:infData>` element along with the regular EPP `<resData>`. The `<verificationCode:infData>` element contains the following child elements:

`<verificationCode:status>` The status of the verification for the object, using all of the verification profiles assigned to the client. There are four possible values for the status:

- `notApplicable` The status is not applicable to the client since there is no assigned verification profile.
- `nonCompliant` The object is non-compliant according to the verification profiles. If at least one of the profiles is "nonCompliant", the object is "nonCompliant".
- `pendingCompliance` The object is not in compliance with the verification profiles, but has a grace period to set the required set of verification codes, as reflected by the due date of the verification code type. If at least one of the profiles is "pendingCompliance" and none of the profiles is "nonCompliant", the object is "pendingCompliance".
- `compliant` The object is compliant with the verification profiles. If All of the profiles for the object are "compliant" or if the object has no assigned profiles, the object is "compliant".

`<verificationCode:profile>` Zero or more OPTIONAL `<verificationCode:profile>` elements that defines the verification status of the object based on the profile. The required "name" attribute defines the name of the profile. The `<verificationCode:profile>` element contains the following child elements:

`<verificationCode:status>` The status of the verification for the object and the profile. There are four possible values for the status:

`notApplicable` The profile status is not applicable to the client based on the assigned verification profiles or the profile specified.

`nonCompliant` The object is non-compliant according to the verification profile.

`pendingCompliance` The object is not in compliance with the verification profile, but has a grace period to set the required set of verification codes, as reflected by the due date of the verification code type.

`compliant` The object is compliant with the verification profile.

`<verificationCode:missing>` OPTIONAL list of missing verification code types. The `<verificationCode:missing>` element is returned only if there is at least one missing verification code type and based on server policy. The `<verificationCode:missing>` element contains the following child elements:

`<verificationCode:code>` One or more `<verificationCode:code>` elements that is empty with the REQUIRED "type" attribute that indicates the verification code type and the REQUIRED "due" attribute that indicates when the verification code type was or is due. Past due verification code types will result in the `<verificationCode:status>` element being set to "nonCompliant".

`<verificationCode:set>` OPTIONAL list of set verification codes. The `<verificationCode:set>` element is returned only if there is at least one set verification code. The `<verificationCode:set>` element contains the following child elements:

<verificationCode:code> One or more <verificationCode:code> elements containing the verification code with a REQUIRED "type" attribute that indicates the code type and a REQUIRED "date" attribute that indicates when the verification code was set. The inclusion of the code value is up server policy, so if the server determines that the code value cannot be exposed to a non-sponsoring client, the <verificationCode:code> element MUST be empty.

Example <info> domain response using the <verificationCode:infData> extension for a compliant domain using the "sample" profile, and with the two verification codes, from the sponsoring or authorized client:

```
S:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
S:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
S: <response>
S: <result code="1000">
S: <msg>Command completed successfully</msg>
S: </result>
S: <resData>
S: <domain:infData
S: xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
S: <domain:name>domain.example</domain:name>
S: <domain:roid>DOMAIN-REP</domain:roid>
S: <domain:status s="ok"/>
S: <domain:clID>ClientX</domain:clID>
S: <domain:crID>ClientY</domain:crID>
S: <domain:crDate>2010-04-03T22:00:00.0Z
S: </domain:crDate>
S: <domain:exDate>2015-04-03T22:00:00.0Z
S: </domain:exDate>
S: <domain:authInfo>
S: <domain:pw>2fooBAR</domain:pw>
S: </domain:authInfo>
S: </domain:infData>
S: </resData>
S: <extension>
S: <verificationCode:infData
S: xmlns:verificationCode=
S: "urn:ietf:params:xml:ns:verificationCode-1.0">
S: <verificationCode:status>compliant
S: </verificationCode:status>
S: <verificationCode:profile name="sample">
S: <verificationCode:status>compliant
S: </verificationCode:status>
S: <verificationCode:set>
S: <verificationCode:code type="domain"
```

```

S: date="2010-04-03T22:00:00.0Z">1-abc333
S: </verificationCode:code>
S: <verificationCode:code type="registrant"
S: date="2010-04-03T22:00:00.0Z">1-abc444
S: </verificationCode:code>
S: </verificationCode:set>
S: </verificationCode:profile>
S: </verificationCode:infData>
S: </extension>
S: <trID>
S: <clTRID>ABC-12345</clTRID>
S: <svTRID>54322-XYZ</svTRID>
S: </trID>
S: </response>
S:</epp>

```

Example <info> domain response using the <verificationCode:infData> extension for a compliant domain using the "sample" profile, and with the two verification codes, from the sponsoring or authorized client that also includes codes set for the "sample2" profile:

```

S:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
S:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
S: <response>
S: <result code="1000">
S: <msg>Command completed successfully</msg>
S: </result>
S: <resData>
S: <domain:infData
S: xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
S: <domain:name>domain.example</domain:name>
S: <domain:roid>DOMAIN-REP</domain:roid>
S: <domain:status s="ok"/>
S: <domain:clID>ClientX</domain:clID>
S: <domain:crID>ClientY</domain:crID>
S: <domain:crDate>2010-04-03T22:00:00.0Z
S: </domain:crDate>
S: <domain:exDate>2015-04-03T22:00:00.0Z
S: </domain:exDate>
S: <domain:authInfo>
S: <domain:pw>2fooBAR</domain:pw>
S: </domain:authInfo>
S: </domain:infData>
S: </resData>
S: <extension>
S: <verificationCode:infData
S: xmlns:verificationCode=
S: "urn:ietf:params:xml:ns:verificationCode-1.0">

```

```
S: <verificationCode:status>compliant
S: </verificationCode:status>
S: <verificationCode:profile name="sample">
S: <verificationCode:status>compliant
S: </verificationCode:status>
S: <verificationCode:set>
S: <verificationCode:code type="domain"
S: date="2010-04-03T22:00:00.0Z">1-abc333
S: </verificationCode:code>
S: <verificationCode:code type="registrant"
S: date="2010-04-03T22:00:00.0Z">1-abc444
S: </verificationCode:code>
S: </verificationCode:set>
S: </verificationCode:profile>
S: <verificationCode:profile name="sample2">
S: <verificationCode:status>notApplicable
S: </verificationCode:status>
S: <verificationCode:set>
S: <verificationCode:code type="domain"
S: date="2010-04-03T22:00:00.0Z">2-abc555
S: </verificationCode:code>
S: </verificationCode:set>
S: </verificationCode:profile>
S: </verificationCode:infData>
S: </extension>
S: <trID>
S: <clTRID>ABC-12345</clTRID>
S: <svTRID>54322-XYZ</svTRID>
S: </trID>
S: </response>
S: </epp>
```

Example <info> domain response using the <verificationCode:infData> extension for a compliant domain using the "sample" profile, and with the two verification code types, from the non-sponsoring client:

```
S:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
S:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
S: <response>
S: <result code="1000">
S: <msg>Command completed successfully</msg>
S: </result>
S: <resData>
S: <domain:infData
S: xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
S: <domain:name>domain.example</domain:name>
S: <domain:roid>DOMAIN-REP</domain:roid>
S: <domain:status s="ok"/>
S: <domain:clID>ClientX</domain:clID>
S: <domain:crID>ClientY</domain:crID>
S: <domain:crDate>2010-04-03T22:00:00.0Z
S: </domain:crDate>
S: <domain:exDate>2015-04-03T22:00:00.0Z
S: </domain:exDate>
S: </domain:infData>
S: </resData>
S: <extension>
S: <verificationCode:infData
S: xmlns:verificationCode=
S: "urn:ietf:params:xml:ns:verificationCode-1.0">
S: <verificationCode:status>compliant
S: </verificationCode:status>
S: <verificationCode:profile name="sample">
S: <verificationCode:status>compliant
S: </verificationCode:status>
S: <verificationCode:set>
S: <verificationCode:code type="domain"
S: date="2010-04-03T22:00:00.0Z"/>
S: <verificationCode:code type="registrant"
S: date="2010-04-03T22:00:00.0Z"/>
S: </verificationCode:set>
S: </verificationCode:profile>
S: </verificationCode:infData>
S: </extension>
S: <trID>
S: <clTRID>ABC-12345</clTRID>
S: <svTRID>54322-XYZ</svTRID>
S: </trID>
S: </response>
S:</epp>
```

Example <info> domain response using the <verificationCode:infData> extension for a non-compliant domain using the "sample" profile, and with the verification code types missing along with their due dates:

```

S:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
S:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
S: <response>
S: <result code="1000">
S: <msg>Command completed successfully</msg>
S: </result>
S: <resData>
S: <domain:infData
S: xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
S: <domain:name>domain.example</domain:name>
S: <domain:roid>DOMAIN-REP</domain:roid>
S: <domain:status s="serverHold"/>
S: <domain:clID>ClientX</domain:clID>
S: <domain:crID>ClientY</domain:crID>
S: <domain:crDate>2010-04-03T22:00:00.0Z
S: </domain:crDate>
S: <domain:exDate>2015-04-03T22:00:00.0Z
S: </domain:exDate>
S: </domain:infData>
S: </resData>
S: <extension>
S: <verificationCode:infData
S: xmlns:verificationCode=
S: "urn:ietf:params:xml:ns:verificationCode-1.0">
S: <verificationCode:status>nonCompliant
S: </verificationCode:status>
S: <verificationCode:profile name="sample">
S: <verificationCode:status>nonCompliant
S: </verificationCode:status>
S: <verificationCode:missing>
S: <verificationCode:code
S: type="domain"
S: due="2010-04-03T22:00:00.0Z"/>
S: <verificationCode:code
S: type="registrant"
S: due="2010-04-08T22:00:00.0Z"/>
S: </verificationCode:missing>
S: </verificationCode:profile>
S: </verificationCode:infData>
S: </extension>
S: <trID>
S: <clTRID>ABC-12345</clTRID>
S: <svTRID>54322-XYZ</svTRID>
S: </trID>
S: </response>
S:</epp>

```

Example <info> domain response using the <verificationCode:infData>



extension for a pending compliance domain using the "sample" profile, with the verification code type missing along with the due date, and with set verification code:

```
S:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
S:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
S: <response>
S: <result code="1000">
S: <msg>Command completed successfully</msg>
S: </result>
S: <resData>
S: <domain:infData
S: xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
S: <domain:name>domain.example</domain:name>
S: <domain:roid>DOMAIN-REP</domain:roid>
S: <domain:status s="ok"/>
S: <domain:clID>ClientX</domain:clID>
S: <domain:crID>ClientY</domain:crID>
S: <domain:crDate>2010-04-03T22:00:00.0Z
S: </domain:crDate>
S: <domain:exDate>2015-04-03T22:00:00.0Z
S: </domain:exDate>
S: </domain:infData>
S: </resData>
S: <extension>
S: <verificationCode:infData
S: xmlns:verificationCode=
S: "urn:ietf:params:xml:ns:verificationCode-1.0">
S: <verificationCode:status>pendingCompliance
S: </verificationCode:status>
S: <verificationCode:profile name="sample">
S: <verificationCode:status>pendingCompliance
S: </verificationCode:status>
S: <verificationCode:missing>
S: <verificationCode:code
S: type="registrant"
S: due="2010-04-08T22:00:00.0Z"/>
S: </verificationCode:missing>
S: <verificationCode:set>
S: <verificationCode:code type="domain"
S: date="2010-04-03T22:00:00.0Z">1-abc333
S: </verificationCode:code>
S: </verificationCode:set>
S: </verificationCode:profile>
S: </verificationCode:infData>
S: </extension>
S: <trID>
S: <clTRID>ABC-12345</clTRID>
S: <svTRID>54322-XYZ</svTRID>
S: </trID>
S: </response>
S:</epp>
```

Example <info> domain response using the <verificationCode:infData> extension for a client that does not have a verification profile assigned:

```
S:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
S:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
S: <response>
S: <result code="1000">
S: <msg>Command completed successfully</msg>
S: </result>
S: <resData>
S: <domain:infData
S: xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
S: <domain:name>domain.example</domain:name>
S: <domain:roid>DOMAIN-REP</domain:roid>
S: <domain:status s="ok"/>
S: <domain:clID>ClientX</domain:clID>
S: <domain:crID>ClientY</domain:crID>
S: <domain:crDate>2010-04-03T22:00:00.0Z
S: </domain:crDate>
S: <domain:exDate>2015-04-03T22:00:00.0Z
S: </domain:exDate>
S: </domain:infData>
S: </resData>
S: <extension>
S: <verificationCode:infData
S: xmlns:verificationCode=
S: "urn:ietf:params:xml:ns:verificationCode-1.0">
S: <verificationCode:status>notApplicable
S: </verificationCode:status>
S: </verificationCode:infData>
S: </extension>
S: <trID>
S: <clTRID>ABC-12345</clTRID>
S: <svTRID>54322-XYZ</svTRID>
S: </trID>
S: </response>
S:</epp>
```

### 3.1.3. EPP <transfer> Command

This extension does not add any elements to the EPP <transfer> query command or <transfer> response described in the [RFC5730].

### 3.2. EPP Transform Commands

EPP provides five commands to transform objects: <create> to create an instance of an object, <delete> to delete an instance of an object, <renew> to extend the validity period of an object, <transfer> to manage object sponsorship changes, and <update> to change information associated with an object.

#### 3.2.1. EPP <create> Command

This extension defines additional elements to extend the EPP <create> command of an object mapping like the EPP domain name mapping [RFC5731], EPP host mapping [RFC5732], and EPP contact mapping [RFC5733].

The EPP <create> command provides a transform operation that allows a client to create an object. In addition to the EPP command elements described in an object mapping like [RFC5731], the command MAY contain a child <verificationCode:encodedSignedCode> element, as defined in Section 2.1.2, that identifies the extension namespace for the client to provide proof of verification by a Verification Service Provider (VSP). The server MAY support multiple policies for the passing of the <verificationCode:encodedSignedCode> element based on the client profile, which include:

- required The client MUST pass a valid <verificationCode:encodedSignedCode> element containing the required set of verification codes. If a <verificationCode:encodedSignedCode> element is not passed or the required set of verification codes is not included, the server MUST return an EPP error result code of 2306. If an invalid <verificationCode:encodedSignedCode> element is passed, the server MUST return an EPP error result code of 2005.
- optional The client MAY pass a valid <verificationCode:encodedSignedCode> element. If an invalid <verificationCode:encodedSignedCode> element is passed, the server MUST return an EPP error result code of 2005.
- not supported The client MUST NOT pass a <verificationCode:encodedSignedCode> element. If a <verificationCode:encodedSignedCode> element is passed, the server MUST return an EPP error result code of 2102.

Example <create> command to create a domain object with a verification code:

```
C:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
C:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0">
C: <command>
```

```

C: <create>
C: <domain:create
C: xmlns:domain="urn:ietf:params:xml:ns:domain-1.0">
C: <domain:name>domain.example</domain:name>
C: <domain:registrant>jd1234</domain:registrant>
C: <domain:contact type="admin">sh8013</domain:contact>
C: <domain:contact type="tech">sh8013</domain:contact>
C: <domain:authInfo>
C: <domain:pw>2fooBAR</domain:pw>
C: </domain:authInfo>
C: </domain:create>
C: </create>
C: <extension>
C: <verificationCode:encodedSignedCode
C: xmlns:verificationCode=
C: "urn:ietf:params:xml:ns:verificationCode-1.0">
C: <verificationCode:code>
C: ICAGICAGPHZlcmLmaWNhdGlvbkNvZGU6c2lnbmVkJQ29kZQogICAgICAgIHhtbG5z
C: OnZlcmLmaWNhdGlvbkNvZGU9CiAgICAgICAgICAidXJuOmlldGY6cGFyYW1zOnht
C: bDpuczp2ZXJpZmljYXRpb25Db2RlLlEuMCIKICAgICAgICAgIGlkPSJzaWduZWRD
C: b2RlIj4KICAgCQk8dmVyaWZpY2F0aW9uQ29kZTpjb2RlPjEtYWJjMTIzPC92ZXJp
C: ZmljYXRpb25Db2RlOmNvZGU+CiAgPFNpZ25hdHVyZSB4bWxucz0iaHR0cDovL3d3
C: dy53My5vcmcvMjAwMC8wOS94bWxkc2lnIyI+CiAgIDxTaWduZWRJbmZvPgogICAg
C: PENhbm9uaWNhbGl6YXRpb25NZXRob2QKIEFsZ29yaXRobT0iaHR0cDovL3d3dy53
C: My5vcmcvMjAwMS8xMC94bWwtZXhjlWmXNG4jIi8+CiAgICAgICAgICAgICAgICAg
C: aG9kCiBBbGdvcml0aG09Imh0dHA6Ly93d3cudzMub3JnLzIwMDEvMDQveG1sZHNp
C: Zy1tb3JlI3JzYS1zaGEyNTYiLz4KICAgIDxSZWZlcmVuY2UgVVJJPjEjZ2lnbmVkJ
C: Q29kZSI+CiAgICAgPFYyZW5zZm9ybXh0dHA6Ly93d3cudzMub3JnLzIwMDEvMDQ
C: vZm9ybXh0dHA6Ly93d3cudzMub3JnLzIwMDEvMDQveG1sZHNpZm9ybXh0dHA6Ly
C: ZC1zaWduYXRlcmUiLz4KICAgICAgICAgICAgICAgICAgICAgICAgICAgICAgICAg
C: dGhVZAOgQWxnb3JpdGhtPSJodHRwOi8vd3d3LnczLm9yZy8yMDAxLzA0L3htbGVu
C: YyNzaGEyNTYiLz4KIDxEaWdlc3RwYXN0Zm9udmVsb3B1Zm9ybXh0dHA6Ly93d3
C: UW5iZHRVnkfYTTdTaHJBZkhnREZnPTwvRglNzXN0VmFsdWU+CiAgICAgICAgICAg
C: ZW5jZT4KICAgPC9TaWduZWRJbmZvPgogICAgICAgICAgICAgICAgICAgICAgICAg
C: UGZ5UUpdSkJGMEdXU0VQRkNKam15d0NFcVIyaDRMRCTnZTZyUStKbmlLRkZDdUNa
C: Uy8zU0xLQXgwTDF3CiBRREZPMmUwWTY5azJHNy9MR0UzN1gzdk9mbG9iRk0xb0d3
C: amE4K0dNVnJhb3RvNXhBZDQvQUY3ZUh1a2dBeW1ECiBvOXRveG9hMmgweVY0QTRQ
C: bVh6c1U2Uzg2WHRDY1VFK1MvV003Mm55bjQ3em9VQ3p6UEtIWkJSWVXZWhWRLER
C: CiBqWVJNSUFNek01N0hIUUErNmVhWGVmUnZ0UEVUZ1VPNGFWSVZTdWdjNE9VQVpa
C: d2JZY1pyQzZ3T2FRcXFxQVppCiAzMGFQT0JZYkF2SE1TbVdTUytorRmtic2hvbUpm
C: SHhiOTdURDJncmxZTnJRSXpxWGs3V2JIV3kyU1lkQStzSS9aCiBpcEpzWE5hNm9z
C: VFV3MUN6QTdqZndBPT0KICAgPC9TaWduYXRlcmVWYXN0Zm9udmVsb3B1Zm9ybXh0
C: CiAgICAgICAgICAgICAgICAgICAgICAgICAgICAgICAgICAgICAgICAgICAgICAg
C: ekdnQXdlQkFnSUJBakFOQmdrcWhraUc5dzBCQVZfZkFEQmlNUNXN3Q1FZRFZRUUdF
C: d0pWVXpFTaogTUFrr0ExVUVDQk1DUTBFeEZEQVNCZ05WQkFjVEMweHZjeUJCYm1k
C: bGJHVnpNUk13RVFZRFZRUUtFd3BKUTBGTWogVG1CVVRVTklNUN3R1FZRFZRUURF
C: eEpKUTBGT1RpQ1VUVU5JSUZSRlUxUWdrRMEV3SGhjTk1UTXdNake0TURBdwogTURB
C: dldoY05NVGd3TWpBM01qTTFPVFU1V2pCc01Rc3dDUV1EV1FRR0V3S1ZVekVMTUFr

```

```

C:R0ExVUVDQk1DUTBFeAogRkRBU0JnTlZCQWNUQzB4dmN5QkJibWRsYkdWek1SY3dG
C:UV1EVlFRS0V3NVdZV3hwWkdGMGIzSWdWRTFEU0RfaAogTUI4R0ExVUVBeE1ZVm1G
C:c2FXUmhkRz15SUZST1EwZ2dWRVZUVkNCRFJWS1VNSU1CSWpBTkJna3Foa2lHOXcw
C:QgogQVFFRkFBT0NBUThtBTU1JQkNnS0NBuUVBby9jd3ZYaGJWWwwUkRXV3ZveWVa
C:cEVUVlpWVmNNQ292VVZOZy9zdWogV2ludU1nRVdnVlFGcnoweEEwNHBFaFhDR1Z2
C:NGV2Y1VwZwtKNWJ1cVUxZ21ReU9zQ0tRbGhPSFRkUGp2a0M1dQogcERxYTUxRmxr
C:MFRNYU1rSVFqcZdhVUtDbUE0Ukc0dFRUR0svRWpSMW14OC9EMGdIWVZSbGR5MVlQ
C:ck1QK291NwogNWJpVm5Jb3MrSGlmcKf0ck12NHFFcXdmTDRGVFPBVXBhQ2EyQm1n
C:WGZ5MkNTU1FieEQ1T3IxZ2NTYTN2dXJoNqogc1BNQ054cWFYbU1YbVFPcFMRHVF
C:QnFNTTh0bGRhTjdSWW9qVUVLckdWc05rNwk5eTivN3NqbJf6eX1VUGY3dgogTDRH
C:Z0RzcWhKWvdWnjFEblhneC9KZDZDV3h2c25ERjZzY3NjUXpVVEVsK2h5d01EQVFB
C:Qm80SC9NSUg4TUF3RwogQTFVZEV3RUIvd1FDTUFBd0hRWURWUjBPQkJZRUZQWkvj
C:SVFjRC9CaJJRnovTEVSdW8yQURKdmlNSUdNQmdOVgogSFNNRwdZUXdnWUdBrk8w
C:LzdrRWgzRnVFS1MrUs9rWUhhRC9XNndpaG9XYWtaREJpTVFzd0NRWURWUvFHRXdk
C:VgogVXpFTE1Ba0dBMVVFQ0JNQ1EwRKhGREFTQmdOVkJBY1RDMHh2Y3lCQmJtZGxi
C:R1Z6TVJnd0VRWURWUvFLRXdwSgogUTBGT1RpQ1VUVU5JTVJzd0dRWURWUvFERXhK
C:SlEwRk9UaUJVVfVOSU1GUkZVMVFNUTBHQ0FRRXdEZ11EV1IwUAogQVFILOJBUURB
C:Z2VBTUM0R0ExVWRId1FuTUNvd0k2QWhvQitHSFdoMGRIQTZMeTlqY213dWFXtmhi
C:bTR1YjNkBgogTDNSdFkyZ3VZM0pzTUEwR0NTcUdTSWIZRFFFQkN3VUFBNELCQVFC
C:MnFTeTdlasS0M2N1YktVS3dXUHJ6ejl5LwogSWtyTWVKR0tqbzQwbis5dWVrYXcz
C:REo1RXFPt2YvcVo0cGpCRCsrblI2QkpdYjZOUXVRS3dub0F6NWxFNfNzdQogeTUR
C:aTkzblQzSGZ5VmM0Z05NSW9IbTFQUzE5bDdEQktyYndiekFLYS8waktXVnpydm1W
C:N1RCZmp4RDNBUW8xUgogY1U1ZEJyNklqYmRMRmxuTzV4MEcwbXJHN3g1T1VQdXVy
C:aWh5aVVSceZEchdIOEtBSDF3TWNDcFhHWEZSdEdLawogd31kZ31WWUF0eTdvdGts
C:L3ozY1prQ1ZUMzRnUHZNzBzUjYrUXhVeTh1MEX6RjVBL2J1WWFACHhTWUczMWFt
C:TAogQWRYaXRUV0ZpcGFJR2VhOWxFR0ZNMew5K0JnN1h6Tm40blZMWG9reUVCM2Jn
C:UzRzY0c2UXpuWDIzRkdrCiAgIDwvWUwOUNlcnRpZmljYXRlPgogICA8L1g1MD1E
C:YXRhPgogICA8L0tleUluZm8+CiaGPC9TaWduYXR1cmU+CgkJPc92ZXJpZmljYXRp
C:b25Db2RlOnNpZ251ZENvZGU+Cg==
C: </verificationCode:code>
C: </verificationCode:encodedSignedCode>
C: </extension>
C: <clTRID>ABC-12345</clTRID>
C: </command>
C:</epp>

```

This extension does not add any elements to the EPP <create> response described in the [RFC5730].

### 3.2.2. EPP <delete> Command

This extension defines additional elements to extend the EPP <delete> command and response in the same fashion as defined for the EPP <create> Command (Section 3.2.1).

### 3.2.3. EPP <renew> Command

This extension defines additional elements to extend the EPP <renew> command and response in the same fashion as defined for the EPP <create> Command (Section 3.2.1).

### 3.2.4. EPP <transfer> Command

This extension defines additional elements to extend the EPP <transfer> command and response in the same fashion as defined for the EPP <create> Command (Section 3.2.1).

### 3.2.5. EPP <update> Command

This extension defines additional elements to extend the EPP <update> command and response in the same fashion as defined for the EPP <create> Command (Section 3.2.1).

## 4. Formal Syntax

One schema is presented here that is the EPP Verification Code Extension schema.

The formal syntax presented here is a complete schema representation of the object mapping suitable for automated validation of EPP XML instances. The BEGIN and END tags are not part of the schema; they are used to note the beginning and ending of the schema for URI registration purposes.

### 4.1. Verification Code Extension Schema

```
BEGIN
<?xml version="1.0" encoding="UTF-8"?>
<schema
 targetNamespace=
 "urn:ietf:params:xml:ns:verificationCode-1.0"
 xmlns:verificationCode=
 "urn:ietf:params:xml:ns:verificationCode-1.0"
 xmlns:dsig="http://www.w3.org/2000/09/xmldsig#"
 xmlns="http://www.w3.org/2001/XMLSchema"
 elementFormDefault="qualified">

 <annotation>
 <documentation>
 Extensible Provisioning Protocol v1.0
 Verification Code Extension.
 </documentation>
 </annotation>
```

```
<import namespace="http://www.w3.org/2000/09/xmldsig#"
 schemaLocation="xmldsig-core-schema.xsd"/>

<!-- Abstract signed code for substitution -->
<element name="abstractSignedCode"
 type="verificationCode:abstractSignedCodeType"
 abstract="true"/>

<!-- Empty type for use in extending for a signed code -->
<complexType name="abstractSignedCodeType"/>

<!-- Definition of concrete signed code -->
<element name="signedCode"
 type="verificationCode:signedCodeType"
 substitutionGroup="verificationCode:abstractSignedCode"/>

<complexType name="signedCodeType">
 <complexContent>
 <extension base="verificationCode:abstractSignedCodeType">
 <sequence>
 <element name="code"
 type="verificationCode:verificationCodeType"/>
 <element ref="dsig:Signature"/>
 </sequence>
 <attribute name="id" type="ID" use="required"/>
 </extension>
 </complexContent>
</complexType>

<simpleType name="verificationCodeValueType">
 <restriction base="token">
 <pattern value="\d+-[A-Za-z0-9]+"/>
 </restriction>
</simpleType>

<complexType name="verificationCodeType">
 <simpleContent>
 <extension base=
 "verificationCode:verificationCodeValueType">
 <attribute name="type" type="token"
 use="required"/>
 </extension>
 </simpleContent>
</complexType>

<!-- Definition of an encoded signed code -->
<element name="encodedSignedCode"
 type="verificationCode:encodedSignedCodeListType"/>
```



```
<complexType name="encodedSignedCodeListType">
 <sequence>
 <element name="code"
 type="verificationCode:encodedSignedCodeType"
 minOccurs="1" maxOccurs="unbounded"/>
 </sequence>
</complexType>

<complexType name="encodedSignedCodeType">
 <simpleContent>
 <extension base="token">
 <attribute name="encoding"
 type="token" default="base64"/>
 </extension>
 </simpleContent>
</complexType>

<!-- info command extension elements -->
<element name="info" type="verificationCode:infoType"/>

<complexType name="infoType">
 <simpleContent>
 <extension base="token">
 <attribute name="profile" type="token"/>
 </extension>
 </simpleContent>
</complexType>

<!-- info response extension elements -->
<element name="infData" type="verificationCode:infDataType"/>

<complexType name="infDataType">
 <sequence>
 <element name="status"
 type="verificationCode:statusEnum"/>
 <element name="profile"
 type="verificationCode:profileDataType"
 minOccurs="0" maxOccurs="unbounded"/>
 </sequence>
</complexType>

<complexType name="profileDataType">
 <sequence>
 <element name="status"
 type="verificationCode:statusEnum"/>
 <element name="missing"
 type="verificationCode:missingCodes"
```

```
 minOccurs="0"/>
 <element name="set"
 type="verificationCode:codesType"
 minOccurs="0"/>
 </sequence>
 <attribute name="name" type="token"/>
</complexType>

<simpleType name="statusEnum">
 <restriction base="token">
 <enumeration value="notApplicable"/>
 <enumeration value="nonCompliant"/>
 <enumeration value="pendingCompliance"/>
 <enumeration value="compliant"/>
 </restriction>
</simpleType>

<complexType name="missingVerificationCode">
 <simpleContent>
 <extension base="token">
 <attribute name="type" type="token"
 use="required"/>
 <attribute name="due" type="dateTime"
 use="required"/>
 </extension>
 </simpleContent>
</complexType>

<complexType name="missingCodes">
 <sequence>
 <element name="code"
 type="verificationCode:missingVerificationCode"
 minOccurs="1" maxOccurs="unbounded"/>
 </sequence>
</complexType>

<complexType name="infoVerificationCodeType">
 <simpleContent>
 <extension base="token">
 <attribute name="type" type="token"
 use="required"/>
 <attribute name="date" type="dateTime"
 use="required"/>
 </extension>
 </simpleContent>
</complexType>

<complexType name="codesType">
```

```
<sequence>
 <element name="code"
 type="verificationCode:infoVerificationCodeType"
 minOccurs="1" maxOccurs="unbounded"/>
</sequence>
</complexType>

</schema>
END
```

## 5. IANA Considerations

### 5.1. XML Namespace

This document uses URNs to describe XML namespaces and XML schemas conforming to a registry mechanism described in [RFC3688].

Registration request for the verificationCode namespace:

```
URI: ietf:params:xml:ns:verificationCode-1.0
Registrant Contact: IESG
XML: None. Namespace URIs do not represent an XML specification.
```

Registration request for the verificationCode XML schema:

```
URI: ietf:params:xml:ns:verificationCode-1.0
Registrant Contact: IESG
XML: See the "Formal Syntax" section of this document.
```

### 5.2. EPP Extension Registry

The EPP extension described in this document should be registered by the IANA in the EPP Extension Registry described in [RFC7451]. The details of the registration are as follows:

Name of Extension: "Verification Code Extension for the Extensible Provisioning Protocol (EPP)"

Document status: Standards Track

Reference: (insert reference to RFC version of this document)

Registrant Name and Email Address: IESG, <iesg@ietf.org>

TLDs: Any

IPR Disclosure: None

Status: Active

Notes: None

## 6. Implementation Status

Note to RFC Editor: Please remove this section and the reference to RFC 7942 [RFC7942] before publication.

This section records the status of known implementations of the protocol defined by this specification at the time of posting of this Internet-Draft, and is based on a proposal described in RFC 7942 [RFC7942]. The description of implementations in this section is intended to assist the IETF in its decision processes in progressing drafts to RFCs. Please note that the listing of any individual implementation here does not imply endorsement by the IETF. Furthermore, no effort has been spent to verify the information presented here that was supplied by IETF contributors. This is not intended as, and must not be construed to be, a catalog of available implementations or their features. Readers are advised to note that other implementations may exist.

According to RFC 7942 [RFC7942], "this will allow reviewers and working groups to assign due consideration to documents that have the benefit of running code, which may serve as evidence of valuable experimentation and feedback that have made the implemented protocols more mature. It is up to the individual working groups to use this information as they see fit".

### 6.1. Verisign EPP SDK

Organization: Verisign Inc.

Name: Verisign EPP SDK

Description: The Verisign EPP SDK includes both a full client implementation and a full server stub implementation of draft-ietf-regext-verificationcode.

Level of maturity: Production

Coverage: All aspects of the protocol are implemented.

Licensing: GNU Lesser General Public License

Contact: jgould@verisign.com

URL: [https://www.verisign.com/en\\_US/channel-resources/domain-registry-products/epp-sdks](https://www.verisign.com/en_US/channel-resources/domain-registry-products/epp-sdks)

## 6.2. Net::

Organization: Dot and Co

Name: Net::

Description: Net::

Level of maturity: Production

Coverage: All client-side aspects of the protocol are implemented.

Licensing: GNU Lesser General Public License

Contact: netdri@dotandco.com

## 7. Security Considerations

The mapping extension described in this document is based on the security services described by EPP [RFC5730] and protocol layers used by EPP. The security considerations described in these other specifications apply to this specification as well.

XML Signature [W3C.CR-xmlsig-core2-20120124] is used in this extension to verify that the Verification Code originated from a trusted Verification Service Provider (VSP) and that it wasn't tampered with in transit from the VSP to the client to the server. To support multiple VSP keys, the VSP certificate chain MUST be included in the <X509Certificate> elements of the Signed Code (Section 2.1.1) and MUST chain up and be verified by the server against a set of trusted certificates.

It is RECOMMENDED that signed codes do not include white-spaces between the XML elements in order to mitigate risks of invalidating the digital signature when transferring of signed codes between applications takes place.

Use of XML canonicalization SHOULD be used when generating the signed code. SHA256/RSA-SHA256 SHOULD be used for digesting and signing. The size of the RSA key SHOULD be at least 2048 bits.

## 8. References

### 8.1. Normative References

- [RFC2045] Freed, N. and N. Borenstein, "Multipurpose Internet Mail Extensions (MIME) Part One: Format of Internet Message Bodies", RFC 2045, DOI 10.17487/RFC2045, November 1996, <<https://www.rfc-editor.org/info/rfc2045>>.
- [RFC2119] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", BCP 14, RFC 2119, DOI 10.17487/RFC2119, March 1997, <<https://www.rfc-editor.org/info/rfc2119>>.
- [RFC3688] Mealling, M., "The IETF XML Registry", BCP 81, RFC 3688, DOI 10.17487/RFC3688, January 2004, <<https://www.rfc-editor.org/info/rfc3688>>.
- [RFC5234] Crocker, D., Ed. and P. Overell, "Augmented BNF for Syntax Specifications: ABNF", STD 68, RFC 5234, DOI 10.17487/RFC5234, January 2008, <<https://www.rfc-editor.org/info/rfc5234>>.
- [RFC5730] Hollenbeck, S., "Extensible Provisioning Protocol (EPP)", STD 69, RFC 5730, DOI 10.17487/RFC5730, August 2009, <<https://www.rfc-editor.org/info/rfc5730>>.
- [RFC5731] Hollenbeck, S., "Extensible Provisioning Protocol (EPP) Domain Name Mapping", STD 69, RFC 5731, DOI 10.17487/RFC5731, August 2009, <<https://www.rfc-editor.org/info/rfc5731>>.
- [RFC5732] Hollenbeck, S., "Extensible Provisioning Protocol (EPP) Host Mapping", STD 69, RFC 5732, DOI 10.17487/RFC5732, August 2009, <<https://www.rfc-editor.org/info/rfc5732>>.
- [RFC5733] Hollenbeck, S., "Extensible Provisioning Protocol (EPP) Contact Mapping", STD 69, RFC 5733, DOI 10.17487/RFC5733, August 2009, <<https://www.rfc-editor.org/info/rfc5733>>.
- [RFC7942] Sheffer, Y. and A. Farrel, "Improving Awareness of Running Code: The Implementation Status Section", BCP 205, RFC 7942, DOI 10.17487/RFC7942, July 2016, <<https://www.rfc-editor.org/info/rfc7942>>.

[W3C.CR-xmlsig-core2-20120124]

Cantor, S., Roessler, T., Eastlake, D., Yiu, K., Reagle, J., Solo, D., Datta, P., and F. Hirsch, "XML Signature Syntax and Processing Version 2.0", World Wide Web Consortium CR CR-xmlsig-core2-20120124, January 2012, <<http://www.w3.org/TR/2012/CR-xmlsig-core2-20120124>>.

## 8.2. Informative References

[RFC7451] Hollenbeck, S., "Extension Registry for the Extensible Provisioning Protocol", RFC 7451, DOI 10.17487/RFC7451, February 2015, <<https://www.rfc-editor.org/info/rfc7451>>.

[RFC8174] Leiba, B., "Ambiguity of Uppercase vs Lowercase in RFC 2119 Key Words", BCP 14, RFC 8174, DOI 10.17487/RFC8174, May 2017, <<https://www.rfc-editor.org/info/rfc8174>>.

## Appendix A. Acknowledgements

The authors wish to thank the following persons for their feedback and suggestions:

- o Gurshabad Grover
- o Rick Wilhelm
- o John Levine

## Appendix B. Change History

### B.1. Change from 00 to 01

1. Fixed pendingCompliance and complaint to pendingCompliance and compliant in text.
2. Fixed verificaton to verification.

### B.2. Change from 01 to 02

1. Added support for the notApplicable status value.

### B.3. Change from 02 to 03

1. Added regular expression pattern for the format of the verification code token value in the XML schema.

### B.4. Change from 03 to 04

1. Ping update.

- B.5. Change from 04 to REGEXT 00
1. Changed to regext working group draft by changing draft-gould-eppext-verificationcode to draft-ietf-regext-verificationcode.
- B.6. Change from REGEXT 00 to REGEXT 01
1. Ping update.
- B.7. Change from REGEXT 01 to REGEXT 02
1. Ping update.
- B.8. Change from REGEXT 02 to REGEXT 03
1. Moved RFC 7451 to an informational reference based on a check done by the Idnits Tool.
  2. Replaced the IANA Registrant Contact to be "IESG".
- B.9. Change from REGEXT 03 to REGEXT 04
1. Added the Implementation Status section.
  2. Revised the sentence "The data verified by the VSP MUST be stored by the VSP along with the generated verification code to address any compliance issues." to "The VSP MUST store the proof of verification and the generated verification code; and MAY store the verified data.", and added text to the Security Considerations section associated with storing the verification data, based on feedback from Gurshabad Grover.
- B.10. Change from REGEXT 04 to REGEXT 05
1. Removed the "The Verification Service Provider (VSP) MUST store the verification data in compliance with the applicable privacy laws and regulations." sentence from the Security Considerations, based on feedback from Rick Wilhelm and agreement from Gurshabad Grover.
  2. Added the sentence "It is up to server policy what action to take if the verification code type is not set by the grace period." to section 2.2 "Verification Profile", to clarify what happens when the verification code grace period expires. This is based on an issue raised by Gurshabad Grover at the IETF-103 REGEXT meeting.
- B.11. Change from REGEXT 05 to REGEXT 06
1. Removed the "The VSP MUST store the proof of verification and the generated verification code; and MAY store the verified data."



sentence from the Introduction, based on feedback from John Levine.

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