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Transmission of IPv6 Packets over IEEE 802.11 Networks operating in mode  
Outside the Context of a Basic Service Set (IPv6-over-80211-OCB)  
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## Abstract

In order to transmit IPv6 packets on IEEE 802.11 networks running outside the context of a basic service set (OCB, earlier "802.11p") there is a need to define a few parameters such as the supported Maximum Transmission Unit size on the 802.11-OCB link, the header format preceding the IPv6 header, the Type value within it, and others. This document describes these parameters for IPv6 and IEEE 802.11-OCB networks; it portrays the layering of IPv6 on 802.11-OCB similarly to other known 802.11 and Ethernet layers - by using an Ethernet Adaptation Layer.

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## 1. Introduction

This document describes the transmission of IPv6 packets on IEEE Std 802.11-OCB networks [IEEE-802.11-2016] (a.k.a "802.11p" see Appendix B). This involves the layering of IPv6 networking on top of the IEEE 802.11 MAC layer, with an LLC layer. Compared to running IPv6 over the Ethernet MAC layer, there is no modification expected to IEEE Std 802.11 MAC and Logical Link sublayers: IPv6 works fine directly over 802.11-OCB too, with an LLC layer.

The IPv6 network layer operates on 802.11-OCB in the same manner as operating on Ethernet, but there are two kinds of exceptions:

- o Exceptions due to different operation of IPv6 network layer on 802.11 than on Ethernet. To satisfy these exceptions, this document describes an Ethernet Adaptation Layer between Ethernet headers and 802.11 headers. The Ethernet Adaptation Layer is described Section 4.2.1. The operation of IP on Ethernet is described in [RFC1042], [RFC2464] and [I-D.hinden-6man-rfc2464bis].
- o Exceptions due to the OCB nature of 802.11-OCB compared to 802.11. This has impacts on security, privacy, subnet structure and handover behaviour. For security and privacy recommendations see Section 5 and Section 4.5. The subnet structure is described in Section 4.6. The handover behaviour on OCB links is not described in this document.

In the published literature, many documents describe aspects and problems related to running IPv6 over 802.11-OCB: [I-D.ietf-ipwave-vehicular-networking-survey].

## 2. Terminology

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in RFC 2119 [RFC2119].

IP-OBU (Internet Protocol On-Board Unit): an IP-OBU is a computer situated in a vehicle such as an automobile, bicycle, or similar. It has at least one IP interface that runs in mode OCB of 802.11, and

that has an "OBU" transceiver. See the definition of the term "OBU" in section Appendix I.

IP-RSU (IP Road-Side Unit): an IP-RSU is situated along the road. An IP-RSU has at least two distinct IP-enabled interfaces; at least one interface is operated in mode OCB of IEEE 802.11 and is IP-enabled. An IP-RSU is similar to a Wireless Termination Point (WTP), as defined in [RFC5415], or an Access Point (AP), as defined in IEEE documents, or an Access Network Router (ANR) defined in [RFC3753], with one key particularity: the wireless PHY/MAC layer of at least one of its IP-enabled interfaces is configured to operate in 802.11-OCB mode. The IP-RSU communicates with the IP-OBU in the vehicle over 802.11 wireless link operating in OCB mode.

OCB (outside the context of a basic service set - BSS): A mode of operation in which a STA is not a member of a BSS and does not utilize IEEE Std 802.11 authentication, association, or data confidentiality.

802.11-OCB: mode specified in IEEE Std 802.11-2016 when the MIB attribute dot11OCBActivated is true. The OCB mode requires transmission of QoS data frames (IEEE Std 802.11e), half-clocked operation (IEEE Std 802.11j), and use of 5.9 GHz frequency band. Nota: any implementation should comply with standards and regulations set in the different countries for using that frequency band.

### 3. Communication Scenarios where IEEE 802.11-OCB Links are Used

The IEEE 802.11-OCB Networks are used for vehicular communications, as 'Wireless Access in Vehicular Environments'. The IP communication scenarios for these environments have been described in several documents; in particular, we refer the reader to [I-D.ietf-ipwave-vehicular-networking-survey], that lists some scenarios and requirements for IP in Intelligent Transportation Systems.

The link model is the following: STA --- 802.11-OCB --- STA. In vehicular networks, STAs can be IP-RSUs and/or IP-OBUs. While 802.11-OCB is clearly specified, and the use of IPv6 over such link is not radically new, the operating environment (vehicular networks) brings in new perspectives.

The mechanisms for forming and terminating, discovering, peering and mobility management for 802.11-OCB links are not described in this document.

## 4. IPv6 over 802.11-OCB

### 4.1. Maximum Transmission Unit (MTU)

The default MTU for IP packets on 802.11-OCB MUST be 1500 octets. It is the same value as IPv6 packets on Ethernet links, as specified in [RFC2464]. This value of the MTU respects the recommendation that every link on the Internet must have a minimum MTU of 1280 octets (stated in [RFC8200], and the recommendations therein, especially with respect to fragmentation). If IPv6 packets of size larger than the MTU are sent on an 802.11-OCB interface card then the IP stack MUST fragment. In case there are IPv6 fragments, the subfield "Fragment number" within the field "Sequence control" of the 802.11 Data header containing the IPv6 fragment is increased by the MAC layer.

Non-IP packets such as WAVE Short Message Protocol (WSMP) can be delivered on 802.11-OCB links. Specifications of these packets are out of scope of this document, and do not impose any limit on the MTU size, allowing an arbitrary number of 'containers'. Non-IP packets such as ETSI GeoNetworking packets have an MTU of 1492 bytes. The operation of IPv6 over GeoNetworking is specified at [ETSI-IPv6-GeoNetworking].

### 4.2. Frame Format

IP packets are transmitted over 802.11-OCB as standard Ethernet packets. As with all 802.11 frames, an Ethernet adaptation layer is used with 802.11-OCB as well. This Ethernet Adaptation Layer performing 802.11-to-Ethernet is described in Section 4.2.1. The Ethernet Type code (EtherType) for IPv6 MUST be 0x86DD (hexadecimal 86DD, or otherwise #86DD).

The Frame format for transmitting IPv6 on 802.11-OCB networks is the same as transmitting IPv6 on Ethernet networks, and is described in section 3 of [RFC2464].

```
1 0 0 0 0 1 1 0 1 1 0 1 1 1 0 1
```

is the binary representation of the EtherType value 0x86DD.

#### 4.2.1. Ethernet Adaptation Layer

An 'adaptation' layer is inserted between a MAC layer and the Networking layer. This is used to transform some parameters between their form expected by the IP stack and the form provided by the MAC layer.

An Ethernet Adaptation Layer makes an 802.11 MAC look to IP Networking layer as a more traditional Ethernet layer. At reception, this layer takes as input the IEEE 802.11 Data Header and the Logical-Link Layer Control Header and produces an Ethernet II Header. At sending, the reverse operation is performed.

The operation of the Ethernet Adaptation Layer is depicted by the double arrow in Figure 1.

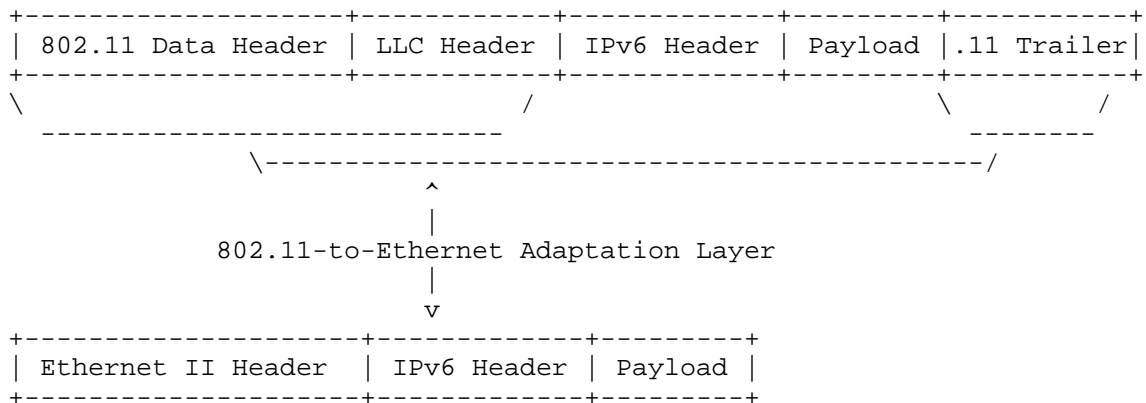


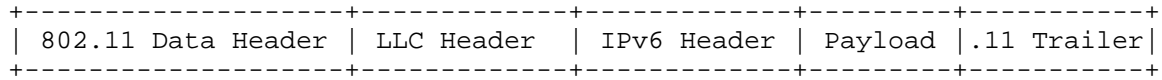
Figure 1: Operation of the Ethernet Adaptation Layer

The Receiver and Transmitter Address fields in the 802.11 Data Header MUST contain the same values as the Destination and the Source Address fields in the Ethernet II Header, respectively. The value of the Type field in the LLC Header MUST be the same as the value of the Type field in the Ethernet II Header.

The ".11 Trailer" contains solely a 4-byte Frame Check Sequence.

Additionally, the Ethernet Adaptation Layer performs operations in relation to IP fragmentation and MTU. One of these operations is briefly described in Section 4.1.

In OCB mode, IPv6 packets MAY be transmitted either as "IEEE 802.11 Data" or alternatively as "IEEE 802.11 QoS Data", as illustrated in Figure 2.



or

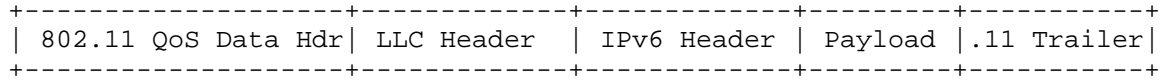


Figure 2: 802.11 Data Header or 802.11 QoS Data Header

The distinction between the two formats is given by the value of the field "Type/Subtype". The value of the field "Type/Subtype" in the 802.11 Data header is 0x0020. The value of the field "Type/Subtype" in the 802.11 QoS header is 0x0028.

The mapping between qos-related fields in the IPv6 header (e.g. "Traffic Class", "Flow label") and fields in the "802.11 QoS Data Header" (e.g. "QoS Control") are not specified in this document. Guidance for a potential mapping is provided in [I-D.ietf-tsvwg-ieee-802-11], although it is not specific to OCB mode.

The placement of IPv6 networking layer on Ethernet Adaptation Layer is illustrated in Figure 3.

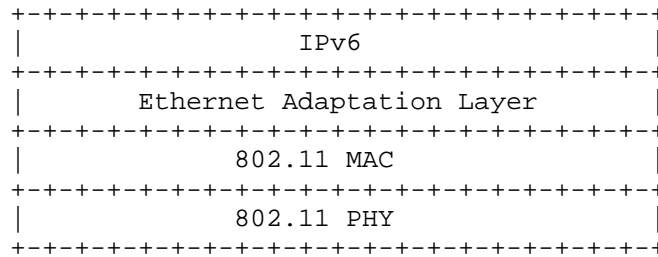


Figure 3: Ethernet Adaptation Layer stacked with other layers

(in the above figure, a 802.11 profile is represented; this is used also for 802.11 OCB profile.)

Other alternative views of layering are EtherType Protocol Discrimination (EPD), see Appendix E, and SNAP see [RFC1042].

### 4.3. Link-Local Addresses

The link-local address of an 802.11-OCB interface is formed in the same manner as on an Ethernet interface. This manner is described in section 5 of [RFC2464]. Additionally, if stable identifiers are needed, it is recommended to follow the Recommendation on Stable IPv6 Interface Identifiers [RFC8064]. Additionally, if semantically opaque Interface Identifiers are needed, a potential method for generating semantically opaque Interface Identifiers with IPv6 Stateless Address Autoconfiguration is given in [RFC7217].

### 4.4. Address Mapping

For unicast as for multicast, there is no change from the unicast and multicast address mapping format of Ethernet interfaces, as defined by sections 6 and 7 of [RFC2464].

#### 4.4.1. Address Mapping -- Unicast

The procedure for mapping IPv6 unicast addresses into Ethernet link-layer addresses is described in [RFC4861].

#### 4.4.2. Address Mapping -- Multicast

The multicast address mapping is performed according to the method specified in section 7 of [RFC2464]. The meaning of the value "3333" mentioned in that section 7 of [RFC2464] is defined in section 2.3.1 of [RFC7042].

Transmitting IPv6 packets to multicast destinations over 802.11 links proved to have some performance issues [I-D.perkins-intarea-multicast-ieee802]. These issues may be exacerbated in OCB mode. Solutions for these problems should consider the OCB mode of operation.

### 4.5. Stateless Autoconfiguration

The Interface Identifier for an 802.11-OCB interface is formed using the same rules as the Interface Identifier for an Ethernet interface; this is described in section 4 of [RFC2464]. No changes are needed, but some care must be taken when considering the use of the Stateless Address Auto-Configuration procedure.

The bits in the interface identifier have no generic meaning and the identifier should be treated as an opaque value. The bits 'Universal' and 'Group' in the identifier of an 802.11-OCB interface are significant, as this is an IEEE link-layer address. The details of this significance are described in [RFC7136].



As with all Ethernet and 802.11 interface identifiers ([RFC7721]), the identifier of an 802.11-OCB interface may involve privacy, MAC address spoofing and IP address hijacking risks. A vehicle embarking an OBU or an IP-OBU whose egress interface is 802.11-OCB may expose itself to eavesdropping and subsequent correlation of data; this may reveal data considered private by the vehicle owner; there is a risk of being tracked; see the privacy considerations described in Appendix F.

If stable Interface Identifiers are needed in order to form IPv6 addresses on 802.11-OCB links, it is recommended to follow the recommendation in [RFC8064]. Additionally, if semantically opaque Interface Identifiers are needed, a potential method for generating semantically opaque Interface Identifiers with IPv6 Stateless Address Autoconfiguration is given in [RFC7217].

#### 4.6. Subnet Structure

A subnet is formed by the external 802.11-OCB interfaces of vehicles that are in close range (not their on-board interfaces). This ephemeral subnet structure is strongly influenced by the mobility of vehicles: the 802.11 hidden node effects appear. On another hand, the structure of the internal subnets in each car is relatively stable.

The 802.11 networks in OCB mode may be considered as 'ad-hoc' networks. The addressing model for such networks is described in [RFC5889].

An addressing model involves several types of addresses, like Globally-unique Addresses (GUA), Link-Local Addresses (LL) and Unique Local Addresses (ULA). The subnet structure in 'ad-hoc' networks may have characteristics that lead to difficulty of using GUAs derived from a received prefix, but the LL addresses may be easier to use since the prefix is constant.

The operation of the Neighbor Discovery protocol (ND) over 802.11 OCB links is different than over 802.11 links. In OCB, the link layer does not ensure that all associated members receive all messages, because there is no association operation. The operation of ND over 802.11 OCB is not specified in this document.

The operation of the Mobile IPv6 protocol over 802.11 OCB links is different than on other links. The Movement Detection operation (section 11.5.1 of [RFC6275]) can not rely on Neighbor Unreachability Detection operation of the Neighbor Discovery protocol, for the reason mentioned in the previous paragraph. Also, the 802.11 OCB link layer is not a lower layer that can provide an indication that a

link layer handover has occurred. The operation of the Mobile IPv6 protocol over 802.11 OCB is not specified in this document.

## 5. Security Considerations

Any security mechanism at the IP layer or above that may be carried out for the general case of IPv6 may also be carried out for IPv6 operating over 802.11-OCB.

The OCB operation is stripped off of all existing 802.11 link-layer security mechanisms. There is no encryption applied below the network layer running on 802.11-OCB. At application layer, the IEEE 1609.2 document [IEEE-1609.2] does provide security services for certain applications to use; application-layer mechanisms are out-of-scope of this document. On another hand, a security mechanism provided at networking layer, such as IPsec [RFC4301], may provide data security protection to a wider range of applications.

802.11-OCB does not provide any cryptographic protection, because it operates outside the context of a BSS (no Association Request/Response, no Challenge messages). Any attacker can therefore just sit in the near range of vehicles, sniff the network (just set the interface card's frequency to the proper range) and perform attacks without needing to physically break any wall. Such a link is less protected than commonly used links (wired link or protected 802.11).

The potential attack vectors are: MAC address spoofing, IP address and session hijacking and privacy violation.

Within the IPsec Security Architecture [RFC4301], the IPsec AH and ESP headers [RFC4302] and [RFC4303] respectively, its multicast extensions [RFC5374], HTTPS [RFC2818] and SeND [RFC3971] protocols can be used to protect communications. Further, the assistance of proper Public Key Infrastructure (PKI) protocols [RFC4210] is necessary to establish credentials. More IETF protocols are available in the toolbox of the IP security protocol designer. Certain ETSI protocols related to security protocols in Intelligent Transportation Systems are described in [ETSI-sec-archi].

As with all Ethernet and 802.11 interface identifiers, there may exist privacy risks in the use of 802.11-OCB interface identifiers. Moreover, in outdoors vehicular settings, the privacy risks are more important than in indoors settings. New risks are induced by the possibility of attacker sniffers deployed along routes which listen for IP packets of vehicles passing by. For this reason, in the 802.11-OCB deployments, there is a strong necessity to use protection tools such as dynamically changing MAC addresses. This may help mitigate privacy risks to a certain level. On another hand, it may

have an impact in the way typical IPv6 address auto-configuration is performed for vehicles (SLAAC would rely on MAC addresses and would hence dynamically change the affected IP address), in the way the IPv6 Privacy addresses were used, and other effects.

## 6. IANA Considerations

No request to IANA.

## 7. Contributors

Christian Huitema, Tony Li.

Romain Kuntz contributed extensively about IPv6 handovers between links running outside the context of a BSS (802.11-OCB links).

Tim Leinmueller contributed the idea of the use of IPv6 over 802.11-OCB for distribution of certificates.

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- [IEEE-1609.4]  
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"IEEE Standard 802.11-2016 - IEEE Standard for Information Technology - Telecommunications and information exchange between systems Local and metropolitan area networks - Specific requirements - Part 11: Wireless LAN Medium Access Control (MAC) and Physical Layer (PHY) Specifications. Status - Active Standard. Description retrieved freely on September 12th, 2017, at URL <https://standards.ieee.org/findstds/standard/802.11-2016.html>".

[IEEE-802.11p-2010]

"IEEE Std 802.11p (TM)-2010, IEEE Standard for Information Technology - Telecommunications and information exchange between systems - Local and metropolitan area networks - Specific requirements, Part 11: Wireless LAN Medium Access Control (MAC) and Physical Layer (PHY) Specifications, Amendment 6: Wireless Access in Vehicular Environments; document freely available at URL <http://standards.ieee.org/getieee802/download/802.11p-2010.pdf> retrieved on September 20th, 2013."

#### Appendix A. ChangeLog

The changes are listed in reverse chronological order, most recent changes appearing at the top of the list.

From draft-ietf-ipwave-ipv6-over-80211ocb-17 to draft-ietf-ipwave-ipv6-over-80211ocb-18

- o Improved the MTU and fragmentation paragraph.

From draft-ietf-ipwave-ipv6-over-80211ocb-16 to draft-ietf-ipwave-ipv6-over-80211ocb-17

- o Substituted "MUST be increased" to "is increased" in the MTU section, about fragmentation.

From draft-ietf-ipwave-ipv6-over-80211ocb-15 to draft-ietf-ipwave-ipv6-over-80211ocb-16

- o Removed the definition of the 'WiFi' term and its occurrences. Clarified a phrase that used it in Appendix C "Aspects introduced by the OCB mode to 802.11".
- o Added more normative words: MUST be 0x86DD, MUST fragment if size larger than MTU, Sequence number in 802.11 Data header MUST be increased.

From draft-ietf-ipwave-ipv6-over-80211ocb-14 to draft-ietf-ipwave-ipv6-over-80211ocb-15

- o Added normative term MUST in two places in section "Ethernet Adaptation Layer".

From draft-ietf-ipwave-ipv6-over-80211ocb-13 to draft-ietf-ipwave-ipv6-over-80211ocb-14



- o Created a new Appendix titled "Extra Terminology" that contains terms DSRC, DSRCs, OBU, RSU as defined outside IETF. Some of them are used in the main Terminology section.
- o Added two paragraphs explaining that ND and Mobile IPv6 have problems working over 802.11 OCB, yet their adaptations is not specified in this document.

From draft-ietf-ipwave-ipv6-over-80211ocb-12 to draft-ietf-ipwave-ipv6-over-80211ocb-13

- o Substituted "IP-OBU" for "OBRU", and "IP-RSU" for "RSRU" throughout and improved OBU-related definitions in the Terminology section.

From draft-ietf-ipwave-ipv6-over-80211ocb-11 to draft-ietf-ipwave-ipv6-over-80211ocb-12

- o Improved the appendix about "MAC Address Generation" by expressing the technique to be an optional suggestion, not a mandatory mechanism.

From draft-ietf-ipwave-ipv6-over-80211ocb-10 to draft-ietf-ipwave-ipv6-over-80211ocb-11

- o Shortened the paragraph on forming/terminating 802.11-OCB links.
- o Moved the draft tsvwg-ieee-802-11 to Informative References.

From draft-ietf-ipwave-ipv6-over-80211ocb-09 to draft-ietf-ipwave-ipv6-over-80211ocb-10

- o Removed text requesting a new Group ID for multicast for OCB.
- o Added a clarification of the meaning of value "3333" in the section Address Mapping -- Multicast.
- o Added note clarifying that in Europe the regional authority is not ETSI, but "ECC/CEPT based on ENs from ETSI".
- o Added note stating that the manner in which two STations set their communication channel is not described in this document.
- o Added a time qualifier to state that the "each node is represented uniquely at a certain point in time."
- o Removed text "This section may need to be moved" (the "Reliability Requirements" section). This section stays there at this time.

- o In the term definition "802.11-OCB" added a note stating that "any implementation should comply with standards and regulations set in the different countries for using that frequency band."
- o In the RSU term definition, added a sentence explaining the difference between RSU and RSRU: in terms of number of interfaces and IP forwarding.
- o Replaced "with at least two IP interfaces" with "with at least two real or virtual IP interfaces".
- o Added a term in the Terminology for "OBU". However the definition is left empty, as this term is defined outside IETF.
- o Added a clarification that it is an OBU or an OBRU in this phrase "A vehicle embarking an OBU or an OBRU".
- o Checked the entire document for a consistent use of terms OBU and OBRU.
- o Added note saying that "'p' is a letter identifying the Amendment".
- o Substituted lower case for capitals SHALL or MUST in the Appendices.
- o Added reference to RFC7042, helpful in the 3333 explanation. Removed reference to individual submission draft-petrescu-its-scenario-reqs and added reference to draft-ietf-ipwave-vehicular-networking-survey.
- o Added figure captions, figure numbers, and references to figure numbers instead of 'below'. Replaced "section Section" with "section" throughout.
- o Minor typographical errors.

From draft-ietf-ipwave-ipv6-over-80211ocb-08 to draft-ietf-ipwave-ipv6-over-80211ocb-09

- o Significantly shortened the Address Mapping sections, by text copied from RFC2464, and rather referring to it.
- o Moved the EPD description to an Appendix on its own.
- o Shortened the Introduction and the Abstract.

- o Moved the tutorial section of OCB mode introduced to .11, into an appendix.
- o Removed the statement that suggests that for routing purposes a prefix exchange mechanism could be needed.
- o Removed refs to RFC3963, RFC4429 and RFC6775; these are about ND, MIP/NEMO and oDAD; they were referred in the handover discussion section, which is out.
- o Updated a reference from individual submission to now a WG item in IPWAVE: the survey document.
- o Added term definition for WiFi.
- o Updated the authorship and expanded the Contributors section.
- o Corrected typographical errors.

From draft-ietf-ipwave-ipv6-over-80211ocb-07 to draft-ietf-ipwave-ipv6-over-80211ocb-08

- o Removed the per-channel IPv6 prohibition text.
- o Corrected typographical errors.

From draft-ietf-ipwave-ipv6-over-80211ocb-06 to draft-ietf-ipwave-ipv6-over-80211ocb-07

- o Added new terms: OBRU and RSRU ('R' for Router). Refined the existing terms RSU and OBU, which are no longer used throughout the document.
- o Improved definition of term "802.11-OCB".
- o Clarified that OCB does not "strip" security, but that the operation in OCB mode is "stripped off of all .11 security".
- o Clarified that theoretical OCB bandwidth speed is 54mbits, but that a commonly observed bandwidth in IP-over-OCB is 12mbit/s.
- o Corrected typographical errors, and improved some phrasing.

From draft-ietf-ipwave-ipv6-over-80211ocb-05 to draft-ietf-ipwave-ipv6-over-80211ocb-06

- o Updated references of 802.11-OCB document from -2012 to the IEEE 802.11-2016.

- o In the LL address section, and in SLAAC section, added references to 7217 opaque IIDs and 8064 stable IIDs.

From draft-ietf-ipwave-ipv6-over-80211ocb-04 to draft-ietf-ipwave-ipv6-over-80211ocb-05

- o Lengthened the title and cleaned the abstract.
- o Added text suggesting LLs may be easy to use on OCB, rather than GUAs based on received prefix.
- o Added the risks of spoofing and hijacking.
- o Removed the text speculation on adoption of the TSA message.
- o Clarified that the ND protocol is used.
- o Clarified what it means "No association needed".
- o Added some text about how two STAs discover each other.
- o Added mention of external (OCB) and internal network (stable), in the subnet structure section.
- o Added phrase explaining that both .11 Data and .11 QoS Data headers are currently being used, and may be used in the future.
- o Moved the packet capture example into an Appendix Implementation Status.
- o Suggested moving the reliability requirements appendix out into another document.
- o Added a IANA Considerations section, with content, requesting for a new multicast group "all OCB interfaces".
- o Added new OBU term, improved the RSU term definition, removed the ETTC term, replaced more occurrences of 802.11p, 802.11 OCB with 802.11-OCB.
- o References:
  - \* Added an informational reference to ETSI's IPv6-over-GeoNetworking.
  - \* Added more references to IETF and ETSI security protocols.

- \* Updated some references from I-D to RFC, and from old RFC to new RFC numbers.
- \* Added reference to multicast extensions to IPsec architecture RFC.
- \* Added a reference to 2464-bis.
- \* Removed FCC informative references, because not used.
- o Updated the affiliation of one author.
- o Reformulation of some phrases for better readability, and correction of typographical errors.

From draft-ietf-ipwave-ipv6-over-80211ocb-03 to draft-ietf-ipwave-ipv6-over-80211ocb-04

- o Removed a few informative references pointing to Dx draft IEEE 1609 documents.
- o Removed outdated informative references to ETSI documents.
- o Added citations to IEEE 1609.2, .3 and .4-2016.
- o Minor textual issues.

From draft-ietf-ipwave-ipv6-over-80211ocb-02 to draft-ietf-ipwave-ipv6-over-80211ocb-03

- o Keep the previous text on multiple addresses, so remove talk about MIP6, NEMOV6 and MCoA.
- o Clarified that a 'Beacon' is an IEEE 802.11 frame Beacon.
- o Clarified the figure showing Infrastructure mode and OCB mode side by side.
- o Added a reference to the IP Security Architecture RFC.
- o Detailed the IPv6-per-channel prohibition paragraph which reflects the discussion at the last IETF IPWAVE WG meeting.
- o Added section "Address Mapping -- Unicast".
- o Added the ".11 Trailer" to pictures of 802.11 frames.
- o Added text about SNAP carrying the Ethertype.

- o New RSU definition allowing for it be both a Router and not necessarily a Router some times.
- o Minor textual issues.

From draft-ietf-ipwave-ipv6-over-80211ocb-01 to draft-ietf-ipwave-ipv6-over-80211ocb-02

- o Replaced almost all occurrences of 802.11p with 802.11-OCB, leaving only when explanation of evolution was necessary.
- o Shortened by removing parameter details from a paragraph in the Introduction.
- o Moved a reference from Normative to Informative.
- o Added text in intro clarifying there is no handover spec at IEEE, and that 1609.2 does provide security services.
- o Named the contents the fields of the EthernetII header (including the Ethertype bitstring).
- o Improved relationship between two paragraphs describing the increase of the Sequence Number in 802.11 header upon IP fragmentation.
- o Added brief clarification of "tracking".

From draft-ietf-ipwave-ipv6-over-80211ocb-00 to draft-ietf-ipwave-ipv6-over-80211ocb-01

- o Introduced message exchange diagram illustrating differences between 802.11 and 802.11 in OCB mode.
- o Introduced an appendix listing for information the set of 802.11 messages that may be transmitted in OCB mode.
- o Removed appendix sections "Privacy Requirements", "Authentication Requirements" and "Security Certificate Generation".
- o Removed appendix section "Non IP Communications".
- o Introductory phrase in the Security Considerations section.
- o Improved the definition of "OCB".
- o Introduced theoretical stacked layers about IPv6 and IEEE layers including EPD.

- o Removed the appendix describing the details of prohibiting IPv6 on certain channels relevant to 802.11-OCB.
- o Added a brief reference in the privacy text about a precise clause in IEEE 1609.3 and .4.
- o Clarified the definition of a Road Side Unit.
- o Removed the discussion about security of WSA (because is non-IP).
- o Removed mentioning of the GeoNetworking discussion.
- o Moved references to scientific articles to a separate 'overview' draft, and referred to it.

#### Appendix B. 802.11p

The term "802.11p" is an earlier definition. The behaviour of "802.11p" networks is rolled in the document IEEE Std 802.11-2016. In that document the term 802.11p disappears. Instead, each 802.11p feature is conditioned by the Management Information Base (MIB) attribute "OCBActivated". Whenever OCBActivated is set to true the IEEE Std 802.11 OCB state is activated. For example, an 802.11 STATION operating outside the context of a basic service set has the OCBActivated flag set. Such a station, when it has the flag set, uses a BSS identifier equal to ff:ff:ff:ff:ff:ff.

#### Appendix C. Aspects introduced by the OCB mode to 802.11

In the IEEE 802.11-OCB mode, all nodes in the wireless range can directly communicate with each other without involving authentication or association procedures. At link layer, it is necessary to set the same channel number (or frequency) on two stations that need to communicate with each other. The manner in which stations set their channel number is not specified in this document. Stations STA1 and STA2 can exchange IP packets if they are set on the same channel. At IP layer, they then discover each other by using the IPv6 Neighbor Discovery protocol.

Briefly, the IEEE 802.11-OCB mode has the following properties:

- o The use by each node of a 'wildcard' BSSID (i.e., each bit of the BSSID is set to 1)
- o No IEEE 802.11 Beacon frames are transmitted
- o No authentication is required in order to be able to communicate

- o No association is needed in order to be able to communicate
- o No encryption is provided in order to be able to communicate
- o Flag dot11OCBActivated is set to true

All the nodes in the radio communication range (IP-OBU and IP-RSU) receive all the messages transmitted (IP-OBU and IP-RSU) within the radio communications range. The eventual conflict(s) are resolved by the MAC CDMA function.

The message exchange diagram in Figure 4 illustrates a comparison between traditional 802.11 and 802.11 in OCB mode. The 'Data' messages can be IP packets such as HTTP or others. Other 802.11 management and control frames (non IP) may be transmitted, as specified in the 802.11 standard. For information, the names of these messages as currently specified by the 802.11 standard are listed in Appendix G.

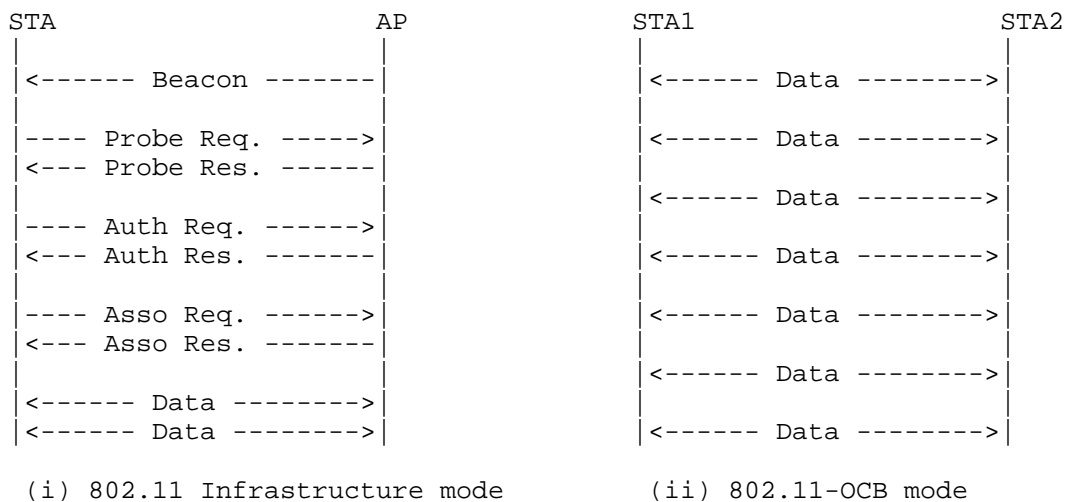


Figure 4: Difference between messages exchanged on 802.11 (left) and 802.11-OCB (right)

The interface 802.11-OCB was specified in IEEE Std 802.11p (TM) -2010 [IEEE-802.11p-2010] as an amendment to IEEE Std 802.11 (TM) -2007, titled "Amendment 6: Wireless Access in Vehicular Environments". Since then, this amendment has been integrated in IEEE 802.11(TM) -2012 and -2016 [IEEE-802.11-2016].



In document 802.11-2016, anything qualified specifically as "OCBActivated", or "outside the context of a basic service" set to be true, then it is actually referring to OCB aspects introduced to 802.11.

In order to delineate the aspects introduced by 802.11-OCB to 802.11, we refer to the earlier [IEEE-802.11p-2010]. The amendment is concerned with vehicular communications, where the wireless link is similar to that of Wireless LAN (using a PHY layer specified by 802.11a/b/g/n), but which needs to cope with the high mobility factor inherent in scenarios of communications between moving vehicles, and between vehicles and fixed infrastructure deployed along roads. While 'p' is a letter identifying the Amendment, just like 'a, b, g' and 'n' are, 'p' is concerned more with MAC modifications, and a little with PHY modifications; the others are mainly about PHY modifications. It is possible in practice to combine a 'p' MAC with an 'a' PHY by operating outside the context of a BSS with OFDM at 5.4GHz and 5.9GHz.

The 802.11-OCB links are specified to be compatible as much as possible with the behaviour of 802.11a/b/g/n and future generation IEEE WLAN links. From the IP perspective, an 802.11-OCB MAC layer offers practically the same interface to IP as the 802.11a/b/g/n and 802.3. A packet sent by an IP-OBUS may be received by one or multiple IP-RSUs. The link-layer resolution is performed by using the IPv6 Neighbor Discovery protocol.

To support this similarity statement (IPv6 is layered on top of LLC on top of 802.11-OCB, in the same way that IPv6 is layered on top of LLC on top of 802.11a/b/g/n (for WLAN) or layered on top of LLC on top of 802.3 (for Ethernet)) it is useful to analyze the differences between 802.11-OCB and 802.11 specifications. During this analysis, we note that whereas 802.11-OCB lists relatively complex and numerous changes to the MAC layer (and very little to the PHY layer), there are only a few characteristics which may be important for an implementation transmitting IPv6 packets on 802.11-OCB links.

The most important 802.11-OCB point which influences the IPv6 functioning is the OCB characteristic; an additional, less direct influence, is the maximum bandwidth afforded by the PHY modulation/demodulation methods and channel access specified by 802.11-OCB. The maximum bandwidth theoretically possible in 802.11-OCB is 54 Mbit/s (when using, for example, the following parameters: 20 MHz channel; modulation 64-QAM; coding rate R is 3/4); in practice of IP-over-802.11-OCB a commonly observed figure is 12Mbit/s; this bandwidth allows the operation of a wide range of protocols relying on IPv6.

- o Operation Outside the Context of a BSS (OCB): the (earlier 802.11p) 802.11-OCB links are operated without a Basic Service Set (BSS). This means that the frames IEEE 802.11 Beacon, Association Request/Response, Authentication Request/Response, and similar, are not used. The used identifier of BSS (BSSID) has a hexadecimal value always 0xffffffffffff (48 '1' bits, represented as MAC address ff:ff:ff:ff:ff:ff, or otherwise the 'wildcard' BSSID), as opposed to an arbitrary BSSID value set by administrator (e.g. 'My-Home-AccessPoint'). The OCB operation - namely the lack of beacon-based scanning and lack of authentication - should be taken into account when the Mobile IPv6 protocol [RFC6275] and the protocols for IP layer security [RFC4301] are used. The way these protocols adapt to OCB is not described in this document.
- o Timing Advertisement: is a new message defined in 802.11-OCB, which does not exist in 802.11a/b/g/n. This message is used by stations to inform other stations about the value of time. It is similar to the time as delivered by a GNSS system (Galileo, GPS, ...) or by a cellular system. This message is optional for implementation.
- o Frequency range: this is a characteristic of the PHY layer, with almost no impact on the interface between MAC and IP. However, it is worth considering that the frequency range is regulated by a regional authority (ARCEP, ECC/CEPT based on ENs from ETSI, FCC, etc.); as part of the regulation process, specific applications are associated with specific frequency ranges. In the case of 802.11-OCB, the regulator associates a set of frequency ranges, or slots within a band, to the use of applications of vehicular communications, in a band known as "5.9GHz". The 5.9GHz band is different from the 2.4GHz and 5GHz bands used by Wireless LAN. However, as with Wireless LAN, the operation of 802.11-OCB in "5.9GHz" bands is exempt from owning a license in EU (in US the 5.9GHz is a licensed band of spectrum; for the fixed infrastructure an explicit FCC authorization is required; for an on-board device a 'licensed-by-rule' concept applies: rule certification conformity is required.) Technical conditions are different than those of the bands "2.4GHz" or "5GHz". The allowed power levels, and implicitly the maximum allowed distance between vehicles, is of 33dBm for 802.11-OCB (in Europe), compared to 20 dBm for Wireless LAN 802.11a/b/g/n; this leads to a maximum distance of approximately 1km, compared to approximately 50m. Additionally, specific conditions related to congestion avoidance, jamming avoidance, and radar detection are imposed on the use of DSRC (in US) and on the use of frequencies for Intelligent Transportation Systems (in EU), compared to Wireless LAN (802.11a/b/g/n).

- o 'Half-rate' encoding: as the frequency range, this parameter is related to PHY, and thus has not much impact on the interface between the IP layer and the MAC layer.
- o In vehicular communications using 802.11-OCB links, there are strong privacy requirements with respect to addressing. While the 802.11-OCB standard does not specify anything in particular with respect to MAC addresses, in these settings there exists a strong need for dynamic change of these addresses (as opposed to the non-vehicular settings - real wall protection - where fixed MAC addresses do not currently pose some privacy risks). This is further described in Section 5. A relevant function is described in IEEE 1609.3-2016 [IEEE-1609.3], clause 5.5.1 and IEEE 1609.4-2016 [IEEE-1609.4], clause 6.7.

Other aspects particular to 802.11-OCB, which are also particular to 802.11 (e.g. the 'hidden node' operation), may have an influence on the use of transmission of IPv6 packets on 802.11-OCB networks. The OCB subnet structure is described in Section 4.6.

#### Appendix D. Changes Needed on a software driver 802.11a to become a 802.11-OCB driver

The 802.11p amendment modifies both the 802.11 stack's physical and MAC layers but all the induced modifications can be quite easily obtained by modifying an existing 802.11a ad-hoc stack.

Conditions for a 802.11a hardware to be 802.11-OCB compliant:

- o The PHY entity shall be an orthogonal frequency division multiplexing (OFDM) system. It must support the frequency bands on which the regulator recommends the use of ITS communications, for example using IEEE 802.11-OCB layer, in France: 5875MHz to 5925MHz.
- o The OFDM system must provide a "half-clocked" operation using 10 MHz channel spacings.
- o The chip transmit spectrum mask must be compliant to the "Transmit spectrum mask" from the IEEE 802.11p amendment (but experimental environments tolerate otherwise).
- o The chip should be able to transmit up to 44.8 dBm when used by the US government in the United States, and up to 33 dBm in Europe; other regional conditions apply.

Changes needed on the network stack in OCB mode:

- o Physical layer:

- \* The chip must use the Orthogonal Frequency Multiple Access (OFDM) encoding mode.
- \* The chip must be set in half-mode rate mode (the internal clock frequency is divided by two).
- \* The chip must use dedicated channels and should allow the use of higher emission powers. This may require modifications to the local computer file that describes regulatory domains rules, if used by the kernel to enforce local specific restrictions. Such modifications to the local computer file must respect the location-specific regulatory rules.

MAC layer:

- \* All management frames (beacons, join, leave, and others) emission and reception must be disabled except for frames of subtype Action and Timing Advertisement (defined below).
- \* No encryption key or method must be used.
- \* Packet emission and reception must be performed as in ad-hoc mode, using the wildcard BSSID (ff:ff:ff:ff:ff:ff).
- \* The functions related to joining a BSS (Association Request/Response) and for authentication (Authentication Request/Reply, Challenge) are not called.
- \* The beacon interval is always set to 0 (zero).
- \* Timing Advertisement frames, defined in the amendment, should be supported. The upper layer should be able to trigger such frames emission and to retrieve information contained in received Timing Advertisements.

#### Appendix E. EtherType Protocol Discrimination (EPD)

A more theoretical and detailed view of layer stacking, and interfaces between the IP layer and 802.11-OCB layers, is illustrated in Figure 5. The IP layer operates on top of the EtherType Protocol Discrimination (EPD); this Discrimination layer is described in IEEE Std 802.3-2012; the interface between IPv6 and EPD is the LLC\_SAP (Link Layer Control Service Access Point).

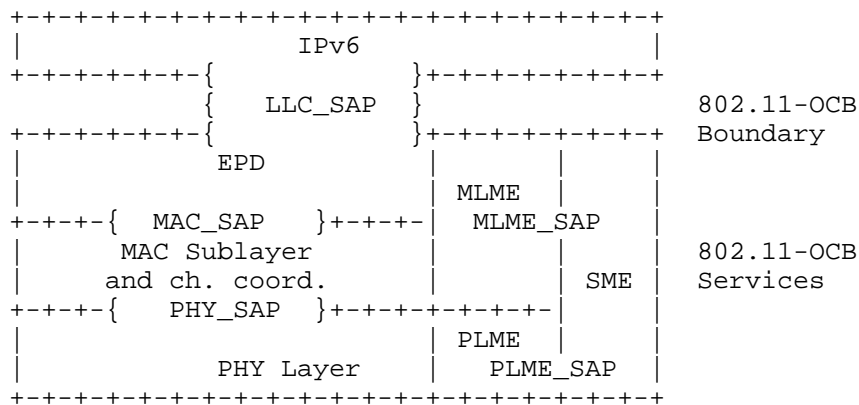


Figure 5: EtherType Protocol Discrimination

Appendix F. Design Considerations

The networks defined by 802.11-OCB are in many ways similar to other networks of the 802.11 family. In theory, the encapsulation of IPv6 over 802.11-OCB could be very similar to the operation of IPv6 over other networks of the 802.11 family. However, the high mobility, strong link asymmetry and very short connection makes the 802.11-OCB link significantly different from other 802.11 networks. Also, the automotive applications have specific requirements for reliability, security and privacy, which further add to the particularity of the 802.11-OCB link.

F.1. Vehicle ID

In automotive networks it is required that each node is represented uniquely at a certain point in time. Accordingly, a vehicle must be identified by at least one unique identifier. The current specification at ETSI and at IEEE 1609 identifies a vehicle by its MAC address, which is obtained from the 802.11-OCB Network Interface Card (NIC).

In case multiple 802.11-OCB NICs are present in one car, implicitly multiple vehicle IDs will be generated. Additionally, some software generates a random MAC address each time the computer boots; this constitutes an additional difficulty.

A mechanism to uniquely identify a vehicle irrespectively to the multiplicity of NICs, or frequent MAC address generation, is necessary.

## F.2. Reliability Requirements

The dynamically changing topology, short connectivity, mobile transmitter and receivers, different antenna heights, and many-to-many communication types, make IEEE 802.11-OCB links significantly different from other IEEE 802.11 links. Any IPv6 mechanism operating on IEEE 802.11-OCB link must support strong link asymmetry, spatio-temporal link quality, fast address resolution and transmission.

IEEE 802.11-OCB strongly differs from other 802.11 systems to operate outside of the context of a Basic Service Set. This means in practice that IEEE 802.11-OCB does not rely on a Base Station for all Basic Service Set management. In particular, IEEE 802.11-OCB shall not use beacons. Any IPv6 mechanism requiring L2 services from IEEE 802.11 beacons must support an alternative service.

Channel scanning being disabled, IPv6 over IEEE 802.11-OCB must implement a mechanism for transmitter and receiver to converge to a common channel.

Authentication not being possible, IPv6 over IEEE 802.11-OCB must implement a distributed mechanism to authenticate transmitters and receivers without the support of a DHCP server.

Time synchronization not being available, IPv6 over IEEE 802.11-OCB must implement a higher layer mechanism for time synchronization between transmitters and receivers without the support of a NTP server.

The IEEE 802.11-OCB link being asymmetric, IPv6 over IEEE 802.11-OCB must disable management mechanisms requesting acknowledgements or replies.

The IEEE 802.11-OCB link having a short duration time, IPv6 over IEEE 802.11-OCB should implement fast IPv6 mobility management mechanisms.

## F.3. Multiple interfaces

There are considerations for 2 or more IEEE 802.11-OCB interface cards per vehicle. For each vehicle taking part in road traffic, one IEEE 802.11-OCB interface card could be fully allocated for Non IP safety-critical communication. Any other IEEE 802.11-OCB may be used for other type of traffic.

The mode of operation of these other wireless interfaces is not clearly defined yet. One possibility is to consider each card as an independent network interface, with a specific MAC Address and a set of IPv6 addresses. Another possibility is to consider the set of

these wireless interfaces as a single network interface (not including the IEEE 802.11-OCB interface used by Non IP safety critical communications). This will require specific logic to ensure, for example, that packets meant for a vehicle in front are actually sent by the radio in the front, or that multiple copies of the same packet received by multiple interfaces are treated as a single packet. Treating each wireless interface as a separate network interface pushes such issues to the application layer.

Certain privacy requirements imply that if these multiple interfaces are represented by many network interface, a single renumbering event shall cause renumbering of all these interfaces. If one MAC changed and another stayed constant, external observers would be able to correlate old and new values, and the privacy benefits of randomization would be lost.

The privacy requirements of Non IP safety-critical communications imply that if a change of pseudonyme occurs, renumbering of all other interfaces shall also occur.

#### F.4. MAC Address Generation

In 802.11-OCB networks, the MAC addresses may change during well defined renumbering events. A 'randomized' MAC address has the following characteristics:

- o Bit "Local/Global" set to "locally administered".
- o Bit "Unicast/Multicast" set to "Unicast".
- o The 46 remaining bits are set to a random value, using a random number generator that meets the requirements of [RFC4086].

To meet the randomization requirements for the 46 remaining bits, a hash function may be used. For example, the SHA256 hash function may be used with input a 256 bit local secret, the "nominal" MAC Address of the interface, and a representation of the date and time of the renumbering event.

#### Appendix G. IEEE 802.11 Messages Transmitted in OCB mode

For information, at the time of writing, this is the list of IEEE 802.11 messages that may be transmitted in OCB mode, i.e. when dot11OCBActivated is true in a STA:

- o The STA may send management frames of subtype Action and, if the STA maintains a TSF Timer, subtype Timing Advertisement;

- o The STA may send control frames, except those of subtype PS-Poll, CF-End, and CF-End plus CFAck;
- o The STA may send data frames of subtype Data, Null, QoS Data, and QoS Null.

#### Appendix H. Implementation Status

This section describes an example of an IPv6 Packet captured over a IEEE 802.11-OCB link.

By way of example we show that there is no modification in the headers when transmitted over 802.11-OCB networks - they are transmitted like any other 802.11 and Ethernet packets.

We describe an experiment of capturing an IPv6 packet on an 802.11-OCB link. In topology depicted in Figure 6, the packet is an IPv6 Router Advertisement. This packet is emitted by a Router on its 802.11-OCB interface. The packet is captured on the Host, using a network protocol analyzer (e.g. Wireshark); the capture is performed in two different modes: direct mode and 'monitor' mode. The topology used during the capture is depicted below.

The packet is captured on the Host. The Host is an IP-OBU containing an 802.11 interface in format PCI express (an ITRI product). The kernel runs the ath5k software driver with modifications for OCB mode. The capture tool is Wireshark. The file format for save and analyze is 'pcap'. The packet is generated by the Router. The Router is an IP-RSU (ITRI product).

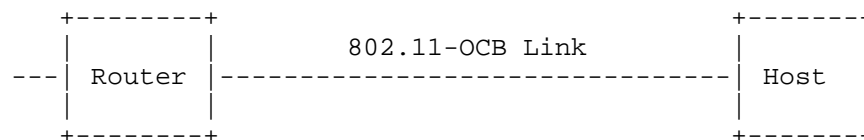


Figure 6: Topology for capturing IP packets on 802.11-OCB

During several capture operations running from a few moments to several hours, no message relevant to the BSSID contexts were captured (no Association Request/Response, Authentication Req/Resp, Beacon). This shows that the operation of 802.11-OCB is outside the context of a BSSID.

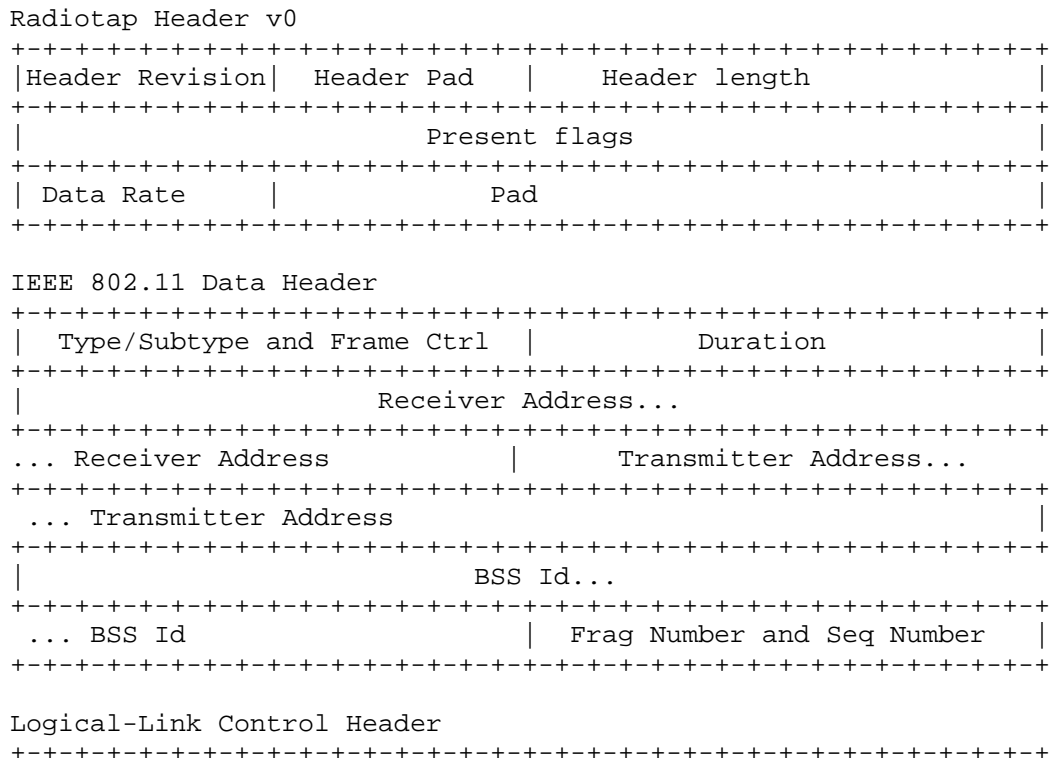


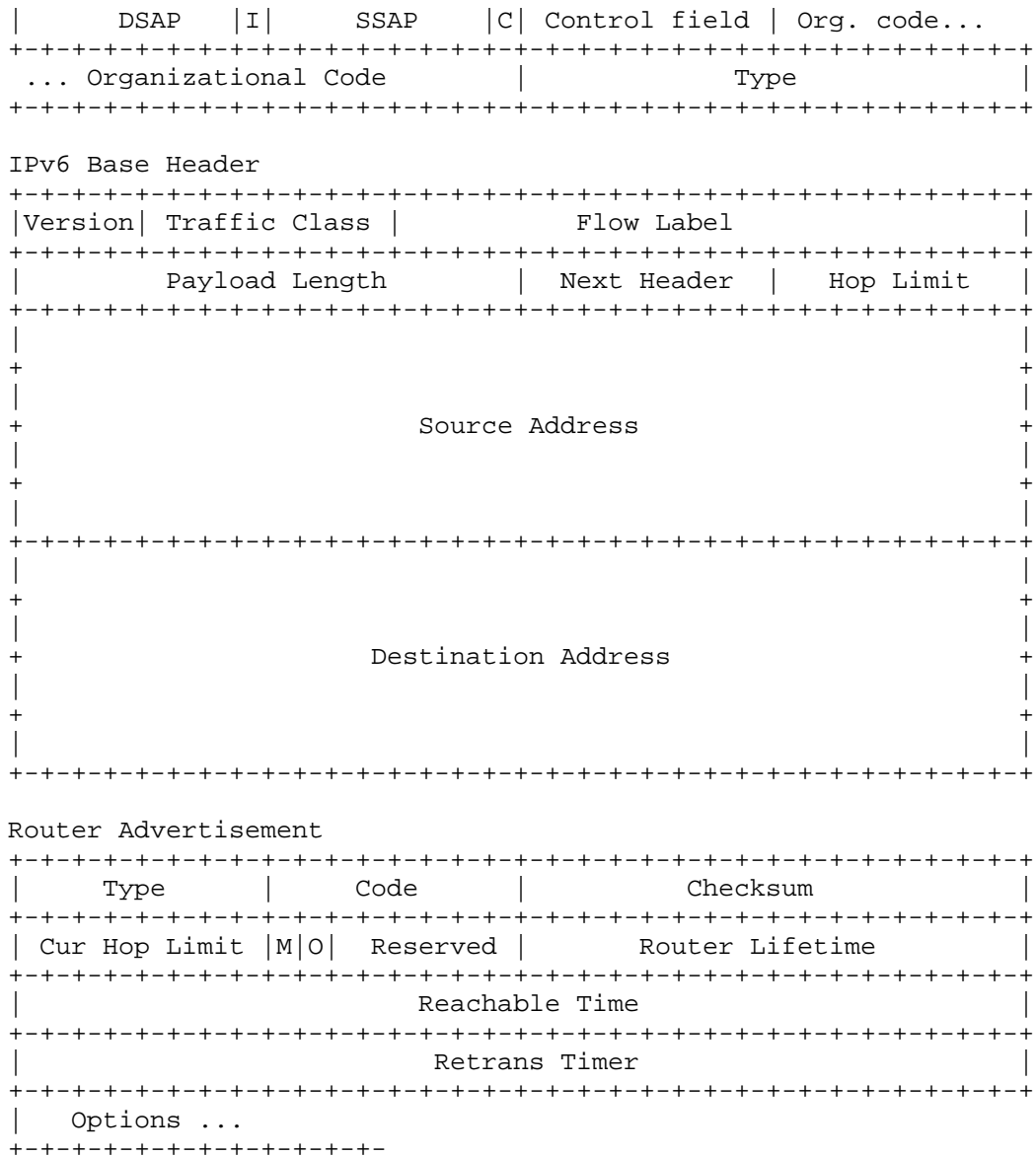
Overall, the captured message is identical with a capture of an IPv6 packet emitted on a 802.11b interface. The contents are precisely similar.

H.1. Capture in Monitor Mode

The IPv6 RA packet captured in monitor mode is illustrated below. The radio tap header provides more flexibility for reporting the characteristics of frames. The Radiotap Header is prepended by this particular stack and operating system on the Host machine to the RA packet received from the network (the Radiotap Header is not present on the air). The implementation-dependent Radiotap Header is useful for piggybacking PHY information from the chip's registers as data in a packet understandable by userland applications using Socket interfaces (the PHY interface can be, for example: power levels, data rate, ratio of signal to noise).

The packet present on the air is formed by IEEE 802.11 Data Header, Logical Link Control Header, IPv6 Base Header and ICMPv6 Header.





The value of the Data Rate field in the Radiotap header is set to 6 Mb/s. This indicates the rate at which this RA was received.

The value of the Transmitter address in the IEEE 802.11 Data Header is set to a 48bit value. The value of the destination address is 33:33:00:00:00:1 (all-nodes multicast address). The value of the BSS

Id field is ff:ff:ff:ff:ff:ff, which is recognized by the network protocol analyzer as being "broadcast". The Fragment number and sequence number fields are together set to 0x90C6.

The value of the Organization Code field in the Logical-Link Control Header is set to 0x0, recognized as "Encapsulated Ethernet". The value of the Type field is 0x86DD (hexadecimal 86DD, or otherwise #86DD), recognized as "IPv6".

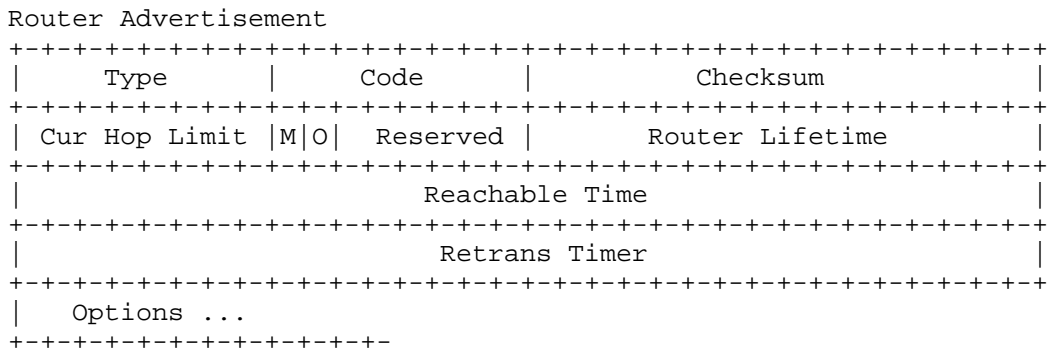
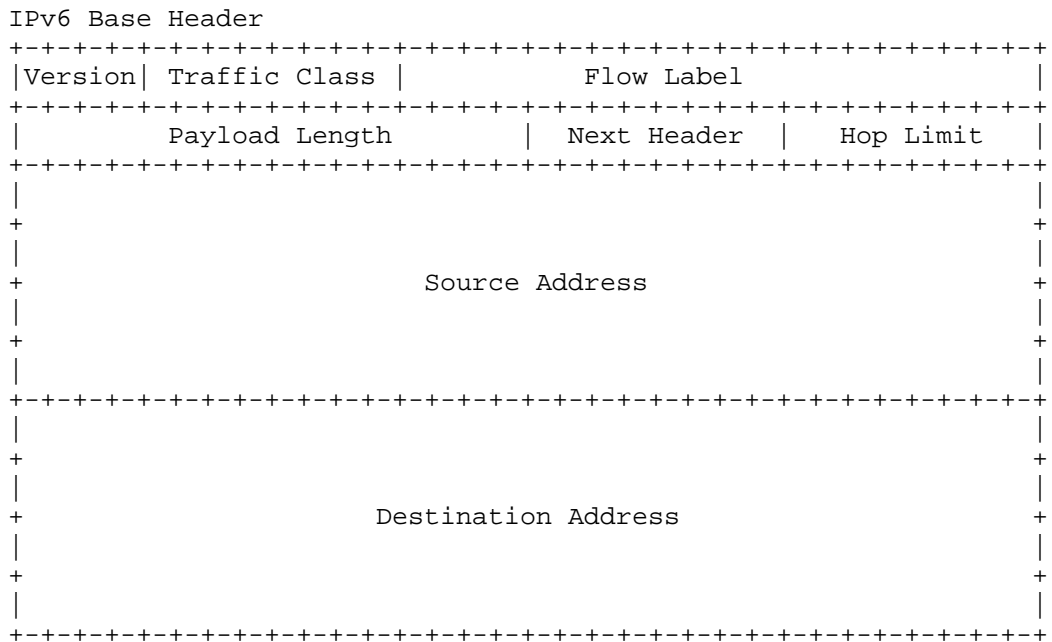
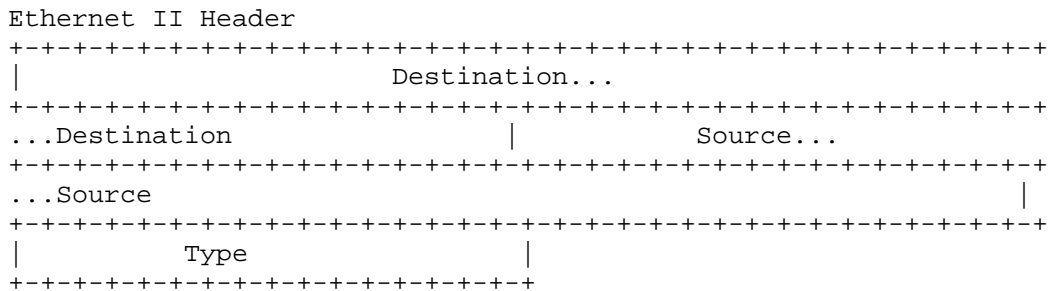
A Router Advertisement is periodically sent by the router to multicast group address ff02::1. It is an icmp packet type 134. The IPv6 Neighbor Discovery's Router Advertisement message contains an 8-bit field reserved for single-bit flags, as described in [RFC4861].

The IPv6 header contains the link local address of the router (source) configured via EUI-64 algorithm, and destination address set to ff02::1. Recent versions of network protocol analyzers (e.g. Wireshark) provide additional informations for an IP address, if a geolocation database is present. In this example, the geolocation database is absent, and the "GeoIP" information is set to unknown for both source and destination addresses (although the IPv6 source and destination addresses are set to useful values). This "GeoIP" can be a useful information to look up the city, country, AS number, and other information for an IP address.

The Ethernet Type field in the logical-link control header is set to 0x86dd which indicates that the frame transports an IPv6 packet. In the IEEE 802.11 data, the destination address is 33:33:00:00:00:01 which is the corresponding multicast MAC address. The BSS id is a broadcast address of ff:ff:ff:ff:ff:ff. Due to the short link duration between vehicles and the roadside infrastructure, there is no need in IEEE 802.11-OCB to wait for the completion of association and authentication procedures before exchanging data. IEEE 802.11-OCB enabled nodes use the wildcard BSSID (a value of all 1s) and may start communicating as soon as they arrive on the communication channel.

## H.2. Capture in Normal Mode

The same IPv6 Router Advertisement packet described above (monitor mode) is captured on the Host, in the Normal mode, and depicted below.



One notices that the Radiotap Header, the IEEE 802.11 Data Header and the Logical-Link Control Headers are not present. On the other hand, a new header named Ethernet II Header is present.

The Destination and Source addresses in the Ethernet II header contain the same values as the fields Receiver Address and Transmitter Address present in the IEEE 802.11 Data Header in the "monitor" mode capture.

The value of the Type field in the Ethernet II header is 0x86DD (recognized as "IPv6"); this value is the same value as the value of the field Type in the Logical-Link Control Header in the "monitor" mode capture.

The knowledgeable experimenter will no doubt notice the similarity of this Ethernet II Header with a capture in normal mode on a pure Ethernet cable interface.

An Adaptation layer is inserted on top of a pure IEEE 802.11 MAC layer, in order to adapt packets, before delivering the payload data to the applications. It adapts 802.11 LLC/MAC headers to Ethernet II headers. In further detail, this adaptation consists in the elimination of the Radiotap, 802.11 and LLC headers, and in the insertion of the Ethernet II header. In this way, IPv6 runs straight over LLC over the 802.11-OCB MAC layer; this is further confirmed by the use of the unique Type 0x86DD.

#### Appendix I. Extra Terminology

The following terms are defined outside the IETF. They are used to define the main terms in the main terminology section Section 2.

DSRC (Dedicated Short Range Communication): a term defined outside the IETF. The US Federal Communications Commission (FCC) Dedicated Short Range Communication (DSRC) is defined in the Code of Federal Regulations (CFR) 47, Parts 90 and 95. This Code is referred in the definitions below. At the time of the writing of this Internet Draft, the last update of this Code was dated October 1st, 2010.

DSRCS (Dedicated Short-Range Communications Services): a term defined outside the IETF. The use of radio techniques to transfer data over short distances between roadside and mobile units, between mobile units, and between portable and mobile units to perform operations related to the improvement of traffic flow, traffic safety, and other intelligent transportation service applications in a variety of environments. DSRCS systems may also transmit status and instructional messages related to the units involve. [Ref. 47 CFR 90.7 - Definitions]

OBU (On-Board Unit): a term defined outside the IETF. An On-Board Unit is a DSRC transceiver that is normally mounted in or on a vehicle, or which in some instances may be a portable unit. An OBU can be operational while a vehicle or person is either mobile or stationary. The OBUs receive and contend for time to transmit on one or more radio frequency (RF) channels. Except where specifically excluded, OBU operation is permitted wherever vehicle operation or human passage is permitted. The OBUs mounted in vehicles are licensed by rule under part 95 of the respective chapter and communicate with Roadside Units (RSUs) and other OBUs. Portable OBUs are also licensed by rule under part 95 of the respective chapter. OBU operations in the Unlicensed National Information Infrastructure (UNII) Bands follow the rules in those bands. - [CFR 90.7 - Definitions].

RSU (Road-Side Unit): a term defined outside of IETF. A Roadside Unit is a DSRC transceiver that is mounted along a road or pedestrian passageway. An RSU may also be mounted on a vehicle or is hand carried, but it may only operate when the vehicle or hand-carried unit is stationary. Furthermore, an RSU operating under the respective part is restricted to the location where it is licensed to operate. However, portable or hand-held RSUs are permitted to operate where they do not interfere with a site-licensed operation. A RSU broadcasts data to OBUs or exchanges data with OBUs in its communications zone. An RSU also provides channel assignments and operating instructions to OBUs in its communications zone, when required. - [CFR 90.7 - Definitions].

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Problem Statement for Vehicle-to-Infrastructure Networking  
draft-jeong-ipwave-v2i-problem-statement-00

Abstract

This document specifies the problem statement for IPv6-based vehicle-to-infrastructure networking. Dedicated Short-Range Communications (DSRC) is standardized as IEEE 802.11p for the wireless media access in vehicular networks. This document addresses the extension of IPv6 as the network layer protocol in vehicular networks and is focused on the networking issues in one-hop communication between a Road-Side Unit (RSU) and vehicle. The RSU is connected to the Internet and allows vehicles to have the Internet access if connected. The major issues of including IPv6 in vehicular networks are neighbor discovery protocol, stateless address autoconfiguration, and DNS configuration for the Internet connectivity over DSRC. Also, when a vehicle and an RSU have an internal network, respectively, the document discusses the issues of the internetworking between the vehicle's internal network and the RSU's internal network (e.g., prefix discovery, prefix exchange, and service discovery), and also security and privacy issues.

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## 1. Introduction

Recently, Vehicular Ad Hoc Networks (VANET) have been focusing on intelligent services in road networks, such as driving safety, efficient driving, and entertainment. For this VANET, Dedicated Short-Range Communications (DSRC) [DSRC-WAVE] has been standardized as IEEE 802.11p [IEEE-802.11p], which is an extension of IEEE 802.11a [IEEE-802.11a] with a consideration of the vehicular network's characteristics such as a vehicle's velocity and collision avoidance.

Now the deployment of VANET is demanded into real road environments along with the popularity of smart devices (e.g., smartphone and tablet). Many automobile vendors (e.g., Benz, BMW, Ford, Honda, and Toyota) started to consider automobiles as computers instead of mechanical machines since many current vehicles are operating with many sensors and software. Also, Google made a great advancement in self-driving vehicles with many special software modules and hardware devices to support computer-vision-based object recognition, machine-learning-based decision-making, and GPS navigation.

With this trend, vehicular networking has been researched to enable vehicles to communicate with other vehicles and infrastructure nodes in the Internet by using TCP/IP technologies [ID-VN-Survey], such as IP address autoconfiguration, routing, handover, and mobility management. IPv6 [RFC2460] is suitable for vehicular networks since the protocol has abundant address space, autoconfiguration features, and protocol extension ability through extension headers.

This document specifies the problem statement of IPv6-based vehicle-to-infrastructure (V2I) networking, such as IPv6 addressing [RFC4291], neighbor discovery [RFC4861], address autoconfiguration [RFC4862], and DNS naming service [RFC6106][RFC3646][ID-DNSNA]. This document also specifies the problem statement of the internetworking between a vehicle's internal network and an RSU's internal network, such as prefix discovery, prefix exchange, and service discovery, in the case where the vehicle and the RSU have their own internal network. In addition, the document analyzes the characteristics of vehicular networks to consider the design of V2I networking.

## 2. Requirements Language

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in RFC 2119 [RFC2119].

### 3. Terminology

This document uses the terminology described in [RFC4861] and [RFC4862]. In addition, four new terms are defined below:

- o Road-Side Unit (RSU): A node that has a Dedicated Short-Range Communications (DSRC) device for wireless communications with the vehicles and is connected to the Internet. Every RSU is usually deployed at an intersection so that it can provide vehicles with the Internet connectivity.
- o Vehicle: A node that has the DSRC device for wireless communications with vehicles and RSUs. Every vehicle may also have a GPS-navigation system for efficient driving.
- o Traffic Control Center (TCC): A node that maintains road infrastructure information (e.g., RSUs and traffic signals), vehicular traffic statistics (e.g., average vehicle speed and vehicle inter-arrival time per road segment), and vehicle information (e.g., a vehicle's identifier, position, direction, speed, and trajectory). TCC is included in a vehicular cloud for vehicular networks.

### 4. Overview

This document specifies the problem statement of vehicle-to-infrastructure (V2I) networking based on IPv6. The main focus is one-hop networking between a vehicle and an RSU or between vehicles via an RSU. However, this document does not address multi-hop networking scenarios of vehicles and RSUs. Also, the problems focus on the network layer (i.e., IPv6 protocol stack) rather than the media access control (MAC) layer and the transport layer (e.g., TCP, UDP, and SCTP).

Figure 1 shows the network configuration for V2I networking in a road network. The two RSUs (RSU1 and RSU2) are deployed in the road network and are connected to the Vehicular Cloud through the Internet. The TCC is connected to the Vehicular Cloud and the two vehicles (Vehicle1 and Vehicle2) are wirelessly connected to RSU1, and the last vehicle (Vehicle3) is wirelessly connected to RSU2. Vehicle1 can communicate with Vehicle2 via RSU1. Vehicle1 can communicate with Vehicle3 via RSU1 and RSU2.

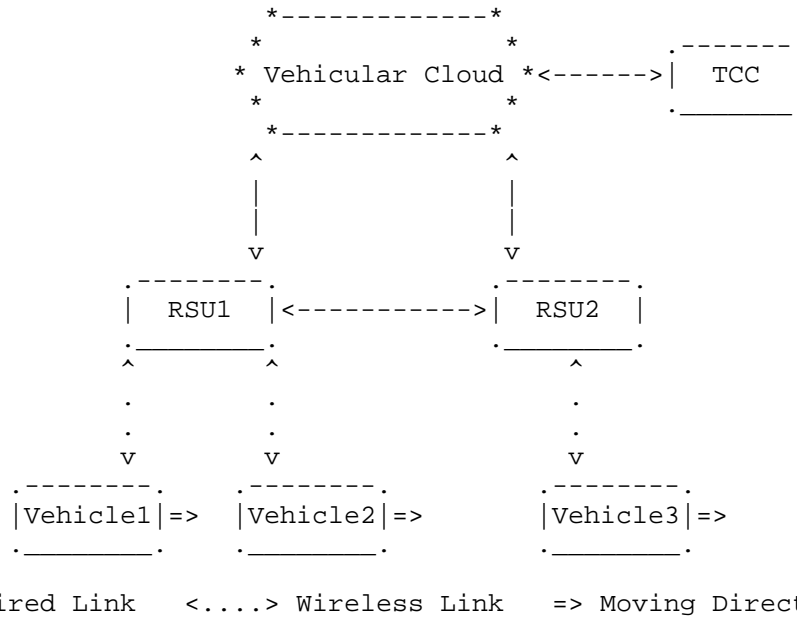


Figure 1: The Network Configuration for V2I Networking

Figure 2 shows internetworking between the vehicle’s moving network and the RSU’s fixed network. There exists an internal network (Moving Network1), which is located inside Vehicle1. Vehicle1 has the DNS Server (RDNSS1), the two hosts (Host1 and Host2), and the two routers (Router1 and Router2). The internal network (Fixed Network1) is located inside RSU1. RSU1 has the DNS Server (RDNSS2), one host (Host3), the two routers (Router3 and Router4), and the collection of servers (Server1 to ServerN) for various services in the road networks, such as the emergency notification and navigation. Vehicle1’s Router1 and RSU1’s Router3 use 2001:DB8:1:1::/64 for an external link (e.g., DSRC) for I2V networking.

This document addresses the internetworking between the vehicle’s moving network and the RSU’s fixed network in Figure 2 and the required enhancement of IPv6 protocol suite for the V2I networking service.

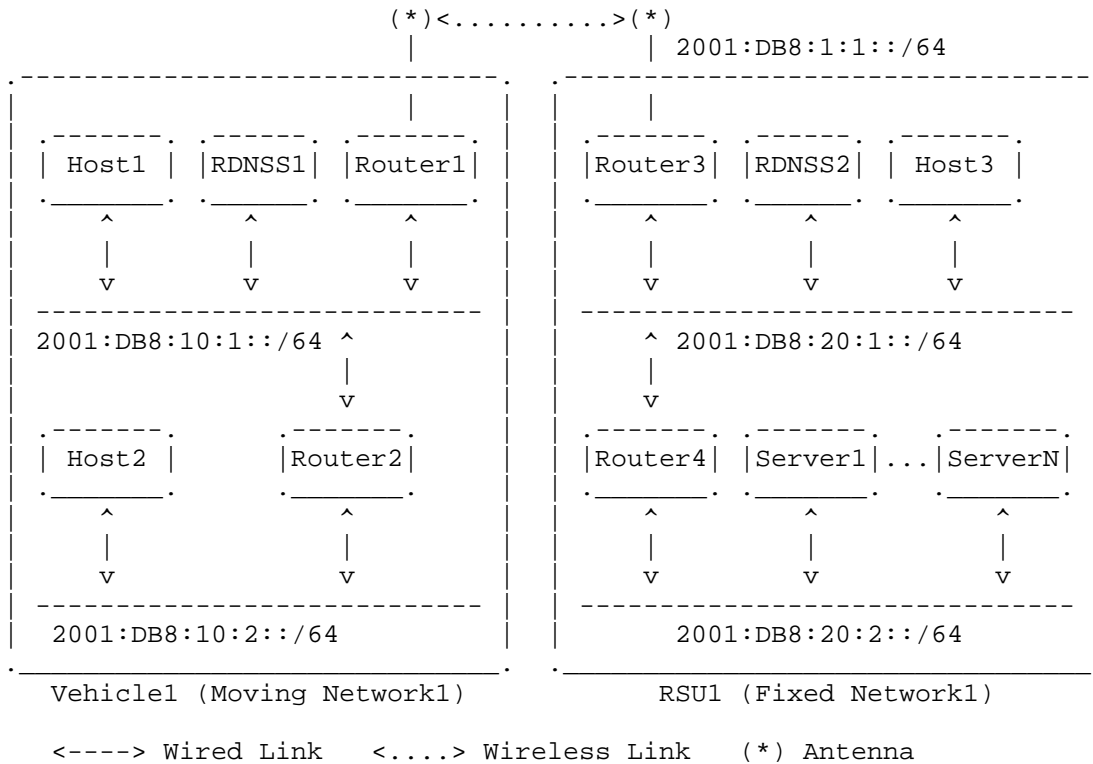


Figure 2: Internetworking between Vehicle Network and RSU Network

5. Internetworking between the Vehicle and RSU Networks

This section discusses the internetworking between the vehicle’s moving network and the RSU’s fixed network. As shown in Figure 2, it is assumed that the prefix assignment for each subnet inside the vehicle’s mobile network and the RSU’s fixed network through a prefix delegation protocol. Problems are a prefix discovery and prefix exchange. The prefix discovery is defined as how routers in a moving network discover the prefixes of the subnets in the moving network, as shown in Figure 2. The prefix exchange is defined as how a vehicle and an RSU exchange their prefixes with each other. Once these prefix discovery and prefix exchange are established, the unicast of packets should be supported between the vehicle’s moving network and the RSU’s fixed network. Also, the DNS naming service should be supported for the DNS name resolution for a host or server in either the vehicle’s moving network or the RSU’s fixed network.

## 6. IPv6 Addressing

This section discusses IP addressing for V2I networking. There are two policies for IPv6 addressing in vehicular networks. The one policy is to use unique local IPv6 unicast addresses (ULAs) for vehicular networks [RFC4193]. The other policy is to use global IPv6 addresses for the interoperability with the Internet [RFC4291]. The former approach is usually used by Mobile Ad Hoc Networks (MANET) for a separate multi-link subnet. This approach can support the emergency notification service and navigation service in road networks. However, for general Internet services (e.g., email access, web surfing and entertainment services), the latter approach is required.

For the global IP addresses, there are two policies, which are a multi-link subnet approach for multiple RSUs and a single subnet approach per RSU. In the multi-link subnet approach, which is similar to ULA for MANET, RSUs play a role of L2 switches and the router interconnected with the RSUs is required. The router maintains the location of each vehicle belonging to an RSU for L2 switching. In the single subnet approach per RSU, which is similar to the legacy subnet in the Internet, RSUs play a role of L3 router.

## 7. Neighbor Discovery

The Neighbor Discovery (ND) is a core part of IPv6 protocol suite [RFC4861]. This section discusses the extension of ND for V2I networking. The vehicles are moving fast within the communication coverage of an RSU. The external link between the vehicle and the RSU can be used for V2I networking, as shown in Figure 2.

ND time-related parameters such as router lifetime and Neighbor Advertisement (NA) interval should be adjusted for high-speed vehicles and vehicle density. As vehicles move faster, the NA interval should decrease for the NA messages to reach the neighboring vehicles promptly. Also, as vehicle density is higher, the NA interval should increase for the NA messages to collide with other NA messages with lower collision probability.

## 8. IP Address Autoconfiguration

This section discusses the IP address autoconfiguration for V2I networking. For the IP address autoconfiguration, the high-speed vehicles should also be considered. The legacy IPv6 stateless address autoconfiguration [RFC4862], as shown in Figure 1, may not perform well because vehicles can pass through the communication coverage of the RSU before the address autoconfiguration with the Router Advertisement and Duplicate Address Detection (DAD)

procedures.

To mitigate the impact of vehicle speed on the address configuration, RSU can perform IP address autoconfiguration including the DAD proactively for the sake of the vehicles as an ND proxy. If vehicles periodically report their mobility information (e.g., position, trajectory, speed, and direction) to TCC, TCC can coordinate RSUs under its control for the proactive IP address configuration of the vehicles with the mobility information of the vehicles. DHCPv6 (or Stateless DHCPv6) can be used for the IP address autoconfiguration [RFC3315][RFC3736].

In the case of a single subnet per RSU, the delay to change IPv6 address through DHCPv6 procedure is not suitable since vehicles move fast. Some modifications are required for the high-speed vehicles that quickly crosses the communication coverages of multiple RSUs. Some modifications are required for both stateless address autoconfiguration and DHCPv6.

#### 9. DNS Naming Service

This section discusses a DNS naming service for V2I networking. The DNS naming service can consist of the DNS name resolution and DNS name autoconfiguration.

The DNS name resolution translates a DNS name into the corresponding IPv6 address through a recursive DNS server (RDNSS) within the vehicle's moving network and DNS servers in the Internet [RFC1034][RFC1035], which are distributed in the world. The RDNSSes can be advertised by RA DNS Option or DHCP DNS Option into the subnets within the vehicle's moving network.

The DNS name autoconfiguration makes a unique DNS name for hosts within a vehicle's moving network and registers it into a DNS server within the vehicle's moving network [ID-DNSNA]. With Vehicle Identification Number (VIN), a unique DNS suffix can be constructed as a DNS domain for the vehicle's moving network. Each host can generate its DNS name and register it into the local RDNSS in the vehicle's moving network.

#### 10. IP Mobility Management

This section discusses an IP mobility support in V2I networking. In a single subnet per RSU, vehicles keep crossing the communication coverages of adjacent RSUs. During this crossing, TCP/UDP sessions can be maintained through IP mobility support, such as Mobile IPv6 (MIPv6) [RFC6275], Proxy MIPv6 [RFC5213][RFC5949], and Distributed Mobility Management (DMM) [RFC7333][RFC7429]. Since vehicles move

fast along roadways, this high speed should be considered for a parameter configuration in the IP mobility management. With the periodic reports of the mobility information from the vehicles, TCC can coordinate RSUs and other network components under its control for the proactive mobility management of the vehicles along the movement of the vehicles.

To support the mobility of a vehicle's moving network, Network Mobility Basic Support Protocol (NEMO) can be used [RFC3963]. Like Mobile IPv6, the high speed of vehicles should be considered for a parameter configuration in NEMO.

#### 11. Service Discovery

Vehicles need to discover services (e.g., road condition notification, navigation service, and infotainment) provided by infrastructure nodes in a fixed network via RSU, as shown in Figure 2. During the passing of an intersection or road segment with an RSU, vehicles should perform this service discovery quickly.

Since with the existing service discovery protocols, such as DNS-based Service Discovery (DNS-SD) [RFC6763] and Multicast DNS (mDNS) [RFC6762], the service discovery will be performed with message exchanges, the discovery delay may hinder the prompt service usage of the vehicles from the fixed network via RSU. One feasible approach is a piggyback service discovery during the prefix exchange of network prefixes for the networking between a vehicle's moving network and an RSU's fixed network. That is, the message of the prefix exchange can include service information, such as each service's IP address, transport layer protocol, and port number.

IPv6 ND can be extended for the prefix and service discovery [ID-Vehicular-ND]. Vehicles and RSUs can announce the network prefixes and services in their internal network via ND messages containing ND options with the prefix and service information. Since it does not need any additional service discovery protocol in the application layer, this ND-based approach can provide vehicles and RSUs with the rapid discovery of the network prefixes and services.

#### 12. Security Considerations

The security and privacy are very important in secure vehicular networks for V2I networking. Only valid vehicles should be allowed to use V2I networking in vehicular networks. A Vehicle Identification Number (VIN) and a user certificate along with in-vehicle device's identifier generation can be used to authenticate a vehicle and the user through a road infrastructure node, such as an RSU connected to an authentication server in TCC. Also, TLS



certificates can be used for secure vehicle communications.

A security scheme providing authentication and access control should be provided in vehicular networks [VN-Security]. With this scheme, the security and privacy can be supported for safe and reliable data services in vehicular networks.

To prevent a vehicle from being tracked by an adversary with its Media Access Control (MAC) address or IPv6 address, each vehicle needs to periodically update its MAC address and the corresponding IPv6 address using randomness [RFC4086][RFC4941]. Such an update of the MAC and IPv6 addresses should not interrupt the communications between a vehicle and an RSU in the level of network layer (i.e., IP) or transport layer (e.g., TCP and UDP).

To protect data packets exchanged between a vehicle and an RSU, they should be encrypted by a cryptography algorithm. For this confidentiality, efficient encryption and decryption algorithms can be used along with an efficient key management scheme through Internet Key Exchange version 2 (IKEv2) and Internet Protocol Security (IPsec) [Securing-VCOMM].

This document shares all the security issues of the neighbor discovery protocol. This document can get benefits from secure neighbor discovery (SEND) [RFC3971].

### 13. Acknowledgements

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#### Appendix A. Changes from draft-jeong-its-v2i-problem-statement-02

The following changes are made from draft-jeong-its-v2i-problem-statement-02:

- o In Section 12, the considerations on security and privacy are enhanced.

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Survey on IP-based Vehicular Networking for Intelligent Transportation  
Systems  
draft-jeong-ipwave-vehicular-networking-survey-02

Abstract

This document surveys the IP-based vehicular networks, which are considered a key component of Intelligent Transportation Systems (ITS). The main topics of vehicular networking are vehicle-to-vehicle (V2V), vehicle-to-infrastructure (V2I), and infrastructure-to-vehicle (I2V) networking. This document deals with some critical aspects in vehicular networking, such as IP address autoconfiguration, vehicular network architecture, routing, mobility management, and security. This document also surveys standard activities for vehicular networks. Finally, this document summarizes and analyzes the previous research activities that use IPv4 or IPv6 for vehicular networking.

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## 1. Introduction

Nowadays vehicular networks have been focused on the driving safety, driving efficiency, and infotainment in road networks. For the driving safety, IEEE has standardized Wireless Access in Vehicular Environments (WAVE) standards, such as IEEE 802.11p, IEEE 1609.3, and IEEE 1609.4 [VIP-WAVE]. Along with these WAVE standards, IPv6 and Mobile IP protocols (e.g., MIPv4 and MIPv6) can be extended to vehicular networks.

This document surveys the IP-based vehicular networking for Intelligent Transportation Systems (ITS), such as IP address autoconfiguration, vehicular network architecture, vehicular network routing (for multi-hop V2V, V2I, and V2V), mobility management, and security. This document summarizes and analyzes the previous research activities using IPv4 or IPv6 for vehicular networking.

Based on the survey of this document, we can specify the requirements for vehicular networks for the intended purposes, such as the driving safety, driving efficiency, and infotainment. As a consequence, this will make it possible to design the network architecture and protocols for vehicular networking.

## 2. Requirements Language

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in RFC 2119 [RFC2119].

## 3. Terminology

This document defines the following new terms:

- o Road-Side Unit (RSU): A node that has Dedicated Short-Range Communications (DSRC) device for wireless communications with vehicles and is connected to the Internet. An RSU is usually deployed at an intersection.
- o Vehicle: A node that has DSRC device for wireless communications with vehicles and RSUs. A vehicle may also have a GPS-navigation system for efficient driving.
- o Traffic Control Center (TCC): A node that maintains road infrastructure information (e.g., RSUs and traffic signals), vehicular traffic statistics (e.g., average vehicle speed and vehicle inter-arrival time per road segment), and vehicle information (e.g., a vehicle's identifier, position, direction, speed, and trajectory as a navigation path). TCC is included in a

vehicular cloud for vehicular networks.

#### 4. IP Address Autoconfiguration

This section surveys IP address autoconfiguration schemes for vehicular networks.

##### 4.1. Automatic IP Address Configuration in VANETs

Fazio et al. proposed a vehicular address configuration called VAC for automatic IP address configuration in Vehicular Ad Hoc Networks (VANET) [Address-Autoconf]. VAC uses a distributed dynamic host configuration protocol (DHCP). This scheme uses a leader playing a role of a DHCP server within a cluster having connected vehicles within a VANET. In a connected VANET, vehicles are connected with each other with the communication range. In this VANET, VAC dynamically elects a leader-vehicle to quickly provide vehicles with unique IP addresses. The leader-vehicle maintains updated information on configured addresses in its connected VANET. It aims at the reduction of the frequency of IP address reconfiguration due to mobility.

VAC defines the concept of SCOPE as a delimited geographic area where IP addresses are guaranteed to be unique. When it is allocated an IP address from a leader-vehicle with a scope, a vehicle is guaranteed to have a unique IP address while moving within the scope of the leader-vehicle. If it moves out of the scope of the leader vehicle, it needs to ask for another IP address from another leader-vehicle so that its IP address can be unique within the scope of the new leader-vehicle. This approach may allow for less frequent change of an IP address than the address allocation from a fixed Internet gateway.

Thus, VAC can support a feasible address autoconfiguration for V2V scenarios, but the overhead to guarantee the uniqueness of IP addresses is not ignorable under high-speed mobility.

##### 4.2. Routing and Address Assignment using Lane/Position Information in a Vehicular Ad-hoc Network

Kato et al. proposed an IPv6 address assignment scheme using lane and position information [Address-Assignment]. In this addressing scheme, each lane of a road segment has a unique IPv6 prefix. When it moves in a lane in a road segment, a vehicle autoconfigures its IPv6 address with its MAC address and the prefix assigned to the lane. A group of vehicles constructs a connected VANET within the same subnet such that their IPv6 addresses have the same prefix. Whenever it moves to another lane, a vehicle updates its IPv6 address with the prefix corresponding to the new lane and also joins the

group corresponding to the lane.

However, this address autoconfiguration scheme may have much overhead in the case where vehicles change their lanes frequently in highway.

#### 4.3. GeoSAC: Scalable Address Autoconfiguration for VANET Using Geographic Networking Concepts

Baldessari et al. proposed an IPv6 scalable address autoconfiguration scheme called GeoSAC for vehicular networks [GeoSAC]. GeoSAC uses geographic networking concepts such that it combines the standard IPv6 Neighbor Discovery (ND) and geographic routing functionality. It matches geographically-scoped network partitions to individual IPv6 multicast-capable links. In the standard IPv6, all nodes within the same link must communicate with each other, but due to the characteristics of wireless links, this concept of a link is not clear in vehicular networks. GeoSAC defines a link as a geographic area having a network partition. This geographic area can have a connected VANET. Thus, vehicles within the same VANET in a specific geographic area are regarded as staying in the same link, that is, an IPv6 multicast link.

This paper identifies four key requirements of IPv6 address autoconfiguration for vehicular networks: (i) the configuration of globally valid addresses, (ii) a low complexity for address autoconfiguration, (iii) a minimum signaling overhead of address autoconfiguration, (iv) the support of network mobility through movement detection, (v) an efficient gateway selection from multiple RSUs, (vi) a fully distributed address autoconfiguration for network security, (vii) the authentication and integrity of signaling messages, and (viii) the privacy protection of vehicles' users.

To support the proposed link concept, GeoSAC performs ad hoc routing for geographic networking in a sub-IP layer called Car-to-Car (C2C) NET. Vehicles within the same link can receive an IPv6 router advertisement (RA) message transmitted by an RSU as a router, so they can autoconfigure their IPv6 address based on the IPv6 prefix contained in the RA and perform Duplicate Address Detection (DAD) to verify the uniqueness of the autoconfigured IP address by the help of the geographic routing within the link.

For location-based applications, to translate between a geographic area and an IPv6 prefix belonging to an RSU, this paper takes advantage of an extended DNS service, using GPS-based addressing and routing along with geographic IPv6 prefix format [GeoSAC].

Thus, GeoSAC can support the IPv6 link concept through geographic routing within a specific geographic area.

#### 4.4. Cross-layer Identities Management in ITS Stations

ITS and vehicular networks are built on the concept of an ITS station (e.g., vehicle and RSU), which is a common reference model inspired from the Open Systems Interconnection (OSI) standard [Identities-Management]. In vehicular networks using multiple access network technologies through a cross-layer architecture, a vehicle may have multiple identities corresponding to the access network interfaces. Wetterwald et al. conducted a comprehensive study of the cross-layer identity management in vehicular networks using multiple access network technologies, which constitutes a fundamental element of the ITS architecture [Identities-Management].

Besides considerations related to the case where ETSI GeoNetworking [ETSI-GeoNetworking] is used, this paper analyzes the major requirements and constraints weighing on the identities of ITS stations, e.g., privacy and compatibility with safety applications and communications. The concerns related to security and privacy of the users need to be addressed for vehicular networking, considering all the protocol layers simultaneously. In other words, for security and privacy constraints to be met, the IPv6 address of a vehicle should be derived from a pseudonym-based MAC address and renewed simultaneously with that changing MAC address. This dynamically changing IPv6 address can prevent the ITS station from being tracked by a hacker. However, this address renewal cannot be applied at any time because in some situations, the continuity of the knowledge about the surrounding vehicles is required.

Also, this paper defines a cross-layer framework that fulfills the requirements on the identities of ITS stations and analyzes systematically, layer by layer, how an ITS station can be identified uniquely and safely, whether it is a moving station (e.g., car and bus using temporary trusted pseudonyms) or a static station (e.g., RSU and central station). This paper has been applied to the specific case of the ETSI GeoNetworking as the network layer, but an identical reasoning should be applied to IPv6 over 802.11 in Outside the Context of a Basic Service Set (OCB) mode now.

#### 4.5. Key Observations

High-speed mobility should be considered for a light-overhead address autoconfiguration. A cluster leader can have an IPv6 prefix [Address-Autoconf]. Each lane in a road segment can have an IPv6 prefix [Address-Assignment]. A geographic region under the communication range of an RSU can have an IPv6 prefix [GeoSAC].

IPv6 ND should be extended to support the concept of a link for an IPv6 prefix in terms of multicast. Ad Hoc routing is required for

the multicast in a connected VANET with the same IPv6 prefix [GeoSAC]. A rapid DAD should be supported to prevent or reduce IPv6 address conflicts.

In the ETSI GeoNetworking, for the sake of security and privacy, an ITS station (e.g., vehicle) can use pseudonyms for its network interface identities and the corresponding IPv6 addresses [Identities-Management]. For the continuity of an end-to-end transport session, the cross-layer identity management should be performed carefully.

## 5. Vehicular Network Architecture

This section surveys vehicular network architectures based on IP along with various radio technologies.

### 5.1. VIP-WAVE: On the Feasibility of IP Communications in 802.11p Vehicular Networks

Céspedes et al. proposed a vehicular IP in WAVE called VIP-WAVE for I2V and V2I networking [VIP-WAVE]. IEEE 1609.4 specified a WAVE stack of protocols and includes IPv6 as a network layer protocol in data plane. The standard WAVE does not support DAD, seamless communications for Internet services, and multi-hop communications between a vehicle and an infrastructure node (e.g., RSU). To overcome these limitations of the standard WAVE for IP-based networking, VIP-WAVE enhances the standard WAVE by the following three schemes: (i) an efficient mechanism for the IPv6 address assignment and DAD, (ii) on-demand IP mobility based on Proxy Mobile IPv6 (PMIPv6), and (iii) one-hop and two-hop communications for I2V and V2I networking.

In WAVE, IPv6 ND protocol is not recommended due to the overhead of ND against the timely and prompt communications in vehicular networking. By WAVE service advertisement (WAS) management frame, an RSU can provide vehicles with IP configuration information (e.g., IPv6 prefix, prefix length, gateway, router lifetime, and DNS server) without using ND. However, WAVE devices may support readdressing to provide pseudonymity, so a MAC address of a vehicle may be changed or randomly generated. This update of the MAC address may lead to the collision of an IPv6 address based on a MAC address, so VIP-WAVE includes a light-weight, on-demand ND to perform DAD.

For IP-based Internet services, VIP-WAVE adopts PMIPv6 for network-based mobility management in vehicular networks. In VIP-WAVE, RSU plays a role of mobile anchor gateway (MAG) of PMIPv6, which performs the detection of a vehicle as a mobile node in a PMIPv6 domain and registers it into the PMIPv6 domain. For PMIPv6 operations, VIP-WAVE

requires a central node called local mobility anchor (LMA), which assigns IPv6 prefixes to vehicles as mobile nodes and forwards data packets to the vehicles moving in the coverage of RSUs under its control through tunnels between MAGs and itself.

For two-hop communications between a vehicle and an RSU, VIP-WAVE allows an intermediate vehicle between the vehicle and the RSU to play a role of a packet relay for the vehicle. When it becomes out of the communication range of an RSU, a vehicle searches for another vehicle as a packet relay by sending a relay service announcement. When it receives this relay service announcement and is within the communication range of an RSU, another vehicle registers itself into the RSU as a relay and notifies the relay-requester vehicle of a relay maintenance announcement.

Thus, VIP-WAVE is a good candidate for I2V and V2I networking, supporting an enhanced ND, handover, and two-hop communications through a relay.

## 5.2. IPv6 Operation for WAVE - Wireless Access in Vehicular Environments

Baccelli et al. provided an analysis of the operation of IPv6 as it has been described by the IEEE WAVE standards 1609 [IPv6-WAVE]. Although the main focus of WAVE has been the timely delivery of safety related information, the deployment of IP-based infotainment applications is also considered. Thus, in order to support infotainment traffic, WAVE supports IPv6 and transport protocols such as TCP and UDP.

In the analysis provided in [IPv6-WAVE], it is identified that the IEEE 1609.3 standard's recommendations for IPv6 operation over WAVE are rather minimal. Protocols on which the operation of IPv6 relies for IP address configuration and IP-to-link-layer address translation (e.g., IPv6 NP protocol) are not recommended in the standard. Additionally, IPv6 works under certain assumptions for the link model that do not necessarily hold in WAVE. For instance, IPv6 assumes symmetry in the connectivity among neighboring interfaces. However, interference and different levels of transmission power may cause unidirectional links to appear in a WAVE link model. Also, in an IPv6 link, it is assumed that all interfaces which are configured with the same subnet prefix are on the same IP link. Hence, there is a relationship between link and prefix, besides the different scopes that are expected from the link-local and global types of IPv6 addresses. Such a relationship does not hold in a WAVE link model due to node mobility and highly dynamic topology.

Baccellii et al. concluded that the use of the standard IPv6 protocol

stack, as the IEEE 1609 family of specifications stipulate, is not sufficient. Instead, the addressing assignment should follow considerations for ad-hoc link models, defined in [RFC5889], which are similar to the characteristics of the WAVE link model. In terms of the supporting protocols for IPv6, such as ND, DHCP, or stateless auto-configuration, which rely largely on multicast, do not operate as expected in the case where the WAVE link model does not have the same behavior expected for multicast IPv6 traffic due to nodes' mobility and link variability. Additional challenges such as the support of pseudonymity through MAC address change along with the suitability of traditional TCP applications are discussed by the authors since they require the design of appropriate solutions.

### 5.3. A Framework for IP and non-IP Multicast Services for Vehicular Networks

Jemaa et al. presented a framework that enables deploying multicast services for vehicular networks in Infrastructure-based scenarios [Vehicular-Network-Framework]. This framework deals with two phases: (i) Initialization or bootstrapping phase that includes a geographic multicast auto-configuration process and a group membership building method and (ii) Multicast traffic dissemination phase that includes a network selecting mechanism on the transmission side and a receiver-based multicast delivery in the reception side. To this end, authors define a distributed mechanism that allows the vehicles to configure a common multicast address: Geographic Multicast Address Auto-configuration (GMAA), which allows a vehicle to configure its own address without signaling. A vehicle may also be able to change the multicast address to which it is subscribed when it changes its location.

This framework suggests a network selecting approach that allows IP and non-IP multicast data delivery in the sender side. Then, to meet the challenges of multicast address auto-configuration, the authors propose a distributed geographic multicast auto-addressing mechanism for multicast groups of vehicles, and a simple multicast data delivery scheme in hybrid networks from a server to the group of moving vehicles. However, this study lacks simulations related to performance assessment.

### 5.4. Joint IP Networking and Radio Architecture for Vehicular Networks

Petrescu et al. defined the joined IP networking and radio architecture for V2V and V2I communication in [Joint-IP-Networking]. The paper proposes to consider an IP topology in a similar way as a radio link topology, in the sense that an IP subnet would correspond to the range of 1-hop vehicular communication. The paper defines three types of vehicles: Leaf Vehicle (LV), Range Extending Vehicle



(REV), and Internet Vehicle (IV). The first class corresponds to the largest set of communicating vehicles (or network nodes within a vehicle), while the role of the second class is to build an IP relay between two IP-subnet and two sub-IP networks. Finally, the last class corresponds to vehicles being connected to Internet. Based on these three classes, the paper defines six types of IP topologies corresponding to V2V communication between two LVs in direct range, or two LVs over a range extending vehicle, or V2I communication again either directly via an IV, via another vehicles being IV, or via an REV connecting to an IV.

Considering a toy example of a vehicular train, where LV would be in-wagon communicating nodes, REV would be inter-wagon relays, and IV would be one node (e.g., train head) connected to Internet. Petrescu et al. defined the required mechanisms to build subnetworks, and evaluated the protocol time that is required to build such networks. Although no simulation-based evaluation is conducted, the initial analysis shows a long initial connection overhead, which should be alleviated once the multi-wagon remains stable. However, this approach does not describe what would happen in the case of a dynamic multi-hop vehicular network, where such overhead would end up being too high for V2V/V2I IP-based vehicular applications.

One other aspect described in this paper is to join the IP-layer relaying with radio-link channels. This paper suggests to separate different subnetworks in different WiFi/ITS-G5 channels, which could be advertised by the REV. Accordingly, the overall interference could be controlled within each subnetwork. This statement is similar to multi-channel topology management proposals in multi-hop sensor networks, yet adapted to an IP topology.

In conclusion, this paper proposes to classify an IP multi-hop vehicular network in three classes of vehicles: Leaf Vehicle (LV), Range Extending Vehicle (REV), and Internet Vehicle (IV). It suggests that the generally complex multi-hop IP vehicular topology could be represented by only six different topologies, which could be further analyzed and optimized. A prefix dissemination protocol is proposed for one of the topologies.

#### 5.5. Mobile Internet Access in FleetNet

Bechler et al. described the FleetNet project approach to integrate Internet Access in future vehicular networks [FleetNet]. The paper is most probably one of the first paper to address this aspect, and in many ways, introduces concepts that will be later used in MIPv6 or other subsequent IP mobility management schemes. The paper describes a V2I architecture consisting of Vehicles, Internet Gateways (IGW), Proxy, and Corresponding Nodes (CN). Considering that vehicular

networks are required to use IPv6 addresses and also the new wireless access technology ITS-G5 (new at that time), one of the challenges is to bridge the two different networks (i.e., VANET and IP4/IPv6 Internet). Accordingly, the paper introduces a Fleetnet Gateway (FGW), which allows vehicles in IPv6 to access the IPv4 Internet and to bridge two types of networks and radio access technologies. Another challenge is to keep the active addressing and flows while vehicles move between FGWs. Accordingly, the paper introduces a proxy node, a cranked-up MIP Home Agent, which can re-route flows to the new FGW as well as acting as a local IPv4-IPv6 NAT.

The authors from the paper mostly observed two issues that VANET brings into the traditional IP mobility. First, VANET vehicles must mostly be addressed from the Internet directly, and do not specifically have a Home Network. Accordingly, VANET vehicles require a globally (predefined) unique IPv6 address, while an IPv6 co-located care-of address (CCoA) is a newly allocated IPv6 address every time a vehicle would enter a new IGW radio range. Second, VANET links are known to be unreliable and short, and the extensive use of IP tunneling on-the-air was judged not efficient. Accordingly, the first major architecture innovation proposed in this paper is to re-introduce a foreign agent (FA) in MIP located at the IGW, so that the IP-tunneling would be kept in the back-end (between a Proxy and an IGW) and not on the air. Second, the proxy has been extended to build an IP tunnel and be connected to the right FA/IWG for an IP flow using a global IPv6 address.

This is a pioneer paper, which contributed to changing MIP and led to the new IPv6 architecture currently known as Proxy-MIP and the subsequent DMM-PMIP. Three key messages can be yet kept in mind. First, unlike the Internet, vehicles can be more prominently directly addressed than the Internet traffic, and do not have a Home Network in the traditional MIP sense. Second, IP tunneling should be avoided as much as possible over the air. Third, the protocol-based mobility (induced by the physical mobility) must be kept hidden to both the vehicle and the correspondent node (CN).

#### 5.6. A Layered Architecture for Vehicular Delay-Tolerant Networks

Soares et al. addressed the case of delay tolerant vehicular network [Vehicular-DTN]. For delay tolerant or disruption tolerant networks, rather than building a complex VANET-IP multi-hop route, vehicles may also be used to carry packets closer to the destination or directly at the destination. The authors built the well-accepted DTN Bundle architecture and protocol to propose a VANET extension. They introduced three types of VANET nodes: (i) terminal nodes (requiring data), (ii) mobile nodes (carrying data along their routes), and (iii) relay nodes (storing data at cross-roads of mobile nodes as

data hotspot).

The major innovation in this paper is to propose a DTN VANET architecture separating a Control plane and a Data plane. The authors claimed it to be designed to allow full freedom to select the most appropriate technology, as well as allow to use out-of-band communication for small Control plane packets and use DTN in-band for the Data plane. The paper then further describes the different layers from the Control and the Data planes. One interesting aspect is the positioning of the Bundle layer between L2 and L3, rather than above TCP/IP as for the DTN Bundle architecture. The authors claimed this to be required first to keep bundle aggregation/disaggregation transparent to IP, as well as to allow bundle transmission over multiple access technologies (described as MAC/PHY layers in the paper).

Although the DTN architectures evolved since the paper has been written, this paper addresses IP mobility management from a different approach. The innovative aspect is an early proposal to separate the Control from the Data plane to allow a large flexibility in a Control plane to coordinate a heterogeneous radio access technology (RAT) Data plane.

#### 5.7. Key Observations

Unidirectional links exist and must be considered. Control Plane must be separated from Data Plane. ID/Pseudonym change requires a lightweight DAD. IP tunneling should be avoided. Vehicles do not have a Home Network. Protocol-based mobility must be kept hidden to both the vehicle and the correspondent node (CN). An ITS architecture may be composed of three types of vehicles: Leaf Vehicle, Range Extending Vehicle, and Internet Vehicle.

### 6. Vehicular Network Routing

This section surveys routing in vehicular networks.

#### 6.1. An IP Passing Protocol for Vehicular Ad Hoc Networks with Network Fragmentation

Chen et al. tackled the issue of network fragmentation in VANET environments [IP-Passing-Protocol]. The paper proposes a protocol that can postpone the time to release IP addresses to the DHCP server and select a faster way to get the vehicle's new IP address, when the vehicle density is low or the speeds of vehicles are varied. In such circumstances, the vehicle may not be able to communicate with the intended vehicle either directly or through multi-hop relays as a consequence of network fragmentation.

The paper claims that although the existing IP passing and mobility solutions may reduce handoff delay, but they cannot work properly on VANET especially with network fragmentation. This is due to the fact that messages cannot be transmitted to the intended vehicles. When network fragmentation occurs, it may incur longer handoff latency and higher packet loss rate. The main goal of this study is to improve existing works by proposing an IP passing protocol for VANET with network fragmentation.

The paper makes the assumption that on the highway, when a vehicle moves to a new subnet, the vehicle will receive broadcast packet from the target Base Station (BS), and then perform the handoff procedure. The handoff procedure includes two parts, such as the layer-2 handoff (new frequency channel) and the layer-3 handover (a new IP address). The handoff procedure contains movement detection, DAD procedure, and registration. In the case of IPv6, the DAD procedure is time consuming and may cause the link to be disconnected.

This paper proposes another handoff mechanism. The handoff procedure contains the following phases. The first is the information collecting phase, where each mobile node (vehicle) will broadcast its own and its neighboring vehicles' locations, moving speeds, and directions periodically. The remaining phases are, the fast IP acquiring phase, the cooperation of vehicle phase, the make before break phase, and the route redirection phase.

Simulation results show that for the proposed protocol, network fragmentation ratio incurs less impact. Vehicle speed and density has great impact on the performance of the IP passing protocol because vehicle speed and vehicle density will affect network fragmentation ratio. A longer IP lifetime can provide a vehicle with more chances to acquire its IP address through IP passing. Simulation results show that the proposed scheme can reduce IP acquisition time and packet loss rate, so extend IP lifetime with extra message overhead.

## 6.2. Experimental Evaluation for IPv6 over VANET Geographic Routing

Tsukada et al. presented a work that aims at combining IPv6 networking and a Car-to-Car Network routing protocol (called C2CNet) proposed by the Car2Car Communication Consortium (C2C-CC), which is an architecture using a geographic routing protocol [VANET-Geo-Routing]. In C2C-CC architecture, C2CNet layer is located between IPv6 and link layers. Thus, an IPv6 packet is delivered with outer C2CNet header, which introduces the challenge of how to support the communication types defined in C2CNet in IPv6 layer.

The main goal of GeoNet is to enhance these specifications and create

a prototype software implementation interfacing with IPv6. C2CNet is specified in C2C-CC as a geographic routing protocol.

In order to assess the performance of this protocol, the authors measured the network performance with UDP and ICMPv6 traffic using iperf and ping6. The test results show that IPv6 over C2CNet does not have too much delay (less than 4ms with a single hop) and is feasible for vehicle communication. In the outdoor testbed, they developed AnaVANET to enable hop-by-hop performance measurement and position trace of the vehicles.

The combination of IPv6 multicast and GeoBroadcast was implemented, however, the authors did not evaluate the performance with such a scenario. One of the reasons is that a sufficiently high number of receivers are necessary to properly evaluate multicast but experimental evaluation is limited in the number of vehicles (4 in this study).

### 6.3. Key Observations

IP address autoconfiguration should be manipulated to support the efficient networking. Due to network fragmentation, vehicles cannot communicate with each other temporarily. IPv6 ND should consider the temporary network fragmentation. IPv6 link concept can be supported by Geographic routing to connect vehicles with the same IPv6 prefix.

## 7. Mobility Management in Vehicular Networks

This section surveys mobility management schemes in vehicular networks to support handover.

### 7.1. A Hybrid Centralized-Distributed Mobility Management for Supporting Highly Mobile Users

Nguyen et al. proposed a hybrid centralized-distributed mobility management called H-DMM to support highly mobile vehicles [H-DMM]. The legacy DMM is not suitable for high-speed scenarios because it requires additional registration delay proportional to the distance between a vehicle and its anchor network. H-DMM is designed to satisfy a set of requirements, such as service disruption time, end-to-end delay, packet delivery cost, and tunneling cost.

H-DMM adopts a central node called central mobility anchor (CMA), which plays the role of a local mobility anchor (LMA) in PMIPv6. When it enters a mobile access router (MAR) as an access router, a vehicle obtains a prefix from the MAR (called MAR-prefix) according to the legacy DMM protocol. In addition, it obtains another prefix from the CMA (called LMA-prefix) for a PMIPv6 domain. Whenever it

performs a handover between the subnets for two adjacent MARs, a vehicle keeps the LMA-prefix while obtaining a new prefix from the new MAR. For a new data exchange with a new CN, the vehicle can select the MAR-prefix or the LMA-prefix for its own source IPv6 address. If the number of active prefixes is greater than a threshold, the vehicle uses the LMA-prefix-based IPv6 address as its source address. In addition, it can continue receiving data packets with the destination IPv6 addresses based on the previous prefixes through the legacy DMM protocol.

Thus, H-DMM can support an efficient tunneling for a high-speed vehicle that moves fast across the subnets of two adjacent MARs. However, when H-DMM asks a vehicle to perform DAD for the uniqueness test of its configured IPv6 address in the subnet of the next MAR, the activation of the configured IPv6 address for networking will take a delay. This indicates that a proactive DAD by a network component (i.e., MAR and LMA) can shorten the address configuration delay of the current DAD triggered by a vehicle.

## 7.2. A Hybrid Centralized-Distributed Mobility Management Architecture for Network Mobility

Nguyen et al. proposed H-NEMO, a hybrid centralized-distributed mobility management scheme to handle IP mobility of moving vehicles [H-NEMO]. The standard Network Mobility (NEMO) basic support, which is a centralized scheme for network mobility, provides IP mobility for a group of users in a moving vehicle, but also inherits the drawbacks from Mobile IPv6, such as suboptimal routing and signaling overhead in nested scenarios as well as reliability and scalability issues. On the contrary, distributed schemes such as the recently proposed Distributed Mobility Management (DMM) locates the mobility anchor at the network edge and enables mobility support only to traffic flows that require such support. However, in high speed moving vehicles, DMM may suffer from high signaling cost and high handover latency.

The proposed H-NEMO architecture is not designed for a specific wireless technology. Instead, it defines a general architecture and signaling protocol so that a mobile node can obtain mobility from fixed locations or mobile platforms, and also allows the use of DMM or Proxy Mobile IPv6 (PMIPv6), depending on flow characteristics and mobility patterns of the node. For IP addressing allocation, a mobile router (MR) or the mobile node (MN) connected to an MR in a NEMO obtain two sets of prefixes: one from the central mobility anchor and one from the mobile access router (MAR). In this way, the MR/MN may choose a more stable prefix for long-lived flows to be routed via the central mobility anchor and the MAR-prefix for short-lived flows to be routed following the DMM concept. The multi-hop

scenario is considered under the concept of a nested-NEMO.

Nguyen et al. did not provide simulation-based evaluations, but they provided an analytical evaluation that considered signaling and packet delivery costs, and showed that H-NEMO outperforms the previous proposals, which are either centralized or distributed ones with NEMO support. In particular cases, such as the signaling cost, H-NEMO is more costly than centralized schemes when the velocity of the node is increasing, but behaves better in terms of packet delivery cost and handover delay.

### 7.3. NEMO-Enabled Localized Mobility Support for Internet Access in Automotive Scenarios

In [NEMO-LMS], authors proposed an architecture to enable IP mobility for moving networks in a network-based mobility scheme based on PMIPv6. In PMIPv6, only mobile terminals are provided with IP mobility. Different from host-based mobility, PMIPv6 shifts the signaling to the network side, so that the mobile access gateway (MAG) is in charge of detecting connection/disconnection of the mobile node, upon which the signaling to the Local Mobility Anchor (LMA) is triggered to guarantee a stable IP addressing assignment when the mobile node performs handover to a new MAG.

Soto et al. proposed NEMO support in PMIPv6 (N-PMIP). In this scheme, the functionality of the MAG is extended to the mobile router (MR), also called a mobile MAG (mMAG). The functionality of the mobile terminal remains unchanged, but it can receive an IPv6 prefix belonging to the PMIPv6 domain through the new functionality of the mMAG. Therefore, in N-PMIP, the mobile terminal connects to the MR as if it is connecting to a fixed MAG, and the MR connects to the fixed MAG with the standardized signaling of PMIPv6. When the mobile terminal roams to a new MAG or a new MR, the network forwards the packets through the LMA. Hence, N-PMIP defines an extended functionality in the LMA that enables a recursive lookup. First, it locates the binding entry corresponding to the mMAG. Next, it locates the entry corresponding to the fixed MAG, after which the LMA can encapsulate packets to the mMAG to which the mobile terminal is currently connected.

The performance of N-PMIP was evaluated through simulations and compared to a NEMO+MIPv6+PMIPv6 scheme, with better results obtained in N-PMIP. The work did not consider the case of multi-hop connectivity in the vehicular scenario. In addition, since the MR should be a trusted entity in the PMIP domain, it requires specific security associations that were not addressed in [NEMO-LMS].

#### 7.4. Network Mobility Protocol for Vehicular Ad Hoc Networks

Chen et al. proposed a network mobility protocol to reduce handoff delay and maintain Internet connectivity to moving vehicles in a highway [NEMO-VANET]. In this work, vehicles can acquire IP addresses from other vehicles through V2V communications. At the time the vehicle goes out of the coverage of the base station, another vehicle may assist the roaming car to acquire a new IP address. Also, cars on the same or opposite lane are entitled to assist the vehicle to perform a pre-handoff.

Authors assumed that the wireless connectivity is provided by WiFi and WiMAX access networks. Also, they considered scenarios in which a single vehicle, i.e., a bus, may need two mobile routers in order to have an effective pre-handoff procedure. Evaluations are performed through simulations and the comparison schemes are the standard NEMO Basic Support protocol and the fast NEMO Basic Support protocol. Authors did not mention applicability of the scheme in other scenarios such as in urban transport schemes.

#### 7.5. Performance Analysis of PMIPv6-Based Network MObility for Intelligent Transportation Systems

Lee et al. proposed P-NEMO, which is an IP mobility management scheme to maintain the Internet connectivity at the vehicle as a mobile network, and provides a make-before-break mechanism when vehicles switch to a new access network [PMIPv6-NEMO-Analysis]. Since the standard PMIPv6 only supports mobility for a single node, the solution in [PMIPv6-NEMO-Analysis] adapts the protocol to reduce the signaling when a local network is to be served by the in-vehicle mobile router. To achieve this, P-NEMO extends the binding update lists at both MAG and LMA, so that the mobile router (MR) can receive a home network prefix (HNP) and a mobile network prefix (MNP). The latter prefix enables mobility for the moving network, instead of a single node as in the standard PMIPv6.

An additional feature is proposed by Lee et al. named fast P-NEMO (FP-NEMO). It adopts the fast handover approach standardized for PMIPv6 in [RFC5949] with both predictive and reactive modes. The difference of the proposed feature with the standard version is that by using the extensions provided by P-NEMO, the predictive transferring of the context from the old MAG to the new MAG also includes information for the moving network, i.e., the MNP, so that mobility support can be achieved not only for the mobile router, but also for mobile nodes traveling with the vehicle.

The performance of P-NEMO and F-NEMO is only evaluated through an analytical model that is compared to the standard NEMO-BS. No



comparison was provided to other schemes that enable network mobility in PMIPv6 domains, such as the one presented in [NEMO-LMS].

#### 7.6. A Novel Mobility Management Scheme for Integration of Vehicular Ad Hoc Networks and Fixed IP Networks

Peng et al. proposed a novel mobility management scheme for integration of VANET and fixed IP networks [Vehicular-Network-MM]. The proposed scheme deals with mobility of vehicles based on a street layout instead of a general two dimensional ad hoc network. This scheme makes use of the information provided by vehicular networks to reduce mobility management overhead. It allows multiple base stations that are close to a destination vehicle to discover the connection to the vehicle simultaneously, which leads to an improvement of the connectivity and data delivery ratio without redundant messages. The performance was assessed by using a road traffic simulator called SUMO (Simulation of Urban Mobility).

#### 7.7. SDN-based Distributed Mobility Management for 5G Networks

Nguyen et al. extended their previous works on a vehicular adapted DMM considering a Software-Defined Networking (SDN) architecture [SDN-DMM]. On one hand, in their previous work, Nguyen et al. proposed DMM-PMIP and DMM-MIP architectures for VANET. The major innovation behind DMM is to distribute the Mobility Functions (MF) through the network instead of concentrating them in one bottleneck MF, or in a hierarchically organized backbone of MF. Highly mobile vehicular networks impose frequent IP route optimizations that lead to suboptimal routes (detours) between CN and vehicles. The suboptimality critically increases by nested or hierarchical MF nodes. Therefore, flattening the IP mobility architecture significantly reduces detours, as it is the role of the last MF to get the closest next MF (in most cases nearby). Yet, with an MF being distributed throughout the network, a Control plane becomes necessary in order to provide a solution for CN to address vehicles. The various solutions developed by Nguyen et al. not only showed the large benefit of a DMM approach for IPv6 mobility management, but also emphasized the critical role of an efficient Control plane.

On the other hand, SDN recently appeared and gained a big attention from the Internet Networking community due to its capacity to provide a significantly higher scalability of highly dynamic flows, which is required by future 5G dynamic networks. In particular, SDN also suggests a strict separation between a Control plane (SDN-Controller) and a Data plane (OpenFlow Switches) based on the OpenFlow standard. Such an architecture has two advantages that are critical for IP mobility management in VANET. First, unlike traditional routing mechanisms, OpenFlow focuses on flows rather than optimized routes.

Accordingly, they can optimize routing based on flows (grouping multiple flows in one route, or allowing one flow to have different routes), and can detect broken flows much earlier than the traditional networking solutions. Second, SDN controllers may dynamically reprogram (reconfigure) OpenFlow Switches (OFS) to always keep an optimal route between CN and a vehicular node.

Nguyen et. al observed the mutual benefits IPv6 DMM could obtain from an SDN architecture, and then proposed an SDN-based DMM for VANET. In their proposed architecture, a PMIP-DMM is used, where MF is OFS for the Data plane, and one or more SDN controllers handle the Control plane. The evaluation and prototype in the paper prove that the proposed architecture can provide a higher scalability than the standard DMM.

This paper makes several observations leading to a strong suggestions that IP mobility management should be based on an SDN architecture. First, SDN will be integrated into future Internet and 5G in a near future. Second, after separating the Identity and Routing addressing, IP mobility management further requires to separate the Control from the Data plane if it needs to remain scalable for VANET. Finally, Flow-based routing (in particular OpenFlow standard) will be required in future heterogeneous vehicular networks (e.g., multi-RAT and multi-protocol) and the SDN coupled with DMM provides a double benefit of dynamic flow detection/reconfiguration and short(-er) route optimizations.

#### 7.8. IP Mobility Management for Vehicular Communication Networks: Challenges and Solutions

Cespedes et al. provided a survey of the challenges for NEMO Basic Support for VANET [Vehicular-IP-MM]. NEMO allows the management of a group of nodes (a mobile network) rather than a single node. However, although a vehicle and even a platoon of vehicles could be seen as a group of nodes, NEMO has not been designed considering the particularities of VANET. For example, NEMO builds a tunnel between an MR (on board of a vehicle) and its HA, which in a VANET context is suboptimal, for instance due to over-the-air tunneling cost, the detour taken to pass by the MR's HA even if the CN is nearby, or the route optimization when the MR moves to a new AR.

Cespedes et al. first summarize the requirements of IP mobility management, such as reduced power at end-device, reduced handover event, reduced complexity, or reduced bandwidth consumption. VANET adds the following requirements, such as minimum signaling for route optimization (RO), per-flow separability, security and binding privacy protection, multi-homing, and switching HA. As observed, these provide several challenges to IP mobility and NEMO BS for

VANET.

Cespedes et al. then describe various optimization schemes available for NEMO BS. Considering a single hop connection to CN, one major optimization direction is to avoid the HA detour and reach the CN directly. In that direction, a few optimizations are proposed, such as creating an IP tunnel between the MR and the CR directly, creating an IP tunnel between the MR and a CR (rather than the HA), a delegation mechanism allowing Visiting Nodes to use MIPv6 directly rather than NEMO or finally intra-NEMO optimization for a direct path within NEMO bypassing HAs.

Specific to VANET, multi-hop connection is possible to the fixed network. In that case, NEMO BS must be enhanced to avoid that the path to immediate neighbors must pass by the respective HAs instead of directly. More specifically, two approaches are proposed to rely on VANET sub-IP multi-hop routing to hide a NEMO complex topology (e.g., Nested NEMO) and provide a direct route between two VANET nodes. Generally, one major challenge is security and privacy when opening a multi-hop route between a VANET and a CN. Heterogeneous multi-hop in a VANET (e.g., relying on various access technologies) corresponds to another challenge for NEMO BS as well.

Cespedes et al. conclude their paper with an overview of critical research challenges, such as Anchor Point location, the optimized usage of geographic information at the subIP as well as at the IP level to improve NEMO BS, security and privacy, and the addressing allocation schema for NEMO.

In summary, this paper illustrates that NEMO BS for VANET should avoid the HA detour as well as opening IP tunnels over the air. Also, NEMO BS could use geographic information for subIP routing when a direct link between vehicles is required to reach an AR, but also anticipate handovers and optimize ROs. From an addressing perspective, dynamic MNP assignments should be preferred, but should be secured in particular during binding update (BU).

#### 7.9. Key Observations

Mobility Management (MM) solution design varies, depending on scenarios: highway vs. urban roadway. Hybrid schemes (NEMO + PMIP, PMIP + DMM, etc.) usually show better performance than pure schemes. Most schemes assume that IP address configuration is already set up. Most schemes have been tested only at either simulation or analytical level. SDN can be considered as a player in the MM solution.

## 8. Vehicular Network Security

This section surveys security in vehicular networks.

### 8.1. Securing Vehicular IPv6 Communications

Fernandez et al. proposed a secure vehicular IPv6 communication scheme using Internet Key Exchange version 2 (IKEv2) and Internet Protocol Security (IPsec) [Securing-VCOMM]. This scheme aims at the security support for IPv6 Network Mobility (NEMO) for in-vehicle devices inside a vehicle via a Mobile Router (MR). An MR has multiple wireless interfaces, such as 3G, IEEE 802.11p, WiFi, and WiMAX. The proposed architecture consists of Vehicle ITS Station (Vehicle ITS-S), Roadside ITS Station (Roadside ITS-S), and Central ITS Station (Central ITS-S). Vehicle ITS-S is a vehicle having a mobile Network along with an MR. Roadside ITS-S is an RSU as a gateway to connect vehicular networks to the Internet. Central ITS-S is a TCC as a Home Agent (HA) for the location management of vehicles having their MR.

The proposed secure vehicular IPv6 communication scheme sets up IPsec secure sessions for control and data traffic between the MR in a Vehicle ITS-S and the HA in a Central ITS-S. Roadside ITS-S plays a role of an Access Router (AR) for Vehicle ITS-S's MR to provide the Internet connectivity for Vehicle ITS-S via wireless interfaces, such as IEEE 802.11p, WiFi, and WiMAX. In the case where Roadside ITS-S is not available to Vehicle ITS-S, Vehicle ITS-S communicates with Central ITS-S via cellular networks (e.g., 3G). The secure communication scheme enhances the NEMO protocol that interworks with IKEv2 and IPsec in network mobility in vehicular networks.

The authors implemented their scheme and evaluated its performance in a real testbed. This testbed supports two wireless networks, such as IEEE 802.11p and 3G. The in-vehicle devices (or hosts) in Vehicle ITS-S are connected to an MR of Vehicle ITS-S via IEEE 802.11g. The test results show that their scheme supports promising secure IPv6 communications with a low impact on communication performance.

### 8.2. Providing Authentication and Access Control in Vehicular Network Environment

Moustafa et al. proposed a security scheme providing authentication, authorization, and accounting (AAA) services in vehicular networks [VNET-AAA]. This security scheme aims at the support of safe and reliable data services in vehicular networks. It authenticates vehicles as mobile clients to use the network access and various services that are provided by service providers. Also, it ensures a confidential data transfer between communicating parties (e.g.,

vehicle and infrastructure node) by using IEEE 802.11i (i.e., WPA2) for secure layer-2 links.

The authors proposed a vehicular network architecture consisting of three entities, such as Access network, Wireless mobile ad hoc networks (MANETs), and Access Points (APs). Access network is the fixed network infrastructure forming the back-end of the architecture. Wireless MANETs are constructed by moving vehicles forming the front-end of the architecture. APs is the IEEE 802.11 WLAN infrastructure forming the interface between the front-end and back-end of the architecture.

For AAA services, the proposed architecture uses a Kerberos authentication model that authenticates vehicles at the entry point with the AP and also authorizes them to the access of various services. Since vehicles are authenticated by a Kerberos Authentication Server (AS) only once, the proposed security scheme can minimize the load on the AS and reduce the delay imposed by layer 2 using IEEE 802.11i.

### 8.3. Key Observations

The security for vehicular networks should provide vehicles with AAA services in an efficient way. It should consider not only horizontal handover, but also vertical handover since vehicles have multiple wireless interfaces.

## 9. Standard Activities for Vehicular Networks

This section surveys standard activities for vehicular networks in standards developing organizations.

### 9.1. IEEE Guide for Wireless Access in Vehicular Environments (WAVE) - Architecture

IEEE 1609 is a suite of standards for Wireless Access in Vehicular Environments (WAVE) developed in the IEEE Vehicular Technology Society (VTS). They define an architecture and a complementary standardized set of services and interfaces that collectively enable secure vehicle-to-vehicle (V2V) and vehicle-to-infrastructure (V2I) wireless communications.

IEEE 1609.0 provides a description of the WAVE system architecture and operations (called WAVE reference model) [WAVE-1609.0]. The reference model of a typical WAVE device includes two data plane protocol stacks (sharing a common lower stack at the data link and physical layers): (i) the standard Internet Protocol Version 6 (IPv6) and (ii) the WAVE Short Message Protocol (WSMP) designed for

optimized operation in a wireless vehicular environment. WAVE Short Messages (WSM) may be sent on any channel. IP traffic is only allowed on service channels (SCHs), so as to offload high-volume IP traffic from the control channel (CCH).

The Layer 2 protocol stack distinguishes between the two upper stacks by the Ethertype field. Ethertype is a 2-octet field in the Logical Link Control (LLC) header, used to identify the networking protocol to be employed above the LLC protocol. In particular, it specifies the use of two Ethertype values (i.e., two networking protocols), such as IPv6 and WSMP.

Regarding the upper layers, while WAVE communications use standard port numbers for IPv6-based protocols (e.g., TCP, UDP), they use a Provider Service Identifier (PSID) as an identifier in the context of WSMP.

#### 9.2. IEEE Standard for Wireless Access in Vehicular Environments (WAVE) - Networking Services

IEEE 1609.3 defines services operating at the network and transport layers, in support of wireless connectivity among vehicle-based devices, and between fixed roadside devices and vehicle-based devices using the 5.9 GHz Dedicated Short-Range Communications/Wireless Access in Vehicular Environments (DSRC/WAVE) mode [WAVE-1609.3].

WAVE Networking Services represent layer 3 (networking) and layer 4 (transport) of the OSI communications stack. The purpose is then to provide addressing and routing services within a WAVE system, enabling multiple stacks of upper layers above WAVE Networking Services and multiple lower layers beneath WAVE Networking Services. Upper layer support includes in-vehicle applications offering safety and convenience to users.

The WAVE standards support IPv6. IPv6 was selected over IPv4 because IPv6 is expected to be a viable protocol into the foreseeable future. Although not described in the WAVE standards, IPv4 has been tunnelled over IPv6 in some WAVE trials.

The document provides requirements for IPv6 configuration, in particular for the address setting. It specifies the details of the different service primitives, among which is the WAVE Routing Advertisement (WRA), part of the WAVE Service Advertisement (WSA). When present, the WRA provides information about infrastructure internetwork connectivity, allowing receiving devices to be configured to participate in the advertised IPv6 network. For example, an RSU can broadcast in the WRA portion of its WSA all the information necessary for an OBU to access an application-service

available over IPv6 through the RSU as a router. This feature removes the need for an IPv6 Router Advertisement message, which are based on ICMPv6.

### 9.3. ETSI Intelligent Transport Systems: Transmission of IPv6 Packets over GeoNetworking Protocols

ETSI published a standard specifying the transmission of IPv6 packets over the ETSI GeoNetworking (GN) protocol [ETSI-GeoNetworking] [ETSI-GeoNetwork-IPv6]. IPv6 packet transmission over GN is defined in ETSI EN 302 636-6-1 [ETSI-GeoNetwork-IPv6] using a protocol adaptation sub-layer called "GeoNetworking to IPv6 Adaptation Sub-Layer (GN6ASL)". It enables an ITS station (ITS-S) running the GN protocol and an IPv6-compliant protocol layer to: (i) exchange IPv6 packets with other ITS-S; (ii) acquire globally routable IPv6 unicast addresses and communicate with any IPv6 host located in the Internet by having the direct connectivity to the Internet or via other relay ITS stations; (iii) perform operations as a Mobile Router for network mobility [RFC3963].

The document introduces three types of virtual link, the first one providing symmetric reachability by means of stable geographically scoped boundaries and two others that can be used when the dynamic definition of the broadcast domain is required. The combination of these three types of virtual link in the same station allows running the IPv6 ND protocol including Stateless Address Autoconfiguration (SLAAC) [RFC4862] as well as to distribute other IPv6 link-local multicast traffic and, at the same time, to reach nodes that are outside specific geographic boundaries. The IPv6 virtual link types are provided by the GN6ASL to IPv6 in the form of virtual network interfaces.

The document also describes how to support bridging on top of the GN6ASL, how IPv6 packets are encapsulated IN GN packets and delivered, as well as the support of IPv6 multicast and anycast traffic, and neighbour discovery. For latency reasons, the standard strongly recommends to use SLAAC for the address configuration.

Finally, the document includes the required operations to support the change of pseudonym, e.g., changing IPv6 addresses when the GN address is changed, in order to prevent attackers from tracking the ITS-S.

### 9.4. ISO Intelligent Transport Systems: Communications Access for Land Mobiles (CALM) Using IPv6 Networking

ISO published a standard specifying the IPv6 network protocols and services [ISO-ITS-IPv6]. These services are necessary to support the

global reachability of ITS stations (ITS-S), the continuous Internet connectivity for ITS-S, and the handover functionality required to maintain such connectivity. This functionality also allows legacy devices to effectively use an ITS-S as an access router to connect to the Internet. Essentially, this specification describes how IPv6 is configured to support ITS-S and provides the associated management functionality.

The requirements apply to all types of nodes implementing IPv6: personal, vehicle, roadside, or central node. The standard defines IPv6 functional modules that are necessary in an IPv6 ITS-S, covering IPv6 forwarding, interface between IPv6 and lower layers (e.g., LAN interface), mobility management, and IPv6 security. It defines the mechanisms to be used to configure the IPv6 address for static nodes as well as for mobile nodes, while maintaining the addressing reachability from the Internet.

## 10. Summary and Analysis

This document surveyed state-of-the-arts technologies for IP-based vehicular networks, such as IP address autoconfiguration, vehicular network architecture, vehicular network routing, and mobility management.

Through this survey, it is learned that IPv6-based vehicular networking can be well-aligned with IEEE WAVE standards for various vehicular network applications, such as driving safety, efficient driving, and infotainment. However, since the IEEE WAVE standards do not recommend to use the IPv6 ND protocol for the communication efficiency under high-speed mobility, it is necessary to adapt the ND for vehicular networks with such high-speed mobility.

The concept of a link in IPv6 does not match that of a link in VANET because of the physical separation of communication ranges of vehicles in a connected VANET. That is, in a linear topology of three vehicles (Vehicle-1, Vehicle-2, and Vehicle-3), Vehicle-1 and Vehicle-2 can communicate directly with each other. Vehicle-2 and Vehicle-3 can communicate directly with each other. However, Vehicle-1 and Vehicle-3 cannot communicate directly with each other due to the out-of-communication range. For the link in IPv6, all of three vehicles are on a link, so they can communicate directly with each other. On the other hand, in VANET, this on-link communication concept is not valid in VANET. Thus, the IPv6 ND should be extended to support this multi-link subnet of a connected VANET through either ND proxy or VANET routing.

For IP-based networking, IP address autoconfiguration is a prerequisite function. Since vehicles can communicate intermittently



with TCC via RSUs through V2I communications, TCC can play a role of a DHCP server to allocate unique IPv6 addresses to the vehicles. This centralized address allocation can remove the delay of the DAD procedure for testing the uniqueness of IPv6 addresses.

For routing and mobility management, most of vehicles are equipped with a GPS navigator as a dedicated navigation system or a smartphone App. With this GPS navigator, vehicles can share their current position and trajectory (i.e., navigation path) with TCC. TCC can predict the future positions of the vehicles with their mobility information (i.e., the current position, speed, direction, and trajectory). With the prediction of the vehicle mobility, TCC supports RSUs to perform data packet routing and handover proactively.

## 11. Security Considerations

Security and privacy are important aspects in vehicular networks. Only valid vehicles should be allowed to participate in vehicular networking. Vehicle Identification Number (VIN) and user certificate can be used to authenticate a vehicle and user through road infrastructure, such as Road-Side Unit (RSU) connected to an authentication server in Traffic Control Center (TCC).

## 12. Acknowledgements

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Appendix A. Changes from  
draft-jeong-ipwave-vehicular-networking-survey-01

The following changes are made from  
draft-jeong-ipwave-vehicular-networking-survey-01:

- o In Section 4.4, cross-layer identities management in ITS stations is added for the IP address autoconfiguration of ITS stations (e.g., vehicles) in vehicular networks using multiple access network technologies.
- o Typos are corrected.

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